

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**For 6 Month Period Ending 8/31/2020

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant
Korea Economic Institute of America
- (b) Registration Number
3327
- (c) Primary Business Address
1800 K St. NW, Suite 300
Washington, DC 20006

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

- (1) Residence address(es) Yes No
- (2) Citizenship Yes No
- (3) Occupation Yes No

(b) If an organization:

- (1) Name Yes No
- (2) Ownership or control Yes No
- (3) Branch offices Yes No

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSES TO ITEMS 3, 4, 5, AND 6.

3. If the registrant previously filed an Exhibit C
- ¹
- , state whether any changes therein have occurred during this 6 month reporting period. Yes
-
- No
-

If yes, has the registrant filed an updated Exhibit C? Yes No

If no, please file the updated Exhibit C.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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(b) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Name	Position	Date Ceased
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5. (a) Has any person named in Item 4(a) rendered services directly in furtherance of the interests of any foreign principal?

Yes No

If yes, identify each such person and describe the service rendered.

Name	Foreign Principal(s) Represented	Services
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(b) During this 6 month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes No

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
Manzullo, Donald A.	President & CEO	6/30/2018
Gibson, Jenna R.	Director of Communications	8/31/2018
Suh, Jin Kyo	Board Member	8/31/2018

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes No

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been previously filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement or submitted with this filing? Yes No

If no, list names of persons who have not previously filed the required statement or are not submitting a short form with this filing.

II - FOREIGN PRINCIPAL

7. Has the registrant's connection with any foreign principal(s) ended during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Has the registrant added any new foreign principal(s)² during this 6 month reporting period?

Yes No

If yes, furnish the following information:

Foreign Principal

Date Added

9. In addition to those named in Items 7 and 8, if any, list the foreign principal(s) whom the registrant continued to represent during the 6 month reporting period.

Korea Institute for International Economic Policy (KIEP)

10. (a) Has the registrant filed Exhibits A and B for the newly added foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes No N/A

Exhibit B⁴ Yes No N/A

If no, please file the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal(s) whom the registrant represented during this 6 month period? Yes No

If yes, has the registrant filed an amendment to these exhibits? Yes No

If no, please file the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, has the registrant engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, identify each foreign principal and describe in full detail all activities and services:

Foreign Principal

Korea Institute for International Economic Policy (KIEP)

Activities/Services

The Korea Institute for International Economic Policy is a research institute funded by the Republic of Korea government to conduct studies and analyses of global economic issues. Its aim is to help South Korea pursue a more effective international economic policy. KIEP assesses the implications of recent developments in the global economy for the government and carries out research in partnership with other institutions and organizations. The registrant's objective is to promote discussion on the areas of economic relations and other bilateral issues between the Republic of Korea and the United States.

12. During this 6 month reporting period, has the registrant, on behalf of any foreign principal, engaged in political activity⁵ as defined below? Yes No

If yes, identify each foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures, social media, internet postings, or media broadcasts, give details as to dates, places of delivery, names of speakers and subject matter. The response must include, but not be limited to, activities involving lobbying, promotion, perception management, public relations, economic development, and preparation and dissemination of informational materials.

Set forth below a general description of the registrant's political activities.

Through its publications, programs and public events, Korea Economic Institute seeks to advance scholarship and understanding of the Republic of Korea in ways that will inform policymakers and the American public of the security, economic, and political implications of our connections to the Korean Peninsula. Please see attached document for more details.

Set forth below in the required detail the registrant's political activities.

Foreign Principal	Date	Contact	Method	Purpose
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13. In addition to the above described activities, if any, has the registrant engaged in activity or rendered any services on its own behalf which benefits the registrant's foreign principal(s)? Yes No

If yes, describe fully.

Foreign Principal**Activities/Services**

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, has the registrant received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes No

If no, please explain why no monies were received.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Foreign Principal	Date Received	From Whom	Purpose	Amount	Subtotal
KIEP	6/3/2020	KIEP	Per contract	\$1,403,527	\$1,403,527

\$1,403,527

Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, has the registrant received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes No

If yes, has the registrant previously filed an Exhibit D⁸ to its registration? Yes No

If yes, indicate the date the Exhibit D was filed. Date _____

If no, please file the required Exhibit D.

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, has the registrant received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes No

If yes, furnish the following information:

Foreign Principal	Date Received	From Whom	Purpose	Thing of Value
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^{6, 7} A registrant is required to file an Exhibit D if he/she collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, has the registrant disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement or transmitted monies to any such foreign principal? Yes No

If no, explain why no disbursements were made.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Foreign Principal	Date	Recipient	Purpose	Amount	Subtotal
Please see attached information on budget					

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, has the registrant disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes No

If yes, furnish the following information:

Foreign Principal	Date	Recipient	Purpose	Thing of Value
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, has the registrant (or any short form registrant) from its own funds and on its own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes No

If yes, furnish the following information:

Date	Donor	Political Organization/Candidate	Method	Amount/Thing of Value
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^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, has the registrant prepared, disseminated or caused to be disseminated any informational materials?¹² Yes No

(b) If yes, identify each such foreign principal for which informational materials were disseminated and respond to the remaining items in this section.

The foreign principal is Korea Economic Institute for International Economic Policy (KIEP). During the 6 month period, Korea Economic Institute has published articles, academic papers and blogs. All these publications are available on the Korea Economic Institute's website.

17. During this 6 month reporting period, has a budget been established or specified sum of money allocated to finance the registrant's activities in preparing or disseminating informational materials? Yes No

If yes, identify each such foreign principal, and specify the period of time and the amount.

Foreign Principal	Period of Time	Amount
Please see attached information on budget.		

18. During this 6 month reporting period, has the registrant's activities in preparing, disseminating or causing the dissemination of informational materials included the use of any of the following:

- Magazine or newspaper Advertising campaigns Press releases Pamphlets or other publications
 Lectures or speeches Radio or TV broadcasts Motion picture films Letters or telegrams
 Email
 Website URL(s): www.keia.org
 Social media websites URL(s): facebook.com/koreaeconinstitute, twitter.com/koreaeconinst
 Other (specify) _____

19. During this 6 month reporting period, has the registrant prepared, disseminated, or caused to be disseminated informational materials among any of the following groups:

- Public officials Newspapers Libraries
 Legislators Editors Educational institutions
 Government agencies Civic groups or associations Nationality groups
 Other (specify) _____

20. Indicate the language used in the informational materials:

- English Other (specify) _____

21. Has the registrant filed with the FARA Unit, U.S. Department of Justice a copy of each item of such informational materials prepared, disseminated or caused to be disseminated during this 6 month reporting period? Yes No

If no, please file the required informational materials.

22. Has the registrant labeled each item of such informational materials with the statement required by Section 4(b) of the Act?

- Yes No

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature ¹³
<u>9/17/2020</u>	<u>Rosa Chung</u>	
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>
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¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Korea Economic Institute of America (KEI)

Budget Expenditure through June 30, 2020

2020 Total Operating Budget (운영예산)	
Contributions	KIEP Allocation (3.4 Billion Korean Won)
Planned	Actual Fund Received
\$ 2,882,350	\$ 1,403,527
Contributions Total	
\$ 2,882,350 \$ 1,403,527	

Budget Category	Description	2019 Expended			2020 Planned			2020 Expended			Variance (b)-(c)	% (c)/(b)
		(a)	(b)	(c)	(a)	(b)	(c)					
Operations	Computer Maintenance (사무용 컴퓨터 유지비)	\$ 34,827	\$ 40,000	\$ 25,987	\$ -	\$ 14,013	65%					
	Office & Liability Insurance (사무실 보험, 책임보험료)	\$ 25,063	\$ 26,500	\$ 27,425	\$ -	\$ (925)	103%					
	Office Supplies, Incl. Postage & Printing (소모품비, 프린트, 우편요금)	\$ 17,104	\$ 16,000	\$ 2,003	\$ 13,997	\$ 13,997	13%					
	Attendance to International/Domestic Conferences & Travel to KIEP (국내외 학회 프로그램 및 KIEP 방문 이동경비)	\$ 5,222	\$ 30,550	\$ (1,203)	\$ 31,753	\$ 31,753	-4%					
	Services & Fees (일반 용역비)	\$ 104,418	\$ 74,600	\$ 78,605	\$ (4,005)	\$ (4,005)	105%					
	Rent & Associated Expenses (사무실 임대료 및 관리비)	\$ 547,181	\$ 570,000	\$ 346,436	\$ 223,564	\$ 223,564	61%					
	Telephone, Fax and Network (통신비)	\$ 15,661	\$ 17,000	\$ 8,511	\$ 8,489	\$ 8,489	50%					
	Local Travel Expenses (업무 교통비)	\$ 5,094	\$ 2,000	\$ 2,169	\$ (169)	\$ (169)	108%					
	Operations Total		\$ 754,468	\$ 776,650	\$ 489,933	\$ 286,717	\$ 286,717	63%				
	Programs	National Assembly Visit (국회 프로그램)	On Hold	\$ -	On Hold	\$ -	\$ -	0%				
		Korean-American Day Celebration (미주 한인인 날 행사)	\$ 34,771	\$ 35,000	\$ 23,168	\$ 11,832	\$ 11,832	66%				
		Opinion Leaders Seminar (한미여론 주도층 세미나)	\$ 95,259	\$ 120,000	\$ -	\$ -	\$ -	0%				
		Ambassadors' Dialogue (대사와의 대화 공동설명회)	\$ 41,231	\$ 55,000	\$ -	\$ -	\$ -	0%				
		Future of Korea (한국의 미래 프로그램)	\$ 30,573	\$ 38,000	\$ 13,000	\$ 25,000	\$ 25,000	34%				
		University Program (대학 프로그램)	\$ 19,874	\$ 25,000	\$ 4,165	\$ 20,835	\$ 20,835	17%				
Honoraria for Nonresident Fellows, Korean Kontext Podcast, blogs (비상근 학자, 한국관련 팟캐스트 & 블로그 비용)		\$ 5,230	\$ 25,000	\$ 8,856	\$ 16,144	\$ 16,144	35%					
KEI Forum (KEI 포럼)		\$ 18,868	\$ 40,000	\$ (6)	\$ 40,006	\$ 40,006	0%					
Special Joint Program (공동 프로그램) with International Council on Korea Studies (ICKS)		\$ (40)	\$ -	\$ (40)	\$ 40	\$ 40	0%					
KEI programs in Korea (KEI 한국 프로그램)		\$ 32,479	\$ 40,000	\$ 10,060	\$ 29,940	\$ 29,940	25%					
Academic Paper Series (학문 논문 시리즈)		\$ 19,217	\$ 30,000	\$ 12,721	\$ 17,279	\$ 17,279	42%					
Advisory Council/Board of Directors (인사회)		\$ 76,401	\$ 110,000	\$ 72,877	\$ 37,123	\$ 37,123	66%					
Annual KIEP/KEI Academic Symposium (한미합동 학술 토론회)		\$ 27,410	\$ 50,000	\$ 12,070	\$ 37,930	\$ 37,930	24%					
Ambassadors' Memoir (대사관 순간의 기록)		\$ 27,190	\$ 30,000	\$ 5,728	\$ 24,272	\$ 24,272	19%					
Joint Academic Studies (공동 학술 연구)		\$ 3,950	\$ 10,000	\$ 3,950	\$ 6,050	\$ 6,050	40%					
On Korea (한반도 관련 학술지)	\$ 4,380	\$ 20,660	\$ -	\$ 20,660	\$ 20,660	0%						
Korea's Economy (한국경제)	\$ 11,628	\$ 15,000	\$ -	\$ 15,000	\$ 15,000	0%						
Public Opinion Surveys & Analysis (여론 설문 조사 및 분석)	\$ 2,605	\$ 8,000	\$ 2,610	\$ 5,390	\$ 5,390	33%						
KEI Library & Data Acquisition (연구자료 구입 비용)	\$ 4,380	\$ 50,000	\$ 10,918	\$ 39,082	\$ 39,082	22%						
Research Support (연구지원)	\$ 65,850	\$ 84,000	\$ 30,216	\$ 53,784	\$ 53,784	36%						
Representation (업무추진비 및 홍보비)	\$ 65,850	\$ 84,000	\$ 30,216	\$ 53,784	\$ 53,784	36%						
Intern Expenses (인턴비)	\$ 436,793	\$ 803,660	\$ 206,068	\$ 597,592	\$ 597,592	28%						
Programs Total		\$ 1,033,311	\$ 1,056,400	\$ 523,819	\$ 532,581	\$ 532,581	50%					
Salaries, Other Compensation & Benefits	Compensation Including Employer's Taxes (인건비/고용주 관련 세금)	\$ 1,033,311	\$ 1,056,400	\$ 523,819	\$ 532,581	\$ 532,581	50%					
	Severance (퇴직연금)	\$ 71,524	\$ 68,000	\$ -	\$ 68,000	\$ 68,000	0%					
401(k) / Education (복지후생비, 직역 교육프로그램)		\$ 79,309	\$ 93,640	\$ 37,973	\$ 55,667	\$ 55,667	41%					
	Transportation, Parking & Insurances (교통비, 보험료)	\$ 65,850	\$ 84,000	\$ 30,216	\$ 53,784	\$ 53,784	36%					
Salaries & Benefits Total		\$ 1,249,995	\$ 1,302,040	\$ 592,008	\$ 710,032	\$ 710,032	45%					
Planned vs. Actual		\$ 2,441,256	\$ 2,882,350	\$ 1,288,009	\$ 1,594,341	\$ 1,594,341	45%					

Unit: (\$)

Exchange Rate	Korean (₩)	US (\$)
1st Quarter	₩ 857.5 MII	\$701,763
2nd Quarter	₩ 1,222.51	\$701,763
3rd Quarter	₩ 857.5 MII	
4th Quarter	₩ 857.5 MII	
Total	₩ 3,43 BII	

Budget Summary		Fund Received	Total Expended
		\$ 1,403,527	\$ 1,288,009
Variance %		91.77%	N/A
Total Surplus/(Deficit)		\$ 115,518	N/A

Department of Justice Report**Doris Kathleen Stephens****President & CEO****Korea Economic Institute of America (KEI)****Contacts from March 1, 2020 – August 31, 2020**Manner of Contact:

Meeting	Forum/Program	Dinner	Lunch
Breakfast	Phone call	Interview	E-mail

- Coffee with someone may be categorized as Meeting

Date	Activity/Subject Matter	U.S. Government Official or U.S. Public Contacted	Manner of Contact
3/2/2020	U.S.- Korea - Japan alliance relations	RAND Corporation, Santa Monica, CA	Forum/Program
3/13/2020	Global diplomacy	Barbara Stephenson, Vice Provost for global affairs and chief global officer, University of North Carolina at Chapel Hill	Meeting
4/9/2020	U.S. – Korea SMA negotiations	Voice of America	Interview
4/9/2020	U.S. diplomacy toward North Korea	Celeste Arrington, Korea Foundation Assistant Professor of Political Science and International Affairs, The George Washington University	Lecture (video Conference)
4/10/2020	Global pandemic and diplomacy program planning	Bill Clifford, President and CEO, and Liz Brailsford, COO, World Affairs Council of America	Meeting (video Conference)
4/15/2020	U.S. – Korea alliance	Voice of America	Interview
4/28/2020	Global pandemic and diplomacy	World Affairs Council of America	Forum/Program (video Conference)
5/12/2020	Global pandemic and U.S. – South Korea alliance	The American Academy of Diplomacy	Forum/Program (video Conference)
5/13/2020	OECD North Korea report	Korea Economic Institute	Forum/Program (video Conference)
5/18/2020	U.S. alliance cooperation with Japan and South Korea	The Nonproliferation Policy Education Center	Forum/Program (video Conference)
5/20/2020	U.S. policy coordination on traditional and non-traditional security threats	National Committee on American Foreign Policy	Forum/Program (video Conference)
5/22/2020	U.S. trade with South Korea	Washington University in St. Louis	Forum/Program (video Conference)
5/22/2020	U.S. – Korea alliance	Public Broadcasting System	Interview

5/28/2020	U.S. policy on North Korea	Korea Economic Institute; Alex Wong, Deputy Assistant Secretary for North Korea in the Bureau of East Asian and Pacific Affairs and Deputy Special Representative for North Korea	Forum/Program (video Conference)
5/28/2020	Modern history of South Korea and U.S. relations with South Korea	University of Washington	Lecture (video conference)
6/1/2020	South Korea's foreign policy	Georgetown University	Lecture (video conference)
6/4/2020	Women working in national security	U.S. Embassy Seoul	Forum/Program (video Conference)
6/11/2020	U.S. challenges in the Indo-Pacific region	University of Denver	Forum/Program (video Conference)
6/16/2020	U.S. – Korea alliance	Brookings Center for East Asia Studies; U.S. Ambassador to South Korea Harry Harris	Forum/Program (video Conference)
6/19/2020	U.S. – Korea economic cooperation	Center for Strategic and International Studies, South Korea Presidential Committee on Northern Economic Cooperation	Forum/Program (video Conference)
6/23/2020	North Korea policy review	Stimson Center	Forum/Program (video Conference)
6/24/2020	U.S. policy on North Korea and peace talks	Center for Strategic and International Studies	Forum/Program (video Conference)
6/26/2020	U.S. – Korea alliance	Korea Economic Institute	Forum/Program (video Conference)
7/10/2020	Roundtable on Northeast Asia Cooperation Dialogue	UC Institute on Global Conflict and Cooperation, School of Global Policy and Strategy, UC San Diego	Forum/Program (video Conference)
7/15/2020	North Korea policy review	Stimson Center	Forum/Program (video Conference)
7/17/2020	Tribute webinar for Gen. Paik Sun-yup	Korea Defense Veterans Association	Forum/Program (video Conference)
8/4/2020	Roundtable with Ambassador of Singapore Ashok Kumar Mirpuri	Council on Foreign Relations	Forum/Program (video Conference)
8/5/2020	Global value chains and U.S. - Korea economic relations	Korea Economic Institute	Forum/Program (video Conference)
8/26/2020	Global diplomacy lecture planning	Greg, Caldwell, Honorary Consul for the Republic of Korea in Northern Oregon	Meeting (video conference)
8/31/2020	Global diplomacy	Oregon student group	Lecture (video conference)

Department of Justice Report**Mark Tokola****Vice President****Korea Economic Institute of America (KEI)****Contacts from March 1, 2020 – August 31, 2020**

<u>Manner of Contact:</u>			
Meeting	Forum/Program	Dinner	Lunch
Breakfast	Phone call	Interview	E-mail

- Coffee with someone may be categorized as Meeting

Date	Activity/Subject Matter	U.S. Government Official or U.S. Public Contacted	Manner of Contact
3/3/2020	Foreign Election Hacking	Center for Strategic and International Studies	Program
3/3/2020	North Korea and COVID-19	Keith Luse, Director, National Committee on North Korea	E-mail
3/9/2020	U.S.-North Korea Relations	Jenna Gibson, Graduate Student, University of Chicago	E-mail
3/9/2020	U.S.-North Korea Relations	Joseph Yun, Senior Advisor, Asia Program, United States Institute of Peace	E-mail
3/10/2020	U.S. Defense Strategies	Foundation for Defense of Democracies	Program
3/15/2020	Program Planning	Greg Scarlatiou, Executive Director, National Committee for Human Rights in North Korea	E-mail
3/17/2020	Speaking Engagement Planning	Kerri Arrants, Program Assistant, McColm & Company	E-mail
3/23/2020	Taiwan	Rorry Daniels, Deputy Project Director, Forum on Asia-Pacific Security, National Committee for American Foreign Policy, New York	E-mail
3/25/2020	South Korean Documentary Film	Jeffrey Brown, Producer, Big Day Pictures	E-mail
3/30/2020	Great Power Rivalry	Matthew Kroenig, Associate Professor, Department of Government and School of Foreign Service, Georgetown University,	E-mail
4/2/2020	Great Power Rivalry	Cato Institute	Program
4/3/2020	Trust in Leadership	Axios	Program
4/7/2020	COVID-19 Disinformation	Woodrow Wilson International Center for Scholars	Program

4/8/2020	Technology Competition with China	Center for Strategic and International Studies	Program
4/9/2020	Asian Economic Survey	United Nations Economic and Social Committee for Asia and the Pacific	Program
4/13/2020	U.S.-North Korea Relations	Kristin Vekasi, Assistant Professor, University of Maine	E-mail
4/13/2020	COVID-19 and Korea	Center for Strategic and International Studies	Program
4/14/2020	U.S.-North Korea Relations	University of Maine	Speech
4/20/2020	South Korean Elections	George Washington University Institute for Korean Studies	Program
4/21/2020	U.S.-North Korea Relations	Melissa Dale, Executive Director & Associate Professor, Center for Asia Pacific Studies, University of San Francisco	E-mail
4/21/2020	U.S.-North Korea Relations	University of San Francisco	Speech
4/22/2020	International Law and the Korean Peninsula	Adena Meriel, Research Fellow, Center for Korean Legal Studies, Columbia Law School	E-mail
4/24/2020	COVID-19 in the Middle East	Foreign Affairs Officers Association	Program
4/27/2020	U.S.-South Korea Relations	Henry Sokolski, Executive Director, The Nonproliferation Policy Education Center	E-mail
4/28/2020	COVID-19 in Asia	United Nations Economic and Social Committee for Asia and the Pacific	Program
4/28/2020	Kim Jong-un	Brookings Institution, Pak Jung	Program
4/29/2020	International Law and the Korean Peninsula	Columbia Law School	Speech
5/4/2020	South Korea-Japan Relations	Alexis Dudden, Professor, History Department, University of Connecticut	E-mail
5/5/2020	Korean Update	Center for Strategic and International Studies	Program
5/5/2020	Future of Education and Work	Chatham House, London	Program
5/6/2020	U.S.-South Korea Relations	Bailey Martin, Program Coordinator, Nonproliferation Policy Education Center	E-mail
5/6/2020	World Health Organization	DACOR	Program
5/7/2020	U.S.-North Korea Relations	Seth Blaylock, North Korea Desk Officer, Bureau of Asian and Pacific Affairs, Department of State	E-mail
5/12/2020	Stabilization Operations	Center for Strategic and International Studies	Program

5/18/2020	U.S.-North Korea Relations	Terrence Roehrig, Professor, National Security Affairs, Naval War College	E-mail
5/19/2020	Future of Democracy	The Atlantic	Program
5/22/2020	Nuclear Energy Cooperation	Global America Business Institute	Program
5/28/2020	Post-pandemic Economy	Nicholas Eberstadt, American Enterprise Institute	E-mail
6/2/2020	U.S.-South Korea military cooperation	Korea Defense Veterans Association	Speech
6/2/2020	COVID-19	World Affairs Councils of America	Program
6/9/2020	Economic Competition with China	Atlantic Council	Program
6/11/2020	North Korean Human Rights	Bruce Bechtol, Professor of Political Science, Department of Security Studies, Angelo State University	E-mail
6/16/2020	U.S.-South Korea Relations	Mark Manyin, Specialist in Asia Affairs, Congressional Research Service, Library of Congress	E-mail
6/16/2020	U.S.-South Korea Relations	Brookings Institution	Meeting
6/16/2020	U.S.-North Korea Relations	Bloomberg Radio	Interview
6/17/2020	North Korean Human Rights	Committee for Human Rights in North Korea	Program
6/22/2020	Korean War History	James Persons, Senior Faculty Lead & Lecturer of Korean Studies, Johns Hopkins School of Advanced International Studies	Email
6/23/2020	U.S. Alliances	World Affairs Councils of America	Program
6/24/2020	China-India Relations	Carnegie India	Program
6/25/2020	North Korean Literature	Dafna Zur, Associate Professor, Korean Literature and Culture, Stanford University	E-mail
6/25/2020	Eastern Europe	DACOR	Program
6/17/2019	North Korea-South Korea relations	Council on Foreign Relations	Program
6/24/2019	Korean Peninsula issues	Center for Strategic and International Studies	Program
6/29/2020	Humanitarian Operations and COVID-19	Center for Strategic and International Studies	Program
7/6/2020	South Korea Demographics	Student, National Intelligence University	E-mail

7/8/2020	Congressional Perspectives on China	United States Institute of Peace	Program
7/9/2020	Navigating a Pandemic World	Carnegie Endowment for International Peace	Program
7/9/2020	North Korean Liberature	The Korea Society, New York	Program
7/10/2020	U.S.-North Korea Relations	Stanford University	Program
7/15/2020	Allied Approaches Towards China	Center for International and Strategic Studies	Program
7/20/2020	U.S.-North Korean Relations	Connie Kim, Correspondent, Voice of America	Interview
7/24/2020	U.S.-North Korea Relations	National Committee for American Foreign Policy	Program
7/27/2020	U.S.-South Korean Military Relations	Korea Defense Veterans Association	Program
7/29/2020	China's Regional Influence	Brookings Institution	Program
7/29/2020	U.S.-Mongolia Relations	East-West Center in Washington	Program
7/30/2020	U.S.-China Relations and the Korean Peninsula	National Committee on North Korea	Program
8/6/2020	U.S.-South Korea Relations	National Committee on North Korea	Meeting
8/12/2020	U.S.-North Korea Relations	Bruce Klingner, Senior Research Fellow, Northeast Asia, The Heritage Foundation	E-mail
8/25/2020	Post-pandemic Economic Recovery	Chatham House, London	Program
8/28/2020	Russia and Eurasia	Foreign Area Officers Association	Program
8/28/2020	North Korea Human Rights	Committee for Human Rights in North Korea	Program

Department of Justice Report**Irene Park****Executive Assistant & Office Manager****Korea Economic Institute of America (KEI)****Contacts from March 1, 2020 – August 31, 2020****Manner of Contact:**

Meeting	Forum/Program	Dinner	Lunch
Breakfast	Phone call	Interview	E-mail

- Coffee with someone may be categorized as Meeting

Date	Activity/Subject Matter	U.S. Government Official or U.S. Public Contacted	Manner of Contact
3/7/2020	Responding to a meeting request for KEI President & CEO Kathleen Stephens to discuss planning events at University of North Carolina	Barbara Stephenson, Vice Provost for global affairs and chief global officer, University of North Carolina at Chapel Hill	E-mail
4/2/2020	Responding to a request for KEI President & CEO Kathleen Stephens to give a presentation on the global pandemic and U.S. – South Korea alliance	Maria Reissaus, Program Director, American Academy of Diplomacy	E-mail
4/4/2020	Responding to a request for KEI President & CEO Kathleen Stephens to give a lecture on U.S. diplomacy toward North Korea	Celeste Arrington, Korea Foundation Assistant Professor of Political Science and International Affairs, The George Washington University	E-mail
4/9/2020	Responding to an interview request for KEI President & CEO Kathleen Stephens to talk about U.S. – South Korea SMA negotiations	Connie Kim, news reporter, Voice of America	E-mail
8/19/2020	Responding to a request for KEI President & CEO Kathleen Stephens to talk about global diplomacy to high school and college students in Northern Oregon	Greg, Caldwell, Honorary Consul for the Republic of Korea in Northern Oregon	E-mail

Department of Justice Report**Junil Kim****Senior Manager for Operations and Technology****Korea Economic Institute of America (KEI)****Contacts from March 1, 2020 – August 31, 2020****Manner of Contact:**

Meeting	Forum/Program	Dinner	Lunch
Breakfast	Phone call	Interview	E-mail

- Coffee with someone may be categorized as Meeting

Date	Activity/Subject Matter	U.S. Government Official or U.S. Public Contacted	Manner of Contact
3/30/2020	State of North Korean human rights	Korea Economic Institute	Forum/Program (video Conference)
4/16/2020	Implications of South Korean National Assembly elections	Korea Economic Institute	Forum/Program (video Conference)
4/21/2020	Impact of the Coronavirus on South Korea's economy	Korea Economic Institute	Forum/Program (video Conference)
4/22/2020	Program coordination between the Korea Economic Institute and the Southeast U.S. Korean Chamber of Commerce	James Whitcomb, President, Southeast U.S. Korean Chamber of Commerce	E-mail
4/23/2020	U.S.-South Korea military exercises and denuclearizing North Korea	Korea Economic Institute	Forum/Program (video Conference)
4/30/2020	COVID-19 in North Korea	Korea Economic Institute	Forum/Program (video Conference)
5/5/2020	Instability in North Korea	Korea Economic Institute	Forum/Program (video Conference)
5/12/2020	U.S.-ROK alliance and burden sharing	Korea Economic Institute	Forum/Program (video Conference)
5/12/2020	Program logistics for program with the Korea Economic Institute	Vincent Koen, Head of Division, Country Studies, Organization for Economic Cooperation and Development	E-mail
5/13/2020	OECD North Korea report	Korea Economic Institute	Forum/Program (video Conference)
5/19/2020	Understanding North Korean policy and leadership	Korea Economic Institute	Forum/Program (video Conference)

5/21/2020	Korean baseball and its potential future in the U.S.	Korea Economic Institute	Forum/Program (video Conference)
5/26/2020	The future of Korea-Japan relations	Korea Economic Institute	Forum/Program (video Conference)
6/2/2020	Inquiring about meeting details on behalf of KEI President & CEO Kathleen Stephens	Aaron Tarver, Cultural Attache, U.S. Embassy Seoul	E-mail
6/2/2020	South Korean politics and COVID-19 response	Korea Economic Institute	Forum/Program (video Conference)
6/9/2020	Policymaking in reacting to uncertainties and a post-pandemic world	Korea Economic Institute	Forum/Program (video Conference)
6/18/2020	Russian efforts to weaken U.S. alliances in Northeast Asia	Korea Economic Institute	Forum/Program (video Conference)
6/24/2020	Historical perspective of the Korean War	Korea Economic Institute	Forum/Program (video Conference)
7/7/2020	Korea and Australia's role in the institutions of the future	Korea Economic Institute	Forum/Program (video Conference)
7/14/2020	Political mobilization of the K-Pop fandom	Korea Economic Institute	Forum/Program (video Conference)
7/16/2020	Families divided by the Korean War	Korea Economic Institute	Forum/Program (video Conference)
7/21/2020	Managing North Korea during COVID-19	Korea Economic Institute	Forum/Program (video Conference)
7/23/2020	China's rise and American response	Korea Economic Institute	Forum/Program (video Conference)
7/28/2020	Ethics of sanctions on North Korea	Korea Economic Institute	Forum/Program (video Conference)
8/5/2020	Future of global value chains in East Asia after COVID-19	Korea Economic Institute	Forum/Program (video Conference)

Department of Justice Report**Kyle Ferrier****Director of Academic Affairs and Research****Korea Economic Institute of America (KEI)****Contacts from March 1, 2020 – August 31, 2020****Manner of Contact:**

Meeting	Forum/Program	Dinner	Lunch
Breakfast	Phone call	Interview	E-mail

- Coffee with someone may be categorized as Meeting

Date	Activity/Subject Matter	U.S. Government Official or U.S. Public Contacted	Manner of Contact
March 2, 2020	South Korea and COVID-19	Elizabeth Shim, Reporter, United Press International	Interview
March 3, 2020	Preparation for upcoming simulaton	Bola Olaniyan, Program Director, Wisconsin in Washington, University of Wisconsin	E-mail
March 4, 2020	Cancellation of upcoming simulation	Thomas Kellenberg, Executive Director, University of Notre Dame Washington Program	E-mail
March 5, 2020	Multilateral diplomacy with North Korea simulation	Syracuse University students	Program
March 9, 2020	Cancellation of upcoming meeting	Rebecca Law, Contractor, Office of Strategic Partnerships, J92, U.S. Indo-Pacific Command	E-mail
March 9, 2020	Cancellation of upcoming conference	Charles Boustany, Counselor, National Bureau of Asian Research; Matthew Goodman, Senior Vice President for Economics and Simon Chair in Political Economy; Ming Wan, Professor, Schar School of Policy and Government, George Mason University; See Won Byun, Department of International Relations, Assistant Professor, San Fransico State University; Brad Glosserman, Visiting Professor, Center for Rule-making Strategies, Tama University; Rush Doshi, Director China Strategy Initiative, Brookings Institute; Scott Harold, Senior Political Scientist, RAND Corporation; Yuki Tatsumi, Senior Fellow, Stimson Center; Kathryn Botto, Research Analyst, Asia Program, Carnegie Endowment for International Peace	E-mail
March 10, 2020	Potential program	Lucy Park, Executive Director, Sejong Cultural Society; Karl Friedhoff, Fellow, Public Opinion and Asia Policy, Chicago Council on Global Affairs	Phone call
March 10, 2020	Cancellation of upcoming simulation	Leann Moore, Assistant Policy Scientist, Biden School of Public Policy and Administration, University of Delaware	E-mail
March 10, 2020	Cancellation of upcoming simulation	John Calabrese, Assistant Professor, School of Professional and Extended Studies, American University	E-mail
March 11, 2020	Multilateral diplomacy with North Korea simulation	Univesity of Wisconsin and Pepperdine University students	Program

March 12, 2020	Cancellation of upcoming simulation	Edward Yang, Professor of Political Science, James Madison University	E-mail
March 13, 2020	Potential program	Barbara Stephenson, Vice Provost for Global Affairs and Chief Global Officer, University of North Carolina	Meeting
March 15, 2020	Possible upcoming program	Hajin Jun, Assistant Professor, Department of History, University of Washington	E-mail
March 16, 2020	Possible upcoming program	Celeste Arrington, Korea Foundation Assistant Professor of Political Science and International Affairs, George Washington University	E-mail
March 17, 2020	Potential program	Benjamin Young, Assistant Professor, Cyber Leadership and Intelligence, Dakota State University	E-mail
March 17, 2020	Preparation for upcoming program	Terence Roehrig, Professor of National Security Affairs, U.S. Naval War College	E-mail
March 17, 2020	Potential program	Kristin Vekasi, Assistant Professor, Department of Political Science, University of Maine	E-mail
March 18, 2020	North Korean economy	Baker Grant, undergraduate student, Xavier University	Phone call
March 23, 2020	U.S.-Korea relations	Benjamin Young, Assistant Professor, Cyber Leadership and Intelligence, Dakota State University	Meeting
March 25, 2020	Potential program	Melissa Dale, Executive Director and Associate Professor, Center for Asia Pacific Studies, University of San Francisco	E-mail
March 31, 2020	U.S.-Korea alliance	Uri Friedman, Staff Writer, The Atlantic	E-mail
April 1, 2020	Preparation for upcoming program	Michael Claiborne, Government & Corporate Affairs Manager, American Chamber of Commerce in Korea	E-mail
April 2, 2020	U.S.-Korea economic relations	The American Chamber of Commerce in Korea	Program
April 2, 2020	Possible paper	Ernest Rockwell, Editor, Journal of Indo-Pacific Affairs, Air University Press, Air University	E-mail
April 7, 2020	Preparation for upcoming program	Terence Roehrig, Professor of National Security Affairs, U.S. Naval War College	E-mail
April 13, 2020	Preparation for upcoming program	Adena Peckler, Research Fellow, Center for Korean Legal Studies, Columbia Law School	E-mail
April 13, 2020	Preparation for upcoming program	Prashanth Parameswaran, Director, BowerGroup Asia	E-mail
April 14, 2020	South Korea elections	Bloomberg	Interview
April 17, 2020	Invitation to join 2020 conference	Tanvi Madan, Director, The India Project, Brookings Institution; Scott Snyder, Senior Fellow for Korea Studies, Council on Foreign Relations; Leif Eric Easley, Associate Professor, International Studies, Ewha Womans University; Mireya Solis, Director, Center for East Asia Policy Studies, Brookings Institution	E-mail
April 20, 2020	Preparation for upcoming program	Adena Peckler, Research Fellow, Center for Korean Legal Studies, Columbia Law School	E-mail
April 21, 2020	Preparation for upcoming program	Katherine Tarr, Coordinator for Japan and Korea, Office of Public Diplomacy, Bureau of East Asian and Pacific Affairs	E-mail
April 22, 2020	Preparation for upcoming program	John Fay, Stabilization Advisor—Northeast Asia, Office of East Asian and Pacific Affairs, Bureau of Conflict and Stabilization Operations, U.S. Department of State	E-mail

April 23, 2020	Preparation for upcoming program	Seth Blaylock, DPRK Unit Chief, Office of Korean Affairs, Bureau of East Asian and Pacific Affairs, U.S. Department of State	E-mail
April 23, 2020	US-South Korea alliance	KEI; Terence Roehrig, Professor of National Security Affairs, U.S. Naval War College	Program
April 30, 2020	Possible program	Shane Carter, Program Coordinator, Office of Resources for International and Area Studies, University of California, Berkeley	E-mail
April 30	US-North Korea relations	Keith Luse, Executive Director, National Committee on North Korea; Jung Pak, SK-Korea Foundation Chair in Korea Studies, Brookings Institution	Program
May 1, 2020	Creating online student resources for US-Korea relations	Matthew Sullivan, Projects Coordinator, East-West Center in Washington; Ross Tokola, Executive Associate to the Director, East-West Center in Washington	Meeting
May 6, 2020	Preparation for upcoming program	Steve Lee, Senior Vice President of Operations, Korea Defense Veterans Association	E-mail
May 8, 2020	Submission of paper	Ernest Rockwell, Editor, Journal of Indo-Pacific Affairs, Air University Press, Air University	E-mail
May 12, 2020	US-Korea alliance	Walter "Skip" Sharp, President and Chairman, Korea Defense Veterans Association	Program
May 15, 2020	Preparation for upcoming program	John Fay, Stabilization Advisor—Northeast Asia, Office of East Asian and Pacific Affairs, Bureau of Conflict and Stabilization Operations, U.S. Department of State	E-mail
May 18, 2020	US-Korea regional relations	U.S. Department of State, Bureau of Conflict and Stabilization Operations	Phone call
May 18, 2020	Invitation to join conference	Jacques deLisle, Stephen A. Cozen Professor of Law and Political Science, and Director, Center for East Asian Studies, University of Pennsylvania Carey Law School	E-mail
May 20, 2020	Invitation to join conference	Glenn Fukushima, Senior Fellow, Center for American Progress	E-mail
May 21, 2020	Preparation for upcoming program	Hajin Jun, Assistant Professor, Department of History, University of Washington	E-mail
June 4, 2020	Preparation for upcoming program	Dennis McNamara, Park Professor of Sociology and Korean Studies, Georgetown University	E-mail
June 11, 2020	Possible upcoming program	Nathaniel Curran, PhD candidate, Annenberg School for Communication and Journalism, University of Southern California	E-mail
June 16, 2020	Preparation for upcoming program	Prashanth Parameswaran, Director, BowerGroup Asia	E-mail
June 17, 2020	Inter-Korean relations	Sam Kim, Editor, Bloomberg	E-mail
June 18, 2020	Russian interference in U.S. alliances in Northeast Asia	KEI	Program
June 22, 2020	Preparation for upcoming program	Kevin Fogg, Associate Director, Carolina Asia Center, University of North Carolina	E-mail
June 24, 2020	US-Korea economic relations	Dennis McNamara, Park Professor of Sociology and Korean Studies, Georgetown University; Foreign Service Institute	Program
June 24, 2020	Announcement of KEI publication	Charles Boustany, Counselor, National Bureau of Asian Research; Matthew Goodman, Senior Vice President for Economics and Simon Chair in Political Economy; Ming Wan, Professor, Schar School of Policy and Government, George Mason University; See Won Byun, Department of International Relations,	E-mail

		Assistant Professor, San Francisco State University; Brad Glosserman, Visiting Professor, Center for Rule-making Strategies, Tama University; Rush Doshi, Director China Strategy Initiative, Brookings Institute; Scott Harold, Senior Political Scientist, RAND Corporation; Yuki Tatsumi, Senior Fellow, Stimson Center; Kathryn Botto, Research Analyst, Asia Program, Carnegie Endowment for International Peace	
July 2, 2020	Preparation for upcoming program	Scott Harold, Senior Political Scientist, RAND Corporation; Charles Boustany, Counselor, National Bureau of Asian Research	E-mail
July 7, 2020	Preparation for upcoming program	Stephanie Kim, Assistant Professor of Practice, School of Continuing Studies, Georgetown University	E-mail
July 7, 2020	Preparation for upcoming program	Prashanth Parameswaran, Director, BowerGroup Asia	E-mail
July 9, 2020	Preparation for upcoming program	Heejeong Sohn, Assistant Director, Center for Korean Studies, State University of New York at Stony Brook; Director, National Security Language Initiative for Youth Summer Korean Language and Culture	Meeting
July 13, 2020	Preparation for upcoming program	Nathaniel Curran, PhD candidate, Annenberg School for Communication and Journalism, University of Southern California	E-mail
July 14, 2020	US-Korea relations	Heejeong Sohn, Assistant Director, Center for Korean Studies, State University of New York at Stony Brook; Director, National Security Language Initiative for Youth Summer Korean Language and Culture; National Security Language Initiative for Youth	Meeting
July 17, 2020	US North Korea policy	University of Southern California students	Meeting
July 20, 2020	South Korean economy	Sam Kim, Editor, Bloomberg	Interview
July 23, 2020	US-China relations	Scott Harold, Senior Political Scientist, RAND Corporation; Charles Boustany, Counselor, National Bureau of Asian Research; KEI	Program
July 31, 2020	Preparation for an upcoming program	John Calabrese, Assistant Professor, School of Professional and Extended Studies, American University	E-mail
August 17, 2020	Preparation for an upcoming program	Jeong-Ho Roh, Director of the Center for Korean Legal Studies, Columbia Law School; Adena Peckler, Research Fellow, Center for Korean Legal Studies, Columbia Law School; Joan Wargo, Deputy Director, Center for Korean Legal Studies, Columbia Law School	E-mail
August 18, 2020	Preparation for an upcoming program	Kevin Fogg, Associate Director, Carolina Asia Center, University of North Carolina	E-mail
August 26, 2020	US-Korea relations	Andrew Jeong, Reporter, Wall Street Journal	E-mail

Department of Justice (DOJ) Report**Rosa Chung****Director of Finance and Human Resources****Korea Economic Institute of America (KEI)****Contacts from March 1, 2020 – August 31, 2020**

<u>Manner of Contact:</u>			
Meeting	Forum/Program	Dinner	Lunch
Breakfast	Phone call	Interview	E-mail

- Coffee with someone may be categorized as Meeting

Date	Activity/Subject Matter	U.S. Government Official or U.S. Public Contacted	Manner of Contact
3/30/2020	State of North Korean human rights	KEI Event	Forum/Program
4/16/2020	Implications of South Korean National Assembly elections	KEI Event	Forum/Program
4/21/2020	Impact of the Coronavirus on South Korea's economy	KEI Event	Forum/Program
4/23/2020	U.S.-South Korea military exercises and denuclearizing North Korea	KEI Event	Forum/Program
4/30/2020	COVID-19 in North Korea	KEI Event	Forum/Program
5/5/2020	Instability in North Korea	KEI Event	Forum/Program
5/12/2020	U.S.-ROK alliance and burden sharing	KEI Event	Forum/Program
5/13/2020	OECD North Korea report	KEI Event	Forum/Program
5/19/2020	Understanding North Korean policy and leadership	KEI Event	Forum/Program
5/21/2020	Korean baseball and its potential future in the U.S.	KEI Event	Forum/Program
5/26/2020	The future of Korea-Japan relations	KEI Event	Forum/Program
6/2/2020	South Korean politics and COVID-19 response	KEI Event	Forum/Program
6/9/2020	Policymaking in reacting to uncertainties and a post-pandemic world	KEI Event	Forum/Program

6/18/2020	Russian efforts to weaken U.S. alliances in Northeast Asia	KEI Event	Forum/Program
6/24/2020	Historical perspective of the Korean War	KEI Event	Forum/Program
7/7/2020	Korea and Australia's role in the institutions of the future	KEI Event	Forum/Program
7/14/2020	Political mobilization of the K-Pop fandom	KEI Event	Forum/Program
7/21/2020	Managing North Korea during COVID-19	KEI Event	Forum/Program
7/23/2020	China's rise and American response	KEI Event	Forum/Program
7/28/2020	Ethics of sanctions on North Korea	KEI Event	Forum/Program
8/5/2020	Future of global value chains in East Asia after COVID-19	KEI Event	Forum/Program

Department of Justice (DOJ) Report**Sang Kim****Director of Public Affairs & Intern Coordinator****Korea Economic Institute of America (KEI)****Contacts from March 1, 2020 – August 31, 2020**

<u>Manner of Contact:</u>			
Meeting	Forum/Program	Dinner	Lunch
Breakfast	Phone call	Interview	E-mail

- Coffee with someone may be categorized as Meeting

Date	Activity/Subject Matter	U.S. Government Official or U.S. Public Contacted	Manner of Contact
March 4, 2020	Planning for Ambassadors' Dialogue	Chris Geurtsen, Economic Officer; Tipten Troidl, Commercial Officer, U.S. Embassy in Seoul	Call
March 30, 2020	North Korea Human Rights	Korea Economic Institute of America (KEI)	Forum/Program
April 10, 2020	KEI- WACA collaboration	Bill Clifford, Executive Director, World Affairs Council of America (WACA)	Call
April 16, 2020	South Korean National Assmebly Election	KEI	Forum/Program
April 21, 2020	Requesting contact information	Tipten Troidl, Commercial Officer, U.S. Embassy Seoul	Email
April 21, 2020	COVID-19 and South Korean Economy	KEI	Forum/Program
April 23, 2020	U.S.-ROK alliance and military exercise	KEI	Forum/Program
April 30, 2020	COVID-19 in North Korea	KEI	Forum/Program
May 8, 2020	Possible KEI-KDVA collaboration	Steve Lee, Senior Vice President of Operations, Korea Defense Veterans Associations (KDVA)	Call
May 12, 2020	North Korean Economy	KEI	Forum/Program
May 18, 2020	Understanding North Korea	KEI	Forum/Program
May 21, 2020	Korean Baseball in the United States	KEI	Forum/Program
May 28, 2020	Invitation to speak at KEI's event	James Person, Johns Hopkins Univeristy SAIS; William Stueck, Professor Emeritus, Georgia State University	Email

May 26, 2020	South Korea – Japan relations	KEI	Forum/Program
June 2, 2020	South Korean Politics	KEI	Forum/Program
June 9, 2020	Post-COVID-19	KEI	Forum/Program
June 10, 2020	Possible KEI-US Embassy Seoul collaboration	Aaron Tarver, Cultural Attache, U.S. Embassy Seoul, U.S. Department of State	Call
June 10, 2020	Planning for Ambassadors' Dialogue	Gordon Church, U.S. Embassy in Seoul, U.S. Department of State	Forum/Program
June 18, 2020	U.S. alliance in Northeast Asia	KEI	Forum/Program
June 24, 2020	Korean War History	KEI	Forum/Program
June 25, 2020	Korean War Friendship Forum	KEI	Forum/Program
June 29, 2020	Invitation to speak at KEI's event	CedarBough Saeji, Visiting Assistant Professor, Indiana University, Bloomington	Email
July 7, 2020	Korea and Australia's Role in the International Institutions	KEI	Forum/Program
July 9, 2020	Invitation to speak at KEI's event	Robert King, Non-Resident Fellow, KEI; Paul Lee, Program Assistant, U.S. Institute of Peace	Email
July 14, 2020	Political Mobilization of the K-Pop Fandom	KEI	Forum/Program
July 16, 2020	Families Divided by the Korean War	KEI	Forum/Program
July 21, 2020	Managing North Korea during COVID-19	KEI	Forum/Program
July 23, 2020	U.S.-China relations	KEI	Forum/Program
July 28, 2020	North Korea Sanctions	KEI	Forum/Program
August 5, 2020	Global Value Chains in East Asia after COVID-19	KEI	Forum/Program
August 7, 2020	KEI- U.S. Department of State collaboration	Katherine Tarr, Public Diplomacy Coordinator for Korea and Japan, U.S. Department of State	Email
August 24, 2020	KEI- U.S. Department of State collaboration	Pope Thrower, Public Diplomacy Coordinator for Korea and Japan, U.S. Department of State	Meeting
August 25, 2020	Planning for fall events	Liz Brailsford, Chief Operating Officer, World Affairs Council of America	Call
August 28, 2020	Planning for fall events	Tracy Stober, Certified Editor, Stober Consulting	Meeting

August 28, 2020	Planning for	Pat Ryan, Executive Director, Tennessee World Affairs Council	Call
August 31, 2020	Planning for	Montana World Affairs Council	Call
August 31, 2020	Planning for future event	West Michigan World Affairs Council	Call

Department of Justice Report**Troy Stangarone****Senior Director and Fellow****Korea Economic Institute of America (KEI)****Contacts from September 1, 2019 – February 29, 2020**

<u>Manner of Contact:</u>				
Meeting	Forum/Program/Conference	Dinner	Lunch	
Breakfast	Phone call	Interview	E-mail	Speaker /Moderator

- Coffee with someone may be categorized as Meeting

Date	Activity/Subject Matter	U.S. Government Official or U.S. Public Contacted	Manner of Contact
March 2, 2020	North Korea and the coronavirus	Soyoung Kim, Broadcaster, Radio Free Asia	Interview, e-mail and phone call
March 3, 2020	Hyundai's hydrogen fuel efforts	Laura Bonavita, Policy Communications Manager, Hyundai Motors Company Washington Office	E-mail
March 3, 2020	KORUS FTA	Rossella Brevetti, Legal Editor/Reporter, Bloomberg Law	Interview, e-mail
March 4, 2020	Follow-up regarding invitation to Deputy Secretary of State Stephen Biegun	Clara M. Kim, Senior Advisor, Office of the Special Representative for North Korea (S/DPRK), Department of State	E-mail
March 5, 2020	Invitation to speak at KEI event on COVID-19 and South Korean economy	Tami Overby, Senior Director, McLarty Associates	E-mail
March 13, 2020	North Korean economy	Dagyum Ji, Reporter, Voice of America	Interview, e-mail and phone call
March 18, 2020	South Korean economy and trade with United States	Foreign Service Institute	Speaker
March 24, 2020	North Korea research	Timothy Martin, Korea Bureau Chief, Wall Street Journal	e-mail
March 24, 2020	Invitation to speak at KEI webinar on South Korean National Assembly elections	Scott Snyder, Senior Fellow for Korea Studies and Director of the Program on U.S.-Korea Policy, Council on Foreign Relations	E-mail
March 25, 2020	North Korea reserch	Simon Denyer, Tokyo Bureau Chief, and Min Joo Kim, Assistant Reporter, Washington Post	e-mail
March 25, 2020	Invitation to speak at KEI webinar on North Korea and COVID-19	Keith Luse, Executive Director, National Committee on North Korea	E-mail
March 26, 2020	North Korea trade	Soyoung Kim, Broadcaster, Radio Free Asia	Interview, e-mail and phone call
March 26, 2020	Response to request for contact information for Phil Eskeland	Colin Timmerman, Legislative Assistant, Congressman Ted S. Yoho	E-mail

March 31, 2020	North Korean economy and trade during coronavirus	Soyoung Kim, Broadcaster, Radio Free Asia	Interview, e-mail and phone call
March 31, 2020	Invitation to speak at KEI webinar on North Korea and COVID-19	Jung H., Pak, Senior Fellow and SK-Korea Foundation Chair in Korea Studies, Brookings Institution	E-mail
April 2, 2020	U.S.-Korea economic relations and COVID-19	American Chamber of Commerce in Korea	Speaker
April 9, 2019	North Korea and oil smuggling	Taeksung Oh, Staff Reporter, Voice of America (VOA) Korean Service	Interview, e-mail and phone call
April 13, 2020	North Korean tourism and Wonsan Kalma	Jiha Ham, Staff Reporter, VOA Korean Service	Interview, e-mail and phone call
April 16, 2020	South Korean National Assembly elections	KEI Webinar	Moderator
April 17, 2020	U.S.-Korea joint development cooperation	David Painter, Independent Finance Development Officer	Phone call
April 20, 2020	Alternative payment systems for trade with North Korea	Hee Jung Yang, Broadcaster, Korean Service, Radio Free Asia	Interview, e-mail and phone call
April 21, 2020	South Korean economy and COVID-19	KEI Webinar	Moderator
April 29, 2020	North Korean economy	North Korea Economic Forum	Program
April 30, 2020	North Korean economy and COVID-19	KEI Webinar	Speaker
May 1, 2020	Potential virtual event on North Korea	Clara M. Kim, Senior Advisor, Office of the Special Representative for North Korea (S/DPRK), Department of State	E-mail
May 1, 2020	Invitation to speak at event on Korea Baseball Organization	Mark Lippert, Vice President for International Affairs, Boeing	E-mail
May 5, 2020	Instability in North Korea	KEI Webinar	Moderator
May 12, 2020	Private event on North Korea	Sergio Fontanez, Policy Advisor, Office of the Special Representative for North Korea, Department of State	E-mail
May 12, 2020	Private event on North Korea	Sheree Hall, Office Management Specialist, Office of the Special Representative for North Korea, Department of State	E-mail
May 14, 2020	North Korean economy and COVID-19	Network 2020	Speaker
May 15, 2020	Invitation for Korea Baseball Organization (KBO) event	Eric Hacker, former KBO player, and Dan Kurtz, founder, MyKBO.net	E-mail
May 18, 2020	Invitation to private event on North Korea	Victor Cha, Senior Adviser and Korea Chair, Center for Strategic and International Studies; Jung H., Pak, Senior Fellow and SK-Korea Foundation Chair in Korea Studies, Brookings Institution; Van Jackson, Professor of International Relations, Victoria University of Wellington; Daniel Russel, Vice President for International Security and Diplomacy, Asia Society Policy Institute; John Delury, Professor of Chinese Studies, Yonsei University Graduate School of International Studies; and Stephan Haggard, Lawrence and Sallye Krause	E-mail

		Professor of Korea-Pacific Studies, University of California-San Diego	
May 19, 2020	Invitation to speak at private event on North Korea	Marcus Noland, Executive Vice President and Director of Studies, Peterson Institute for International Economics	E-mail
May 19, 2020	Understanding North Korea	KEI Webinar	Moderator
May 19, 2020	Invitation to private event on North Korea	Christopher Hill, Chief Global Advisor, University of Denver	E-mail
May 20, 2020	South Korea's May 24 sanctions on North Korea	Hee Jung Yang, Broadcaster, Korean Service, Radio Free Asia	Interview, e-mail and phone call
May 21, 2020	North Korean bond sales	Soyoung Kim, Broadcaster, Radio Free Asia	Interview, e-mail and phone call
May 21, 2020	Korean baseball league	KEI Webinar	Speaker
May 28, 2020	State of discussions with North Korea	KEI, Korea Society, and Korea Defense Veterans Association webinar	Forum
June 15, 2020	Accepted invitation to speak at Congressional briefing on U.S.-Korea economic relations	Christina Durham Program Assistant US-Asia Institute	E-mail
June 15, 2020	Accepted invitation to speak on inequality in South Korea	Young-Key Kim-Renaud, President of the Korea-U.S. Washington Forum	E-mail
June 16, 2020	FDI in North Korea	Dagyum Ji, Reporter, Voice of America	Interview, e-mail and phone call
June 17, 2020	State of North Korean economy	North Korea Economic Forum	Forum
June 18, 2020	North Korean economy	Jiha Ham, Staff Reporter, VOA Korean Service	Interview, e-mail and phone call
June 19, 2020	Future of supply chains and trade in East Asia	South East U.S.-Korea Chamber of Commerce	Speaker
June 23, 2020	Invitation to speak at webinar on internal dynamics in North Korea	Markus Garlauskas, Independent Analyst	E-mail
June 26, 2020	North Korean economy	Albert Hong, Broadcaster, Korean Service, Radio Free Asia	Interview, e-mail
June 26, 2020	Invitation to speak at event on global value chains	Adam Posen, President, Peterson Institute for International Economics	E-mail
July 1, 2020	Innovations in digital trade	George Washington University	Program
July 1, 2020	Private webinar on U.S.-Korea relations	Angela Kerwin, Director, Office of Korea Affairs, Department of State	E-mail
July 6, 2020	Invitation to speak at private webinar on U.S.-Korea relations	David R. Stilwell, Assistant Secretary of State, Bureau of East Asian and Pacific Affairs, Department of State	E-mail
July 7, 2020	Korea and Australia's role in the future of international institutions	KEI Webinar	Moderator
July 8, 2020	North Korean trade with Russia	Hee Jung Yang, Broadcaster, Korean Service, Radio Free Asia	Interview, e-mail
July 9, 2020	U.S.-Korea relations	U.S.-Asia Institute	Speaker
July 10, 2020	Prospects for U.S.-North Korea talks	Matthew Rusling, Reporter, Xinhua News Agency	Interview, e-mail
July 10, 2020	Invitation to speak at private webinar on U.S.-Korea relations	Abraham Denmark, Director of the Asia Program, Woodrow Wilson International Center for Scholars	E-mail
July 16, 2020	North Korea sanctions	Dukin Han, Broadcaster, News In-depth Korean Service, Radio Free Asia	Interview, e-mail

July 16, 2020	Invitation to virtual roundtable on the future of U.S.-Korea relations	Scott Snyder, Senior Fellow for Korea Studies and Director of the Program on U.S.-Korea Policy, Council on Foreign Relations; Tami Overby, Senior Director, McLarty Associates; and Wendy Cutler, Vice President and Managing Director, Washington, D.C. Office, Asia Society Policy Institute	E-mail
July 19, 2020	Inequality in South Korea	Korea-US Washington Forum	Speaker
July 20, 2020	Potential U.S. troop reductions in South Korea	Matthew Rusling, Reporter, Xinhua News Agency	Interview, e-mail
July 20, 2020	Invitation to virtual roundtable on the future of U.S.-Korea relations	Susan Thornton, Visiting Lecturer in Law, Yale Law School and Victor Cha, Senior Adviser and Korea Chair, Center for Strategic and International Studies	E-mail
July 20, 2020	North Korean trade with China	Jiha Ham, Staff Reporter, VOA Korean Service	Interview, e-mail and phone call
July 22, 2020	North Korean petroleum imports	Albert Hong, Broadcaster, Korean Service, Radio Free Asia	Interview, e-mail
July 24, 2020	Forfeiture of assets by firms engaged in North Korean money laundering	Hee Jung Yang, Broadcaster, Korean Service, Radio Free Asia	Interview, phone call
August 5, 2020	Future of global value chains	KEI-Korea Institute for International Economic Policy (KIEP)	Moderator
August 6, 2020	Future of U.S.-Korea Relations	KEI-KIEP Webinar	Moderator
August 10, 2020	Trump's comment on North Korea deal	Matthew Rusling, Reporter, Xinhua News Agency	Interview, e-mail
August 10, 2020	North Korea floods and food	Soyoung Ahn, Reporter, Voice of America	Interview, e-mail
August 18, 2020	Potential cooperation on digital trade event	Susan Ariel Aaronson, Ph.D., Research Professor of International Affairs, Director, Digital Trade and Data Governance Hub, Elliott School of International Affairs, George Washington University	Call
August 18, 2020	North Korean closure of Nampo port for COVID-19	Jeongeun Ji, Broadcaster, Korean Service, Radio Free Asia	Interview, e-mail
August 19, 2020	North Korean construction of oil tankers	Jiha Ham, Staff Reporter, VOA Korean Service	Interview, e-mail and phone call
August 31, 2020	North Korean economy	Albert Hong, Broadcaster, Korean Service, Radio Free Asia	Interview, e-mail
August 31, 2020	North Korean food situation and flooding	Soyoung Ahn, Reporter, Voice of America	Interview, e-mail

Department of Justice Report**Yong Kwon****Director of Communications****Korea Economic Institute of America (KEI)****Contacts from March 1, 2020 – August 31, 2020**

<u>Manner of Contact:</u>				
Meeting	Forum/Program/Conference	Dinner	Lunch	
Breakfast	Phone call	Interview	E-mail	Speaker /Moderator

- Coffee with someone may be categorized as Meeting

Date	Activity/Subject Matter	U.S. Government Official or U.S. Public Contacted	Manner of Contact
March 9, 2020	Contact for journalist Geoffrey Rush	John Burton, Journalist and Consultant	e-mail
March 12, 2020	Podcast consultation	Anthony Fowler, sound editor	e-mail
March 13, 2020	Pitch on the importance of G20 as a platform to coordinate on COVID19	Anna Luk, Deputy Head of Asia Pacific TV Interviews, Bloomberg	e-mail
March 18, 2020	Pre-interview questions on corporate dominance in South Korea	Geoffrey Rush, journalist; Steven Boriak, Penguin Randomhouse publisher	e-mail
March 19, 2020	Interview on Samsung's corporate dominance in South Korea	Geoffrey Rush, journalist	Interview
March 23 – April 3, 2020	Request for an interview regarding U.S.-ROK collaboration on public health	Katherine Tarr, Foreign Service Officer	e-mail
March 24, 2020	Regarding KEI newsletter	Christopher Dashwood, First Counsellor (Asia-Pacific), Delegation of the European Union to the United States	e-mail
March 25, 2020	Comments on discussions around North Korea in DC amid COVID	Jung Min Noh, In-depth News Team, Korean Service, Radio Free Asia	Interview
March 26, 2020	Pitch on the importance of G20 as a platform to coordinate on COVID19	David Swerdlick, Washington Post	e-mail
March 26, 2020	Pitch on U.S.-ROK alliance challenges amid coronavirus outbreak	Frank Craig, Sandy Tolliver, and Cathryn Kulat at the Hill	e-mail
March 31, 2020	Pitch on U.S.-ROK alliance challenges amid coronavirus outbreak	Shannon Tiezzi, editor at the Diplomat Magazine	e-mail
April 7, 2020	Discussion on setting up an interview with Kyle Ferrier on South Korea's elections	Anna Luk, Deputy Head of Asia Pacific TV Interviews, Bloomberg	e-mail
April 7, 2020	Discussions on U.S.-ROK cooperation on COVID-19	Jen Steffensen, Foreign Affairs Officer at the U.S. Department of State	e-mail

April 7, 2020	Discussion on U.S.-ROK health cooperation	Marc Knapper, Deputy Assistant Secretary of State for Japan and Korea	Interview
April 9, 2020	Setting up a phone interview on Special Measures Agreement negotiations	Connie Kim, Voice of America	e-mail
April 14, 2020	Setting up a phone interview on missile launch	Sangmin Lee, Radio Free Asia	e-mail
April 15, 2020	Correspondence on event "The Implications of South Korea's National Assembly Elections"	Kristen Lee Executive Assistant to Professor Gi-Wook Shin at Stanford University	e-mail
April 16, 2020	Correspondence regarding contact for an attendee at "The Implications of South Korea's National Assembly Elections"	Jung Min Noh, In-depth News Team, Korean Service, Radio Free Asia	e-mail
April 21, 2020	Correspondence on collaboration on a history class	HyoJung Jang, instructor for an online course on Korean history and U.S.-Korea relations at the Stanford Program on International and Cross-Cultural Education (SPICE) at Stanford University.	e-mail
April 30, 2020 – May 8, 2020	Correspondence and interview with Ken Gause on Kim Jong-uns' disappearance for KEI's podcast	Ken Gause, senior foreign leadership analyst at CNA	Interview, e-mail
May 18, 2020	Regarding an upcoming KEI event on Korean baseball	Seth Berkman, reporter New York Times	e-mail
May 18, 2020	Correspondence on an interview regarding Korea's response to the pandemic	Justine Jehae Do, Deputy editor & Cheong Wa Dae correspondent at The Korea Times politics desk	e-mail
April 30 – May 22, 2020	Correspondence to set up Ambassador Stephens' interview with PBS	Kyle Haddad-Fonda, Foreign Policy Association	e-mail
June 12 -25, 2020	Correspondence to coordinate an event around Korea War anniversary	Gerard Parker, Executive Director of Korean War Memorial Foundation; Ellen Hong White, Ethnic Media Services; Jennifer Kirk, Principal at Jenius Consulting	e-mail
June 12-15, 2020	Correspondence to set up Ambassador Stephens' interview with Vox	Alex Ward, Staff Writer at Vox	e-mail
June 16, 2020	Setting up a radio interview with Mark Tokola and Bloomberg	Jonathan Rosenberg, producer for Bloomberg TV's Daybreak Asia programming; Anna Luk, Deputy Head of Asia Pacific TV Interviews, Bloomberg	e-mail
June 19, 2020	Correspondence and interview with Charles Kraus on the origins of the division on the Korean Peninsula	Charles Kraus, Deputy Director, History and Public Policy Program	Interview, e-mail
July 14, 2020	Discussion on cross-posting an academic paper	Pamela Kennedy, Research Analyst at The Stimson Center	e-mail

July 23 – August 11, 2020	Collaboration with KEI	Bradley Martin and David Simmons, editor for Asia Times	e-mail
August 7, 2020	Regarding a blog article re-published on Asia Times	Stephen Haggard, professor at University of California San Diego	e-mail
August 10 - 11, 2020	Correspondence and interview regarding the history of Hyundai Motor Company	Frank Ahrens, BGR	Interview, e-mail