

11 2 JUN 1997

For Six Month Period Ending _____
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No. 02591

DUTTON & DUTTON P.C.

(c) Business Address(es) of Registrant

5017 Tilden St. N.W.
Washington, D.C. 20016

2. Has there been a change in the information previously furnished in connection with the following:

- (a) If an individual:
 - (1) Residence address Yes No
 - (2) Citizenship Yes No
 - (3) Occupation Yes No

- (b) If an organization:
 - (1) Name Yes No
 - (2) Ownership or control Yes No
 - (3) Branch offices Yes No

(c) Explain fully all changes, if any, indicated in items (a) and (b) above.

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CRM/REGISTRATION UNIT

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.
Yes No

If yes, have you filed an amendment to the Exhibit C? Yes No

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (a waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

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4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes No

If yes, furnish the following information:

| Name | Position | Date Connection Ended |
|------|----------|-----------------------|
|------|----------|-----------------------|

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes No

If yes, furnish the following information:

| Name | Residence Address | Citizenship | Position | Date Assumed |
|------|-------------------|-------------|----------|--------------|
|------|-------------------|-------------|----------|--------------|

5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal? Yes No N. A.

If yes, identify each such person and describe his service.

(b) Have any employee or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting? Yes No

If yes, furnish the following information:

| Name | Position or connection | Date terminated |
|------|------------------------|-----------------|
|------|------------------------|-----------------|

(c) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes No

If yes, furnish the following information:

| Name | Residence Address | Citizenship | Position | Date Assumed |
|------|-------------------|-------------|----------|--------------|
|------|-------------------|-------------|----------|--------------|

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement? Yes No N. A.

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?
Yes No

If yes, furnish the following information:

Name of foreign principal

Date of termination

8. Have you acquired any new foreign principal² during this 6 month reporting period?
Yes No

If yes, furnish following information:

Name and address of foreign principal

Date acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principals² whom you continued to represent during the 6 month reporting period.

Royal Embassy of Saudi Arabia
601 New Hampshire Ave., N.W.
Washington, D.C. 20037

10. **EXHIBITS A AND B**

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

| | | | |
|------------------------|------------------------------|-----------------------------|-------|
| Exhibit A ³ | Yes <input type="checkbox"/> | No <input type="checkbox"/> | N. A. |
| Exhibit B ⁴ | Yes <input type="checkbox"/> | No <input type="checkbox"/> | |

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes No

If yes, have you filed an amendment to these exhibits? Yes No

If no, please attach the required amendment.

2 The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

3 The Exhibit A, which is filed on form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

4 The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes No

If yes, identify each such foreign principal and describe in full detail your activities and services:

Monitored Executive Branch, Congressional and other public developments concerning Saudi Arabia and the Middle East; petroleum developments; Middle East peace process, and U.S. political developments; and consulted with Principal as to those. Responded to occasional media inquiries as to Saudi Arabia, but did not initiate those unless so stated specifically later herein.

(See attached sheet for more details)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below?

Yes No

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places, of delivery, names of speakers and subject matter.

Registrant undertook in Paragraph 11 to cover information as to U.S. government, the media and public-private sector. Generally, registrant interfaces principally with Saudi officials, but any exceptions to that are specified in 11 above. No Congressional lobbying by registrant occurred in this period.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes No

If yes, describe fully.

⁵ The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes No

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies⁶

| Date | From Whom | Purpose | Amount |
|---------|------------------------------|----------------|------------|
| 1/2/97 | Principal | Retainer | \$125,000. |
| 3/31/97 | Principal | Retainer | 125,000. |
| 3/20/97 | Saudi Basic Industries Corp. | Legal Services | 23,575. |

Total \$273,575.

(b) RECEIPTS - FUND RAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign⁷, any money on behalf of any foreign principal named in items 7, 8, and 9 of this statement? Yes No

If yes, have you filed an Exhibit D to your registration? Yes No

If yes, indicate the date the Exhibit D was filed. Date _____.

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes No

If yes, furnish the following information:

| Name of foreign principal | Date received | Description of thing of value | Purpose |
|---------------------------|---------------|---|---------|
| | | Was provided round-trip travel from U.S. to Riyadh, Jeddah, London Geneva, Switzerland, and St. Lucia (Caribbeans) accompanying Saudi officials, including hotel and private-home accommodations, meals, car transportation, etc. in January, February, March, May, June of this filing period. | |

^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign named in Items 7, 8, and 9 of this statement? Yes No

(2) transmitted monies to any such foreign principal? Yes No

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

As to (a) (1) above:

| Date | To Whom | Purpose | Amount |
|-----------------------|---|---|---------------------------|
| 12/13/96 - 6/12/96 | General office overhead | (phones, supplies, equipment, secretarial, accounting, professional memberships, shipping, reimbursable travel, etc.) | \$65,000. (allocated) |
| | Nancy Dutton | Salary | \$100,000. (allocated) |
| | Frederick Dutton | Salary | \$90,000. (Allocated) |
| | Business Travel Expenses, allocated, two officers above | | \$7,500. |
| | Business meals, principally with Saudi personnel and other consultants to Embassy | | \$4,500. |

Total \$267,000.

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?

Yes No

If yes, furnish the following information:

| Date disposed | Name of person to whom given | On behalf of what foreign principal | Description of thing of value | Purpose |
|---------------|------------------------------|-------------------------------------|-------------------------------|---------|
|---------------|------------------------------|-------------------------------------|-------------------------------|---------|

(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes No

If yes, furnish the following information:

| Date | Amount or thing of value | Name of political organization | Name of candidate |
|------|--------------------------|--------------------------------|-------------------|
|------|--------------------------|--------------------------------|-------------------|

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials¹²?
Yes No

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

N.A.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials?
Yes No

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following: N.A.

- Radio or TV broadcasts
- Magazine or newspaper articles
- Motion picture films
- Letters or telegrams
- Advertising campaigns
- Press releases
- Pamphlets or other publications
- Lectures or speeches
- Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups: N.A.

- Public Officials
- Newspapers
- Libraries
- Legislators
- Editors
- Educational institutions
- Government agencies
- Civic groups or associations
- Nationality groups
- Other (specify) _____

21. What language was used in the informational materials:

- English
- Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes No N.A.

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes No

N.A.

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4 (b) of the Act.

VI--EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

July 7, 1997

(Type or print name under each signature¹³)

Frederick G. Dutton
FREDERICK G. DUTTON
FOR DUTTON & DUTTON P.C.

District of Columbia

Subscribed and sworn to before

me this 7 day of

July 1997 by *Frederick G. Dutton Only.*

M. Farzin
Notary Public

M. FARZIN
NOTARY PUBLIC DISTRICT OF COLUMBIA
My Commission Expires October 14, 1998

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 8 of Form CRM-154, formerly Form OBD-64 - Supplemental Statement):

Yes _____ or No X _____

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes _____ or No X _____

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

Frederick G. Dutton
Signature

July 7, 1997
Date

FREDERICK G. DUTTON

Please type or print name of signatory on the line above

Partner, Dutton & Dutton P.C. _ Registrant

Title

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Continuation of Answer to Paragraph 11, Page 4 -

- Dec. 19th - Visited U.S. Air Force University in Montgomery, Alabama and stayed overnight in connection with a visit and talk there by Saudi official. Met and talked informally with a number of U.S. government and civilian officials there.
- Dec. 26 - Attended a reception at the home of journalist Elizabeth Drew and talked with several dozen media people and U.S. govt. officials also there.
- Dec. 27 - Talked with N.Y. Times diplomatic correspondent Steve Erlanger at his request on a range of Saudi developments.
- Jan. 2 - Same with Norman Kempster of the Los Angeles Times, at his request. Also called by N.Y Times correspondent Neil Lewis as to Principal.
- Jan. 6 - Talked with Leslie Stahl of CBS' 60 minutes. Also on Feb. 5 and 25.
- Jan. 9 - Attended Council of Foreign Relations meeting to hear Assistant Secretary of State Winston Lord and talked with him.
- Also was called that day by Nora Boustany of Washington Post re Principal.
- Feb. 13 - Invited to lunch by journalist Sy Hersch - on Principal only in passing.
- Feb. 18 - Invited to lunch by Newsweek journalist Evan Thomas - on Principal only in passing.
- Feb. 21 - Attended a meeting with Saudi officials and the following journalists as to impending visit to Washington D.C. by Saudi officials: Tom Lippman of Washington Post, Elaine Scialino of N.Y. Times, Tom Ricks of Wall St. Journal, David Cloud of Chicago Tribune, Normal Kempster of L.A. Times and Jim Morrison of Washington Times.
- Feb. 22 - Attended reception at home of Steve and Cokie Roberts and talked with a number of U.S. government officials and media people also there - Principal mentioned only in passing.
- Feb. 27 - Invited to lunch at State Dept. given by Secretary of State Madelaine Albright for visiting Saudi official and talked with a number of State Department personnel and journalists as well as others also there.
- March 27 and May 12 - Talked with David Cloud of Chicago Tribune on Visa matter.
- May 9 - Attended reception at home of Katherine Graham and talked with a number of journalists and U.S. government officials there.

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- May 10 - Attended Council of Foreign Relations meeting to hear Senator Lugar and talked briefly with him plus journalists and govt. officials there - not on principal.
- May 12 - Attended dinner at home of David Broder of Washington Post. Also talked during this registration period with Jack Nelson of L.A. Times, Mark Mathews of Baltimore Sun, Steve Meyers of N.Y. Times and Richard Harwood of Washington Post, but misplaced piece of paper with the exact dates.
- Talked on phone with CBS' 60 minutes' Ron Hartman at his request.