

For Six Month Period Ending January 7, 1997  
(insert date)

**I - REGISTRANT**

1. (a) Name of Registrant  
Swidler & Berlin, Chartered

(b) Registration No.  
04079

(c) Business Address(es) of Registrant  
3000 K. Street, N.W., Suite 300  
Washington, D.C. 20007

2. Has there been a change in the information previously furnished in connection with the following:

- (a) If an individual:
- |                       |                              |                             |
|-----------------------|------------------------------|-----------------------------|
| (1) Residence address | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship       | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation        | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
- (b) If an organization:
- |                          |                              |                                        |
|--------------------------|------------------------------|----------------------------------------|
| (1) Name                 | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices       | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
- (c) Explain fully all changes, if any, indicated in items (a) and (b) above.

Not Applicable

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**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).**

3. If you have previously filed Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period.  
Yes  No

If yes, have you filed an amendment to the Exhibit C? Yes  No

If no, please attach the required amendment.

Not applicable

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (a waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

CS

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name Position Date Connection Ended  
Not applicable

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name Residence Address Citizenship Position Date Assumed

See Attachment A

5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal? Yes  No

If yes, identify each such person and describe his service.

Not applicable

(b) Have any employee or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting? Yes  No

If yes, furnish the following information:

Name Position or connection Date terminated  
Not applicable

(c) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes  No

If yes, furnish the following information:

Name Residence Address Citizenship Position Date Assumed

Not applicable

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement? Yes  No  Not applicable

If no, list names of persons who have not filed the required statement.

Not applicable

**II - FOREIGN PRINCIPAL**

7. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

*Name of foreign principal*

*Date of termination*

8. Have you acquired any new foreign principal<sup>2</sup> during this 6 month reporting period?

Yes  No

If yes, furnish following information:

*Name and address of foreign principal*

*Date acquired*

9. In addition to those named in Items 7 and 8, if any, list foreign principals<sup>2</sup> whom you continued to represent during the 6 month reporting period.

Secretaria de Comunicaciones y Transportes

10. **EXHIBITS A AND B**

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

Exhibit A <sup>3</sup>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Not applicable
Exhibit B <sup>4</sup>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes  No

If yes, have you filed an amendment to these exhibits? Yes  No

If no, please attach the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

**III - ACTIVITIES**

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11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement?                      Yes                       No

If yes, identify each such foreign principal and describe in full detail your activities and services:

Not applicable

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>5</sup> as defined below?

Yes                       No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places, of delivery, names of speakers and subject matter.

Not applicable

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals?                      Yes                       No

If yes, describe fully.

Not applicable

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<sup>5</sup> The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

#### IV - FINANCIAL INFORMATION

14. (a) **RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?      Yes       No

If no, explain why.

Registrant has not performed any services on behalf of the foreign principal during the six month reporting period.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies<sup>6</sup>

Date	From Whom	Purpose	Amount
Not applicable			

\$0.00
Total

(b) **RECEIPTS - FUND RASING CAMPAIGN**

During this 6 month reporting period, have you received, as part of a fund raising campaign<sup>7</sup>, any money on behalf of any foreign principal named in items 7, 8, and 9 of this statement?      Yes       No

If yes, have you filed an Exhibit D to your registration?      Yes       No       Not applicable

If yes, indicate the date the Exhibit D was filed.      Date Not applicable

(c) **RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, have you received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal?  
Yes       No

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
Not applicable			

6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)  
8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.  
9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign named in Items 7, 8, and 9 of this statement? Yes  No

(2) transmitted monies to any such foreign principal? Yes  No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.  
Registrant has not performed any services during the six month reporting period on behalf of the foreign principal

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
Not applicable			

\$0.00

Total

(b) **DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?

Yes  No

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
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Not applicable

(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes  No

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
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See Attachment B

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials<sup>12</sup>?  
Yes  No

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

Not applicable

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials?  
Not applicable Yes  No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

Not applicable

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper articles
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Other (specify) Not applicable

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- Public Officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) Not applicable

21. What language was used in the informational materials: Not applicable  
 English  Other (specify) \_\_\_\_\_

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes  No   
Not applicable

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes  No   
Not applicable

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4 (b) of the Act.

**VI--EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Type or print name under each signature<sup>13</sup>)

2/7/97

Brian W. Fitzgerald

Brian W. Fitzgerald

Subscribed and sworn to before me at Washington, D.C.  
this 7th day of February, 1997.

Sandra L. Eshewery

My Commission Expires 12/14/2001

13 This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

UNITED STATES DEPARTMENT OF JUSTICE  
REGISTRATION UNIT  
CRIMINAL DIVISION  
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form CRM-154, formerly Form OBD-64 - Supplemental Statement):

Yes \_\_\_\_\_ or No XXX

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes \_\_\_\_\_ or No XXX

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

Brian W. Fitzgerald  
Signature

2/7/97  
Date

Brian W. Fitzgerald  
Please type or print name of signatory on the line above

Member \_\_\_\_\_  
Title

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ATTACHMENT A

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes  No

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
Margaret M. Charles	1913 Kalorama Place N.W. Washington, D.C. 20009	U.S.	Member	01/01/97
Dana Frix	2121 Columbia Pike, #812 Arlington, VA 22204	U.S.	Member	01/01/97
David M. Martin	8813 Ridge Road Bethesda, MD 20817	U.S.	Member	01/01/97

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## ATTACHMENT B

### 15.(c) DISBURSEMENTS - POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes      X                      No

<u>Date:</u>	<u>Amount or Thing Of Value</u>	<u>Name of Political Organization:</u>	<u>Candidate:</u>
SWIDLER & BERLIN PAC:			
07/15/96	500.00	Rangel for Congress	Charles Rangel
07/24/96	5,000.00	"Monday Morning"	N/A
07/25/96	1,000.00	Missourians for Kit Bond	Kit Bond
07/25/96	1,000.00	Durbin for Senate	Richard Durbin
08/01/96	250.00	Dooley for Congress	Calvin Dooley
08/01/96	500.00	Citizens for Ron Klink	Ron Klink
08/02/96	500.00	Manton for Congress	Thomas J. Manton
08/05/96	1,000.00	Brennan for U.S. Senate	Joseph E. Brennan
08/08/96	500.00	Virginia Victory Fund	N/A
08/08/96	500.00	South Dakota Majority Dinner	N/A
09/09/96	500.00	Matsui for Congress Comm.	Robert T. Matsui
09/16/96	500.00	Committee to Re-Elect Joe Moakley	Joe Moakley
09/16/96	500.00	Boucher for Congress Comm.	Rick Boucher
09/17/96	1,000.00	Friends of Bob Livingston	Bob Livingston
09/26/96	1,000.00	Ehrlich for Congress	Robert Ehrlich
09/26/96	500.00	Rick Wieland for Congress	Rick Wieland
10/01/96	3,500.00	Democratic National Committee	N/A

10/10/96	1,000.00	Bliley for Congress	Thomas J. Bliley
10/11/96	500.00	Stevens for Senate	Ted Stevens
10/11/96	1,000.00	Friends of Phil Gramm	Phil Gramm
10/11/96	500.00	Friends of Jim Inhofe	Jim Inhofe
10/11/96	1,000.00	Dick Armey for Congress	Dick Armey
10/11/96	500.00	Upton for All of Us	Fred Upton
10/11/96	500.00	Tom Delay Congressional Comm.	Tom Delay
10/11/96	500.00	The Billy Tauzin Committee	Billy Tauzin
10/11/96	500.00	Friends of Frank Wolf	Frank Wolf
10/11/96	500.00	Goodlatte for Congress	Bob Goodlatte
10/11/96	500.00	Citizens for Kasich	John Kasich
10/11/96	500.00	Citizens for Gilman	Bob Gilman
10/11/96	500.00	Hastert for Congress Comm.	J. Dennis Hastert
10/15/96	500.00	The Reed Committee	Jack Reed
10/17/96	1,000.00	Mary Landrieu for Senate Comm.	Mary Landrieu
10/18/96	500.00	Bruggere for Senate	Tom Bruggere
10/18/96	500.00	Friends of Tom Strickland	Tom Strickland
10/18/96	500.00	South Dakota Majority Dinner	N/A
10/18/96	500.00	Karpen for Wyoming	Kathy Karpen
10/30/96	500.00	Rangel for Congress Comm. '96	Charles Rangel
TOTAL	30,250.00		

HELEN E. DISENHAUS

10/13/96	1,000.00	Democratic National Committee	N/A
TOTAL	1,000.00		

BARRY D. DIRENFELD

Sept. 1996	500.00	Democratic National Committee	N/A
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Sept. 1996	800.00	Mark Warner for Senate	Mark Warner
Oct. 1996	250.00	Kernan for Lieutenant Governor	Joe Kernan
Dec. 1996	1,000.00	Virginians for Beyer	Donald S. Beyer
TOTAL	2,550.00		

H.P. GOLDFIELD

10/30/96	250.00	Linda Wilde for Congress	Linda Wilde
10/31/96	250.00	Jennifer Dunn-Leadership PAC	N/A
TOTAL	500.00		

JOHN S. HOFF

10/28/96	100.00	Sybert for Congress	Rich Sybert
TOTAL	100.00		