

For Six Month Period Ending AUG 29 2002  
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant Livingston/Moffett (b) Registration No. 5474  
Global Consultants, LLC

(c) Business Address(es) of Registrant  
1620 L Street, NW  
Suite 1210  
Washington, D.C. 20036

2. Has there been a change in the information previously furnished in connection with the following:

- (a) If an individual:
- |                       |                              |                             |
|-----------------------|------------------------------|-----------------------------|
| (1) Residence address | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship       | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation        | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

- (b) If an organization:
- |                          |                              |  |
|--------------------------|------------------------------|--|
| (1) Name                 | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices       | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

(c) Explain fully all changes, if any, indicated in items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period.

Yes  No

If yes, have you filed an amendment to the Exhibit C? Yes  No

If no, please attach the required amendment.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (a waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name Position Date Connection Ended

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name Residence Address Citizenship Position Date Assumed

5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal? Yes  No

If yes, identify each such person and describe his service.

(b) Have any employee or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting? Yes  No

If yes, furnish the following information:

Name Position or connection Date terminated

(c) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes  No

If yes, furnish the following information:

Name Residence Address Citizenship Position Date Assumed

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement? n/a Yes  No

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?  
Yes  No

If yes, furnish the following information:

Name of foreign principal  
Dr. Carlos Julio Emanuel, Ministry of  
Economy and Finance, Republic of  
Ecuador

Date of termination  
June 1, 2002

8. Have you acquired any new foreign principal<sup>2</sup> during this 6 month reporting period?  
Yes  No

If yes, furnish following information:

Name and address of foreign principal

Date acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principals<sup>2</sup> whom you continued to represent during the 6 month reporting period.

Dr. Carlos Julio Emanuel, Ministry of Economy and Finance, Republic of Ecuador  
Secretary of Industrial Development and Commerce, Government of the State of Yucatan  
Government of the Cayman Islands, BWI

10. EXHIBITS A AND B

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

Exhibit A<sup>3</sup> Yes  No   
Exhibit B<sup>4</sup> Yes  No  n/a

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes  No

If yes, have you filed an amendment to these exhibits? Yes  No

If no, please attach the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes  No

If yes, identify each such foreign principal and describe in full detail your activities and services:

Registrant advised and advocated on behalf of the foreign principals with U.S. policymakers.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>5</sup> as defined below? Yes  No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places, of delivery, names of speakers and subject matter.

See attachment

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes  No

If yes, describe fully.

<sup>5</sup> The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes  No

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies<sup>6</sup>

Date	From Whom	Purpose	Amount
See attachments			

Total

(b) RECEIPTS - FUND RAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign<sup>7</sup>, any money on behalf of any foreign principal named in items 7, 8, and 9 of this statement? Yes  No

If yes, have you filed an Exhibit D to your registration? Yes  No

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes  No

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
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6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).) 8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal. 9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?      Yes       No

(2) transmitted monies to any such foreign principal?      Yes       No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

*None were necessary.*

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?

Yes  No

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes  No

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
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See attachment

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials<sup>12</sup>?  
Yes  No

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

Secretary of Industrial Development and Commerce, Government of the State of Yucatan  
Dr. Carlos Julio Emanuel, Minister of Finance, Ecuador

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes  No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper articles
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches

Other (specify) background information, mission statement

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public Officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) \_\_\_\_\_

21. What language was used in the informational materials:

- English
- Other (specify) \_\_\_\_\_

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes  No

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes  No

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

**VI--EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

*September 23, 2002*

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(Type or print name under each signature<sup>13</sup>)

*Anthony J. Muffett*

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

13 This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.



THIS FORM IS TO BE AN OFFICIAL ATTACHMENT TO YOUR CURRENT  
SUPPLEMENTAL STATEMENT - PLEASE EXECUTE IN TRIPLICATE

SHORT-FORM REGISTRATION INFORMATION SHEET

SECTION A

The Department records list active short-form registration statements for the following persons of your organization filed on the date indicated by each name. If a person is not still functioning in the same capacity directly on behalf of the foreign principal, please show the date of termination.

Short Form List For Registrant: Livingston/Moffett Global Consultants, LLC (LMG)

Last Name	First Name and Other Names	Registration Date	Termination Date	Role
Fitz-Pegado	Lauri J.	2/19/02		
Goodweather	Melvin G.	5/1/02		
Krause	Charles	2/19/02		
Livingston	Robert J.	2/19/02		
Martin	J. Allen	2/19/02		
Moffett	Anthony J.	2/19/02		

SECTION B

In addition to those persons listed in Section A, list below all current employees rendering services directly on behalf of the foreign principal(s) who have not as yet filed short-form registration statements. (Do not list clerks, secretaries, typists or employees in a similar or related capacity. If there is some question as to whether an employee has an obligation to file a short-form, please address a letter to us describing the activities and connection with the foreign principal.)

Name	Function	Date Hired

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Title: \_\_\_\_\_

**12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity as defined below?**

Yes

**If yes, identify each such foreign principal and describe in full detail such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places, of delivery, names of speaker and subject matter.**

On behalf of the foreign principal, Ecuador, LMG engaged in the dissemination of letters, facsimilies, and electronic messages for the purpose of informing policymakers of the issues important to the foreign client and also a concerted effort to engage their support in influencing administration and IMF employees to change their monetary policy in relation to the foreign principal. Beyond written contact, other methods of contact were used such as arranged and chance meetings between the registrant, the policymakers, and think tanks as well as conference calls and other mean of telephone communication. During the time of representation the registrant also arranged speeches that were delivered by the Minister, Carlos Julio Emanuel, during his visit to Washington DC.

- Inter-American Dialogue

Tuesday, May 21, 2002

1350 New York Avenue, N.W.

Washington, DC

Hosted by Peter Hakim

Speech Title: "Changing Perceptions: Ecuador's New Economic Program"

Subject Matter: Spoke about the accomplishments and changes in economic policy that have taken place in the country in the last few years that showcase not only the country's willingness to better its policies but also its necessity of obtaining an IMF agreement and its potential after doing so.

- Heritage Foundation.

Tuesday, May 21, 2002

214 Mass. Ave. N.E.

Hosted by Steve Johnson

Speech Title: "The Economic Impact of Colombia's Conflict on Ecuador"

Subject Matter: Spoke concerning the volatile environment in Colombia Due to the civil war going on in that country and how its proximity to Ecuador is proving to be detrimental not only to Colombia but has shown signs of exacerbating the economic situation in Ecuador as well due to spillovers of guerillas and refugees that strain the Ecuadorean economy.

Please see attachments for individual contacts made for Ecuador.

For the Government of Yucatan, Lauri Fitz-Pegado held conversations with the U.S. Ambassador to Mexico, Jeffrey Davidow, and Dale Slaght, the Minister Counselor for Commercial Affairs, at a conference in Merida, Mexico, between May 1 and May 2, 2002 regarding the U.S./Yucatan Business Council. On May 16, 2002, Toby Moffett had a discussion over lunch with Norma Jane Sabiston, Chief of Staff for Senator Mary Landrieu, re: Yucatan, and, on May 23, held a conversation with Jason Matthews, Legislative Director for the Senator, concerning Yucatan.

There was no political activity for the Cayman Islands.

## Contacts for Ecuador

### Capitol Hill

#### The White House

Robert Livingston/Toby Moffett

TEL.

FAX

The Honorable Condoleeza Rice  
Assistant to the President for National Security Affairs  
The White House  
Washington, D.C. 20504  
Dear Dr. Rice

RL

456-9491

456-9490

\* A letter urging her support and notifying her of LMG Activities and goal on behalf of the foreign principal

#### The State Department

The Honorable Richard Armitage  
Deputy Secretary of State  
2201 C Street N.W.  
Washington, D.C 20520  
Dear Deputy Secretary Armitage

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647-9641

\* Meeting and letter making him aware of progress and urging support

The Honorable Paula Dobriansky  
Undersecretary for Global Affairs  
Department of State  
2201 C Street N.W.  
Washington, D.C. 20520  
Dear Undersecretary Dobriansky

RL/TM

647-6246

647-0753

\* Meeting urging support for the agreement

Ambassador Lino Gutierrez  
Principal Deputy Assistant Secretary of State for Western Hemisphere Affairs  
2201 C Street, N.W.  
Washington, D.C. 20520  
Dear Ambassador Gutierrez

RL/TM

647-8386

647-0791

\* Letter urging support and notifying of LMG's activities on behalf of the client

Ambassador Richard Haass  
Director, Policy Planning  
Department of State  
2201 C Street, N.W.  
Washington, D.C. 20520

RL/TM

647-2972

647-0844

Dear Ambassador Haass

\* Urging support and getting information concerning interpretations of current policy

Mr. Alan P. Larson ----- 647-7575 647-9763  
Undersecretary for Economics, Business & Agricultural Affairs  
2201 C Street, N.W.  
Washington, D.C. 20520  
Dear Undersecretary Larson

\* Urging support and getting informational concerning interpretations of current policy

The Honorable Colin Powell ----- 647-5291 647-2283  
Secretary of State  
2201 C Street, N.W.  
Washington, D.C. 20520  
Dear Secretary Powell

\*Urging support and requesting policy interpretations

Ambassador Otto J. Reich RL 647-5779 647-0791  
Assistant Secretary of State for Western Hemisphere Affairs  
2201 C Street, N.W.  
Washington, D.C. 20520  
Dear Ambassador Reich

\* Urging support and requesting policy interpretations

Mr. Kurt Struebel ----- 647-3283  
Dir. Of Andean Affairs for Western Hemisphere Affairs  
Department of State  
2201 C Street, N.W.  
Washington, D.C.  
Dear Mr. Struebel

\*Urging support and requesting policy interpretations

Ambassador Robert Zoellick ----- 395-6890 395-4549  
United States Trade Representative  
600 17<sup>th</sup> Street., N.W.  
Washington, D.C. 20508  
Dear Ambassador Zoellick

\*Urging support and requesting policy interpretations



\*Urging support and request for policy interpretations

The Honorable Randal Quarles ----- 622-0417 622-1270  
Asst. Secretary for International Affairs  
Department of Treasury  
1500 Pennsylvania Ave. N.W.  
Washington, D.C. 20220  
Dear Mr. Quarles

\*Urging support and discussing policy interpretations as well as a meeting to be briefed on the issues concerning the foreign principal

Mr. John Taylor RL/TM 622-0656 622-0417  
Undersecretary for International Affairs  
Department of the Treasury  
1500 Pennsylvania Ave N.W.  
Washington, D.C. 20220  
Dear Undersecretary Taylor

\*Urging support and discussing policy interpretations as well as a meeting to be briefed on the issues concerning the foreign principal

Mr. Erik Weisman ----- 622-4583 622-1273  
Deputy Director, Office of Latin America and Caribbean Nations  
Department of the Treasury  
1500 Pennsylvania Ave., N.W. Room 5466-MT  
Washington, D.C. 20220  
Dear Deputy Director Weisman

\*Urging support and discussing policy interpretations

## **Department Staff**

Mr. Curt Struble ----- 647-1926  
Director of Office of Brazilian and Southern Conference Affairs  
Harry S. Truman Bldg.  
2201 C Street, N.W.  
Washington, D.C. 20504

\*Urging support and discussing policy interpretations

Mrs. Lorne Craner ----- 647-2126  
Assistant Secretary for Democracy, Human Rights, and Labour Bureau  
State Department  
Harry S. Truman Bldg  
2201 C Street, N.W.  
Washington, D.C. 20520

\*Letter urging support, facsimile highlighting statements in reference to the foreign principal

Mr. Elliot Abrams ----- 456-9141  
Special Assistant for Democracy, Human Rights, and International Operations  
Eisenhower Executive Office Bldg  
17<sup>th</sup> St. and Pennsylvania Ave., N.W.  
Washington, D.C. 20504

\*Letter urging support for the program of the foreign principal and discussing policy interpretations

Mr. John Maisto ----- 456-9131  
Special Assistant to the President and Sr. Dir  
Eisenhower Executive Office Bldg  
17<sup>th</sup> St. and Pennsylvania Ave., N.W.  
Washington, D.C. 20540

\*Urging support for the program of the foreign principal and discussing policy interpretations

Caleb McCarry ----- 226-9980 225-7485  
Committee on Western Hemisphere Affairs Staff Director  
259 Ford House Office Building  
Washington, D.C. 20515

\*Urging support for the program of the foreign principal and discussing policy interpretations

Chris Walker -----  
Assistant to the Speaker of the House for Policy  
H 419E Capitol Bldg  
Washington, D.C. 2051

\*Urging support for the program of the foreign principal and discussing policy interpretations

## FINANCIAL INSTITUTIONS

### The International Monetary Fund

Mr. Andrew Baukol ----- 623-7764  
International Monetary Fund  
700 19<sup>th</sup> Street, N.W.  
Washington, D.C. 20431

\*Urging support for the program of the foreign principal and discussing policy interpretations

Mr. Peter Dohlman ----- 623-5380 623-4940  
Assistant to the U.S. Executive Director  
International Monetary Fund  
700 19<sup>th</sup> Street, N.W. Room 13-318  
Washington, D.C. 20431

\*Urging support, requesting policy interpretations and information

Mr. Bob Traa ----- 623-6876 623-4344  
Deputy Chief, South/Central American Division  
Western Hemisphere Department  
International Monetary Fund  
700 19<sup>th</sup> Street, N.W., Room 10-302R  
Washington, D.C. 20431  
Dear Mr. Traa  
\*Urging support and requesting policy interpretations

**The World Bank**

Mr. David DeFerranti ----- 473-8729 676-9271  
Vice President for Latin America and the Caribbean Region  
The World Bank  
18-800 H Street, N.W.  
Washington, D.C. 20433  
Dear Mr. DeFerranti  
\*Urging support of the program of the foreign principal as well as discussing certain policy interpretations

Ms. Isabel Guerrero  
County Director  
The World Bank  
14-406  
1818 H Street, N.W.  
Washington, D.C. 20433  
Dear Ms. Guerrero  
\*Urging support of the program of the foreign principal as well as discussing certain policy interpretations

President James Wolfensohn ----- 473-1000 522-3031  
The World Bank  
MC 12-1215  
1818 H Street, N.W.  
Washington, D.C. 20433  
Dear President Wolfensohn  
\*Urging support of the program of the foreign principal as well as discussing certain policy interpretations



2266 Rayburn House Office Building  
Washington, D.C. 20515-0305  
Dear Representative Kolbe

\*Letter requesting support of the program of the foreign principal

The Honorable Nita Lowey  
Ranking Minority Member  
Subcommittee on Foreign Operations  
Committee on Appropriations  
2329 Rayburn House Office Building  
Washington, D.C. 20515-3218  
Dear Representative Lowey

RL/TM 225-6506 225-0546

\*Letter urging support for the program of the foreign principal

The Honorable Robert Menedez  
Ranking Minority Member  
Western Hemisphere Subcommittee  
Committee on International Relations  
2238 Rayburn House Office Building  
Washington, D.C. 20515-3013  
Dear Representative Menendez

RL/TM 225-7919 226-0792

\*Letter urging support for the program of the foreign principal

The Honorable Michael Oxley  
Chairman, Committee on Financial Services  
2233 Rayburn House Office Building  
Washington, D.C. 20515-3504  
Dear Representative Oxley

RL/TM 225-2676 226-0577

\*Letter urging support for the program of the foreign principal as well as discussing policy interpretations

The Honorable Lleana Ros-Lehtinen  
Chairman, International Operations and Human Rights Subcommittee  
Subcommittee on Criminal Justice, Drug Policy, and Human Rights Resources  
Subcommittee on National Security, Veterans Affairs and International Relations  
2160 Rayburn House Office Building  
Washington, D.C. 20515-0918  
Dear Representative Ros-Lehtinen

----- 225-3931 225-5620

\*Letter urging support for the program of the foreign principal as well as discussing policy interpretations

The Honorable Ed Royce  
Committee on Financial Services and International Relations  
Capital Markets, Insurance and Government Sponsored Enterprises Subcommittee  
Domestic Monetary Policy, Technology and Economic Growth Subcommittee  
2202 Rayburn House Office Building  
Washington, D.C. 20515-0539  
Dear Representative

----- 224-2823 224-1083

\*Letter urging support for the program of the foreign principal as well as discussing policy interpretations

The Honorable Janice Schakowsky ----- 225-2111 226-6890  
Committee on Financial Services  
Subcommittee on International Monetary Policy and Trade  
515 Cannon House Office Building  
Washington, D.C. 20515-1309  
Dear Representative Schakowsky

\*Letter urging support for the program of the foreign principal as well as discussing policy interpretations

The Honorable Nick Smith ----- 225-6276 225-6281  
Committee on International Relations  
Europe and Western Hemisphere Subcommittee  
2305 Rayburn House Office Building  
Washington, D.C. 20515-2207  
Dear Representative Smith

\*Letter urging support for the program of the foreign principal as well as discussing policy interpretations

## **Senate**

The Honorable Chad Cochran ----- 224-5054 224-9450  
Committee on Appropriations  
SR-326 Russell Senate Office Building  
Washington, D.C. 20510-2402  
Dear Senator Cochran

\*Letter urging support for the program of the foreign principal

The Honorable Christopher Dodd RL/TM 224-2823 224-1083  
Chairman, Western Hemisphere Subcommittee  
Committee on Foreign Relations  
SR-448 Russell Senate Office Building  
Washington, D.C. 20510-0702  
Dear Senator Dodd

\*Letter urging support for the program of the foreign principal and discussion concerning policy interpretations

The Honorable Byron Dorgan RL/TM 224-2551 224-1193  
Chairman, Subcommittee on Treasury and General Government  
Committee on Appropriations  
SH-713 Hart Senate Office Building  
Washington, D.C. 20510-3405  
Dear Senator Dorgan

\*Letter urging support for the program of the foreign principal

The Honorable Tom Harkin  
Member, Subcommittee on Foreign Operations  
Committee on Appropriations  
SH-731 Hart Senate Office Building  
Washington, D.C. 20510-3405

RL/TM            224-3254            224-9369

Dear Senator Harkin

\*Letter urging support for the program of the foreign principal

The Honorable Patrick Leahy  
Chairman, Subcommittee on Foreign Operations  
Committee on Appropriations  
SR-433 Russell Senate Office Building  
Washington, D.C. 20510-4502

RL/TM            224-4242            224-3479

Dear Senator Leahy

\*Letter urging support for the program of the foreign principal

The Honorable Richard Shelby  
Committee on Appropriations  
Committee on Banking, Housing and Urban Affairs  
Committee on Intelligence  
SH-110 Hart Senate Office Building  
Washington, D.C. 20510-0103

RL/TM            224-5744            224-3416

Dear Senator Shelby

\*Letter urging support for the program of the foreign principal

## FARA FILING CONTACT REPORT

February 12, 2002

- Peter Dohlman
  - IMF
  - E-mail
  - Toby Moffett
    - Questions on Who to Contact at the concerning the team currently in Ecuador on behalf of the IMF. Gave names of potential contacts at the State Department, IMF, Treasury
- Jaime Zeas
  - Ecuador Embassy
  - Meeting at LMG
  - Toby Moffett
    - Brief Overview of the background of Ecuador

February 20, 2002

- Ivonne A-Baki
  - Ecuador Embassy
  - Dinner at Mrs. A-Baki's residence
  - Finmin, Toby, Allen, and Bob
    - Dinner to discuss the firms intentions concerning Ecuador and desire to enlist the help of Mrs. A-Baki in helping them secure an IMF deal for Ecuador.

February 21, 2002

- Peter Dohlman
  - IMF
  - E-mail
  - Toby Moffett
    - Update on the meeting with the Minister and a summary of his understanding of the Ecuador/US relationship so far. Talked about dollarization and Ecuador's efforts to try to please the IMF. Suggestions concerning why Ecuador is deserving of an IMF deal in light of its much better resume in comparison with Argentina

February 26, 2002

- Congressman Michael Oxley, Robert Menendez, Jim Kolbe, Nita Lowey, Doug Bereuter, Cass Ballenger, Chris Dodd, Patrick Leahy, Tom Harkin, Byron Dorgan, Richard Shelby, John Taylor, Richard Haass, Paula Dobriansky, Lino Guittierrez, Grant Aldonas, James Wolfensohn, David DeFerranti, Dennis Hastert, Ernest Istook, Otto Reich, Condoleeza Rice
  - Letter
  - Bob Livingston and Toby Moffett
    - Letter informing these key figures that LMG is representing the government of Ecuador in its quest to secure an IMF agreement

and also making them aware of key economic and defense issues concerning the importance of Ecuador getting the deal.

February 27, 2002

- Lino Guittierez
  - Lauri Fitz-Pegado

February 28, 2002

- Ivonne A-Baki
  - Ecuador Embassy
  - Lunch meeting
  - Toby Moffett
    - Discussed the Ambassador's role in helping to secure an IMF deal for Ecuador

May 1, 2002

- Otto Reich
  - Assistant Secretary for Western Affairs and the State Department
  - Letter
  - Bob Livingston
    - See Copy

March 2, 2002

- Carlos Jativa
  - Ecuador Embassy
  - E-mail
  - Toby Moffett
    - Explanation concerning the significance of the cut made at the conference for the ARI. Attempt to find a correlation between the trade bill and tuna bill and their role in the status of the Ecuadorean economy

March 4, 2002

- Rebecca Man
  - Inter-American Dialogue Bank
  - Telephone conversation
  - Lauri Fitz-Pegado?

March 6, 2002

- Randy Quarles, Dirk Joldersma, Steve Backes, and Erik Weisman
  - Department of Treasury
  - Meeting
  - Toby Moffett and Bob Livingston
    - Stressed the importance of expediting an agreement with the IMF and reiterated Ecuador's committee to getting the legislation that the IMF requested passed. Explains Ecuador's accomplishments to date in creating a stabilized economy.

March 7, 2002

- Janice O'Connell
  - Senator
  - E-mail
  - Toby Moffett and Lauri Fitz-Pegado
    - Feedback on her conversations with senate appropriations staff about the FY 2002 funding.

March 10, 2002

- John Taylor
  - Department of Treasury
  - Carlos Julio

March 13, 2002

- Ecuador Embassy staff
  - Ecuador Embassies
  - Meeting
  - Lauri Fitz-Pegado

March 15, 2002

- Bob Traa
  - IMF
  - Telephone Conversation
  - Toby Moffett and Lauri Fitz-Pegado

March 18, 2002

- Paula Dobriansky
  - State Department
  - Meeting
  - Lauri Fitz-Pegado

April 10, 2002

- John Taylor
  - Department of Treasury
  - Telephone Conversation
  - Bob Livingston and Lauri Fitz-Pegado
  
- Steve Backes
  - Treasury Department
  - Telephone Conversation
  - Lauri Fitz-Pegado

- Reported on IMF's flexibility towards its economic demands of Ecuador

April 18, 2002

- Charlie Flickner
  - House Appropriations
  - E-mail
  - Bob Livingston

April 23, 2002

- Richard Shelby
  - Senator
  - Meeting
  - Bob Livingston

May 1, 2002

- Otto Reich
  - State Department
  - Letter
  - Bob Livingston
  
- John Taylor
  - Treasury Department
  - Letter
  - Bob Livingston

May 3, 2002

- John Taylor
  - Treasury Department
  - Voicemail Message
  - Bob Livingston
    - Requesting a Meeting
  
- Guy Nicholas Hedgocoe
  - Financial Times, Quito
  - Contact Concerning an Interview with the Minister
  - Charles Krause

May 5, 2002

- Randy Quarles
  - Treasury Department
  - Telephone Conversation Bob Livingston

May 9, 2002

- John Taylor, Elliot Abrams, Lorne Craner, John Maisto, Otto Reich
  - Treasury Department, State Department, and National Security Council
  - Letter
  - Bob Livingston
    - See enclosed copy

May 11, 2002

- John Taylor
  - Treasury Department
  - Letter
  - Bob Livingston
  
- Otto Reich
  - State Department
  - Letter
  - Bob Livingston
    - Reported that Ecuador was raised at the recent NSC discussion

May 13, 2002

- Curt Struble
  - State Department
  - Telephone Conversation
  - Bob Livingston

May 20, 2002

- Chris Walker
  - Speaker's Office
  - Telephone Conversation
  - Bob Livingston

May 21, 2002

- Alan Larson
- David DeFerranti
  - World Bank
  - Meeting
  - Toby Moffett, Lauri Fitz-Pegado
- Randall Quarles
  - Treasury Department
  - Meeting
  - Toby Moffett and Lauri Fitz-Pegado

May 22, 2002

- Inter-American Dialogue
  - Hosted by Peter Harkin

- Charles Krause and Lauri Fitz-Pegado
  - Minister gave his speech entitled “Changing Perceptions: Ecuador’s New Economic Program”
- Anna Willard
  - Reuters
  - Interview
  - Charles Krause
    - Minister was interviewed for an upcoming article that Ms. Willard wrote on the Minister and the current financial situation in Ecuador
- Nick Moss
  - Financial Times
  - Telephone interview
  - Charles Krause
    - Telephone interview for an article that Mr. Moss was also doing on the Minister and the current financial situation in Ecuador
- Heritage Foundation
  - Hosted by Steve Johnson
  - Brunch
  - Charles Krause and Lauri Fitz-Pegado
    - Minister gave his speech entitled “The Economic Impact of Colombia’s Conflict on Ecuador”
- Representative Cass Ballenger
  - Republican – North Carolina
  - Meeting
  - Bob Livingston and Lauri Fitz-Pegado
- Representative Illeana Ros-Lehtinen
  - Republican-Florida
  - Meeting
  - Bob Livingston and Lauri Fitz-Pegado
- Representative Nick Smith
  - Republican
  - Meeting
  - Bob Livingston and Lauri Fitz-Pegado
- Ed Royce
  - Republican-California
  - Met in passing
  - Lauri Fitz-Pegado and Bob Livingston

May 23, 2002

- Council of the Americas, NY
  - Breakfast
  - Meeting
  - Toby Moffett
  
- Ed Royce
  - Republican-California
  - Meeting
  - Bob Livingston

May 24, 2002

- Alan Larson
  - Facsimile
  - Copied to Luis Loria, Ann Page, Jaime Zeas, David DeFerranti, Randal Quarles, Peter Harkin, Steve Johnson, Nick Smith, Lleana Ros-Lehtinen, Chris Dodd, Ed Royce, and Alan Stoga
  - Bob Livingston and Toby Moffett
    - Sent as a thank note as well as an update on statements made my and IMF official
  
- Caleb McCarry
  - International Relations Committee
  - Telephone Conversation
  - Bob Livingston
  
- James Wolfensohn
  - World Bank President
  - Letter
  - Bob Livingston and Toby Moffett

May 31, 2002

- Andrew Baukol
  - IMF
  - Telephone Conversation
  - Lauri Fitz-Pegado

June 4, 2002

- Chris Walker
  - Speaker's Office
  - Email and Voicemail
  - Bob Livingston

June 5, 2002

- Linda Figura

- Secretary O'Neill's Office
- Telephone Conversation
- Bob Livingston

June 6, 2002

- Dirk Joldersma
  - Treasury Department
  - Lauri Fitz-Pegado

June 7, 2002

- Linda Figura
  - Secretary O'Neill's Office
  - Telephone Conversation
  - Bob Livingston

June 9, 2002

- Secretary Armitage
  - Deputy Secretary of State
  - Telephone Conversation
  - Bob Livingston

June 10, 2002

- Linda Figura
  - Secretary O'Neill's Office
  - Telephone Conversation
  - Bob Livingston
- Dirk Joldersma
  - Treasury Department
  - Telephone Conversation
  - Lauri Fitz-Pegado
- Chris Walker
  - Speaker's Office
  - Voice-mail
  - Bob Livingston

June 11, 2002

- Chris Walker
  - Speaker's Office
  - Voicemail
  - Bob Livingston
- Carlos Jativa
  - Ecuador Embassy

- Conference Call
- Toby Moffett and Lauri Fitz-Pegado
  - Talked about coordinating efforts for Ecuador with the Ambassador and LMG

June 13, 2002

- Joe Wood
  - Vice-President's Office
  - Telephone Conversation
  - Bob Livingston

June 23, 2002

- Chris Kraul
  - LA Times Correspondent in Quito
  - Telephone Conversation
  - Charles Krause
    - Ecuador's Economy and political developments
- Anna Willard
  - Reuters
  - Telephone Conversation

**List of Contacted Journalists for Media Trip on**  
**March 25, 2002**

Anthony Boadle  
Latin America Correspondent  
REUTERS

Oscar Barcelo  
Andean Editor  
VOICE OF AMERICA

Richard Lapper  
Latin America Editor  
FINIANCIAL TIMES

David Hoffman  
Foreign Editor  
WASHINGTON POST

Mike Mosettig  
Senior Foreign Producer  
THE NEWS HOUR WITH JIM LEHRER (PBS)

Carla Robbins  
Pentagon Correspondent  
WALL STREET JOURNAL

Harold Olmos  
Brazil Bureau Chief  
ASSOCIATED PRESS

Jose Manuel Nava  
Producer  
CNN.

## Log of Major Meetings

- Bob Livingston meeting with Randy Quarles on 3/06/02  
\*Also present were Mr. Steve Backes, Mr. Erik Weisman, Mr. Dirk Joldersma.
- Meeting on 5/20/02 with Alan Larson  
\*Those in attendance were Minister Emanuel, Jaime Zeas, Francisco Zalles, Luis Loria, Juan Manuel Escalante, Anthony J. Moffett, Lauri J. Fitz-Pegado.
- Minister Emanuel's visit to Washington D.C.  
\*This visit included meetings with the Inter- American Dialogue (see pg), The Council for the Americas (pg ), and the Heritage Foundation (pg)
- The Fortaleza Meeting
- Ambassador Miomir Zuzul talks to Paul Dobriansky
- Bob Livingston and Toby Moffett meetings on the Hill with Pelosi, Oxley, Hyde, Shelby, and Dodd
- Meeting between President Bush and the Pres. of Ecuador during the Lima visit.
- Meeting on 3/06/02 with Embassy staff and Jaime Zeas to assess situation

14. During the 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensations or otherwise?

Yes

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.

**ECUADOR, MINISTRY OF FINANCE**

2/25/02	M. Gay Suber and Associates	referral fee	\$28,500
2/26/02	M. Gay Suber and Associates	referral fee	\$9,000
2/28/02	Fitz-Pegado International	fee	\$7,500
2/28/02	Carolyn Moore	payroll	\$3,000
3/18/02	APCO Worldwide	fee	\$2,065
3/22/02	Fitz-Pegado International	fee	\$7,500
3/22/02	APCO Worldwide	fee	\$5,000
3/22/02	Carolyn Moore	payroll	\$4,000
4/25/02	Fitz-Pegado International	fee	\$7,500
4/04/02	APCO Worldwide	fee	\$5,300
4/25/02	APCO Worldwide	fee	\$5,300
4/25/02	Carolyn Moore	payroll	\$4,000
5/30/02	Carolyn Moore	payroll	\$3,000
4/25/02	Fitz-Pegado International	fee	\$7,500
6/27/02	APCO Worldwide	fee	\$5,300
5/30/02	APCO Worldwide	fee	\$873.08
8/20/02	Livingston Moffett Global	fee	\$190,000

**STATE OF YUCATAN**

6/6/02	travel expenses		\$3,306.66
3/4/02	Languages Unlimited	expense	\$337.50
6/7/02	McLeod, Watkins & Miller	attorney's fees	\$3905.24
5/30/02	ColorCraft of Virginia	expense	\$555.19
5/7/02	Stephanie Wikberg	expense	\$1250
5/9/02	HLP & Associates	expense	\$1346
4/23/02	VeriSign	expense	\$70
4/29/02	Kinkos	expense	\$288.17
4/25/02	Staples	expense	\$552.54
5/6/02	Livingston Moffett Global	fee	\$27,500

**CAYMAN ISLANDS**

8/8/02	professional services	fee	\$5,000
8/8/02	telephone, faxes, etc.	expense	\$140.88
8/8/02	travel	expense	\$919.50
8/8/02	photocopy service	expense	\$62.96
8/8/02	FARA registration	fee	\$305.00

8/8/02	total reimbursements	expenses	\$329.00
8/8/02	transportation	expenses	\$40.00
8/8/02	parking	expenses	\$25.00
8/8/02	consulting – Lauri Fitz-Pegado	fee	\$7,500
8/12/02	Livingston Moffett Global	fee	\$95,000

#14c: DISBURSEMENTS-POLITICAL CONTRIBUTIONS

Anthony J. Moffett

March 2002	\$1000	Friends of Mary Landrieu	Mary Landrieu
June 2002	\$1000	Carden for Congress	Tim Carden
June 2002	\$500	Friends of Mary Landrieu	Mary Landrieu
June 2002	\$250	Oz Bengur for Congress	Oz Bengur
June 2002	\$500	Courtney for Congress	Joe Courtney
June 2002	\$250	Courtney for Congress	Joe Courtney
July 2002	\$1000	Curry/Jebsen for Governor	Bill Curry

Lauri Fitz-Pegado

March 2002	\$75	Stonewall Democrats	n\a
June 2002	\$200	Democratic National Committee	n\a
July 2002	\$100	North Carolina Victory Fund	Erskine Bowles