

# SECURITIES & EXCHANGE COMMISSION EDGAR FILING

## SONIC FOUNDRY INC

**Form: 3**

**Date Filed: 2019-03-14**

Corporate Issuer CIK: 1029744

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person Norregaard Michael <small>(Last) (First) (Middle)</small> 222 WEST WASHINGTON AVE <small>(Street)</small> MADISON, WI 53703 <small>(City) (State) (Zip)</small>		2. Date of Event Requiring Statement (Month/Day/Year) 03/04/2019	3. Issuer Name and Ticker or Trading Symbol SONIC FOUNDRY INC [SOFO]	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) Chief Operating Officer		5. If Amendment, Date Original Filed(Month/Day/Year)
		6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	5,000	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Common Stock Option	04/15/2013	04/15/2023	Common Stock	5,000	\$ 6.74	D	
Employee Common Stock Option	(1)	11/10/2024	Common Stock	2,500	\$ 9.36	D	
Employee Common Stock Option	(2)	11/10/2025	Common Stock	2,500	\$ 7.33	D	
Employee Common Stock Option	(3)	12/27/2026	Common Stock	2,500	\$ 4.75	D	
Employee Common Stock Option	(4)	01/17/2028	Common Stock	11,250	\$ 2.49	D	
Employee Common Stock Option	(5)	12/24/2018	Common Stock	11,250	\$ 0.66	D	

**Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Norregaard Michael 222 WEST WASHINGTON AVE MADISON, WI 53703			Chief Operating Officer	

**Signatures**

Michael Norregaard  03/14/2019  
Signature of Reporting Person Date

**Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) (1) 833 exercisable 11/10/2015 and 11/10/2016, 834 exercisable 11/10/2017
- (2) (2) 833 exercisable 11/10/2016 and 11/10/2017, 834 exercisable 11/10/2018
- (3) (3) 833 exercisable 12/27/2017 and 12/27/2018, 834 exercisable 12/27/2019
- (4) (4) 3,750 exercisable at 1/17/2019, 1/17/2020 and 1/17/2021
- (5) (5) 3,750 exercisable at 12/24/2019, 12/24/2020 and 12/24/2021

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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