

APR 28 1994

For Six Month Period Ending \_\_\_\_\_  
(Insert date)

Name of Registrant **Bannerman & Associates, Inc.** Registration No. **3964**

Business Address of Registrant  
**888 Sixteenth Street, N.W.  
Washington, D.C. 20006 I-REGISTRANT**

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

- (1) Residence address Yes  No
- (2) Citizenship Yes  No
- (3) Occupation Yes  No

(b) If an organization:

- (1) Name Yes  No
- (2) Ownership or control Yes  No
- (3) Branch offices Yes  No

2. Explain fully all changes, if any, indicated in item 1.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes  No

If yes, furnish the following information:

Name

Position

Date Connection Ended

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4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?  
 Yes  No

If yes, furnish the following information:

| <i>Name</i> | <i>Residence<br/>Address</i> | <i>Citizenship</i> | <i>Position</i> | <i>Date<br/>Assumed</i> |
|-------------|------------------------------|--------------------|-----------------|-------------------------|
|-------------|------------------------------|--------------------|-----------------|-------------------------|

5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?  
 Yes  No

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes  No

If yes, furnish the following information:

| <i>Name</i> | <i>Position or connection</i> | <i>Date terminated</i> |
|-------------|-------------------------------|------------------------|
|-------------|-------------------------------|------------------------|

7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes  No

If yes, furnish the following information:

| <i>Name</i> | <i>Residence<br/>Address</i> | <i>Position or<br/>connection</i> | <i>Date connection<br/>began</i> |
|-------------|------------------------------|-----------------------------------|----------------------------------|
|-------------|------------------------------|-----------------------------------|----------------------------------|

## II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period? Yes  No

If yes, furnish the following information:

*Name of foreign principal*

*Date of Termination*

- 
9. Have you acquired any new foreign principal<sup>1</sup> during this 6 month reporting period? Yes  No

If yes, furnish following information:

*Name and address of foreign principal*

*Date acquired*

- 
10. In addition to those named in Items 8 and 9, if any, list the foreign principals<sup>1</sup> whom you continued to represent during the 6 month reporting period.

The Government of Egypt, The Government of the United Arab Emirates, The Embassy of El Salvador

## III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes  No

If yes, identify each such foreign principal and describe in full detail your activities and services:

Employees of Bannerman & Associates, Inc. have held meetings with Members of Congress, Congressional staff, and the Executive Branch in order to educate them on the needs and concerns of our clients. Any issue affecting foreign policy or the relationship of the U.S. to our clients is of potential interest, including, but not limited to, defense, trade, foreign assistance, and international visits of government officials.

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<sup>1</sup>The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 206.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>2</sup> as defined below?  
Yes  No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

see Attached #1

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes  No

If yes, describe fully.

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<sup>2</sup>The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

## IV—FINANCIAL INFORMATION

## 14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes  No

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>3</sup>

| <i>Date</i> | <i>From Whom</i> | <i>Purpose</i> | <i>Amount</i> |
|-------------|------------------|----------------|---------------|
|-------------|------------------|----------------|---------------|

see Attached #2

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Total

## (b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value<sup>4</sup> other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes  No

If yes, furnish the following information:

| <i>Name of<br/>foreign principal</i> | <i>Date<br/>received</i> | <i>Description of<br/>thing of value</i> | <i>Purpose</i> |
|--------------------------------------|--------------------------|--|----------------|
|--------------------------------------|--------------------------|--|----------------|

<sup>3</sup>A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

<sup>4</sup>Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS—MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement?      Yes       No

(2) transmitted monies to any such foreign principal?      Yes       No

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

| <i>Date</i> | <i>To Whom</i> | <i>Purpose</i> | <i>Amount</i> |
|-------------|----------------|----------------|---------------|
|-------------|----------------|----------------|---------------|

see Attached #3

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Total

15. (b) DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value<sup>5</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes  No

If yes, furnish the following information:

| <i>Date disposed</i> | <i>Name of person to whom given</i> | <i>On behalf of what foreign principal</i> | <i>Description of thing of value</i> | <i>Purpose</i> |
|----------------------|-------------------------------------|--|--------------------------------------|----------------|
|----------------------|-------------------------------------|--|--------------------------------------|----------------|

(c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>5</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes  No

If yes, furnish the following information:

| <i>Date</i> | <i>Amount or thing of value</i> | <i>Name of political organization</i> | <i>Name of candidate</i> |
|-------------|---------------------------------|---------------------------------------|--------------------------|
|-------------|---------------------------------|---------------------------------------|--------------------------|

V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes  No

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

<sup>5</sup>Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes  No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper articles
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches

Other (specify) \_\_\_\_\_

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

- Public Officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) \_\_\_\_\_

21. What language was used in this political propaganda:

- English
- Other (specify) \_\_\_\_\_

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes  No

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes  No

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes  No

VI—EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

(a) Have you filed for each of the newly acquired foreign principals in Item 9 the following: N/A

- Exhibit A<sup>6</sup> Yes  No
- Exhibit B<sup>7</sup> Yes  No

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes  No

If yes, have you filed an amendment to these exhibits? Yes  No

If no, please attach the required amendment.

<sup>6</sup>The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.  
<sup>7</sup>The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C<sup>8</sup>, state whether any changes therein have occurred during this 6 month reporting period. Yes  No

If yes, have you filed an amendment to the Exhibit C? Yes  No

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and / of the supplemental statement? Yes  No

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

\_\_\_\_\_  
\_\_\_\_\_  
*M. D. Banner*  
\_\_\_\_\_  
M. Graeme Bannerman  
\_\_\_\_\_

Subscribed and sworn to before me at Washington, D. C.

this 15<sup>th</sup> day of June, 19 94

*Maria Alvarez Newson*  
\_\_\_\_\_  
MARIA ALVAREZ NEWSON  
(Signature of notary or other officer)  
Notary Public, District of Columbia  
My Commission Expires October 14, 1997

<sup>8</sup>The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)



## Attachment #1

### Summary of Political Activities

In response to question #12, the following persons were contacted for the purpose of discussing how the relationship between the foreign principal and the United States could be expanded, and how that expanded relationship could better serve the interests of the United States and the foreign principal. Discussions focused on all facets of the bilateral relationship including, but not limited to, defense, trade, foreign assistance, and the international visits of government officials.

For clarification,

- ofc: office meeting,
- lch: lunch meeting,
- din: dinner meeting; and,
- bkfst: breakfast meeting.

Attachment #1 for El Salvador  
10/29/93 - 4/28/94

| <u>Date</u> | <u>Note</u> | <u>Description</u>               | <u>Place</u>       |
|-------------|-------------|----------------------------------|--------------------|
| 12/1/93     | Inch        | Dorthy Taft, HFA-Sub.West.Hemp.  | Hunan Dynasty      |
| 3/3/94      | ofc         | Dorthy Taft, HFA-Sub.West.Hemp.  | House Annex 1, 705 |
| 3/9/94      | ofc         | Mike Deitrich, of Sen. Coverdell | Russell 200        |
| 3/29/94     | Inch        | Dan Fisk, of HFAC                | Hunan Champion     |

Attachment #1 for the U.A.E.  
10/29/93 - 4/28/94

| <u>Date</u> | <u>Note</u> | <u>Description</u>               | <u>Place</u>     |
|-------------|-------------|----------------------------------|------------------|
| 11/2/93     | ofc         | Pete Bolton, Office of DAS, Navy | office           |
| 11/24/93    | ofc         | Ted Feifer, State Dept.          | office           |
| 1/14/94     | ofc         | Al Harms, of ACDA                | office           |
| 2/10/94     | ofc         | Dan Goodspeed, State Dept.       | NEA/ARPRoom 6558 |
| 3/7/94      | ofc         | Pete Bolton, Office of DAS, Navy | office           |
| 3/25/94     | ofc         | Al Harms, of ACDA                | office           |
| 3/28/94     | ofc         | Ron Yeaw, of OSD, SOLIC          | office           |

Attachment #1 for Egypt  
10/29/93 - 4/28/94

| <u>Date</u> | <u>Note</u> | <u>Description</u>                      | <u>Place</u>       |
|-------------|-------------|---|--------------------|
| 11/1/93     | ofc         | Jim Woods, OSD/RSA                      | office             |
| 11/2/93     | Inch        | Doug Olin, of Sen. Sasser               | American Cafe      |
| 11/3/93     | ofc         | Tom Sams, of Commerce Dept.             | office             |
| 11/16/93    | ofc         | Pete Bolton, Office of DAS, Navy        | office             |
| 11/18/94    | ofc         | Larry Cotton, OSD/RSA/NESA, Egypt       | office             |
| 11/22/93    | ofc         | Carter Pilcher, of Sen. Brown           | office             |
| 11/22/93    | ofc         | Sam Lewis, State Dept.                  | office             |
| 11/24/93    | Inch        | Danielle Pletka, of SFRC                | Cafe Berlin        |
| 12/6/93     | Inch        | Wayne Struble, House Budget Committee   | Bistro Le Monde    |
| 12/7/93     | Inch        | Debbie Bodlander, HFA-Subct. Mid-East   | Capitol Hill Club  |
| 12/8/93     | ofc         | Larry Nowles, CRS                       | office             |
| 12/13/93    | ofc         | Nancy Akcalade, of Con. Torres          | 1740 Longworth     |
| 12/14/93    | Inch        | Bill Scheurch, of House For.Ops.Subct.  | Cafe Berlin        |
| 12/14/93    | Inch        | John Falardeau, of Con. Levy            | Bistro Le Monde    |
| 12/14/93    | ofc         | Joe Whitehill, Congressional Budget Ofc | office             |
| 12/15/93    | Inch        | Doug Miller, of Sen. Pressler           | Senate Dining Room |
| 12/15/93    | Inch        | Mark Vieth, of Con. Borski              | Bistro Le Monde    |
| 12/16/93    | ofc         | Dan Shapiro, of HFAC                    | office             |
| 12/17/93    | ofc         | Virgina Johnson, of Con. Serrano        | 336 Cannon         |
| 12/17/93    | Inch        | Michelle Garcia, of Con. Deutsch        | Hunan Dynasty      |
| 12/28/93    | Inch        | Annie Leshner, of Sen. Mikulski         | Two Quail          |
| 1/6/94      | ofc         | Wayne Abernathy, of Sen. Gramm          | Russell 370        |
| 1/11/94     | ofc         | Deanna Okun, of Sen. Murkowski          | Hart 706           |
| 1/11/94     | ofc         | Pete Bolton, Office of DAS, Navy        | office             |
| 1/12/94     | Inch        | Erin Day, of Sen. Matthews              | Two Quail          |
| 1/13/94     | Inch        | Kathleen Murphy, of Con. Wilson         | Bullfeathers       |
| 1/21/94     | Inch        | Danielle Pletka, SFRC                   | Monocle            |
| 1/24/94     | ofc         | Bob Meissner, OSD/Legis. Affairs        | office             |
| 1/28/94     | Inch        | Bill Deere, of Con. Lightfoot           | Bistro Le Monde    |
| 2/2/94      | ofc         | Tom Sams, Commerce Dept.                | office             |
| 2/9/94      | Inch        | Bill Scheurch, House For.Ops.Subcmt.    | House Dining Room  |
| 2/15/94     | ofc         | Wendy Steel, of Sen. Nickles            | Hart 713           |
| 3/1/94      | ofc         | Randy Scheunemann, of Sen. Dole         | S-230, The Capitol |
| 3/9/94      | Inch        | Brad Gordon, of HFAC                    | Senate Dining Room |
| 3/9/94      | ofc         | J. Lyle, of State Dept.                 | office             |
| 3/17/94     | ofc         | Tom Sams, Commerce Dept.                | office             |
| 3/23/94     | ofc         | Larry Cotton, OSD/RSA/NESA, Egypt       | office             |
| 3/24/94     | ofc         | Paul Dahlquist, of OSD/RSA/PK           | office             |
| 4/11/94     | Inch        | Tripp Funderburk, Con. Livingston       | Bistro Le Monde    |
| 4/22/94     | ofc         | Mike Van Dusen, of HFAC                 | office             |

**Attachment #2**

**Summary of Receipts**

Pursuant to question #14(a), the following information is provided as a summary of compensation and funds received from the foreign principals.

| <u>Date</u> | <u>Payee</u> | <u>Purpose</u> | <u>Amount (\$)</u> |
|-------------|--------------|----------------|--------------------|
|-------------|--------------|----------------|--------------------|

**Embassy of El Salvador**

|          |                        |          |                 |
|----------|------------------------|----------|-----------------|
| 11/22/93 | Embassy of El Salvador | retainer | 2,500.00        |
| 12/14/93 | Embassy of El Salvador | retainer | 2,500.00        |
| 1/19/94  | Embassy of El Salvador | retainer | 2,500.00        |
| 2/25/94  | Embassy of El Salvador | retainer | 2,500.00        |
| 3/16/94  | Embassy of El Salvador | retainer | 2,500.00        |
| 4/7/94   | Embassy of El Salvador | retainer | 2,000.00        |
| 4/18/94  | Embassy of El Salvador | retainer | <u>2,500.00</u> |
|          |                        |          | 17,000.00       |

**Government of the United Arab Emirates**

|         |                          |          |                  |
|---------|--------------------------|----------|------------------|
| 1/13/94 | Government of the U.A.E. | retainer | 30,000.00        |
| 1/27/94 | Government of the U.A.E. | retainer | <u>25,000.00</u> |
|         |                          |          | 55,000.00        |

**TOTAL      \$72,000.00**

**Attachment #3**

**Summary of Disbursed Moneys**

In response to question #15(a), the following pages include a compilation of expended moneys as a result of activities on behalf of the foreign principals.

For clarification,

- ofc: office meeting,
- lch: lunch meeting,
- din: dinner meeting; and,
- bkfst: breakfast meeting.

Attachment #3 for El Salvador  
10/29/93 - 4/28/94

| <u>Date</u>              | <u>Description</u>              | <u>Place</u>  | <u>Amount (\$)</u> |
|--------------------------|---------------------------------|---------------|--------------------|
| 12/1/93                  | Dorthy Taft, HFA-Sub.West.Hemp. | Hunan Dynasty | 17.70              |
| <b>Total El Salvador</b> |                                 |               | <b>\$17.70</b>     |

Attachment #3 for Egypt  
10/29/93 - 4/28/94

| <u>Date</u> | <u>Description</u>                     | <u>Place</u>       | <u>Amount (\$)</u> |
|-------------|--|--------------------|--------------------|
| 11/2/93     | Doug Olin, of Sen. Sasser              | American Cafe      | 30.87              |
| 11/24/93    | Danielle Pletka, of SFRC               | Cafe Berlin        | 21.81              |
| 12/6/93     | Wayne Struble, House Budget Committee  | Bistro Le Monde    | 54.80              |
| 12/7/93     | Debbie Bodlander, HFA-Subcmt. Mid-East | Capitol Hill Club  | 50.29              |
| 12/14/93    | Bill Scheurch, of House For.Ops.Subct. | Cafe Berlin        | 27.33              |
| 12/14/93    | John Falardeau, of Con. Levy           | Bistro Le Monde    | 28.96              |
| 12/15/93    | Doug Miller, of Sen. Pressler          | Senate Dining Room | 18.45              |
| 12/15/93    | Mark Vieth, of Con. Borski             | Bistro Le Monde    | 31.69              |
| 12/17/93    | Michelle Garcia, of Con. Deutsch       | Hunan Dynasty      | 15.00              |
| 12/28/93    | Annie Leshar, of Sen. Mikulski         | Two Quail          | 39.45              |
| 1/12/94     | Erin Day, of Sen. Matthews             | Two Quail          | 28.10              |
| 1/13/94     | Kathleen Murphy, of Con. Wilson        | Bullfeathers       | 18.97              |
| 1/21/94     | Danielle Pletka, SFRC                  | Monocle            | 26.39              |
| 1/28/94     | Bill Deere, of Con. Lightfoot          | Bistro Le Monde    | 39.23              |
| 2/9/94      | Bill Scheurch, House For.Ops.Subcmt.   | Senate Dining Room | 21.95              |
| 3/9/94      | Brad Gordon, of HFAC                   | Senate Dining Room | 21.35              |
| 4/11/94     | Tripp Funderburk, Con. Livingston      | Bistro Le Monde    | 52.07              |

**Total Egypt**

**\$526.71**

**Cumulative Total    \$544.41**