

For Six Month Period Ending 1987 2 4 1987
(Insert date)

Name of Registrant **Colin E. Francis** Registration No. **3819**
Winvest

Business Address of Registrant **WALES INVESTMENT LOCATION**
101 CARNEGIE CENTER-SUITE 211
PRINCETON, N.J. 08540

I-REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

- | | | |
|-----------------------|------------------------------|--|
| (1) Residence address | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Citizenship | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Occupation | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

(b) If an organization:

- | | | |
|--------------------------|------------------------------|-----------------------------|
| (1) Name | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Branch offices | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

2. Explain fully all changes, if any, indicated in item 1.

No changes

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name Position

RECEIVED
U.S. DEPARTMENT
OF JUSTICE
CRIMINAL DIVISION
MAY 11 4 50 PM '87
INTERNAL SECURITY
REGISTRATION SECTION
Date Connection
Elder

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?
Yes No

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?
Yes No

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
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7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes No

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
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II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name of foreign principal

Date of Termination

9. Have you acquired any new foreign principal¹ during this 6 month reporting period? Yes No

If yes, furnish following information:

Name and address of foreign principal

Date acquired

10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

Winvest Division of the Welsh Development Agency (WDA)

III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes No

If yes, identify each such foreign principal and describe in full detail your activities and services:

For the Welsh Development Agency on East Coast of USA:

1. Followed up contacts established between the WDA and companies.
2. Wrote to and telephoned U.S. companies to ascertain their interest in setting up in Europe and to promote Wales as a base for those operations.
3. Advised and assisted u.S. companies who have or who are looking at Wales as a location.
4. Liaised closely with British Government consular officials based in Boston, New York, Atlanta and Washington who are involved in similar work.
5. Made arrangement for visitors from Wales.
6. Attended Trade exhibitions and seminars.

¹The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?
 Yes No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Welsh Development Agency (WDA)

Dissemination of information about Wales as a business centre by means of literature and fmeetings with companies with ultimate objective of improving the economy of Wales as outlined in Section 1 (2) of the WDA Act 1975.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes No

If yes, describe fully.

²The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV—FINANCIAL INFORMATION

14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes No

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

Date	From Whom	Purpose	Amount
First of each month i.e. 1st Nov, Dec, Jan, Feb, Mar, Apr Arrears w.e.f 1 Oct 86	WDA	Rent allowance Salary	\$ 1750 \$ 1977.15 <u>\$ 3727.15 × 6 = \$ 22,362.90</u>
20 Nov 86 22 Dec 86 13 JAN 87 18 Feb 87 16 Mar 87 20 Apr 87 25 Oct 86 25 Nov 86	WDA	Expenses (See attached) Medical / Car Insurance for Furniture	2139.21 1170.79 1732.63 1064.49 2405.19 1137.99 2491.00 1032.44 <u>Total 36,536.64</u>

(b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes No

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
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³A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).
⁴Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS—MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes No

(2) transmitted monies to any such foreign principal? Yes No

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

<i>Date</i>	<i>To Whom</i>	<i>Purpose</i>	<i>Amount</i>
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All disbursements were made on behalf of the Winvest Division of the WDA

Please see my expense claim forms attached for each of the six months for which money was received during this period.

The main items were:

New Jersey Bell	Telephone	\$3141.39
U.S. Post Office	Postage	\$1510.21
Campo & Citron	Telex/Facsimile Charges	\$1191.15
Blue Cross - Blue Shield of NJ	Health Insurance	\$2073.70
Travellers Insurance	Car Insurance	\$793 —
Office - Business Interiors	FURNITURE	\$1032.44
Invest in Botani Bureau (British Consulate)	Conference Course Fee	\$1420 —
Mc Graw Hill	Conference Fee	\$675 —

Total as per attached expense claims

Total \$9650.30.

15. (b) DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes No

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes No

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes No

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal. Winvest Division of the Welsh Development Agency

⁵Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

- Radio or TV broadcasts
- Magazine or newspaper articles
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

- Public Officials
- Legislators
- Government agencies
- Other (specify) companies
- Newspapers
- Editors
- Civic groups or associations
- Libraries
- Educational institutions
- Nationality groups

21. What language was used in this political propaganda:

- English
- Other (specify) _____

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes No

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes No

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes No

VI-EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

(a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

- Exhibit A⁶ Yes No
 - Exhibit B⁷ Yes No
- No new principals

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes No

If yes, have you filed an amendment to these exhibits? Yes No

If no, please attach the required amendment.

⁶The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.
⁷The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C², state whether any changes therein have occurred during this 6 month reporting period. Yes No

If yes, have you filed an amendment to the Exhibit C? Yes No

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement? Yes No

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

Colin E. Francis

Colin E. Francis

Subscribed and sworn to before me at Princeton, New Jersey

this 5th day of May, 19 87

Paula L. Brown

(Signature of notary or other officer)

PAULA L. BROWN

A Notary Public of New Jersey

My Commission expires Dec 12, 1988

²The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

Expenses Claim for Overseas Representatives

Name C. E. FRANCIS
Period OCTOBER 1986

Address 101 Carnegie Centre
Suite 211, Princeton
New Jersey 08540

AMOUNTS

I. Personnel Costs

	Cash	Credit Card
(35) MEDICAL INSURANCE \$ 2073.70	\$ 375.70	/
(36) less Admin Separately 1698.-		/
Sub Totals (Code 412X 39)	\$ 375.70	/

II. Office Costs

Office Rental	/	/
Telephone ³ response	604.96	/
Telex/FAX ² CAMPO. CITRON 3 MONTHS (208)	515.15	/
Postage	319.50	42.25
Photocopying ¹ incl in CAMPO. CITRON above.	/	/
Stationery	22.93	16.86
Other (itemize) ¹⁸ MARKETING BY PHONE COURSE Feb 20	50.-	/
²² POLICE ATHLETIC LEAGUE Nov 20.	/	/
Sub Totals (Code 412X 39)	\$ 1512.54	\$ 59.11

III. Travel & Subsistence

Air Fares	/	866.-
Car Running Costs (servicing/insurance, etc) ¹⁴ AAA 40 / INSURANCE 80. ³⁴	120-00	/
Fuel	12-75	94.65
Tolls	37-95	/
Hotels	/	1260.39
Meals	22.96	29.80
Tips	3-00	/
Parking	35-50	/
Public Transport (bus, train, etc)	/	/
Taxis	16-00	/
Less Private Mileage 8.2 @ 0.05 = 4.10	248.16	/
^{DETAILED REFUND ON FLIGHT} 4.00	8.10	/
Sub Totals (Code 4308 39)	\$ 240.06	\$ 2250.84

IV. Entertainment

22 Oct	Company	Event		
	ARM HEXSEAL/VISIT	With S. WALTER	18 B	→ 10.91
	Credit Card Hospitality (PTD)			
	Sub Totals (Code 5398 39)			10.91

INFERNAL SEC
REGISTRATION SECTION
665.04

V. Miscellaneous Expenses

OWED to C FRANCIS
\$ 2,139.21

Sub Totals (Code 412X 39)

Colin P Francis 4 Nov 86

Totals

\$ 2139.21 2974.99

Expense Claim for Overseas Representatives

Name C. E. FRANCIS
Period .. NOVEMBER 1986

Address 101 Carnegie Centre
Suite 211, Princeton
New Jersey 08540

AMOUNTS

I. Personnel Costs

Medical Charges
Sub Totals (Code 412X 39)

Cash	Credit Card
25.00	/
<u>25.00</u>	

II. Office Costs

Office Rental
Telephone
Telex
Postage
Photocopying
Stationery
Other (itemize) ENTRANCE/ADMISSION Fees
Sub Totals (Code 412X 39)

/	/
792.82	/
176.80	/
15.18	/
100.-	24.50
<u>1084.80</u>	/

III. Travel & Subsistence

Air Fares
Car Running Costs (servicing/insurance, etc)
Fuel
Tolls
Hotels
Meals (incl Dick DeKman)
Tips
Parking
Public Transport (bus, train, etc)
Taxis
Less Private Mileage 251.00 @ 0.05
has PRIVATE USE ON CREDIT CARD
(Make Sub Totals (Code 4308 39))

/	24.50
650.00	
74.44	
1498.60	
145.65	
32.00	
17.00	
10.50	
<u>133.54</u>	
66.-	
<u>54.99</u>	2368.69

IV. Entertainment

Company K. KIMOC Bent 5th Nov. { K. KIMOC
@ CELEBRAC
BTDO / 108 11th Nov JOHN HAGESTADT
COLIN ADAM
BCG MAMI 4th Nov BCG MAMI
PAUL SMIL
Sub Totals (Code 5398 39)

6.00	2368.64
-	26.98
-	134.25
-	31.00
<u>6.00</u>	<u>192.23</u>

V. Miscellaneous Expenses

Due to C. FRANCIS \$ 1170.79
din Miami 30/1/1986.

Sub Totals (Code 412X 39)

Sub Totals

\$ 1170.79 \$ 2585.42

Expenses Claim for Overseas Representatives

\$ DOLLARS

Name COLIN E. FRANCIS

Address 101 Carnegie Centre
Suite 211, Princeton
New Jersey 08540

Period 1-31 DEC 1986

\$ AMOUNTS
Cash Credit Card

I. Personnel Costs

ANNUAL FLIGHT for SELF + WIFE

Sub Totals (Code 412X 39)

.....	<u>3754.28</u>
	3754.28

II. Office Costs

Office Rental

Telephone

Telex

Postage

Photocopying

Stationery

Other (itemize) PTO Entrance Fees etc
188 Conference) NABSA Membership 75 less refund
1000 Sub Totals (Code 412X 39)

Sub Totals (Code 412X 39)

Panera to France
11/11/86

.....
345.68
254.90	46.75
1.75	45.05
<u>978.00</u>
1577.33	91.80

III. Travel & Subsistence

Air Fares

Car Running Costs (servicing/insurance, etc)

Fuel

Tolls

Hotels

Meals

Tips

Parking

Public Transport (bus, train, etc)

Taxis

Less Private Mileage 50 @ 0.05

Sub Totals (Code 4308 39)

.....	131.00
.....	167.22
10.50
.....	520.92
8.70
8.50
35.00
63.90
27.20
<u>2.50</u>
151.80	819.14

IV. Entertainment

Company

Event

Medical Research Council
Biodiesel Unit @ New Orleans
4 or Dec

S. D. A

Biodiesel Conference @ New Orleans

Sub Totals (Code 5398 39)

4.00
.....	17.75
<u>4.00</u>
4.00	17.75

V. Miscellaneous Expenses

DUE to C. FRANCIS \$ 1732.63

Sub Totals (Code 412X 39)

Totals

Colin P Francis
20th Dec 1986

\$ 1732.63 + 588.97

Expenses Statement for Overseas Representatives

Name Colin E FRANCIS
 Period JAN 1987

Address 101 Carnegie Centre
 Suite 211, Princeton
 New Jersey 08540

I. Personnel Costs

Sub Totals (Code 412X 39)

II. Office Costs

Office Rental
 Telephone
 Telex
 Postage
 Photocopying
 Stationery
 Other (itemize)

Sub Totals (Code 412X 39)

III. Travel & Subsistence

Air Fares
 Car Running Costs (servicing/insurance, etc)
 Fuel
 Tolls
 Hotels
 Meals
 Tips
 Parking
 Public Transport (bus, train, etc)
 Taxis
 Less Private Mileage ..146..... @ 0.05

Sub Totals (Code 4308 39)

IV. Entertainment

Company	Event	Amount
New England Business Assoc	NEBBA lunch. 26 JAN	50. -
GENEVIS International		130. -
New York World	St David's Day Function	180. -
Sub Totals (Code 5398 39)		

V. Miscellaneous Expenses

to C FRANCIS \$1064.49

LESS
 Blue Cross Medical
 Expenses refunded

Sub Totals (Code 412X 39)

	Cash	Credit Card
I. Personnel Costs	/	/
Sub Totals (Code 412X 39)		
II. Office Costs		
Office Rental	511.22	/
Telephone	/	/
Telex	302.60	9.50
Postage	/	/
Photocopying	34.00	20.72
Stationery	/	/
Other (itemize)	/	/
Sub Totals (Code 412X 39)	847.82	30.22
III. Travel & Subsistence		
Air Fares	12.20	/
Car Running Costs (servicing/insurance, etc)	1.47	25.20
Fuel	3.50	/
Tolls	/	255.57
Hotels	6.95	11.46
Meals	7.50	/
Tips	/	/
Parking	7.15	155. -
Public Transport (bus, train, etc)	25.20	/
Taxis	63.97	/
Less Private Mileage ..146..... @ 0.05	7.30	/
Sub Totals (Code 4308 39)	56.67	447.23
IV. Entertainment		
New England Business Assoc NEBBA lunch. 26 JAN	50. -	/
GENEVIS International	130. -	/
New York World St David's Day Function	180. -	/
Sub Totals (Code 5398 39)		
V. Miscellaneous Expenses		
to C FRANCIS \$1064.49		
LESS Blue Cross Medical Expenses refunded	20.00	/
Sub Totals (Code 412X 39)		

in S France

Expenses Claim for Overseas Representatives

Name ... COLIN FRANCIS

Address 101 Carnegie Centre
Suite 211, Princeton
New Jersey 08540

Period ... FEB 1987

AMOUNTS

		<u>Cash</u>	<u>Credit Card</u>
<u>I. Personnel Costs</u>			
COST of DAUGHTERS	Free AIR RETURN (COWBRIDGE TRAVEL)	/	\$ 1094
	Sub Totals (Code 412X 39)		\$ 1094
<u>II. Office Costs</u>			
Office Rental		/	/
Telephone		511.52	/
Telex/FAX	\$ 3 MONTHS CHARGES - CAMPO & CITRON BILL for \$ 676.00.	\$ 420.16	/
Postage		333.38	22.75
Photocopying		\$ 135.84	/
Stationery		\$ 120.-	/
Other (itemize)		/	/
	Sub Totals (Code 412X 39)	1520.90	22.75
<u>III. Travel & Subsistence</u>			
Air Fares		/	204.50
Car Running Costs (servicing/insurance, etc)		34.67	/
Fuel		12.25	84.50
Tolls		17.80	/
Hotels		/	651.81
Meals		17.87	/
Tips		6.-	/
Parking		32.20	/
Public Transport (bus, train, etc)		15.75	/
Taxis		24.50	/
Less Private Mileage 155 @ 0.05	- 161.04	/
		- 7.75	/
	Sub Totals (Code 4308 39)	153.29	940.81
<u>IV. Entertainment</u>			
26 FEB	Company: ASTEK INC Event: Guest @ NEBBA Event DRINKS @ BAR.	6.00	PTO
	Sub Totals (Code 5398 39)	6.00	185.86
<u>V. Miscellaneous Expenses</u>			
26 FEB	COST of Attendance @ NEBBA Event	50.00	/
27 FEB	REGISTRATION Fee for BIOTECH STRAT MANAGEMENT Conference	675.00	/
	Sub Totals (Code 412X 39)	725.00	/
Due to C. FRANCIS			
\$ 2,405.19			
Colin Francis 3/3/87.			
Totals		\$ 2,405.19	\$ 1094 = \$ 1149.42

Expenses Claim for Overseas Representatives

WINVEST
P.O. BOX 100 GREYFRIARS RD.
CARDIFF CF1 3XX
WALLES UNITED KINGDOM

Name ... COLIN FRANCIS
Period ... MARCH 1987

Address 101 Carnegie Centre
Suite 211, Princeton
New Jersey 08540

I. Personnel Costs

AMOUNTS

	Cash	Credit Card
Sub Totals (Code 412X 39)	/	/

II. Office Costs

Office Rental
Telephone
Telex
Postage
Photocopying
Stationery
Other (itemize)

Office Rental	/	/
Telephone	375.19	/
Telex	/	/
Postage	123.03	42.75
Photocopying	/	/
Stationery	2.05	/
Other (itemize)	/	/
Sub Totals (Code 412X 39)	500.27	42.75

III. Travel & Subsistence

Air Fares N. CAROLINA.
Car Running Costs (servicing/insurance, etc)
Fuel
Tolls
Hotels INCL 188 CONFERENCE & N. CAROLINA.
Meals
Tips
Parking
Public Transport (bus, train, etc) INC D. WATERSTONE
Taxis INCL D. WATERSTONE
Less Private Mileage ... 137 @ 0.05
Sub Totals (Code 4308 39)

Air Fares	/	260.00
Car Running Costs	41.19	/
Fuel	/	/
Tolls	31.80	80.16
Hotels	420.00	340.69
Meals	12.03	13.32
Tips	2.50	/
Parking	31.75	/
Public Transport	72.50	36.50
Taxis	27.50	/
Less Private Mileage	639.27	/
	6.85	/
Sub Totals (Code 4308 39)	632.42	730.67

IV. Entertainment

Company	Event
MARYLAND STATE RE	BIO TECH VISIT / LEVAN PRICE
EDJATA/ETS	LEVAN PRICE DINNER
GENE CONSULTING	DEREK PERKINS VISIT
VARIOUS	FOR D. WATERSTONE VISIT

Sub Totals (Code 5398 39)

MARYLAND STATE RE	5.30	100.90
EDJATA/ETS	/	58.92
GENE CONSULTING	/	101.14
VARIOUS	/	425.03
Sub Totals (Code 5398 39)	5.30	685.99

V. Miscellaneous Expenses

TO C FRANCIS
1137.99
P. Francis 27/3/87

Sub Totals (Code 412X 39)
Totals

Sub Totals (Code 412X 39)	/	/
Totals	1139.57	1459.41

COPY

WINVEST

COMPANIES CONTACTED DURING OCTOBER 1986 (SINCE 20TH OCT.)

- * Xnell Corporation, Canton, MA
- * Neoterik Health Technologies Inc.,
Woodsboro, MD
- P. Geare, Fort Mill, SC
- British Consulate, Miami, FL
- INSOCO (Paul Polishuk), N. Miami, FL
- Alpha Lens Company, N. Miami, FL
- Teledyne Philbrick, Dedham, MA
- New England Life Assurance, Boston, MA
- Internel Corporation, Austin, TX
- * Millipore Corporation, Bedford, MA
- USA Today, Washington, DC
- Gannett Co. Inc., Rochester, NY
- USA Today International, 535 Madison Avenue,
New York, NY
- * Squibb (Convotel Division), Princeton, NJ
- * Butcher Polish Co., Marlborough, MA
- * APM (Hexseal), Englewood, NJ
- Corning Health & Science Group,
Medfield, MA
- Catalyst Research Corp., Baltimore, MD
- Banc Boston Capital, Boston, MA
- Microfab Inc., Amesbury, MA
- Polymer Circuits, Inc., Blue Hill, ME
- * Parry Jones, Newtown, MA

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INTERNAL SECURITY
REGISTERED



- * Imaging Valve, Anniston, AL
- Cape Cod Ambulance, Sandwich, MA
- Master Software Inc., Canton, MA
- * Morgan & Morgan, Birmingham, AL
- * Compact Spindle Bearing Corporation
New York, NY
- Peter Teire, Charlotte, NC
- Damsky Paper, Birmingham, AL
- Astralloy-Vulcan - do -
- Greys Cookies Inc. - do -
- Health Science Products Inc. - do -
- Fibers South Inc., Trussville, AL
- Defense Research Inc., Anniston, AL
- Applied Biotechnology, Cambridge, MA
- Finlay & McDermott (Wrexham Rep)
New York, NY
- Federal Mogul Worltrade Corp.,
Jacksonville, AL
- Health Science Products Inc.,
Birmingham, AL
- * XID Inc., Clifton, NJ
- * Gemco-Ware Inc., Freeport, NY
- Kevin Kittoe, Sebring, FL
- * Investors in Industry, Boston, MA
- Dan Horn, Fern Park, FL
- Blue Mountain Industries, Inc.,
Blue Mountain, AL
- Alabama Bag Co. Inc., Talladega, AL
- * British Conulate, Atlanta, GA

WINVESTCOMPANIES CONTACTED DURING NOVEMBER 1986

- * Vulcan Materials Co., Birmingham, AL
- * Amerex Corporation, Trussville, AL
- * Fibers South, Trussville, AL
- * Morgan & Morgan, Birmingham, AL
- * Dr. Julius Linn/ Southern Entrepreneurs
University of Alabama, AL
- * John Burdette Bates, Birmingham, AL
- * British Consulate, Miami, FL
- C Polishuk/Insoco/Alpha Lens, Miami, FL
- * Kevin Kittoe/O.M. Young Assoc., Sebring, FL
- * Triformation Systems, Inc., Stuart, FL
- Teledyne Philbrick, Dedham, MA
- * Astro Instruments Corp., Pompano Beach, FL
- * Educational Computer Corp. (ECC),
Orlando, FL
- * Welsh Display, Epcot Centre, Orlando, FL
- * Hyperion, Inc., Miami, FL
- * Revlon International Corp., New York, NY
- * John Hagestadt, BTDO, New York, NY
- * Brian Goodman, New York, NY
Minton Corp./Barclays/Eurofi
- * Philip Geare, Fort Mill, SC
- * Peter Teare, Charlotte, NC
- Applied Biotechnology, Cambridge, MA

WINVEST

Neoterik Health Technologies, Inc.
Woodsboro, MD

Finley, McDermott & Co.

* British Consulate/BTDO/IBB, New York, NY

Kush'n Corporation, Fort Lauderdale, FL
Calvins Pharmaceuticals
Thomas Zebold (Entrepreneur)

Kewaunee Scientific, Statesville, NC

Wm. Sword & Co., Inc., Princeton, NJ

Tele-Analysis, Trenton, NJ

Butcher Polish Co., Marlborough, MA

Mitek Group, Vancouver, BC, Canada
Genesis Learning Systems, Inc.,
Calabasas, CA

Microstage (formerly CSB), New York, NY
Bill Weinstock, New York

Wanda Lamison, Bridgehampton, NY

* British Consulate, Boston, MA

3I's, Boston, MA

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COMPANIES CONTACTED DURING DECEMBER 1986

Dr. John Parry, Narragansett, RI

Microstage (formerly CSB)
Bill Weinstock
Schnader, Harrison, Segal & Lewis
(Isaal Druker)

New York

* Applied Biotechnology, Cambridge, MA

* Finlay & McDermott (Wrexham Rep), NY
Wrexham Maelor BC, Presentation at NY

D.M.L. Associates (NCB Rep.), NY

Peter Teire, Charlotte, NC
Encoder Products Corp., ID

* IDA Ireland, Boston, MA

* Mid Wales Development (Grenville Jackson)

* WM Sword Co., Inc., Princeton, NJ

* Investors in Industry, Boston, MA

Amerex Corp., Trussville, AL

Industrial Biotech Assoc., Rockville, MD

NEBBA, Boston, MA

Ms. J. DeBange (FL), Berkely Heights, NJ

* Xenell, Canton, MA

Kush'n Corp., Fort Lauderdale, FL

Yenot, Inc., Jacksonville, FL

Lundquist Tool & Mfg., Worcester, MA

Wertheim & Co., Inc., New York, NY

Neoterik Health, Woodsboro, MD

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- DML Assoc. (British Coal), New York, NY
- * St. Davids Soc. of New York, NY
- Internal (New England Life), Austin, TX
- Phil Geare Consortium, Fort Mill, SC
- Millipore Corporation, Bedford, MA
- * H.G. Associates, Walton, MA
- Teledyne Philbrick, Dedham, MA
- Foss Manufacturing, Haverhill, MA
- Triquint, Portland, OR (to AJS/GS)
- Alun Jones, Re Dupont Boston, MA
- Boston Welsh Society
- * Intrax Corporation, Weston, MA
- * Goldstein & Manello (Tony Martin), Boston, MA
- * Mason Fackert Boston, MA
- Ulin, Morton, Bradley & Welling
- John Parry Birdsboro, PA (to DD)
- ADC Telecoms
- APM Hexseal, Englewood, NJ
- Cumberland Packaging Corp., New York, NY
- Butter Buds Division, Racine, WI
- * DCA Food Industries Inc., New York, NY
- (At Wrexham Presentation)
- * First American Bank of NY, New York, NY
- * BBC Brown Boveri, Inc., N. Brunswick, NJ
- Johnson & Johnson International,
- New Brunswick, NJ
- * IBB/BTDO/BCG, New York, NY

WINVEST

Alan Smith/Whitehall Labs, New York, NY

* Atlantic Levencon (formerly Walesco), NY

* Frank Lawson, NJ

Mass High Tech, Boston, MA

* = Visited or Met

1-24 APRIL 1987

T MILLIPORE - Bedford MASS

* ~~GENZYME~~ GENZYME Boston MASS

* ~~APPLIED BIOTECH~~ APPLIED BIOTECH CAMBRIDGE MASS

Maryland State Development Office.

* { Peter Williams (Chairman letter); David Greenlade
Delaware Welsh Society

FRANK LAWSON re WALESCO/ATLANTIC LEVINSON.

DON RUSSEL (PLASTICS CONSULTANT) BCG FT LAUDERDALE

NEOTERIK. WOODSBORO MD.

REXHAM (PACKAGING GROUP) PINEVILLE NC.

2/4th * { ABC ASSOC of Biotech ^{Conference} ~~Association~~ WASHINGTON DC.
WARREN HYER : Jello GROSS : ^{re} BRUCE MACKLER.

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DR SAM RONEL President : ^{Dr} Stanley G. SCHUTZBAK

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MASARU SHIMIZU

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 Dr. Harold HUTCHINGS
- * M. TILMAN ASSOC/Cynthia Tilman VIENNA VA. M
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- * M { PRAXIS BIOLOGICS Rochester NY.
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- * M. AMRESCO/American Research Product Co Solon Ohio
 Jeffrey A. Levey.
- * M QLT/QUADRA LOGIC Technologies Vancouver BC.
- * M { BIOENTERPRISES Pty Ltd NSW Australia
 Tony IRVING - SUSAN JAMIESON.
- * M Harvey GISS, Regulatory Consulting Corp VIENNA VA. M
- * M { BIOTECH Research LABS ROCKVILLE MD
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- * M { ANITA GRAYS-LIGHTY
 Bio COMMERCE DATA ^{USA} Slough Berks M
- * M { PIONEER Hi BRED International Inc IOWA.
 PLANT Breeding Div
 NIC FREY.
- * M { REGUTECH International Inc GREENWICH CT M
 EDGAR BUTTS
- * M { NOVO LABORATORIES Inc WILTON CT ~~CT~~
 Stanley Halaszyna / Sprub Denmark

UNITED STATES DEPARTMENT OF JUSTICE
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CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form OBD-64 - Supplemental Statement):

Yes or No _____

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes _____ or No _____

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

Colin P Francis

Signature

24 APRIL 1987

Date

COLIN E FRANCIS

Please type or print name of signatory on the line above

VP BUSINESS Development

Title

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PRINCETON, NJ 08540
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