welcome

It is my pleasure to invite you to participate in the 2020 Effie Awards United States competition.

Effie’s mission is to lead, inspire and champion the practice and practitioners of marketing effectiveness. Part of our role is to incentivize our industry to become more effective marketers and showcase ever-evolving examples of growth. In doing this, we continue to create opportunities that spotlight your ideas that worked.

This takes many forms, as we support marketers through all stages of their careers, whether it be through Effie Collegiate, the Effie Academy, the Effie Awards (which runs 55 programs globally and fuels the Effie Index), or through our thought leadership.

To better represent the marketing and business challenges that you and your teams are overcoming we have added a mix of new categories this year that expand our focus to business ideas, speak directly to start-ups and technology companies, and focus more deeply on effective partnerships. We have also broadened the Shopper Marketing Effies to become the Commerce & Shopper Effies.

It is important to spotlight our successes, learn from them and continue to provide benchmarks and proven examples of success for our industry.

2020 NEW CATEGORIES:

- Brand Integration & Entertainment Partnerships
- Direct to Consumer
- Marketing Disruptors
- Marketing Innovation Solutions
- Topical Marketing

Within the Commerce & Shopper Awards, we have added categories for:

- Brand Experience
- Data-Driven
- Media Innovation

HELPFUL RESOURCES

We know you have a story to tell and we want to help you tell it effectively. Entrants are encouraged to take advantage of the resources available during Call for Entries, including guidance from past juries, entry webinars and sample case studies. As a longer-term resource, I couldn’t recommend highly enough that you sign up to receive an Insight Guide to accompany your entry. Each Insight Guide provides direct feedback from the judges who score your case, as well as insight into how your entry fared across each pillar of Effie’s method of assessment. Having greater context around judge scores and feedback is a valuable resource for future case study preparation. You can find out more about the Insight Guide on page 8.

I look forward to seeing many of you at our events throughout the year and at our Awards Gala in June 2020.

All the best in this year’s competition. Please do not hesitate to contact me or any member of the Effie team with questions, not just about the competition but about anything to do with effectiveness.

Kind regards,

[Signature]
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**ENTRY DEADLINES & FEES**

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<thead>
<tr>
<th>ENTRY DEADLINE</th>
<th>DATE</th>
<th>ENTRY FEE</th>
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<tr>
<td>First Deadline</td>
<td>October 15, 2019</td>
<td>$975</td>
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<tr>
<td>Second Deadline</td>
<td>October 22, 2019</td>
<td>$1,425</td>
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<td>Third Deadline</td>
<td>November 5, 2019</td>
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<tr>
<td>Final Deadline</td>
<td>November 12, 2019</td>
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Non-Profit Submissions: Entries for non-profit organizations will receive a 50% discount on entry fees. This discount will automatically be applied in the Entry Portal when you select that you are entering work for a non-profit brand.

**MARKETING INNOVATION SOLUTIONS CATEGORY – SPECIAL DEADLINE**

The Marketing Innovation Solutions Category, which requires the use of a special entry form, will follow a later deadline schedule.

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<thead>
<tr>
<th>ENTRY DEADLINE</th>
<th>DATE</th>
<th>ENTRY FEE</th>
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<tbody>
<tr>
<td>Deadline</td>
<td>January 15, 2020</td>
<td>$1,525</td>
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**NEW ENTRANT DISCOUNT**

If your company has not submitted work in 2017, 2018, or 2019 competitions as the lead/entering company, your company is eligible for a $200 discount on all entries you submit. New Entrant Discount requests should be made prior to submitting your entry. New Entrant Discounts cannot be requested after November 12, 2019.

To obtain the New Entrant Discount, please email your agency name & location, along with the Entry Portal Account Holder’s email address to payments@effie.org with the subject line: NEW ENTRANT DISCOUNT.

**INSIGHT GUIDES**

Insight Guides provide feedback from the judges who scored your submission. Judges provide insights on the strengths and weaknesses of the case, along with advice for the future.

Insight Guides purchased at time of entry receive a $100 discount.

| Through November 12th: | $250 per entry |
| Post-Entry Season:     | $350 per entry |

More information on fees, discounts, and payment options can be found in “payment guidelines”.
entry basics / eligibility & rules

All marketing efforts that ran in the United States at any point between September 1, 2018 and August 31, 2019* are eligible to enter.

- Any and all marketing cases, whether full campaigns or unique efforts within a campaign, are eligible to enter. You may submit any one or any multiple combination of mediums – any examples of work that demonstrate how you tackled your objectives. You must detail the “why” behind the strategy and provide proof that your work achieved significant results.

- Do not include results after August 31, 2019*.

- Data presented must be isolated to the United States.

- Your work must have made an impact during the eligibility period and the results you provide must be within this time frame. Elements of the work may have been introduced earlier and may have continued after, but your case must be based on data relative to the qualifying time. Judges will evaluate success achieved during the eligibility time period.
  
  - It is important to include context, data and results prior to the eligibility period. This enables judges to better understand the significance of your objectives and results. Keep in mind that not all judges are knowledgeable of the nuances of your brand’s industry.

- Test efforts are not eligible in the competition.

- A single effort cannot be submitted by different organizations in the same category. Teams must collaborate on a single entry. Different organizations may take the lead on entering the same work in different categories.

- The Effie Awards reserves the right to re-categorize entries, split/redefine categories and/or refuse entry at any time.

SPECIAL CIRCUMSTANCES

- If your effort LAUNCHED August 2018 or CONCLUDED September 2019, you may include data and creative work from those time periods to be evaluated by the judges as part of the eligibility period.

- Seasonal categories: These categories follow an eligibility time period of: September 1, 2018 - September 30, 2019. Entries in this category cannot include results after September 30, 2019.
  
  - If you will include results from September 2019, you are eligible for a later deadline schedule – please email entries@effie.org to confirm eligibility.

- Sustained Success: At a minimum, entries in the Sustained Success category must include work and results that date back to August 31, 2016. Results must be provided through the current competition year. Refer to the category definition & entry form for further information.
RE-ENTERING PAST WINNING WORK

If your work continued running in this year’s eligibility window and you have results from this eligibility window, you may re-enter past winning efforts within the following requirements:

- **2019 Gold Effie winners** can only re-enter a category in which they did not win Gold. 2018 and earlier Gold Effie Winners can re-enter any category.
  - Note: If you are interested in entering a different effort by the brand into the same category, it may be eligible for entry. Email entries@effie.org for more information.

- **Past Silver and Bronze Effie winners** can re-enter any category.

- **Past Gold Sustained Success winners** can re-enter the Sustained Success category after 3 years. Gold Sustained Success winners from 2017 and earlier are eligible in the 2020 competition.

- **2019 David vs. Goliath & Challenger Brand Solutions winning brands** (Gold/Silver/Bronze) are not eligible to enter these categories this year. Winners from 2018 and earlier can re-enter this category in the 2020 competition.

ENTERING MULTIPLE CATEGORIES

You may enter an effort into a maximum of 4 categories, with no more than 1 Product/Service category and no more than 2 Commerce & Shopper categories. You will need to submit a separate entry and pay separate entry fees for each category submission for an effort.

Each entry should be customized to speak to the specifics of each entered category. Judges frequently express it is difficult to properly evaluate a submission when the entry wasn’t tailored for the entered Effie category.
Entry basics / payment guidelines

entry basics / entry form & reqs. / publication & confidentiality / judging / categories / contact

Entry fees are locked based on the date of submission – when all parts of the entry are complete, and the entrant clicks ‘Submit’ in the online Entry Portal. Entrants cannot submit an incomplete entry.

Deadlines (and their associated fees) do not "close" until 6:00 AM EST on the morning following the date listed.

Payment Deadline: December 13, 2019
Marketing & Business Solutions Payment Deadline: February 15, 2020

ACCEPTED PAYMENT METHODS

Entrants may pay for their entries via credit card (AMEX, Mastercard, or Visa), check, or wire transfer.

When submitting, if you do not wish to pay immediately via credit card, you will select “Generate Invoice & Submit.” This will allow you to download an invoice for check/wire payment. You should also use this method if you plan to return to the entry portal at a later time to pay via credit card.

CHECK PAYMENTS

When paying via check, please include a copy of your invoice and mail to:

Effie Worldwide
Attn: US Entries
148 Madison Avenue
4th Floor
New York, NY 10016

EFT/ACH PAYMENTS

If paying via EFT/ACH, please ensure the Invoice Number is referenced in the wire notes and email notifications are sent to payments@effie.org and finance@effie.org.

Bank Info:
Account holder: Effie Worldwide, Inc.
Bank: Chase Bank, NA, 225 Fifth Avenue, New York, NY, 10010, USA
Account #: 127365309
Routing/ABA #: 021000021

DISCOUNTS

If you are eligible for a discount, please do not submit your entry until you have obtained your promo code from an Effie team member. You will not be penalized with a late fee if you are waiting for your promo code.

REFUNDS & WITHDRAWING AN ENTRY

Entries are non-refundable after submission. If you need to withdraw your entry, please email entries@effie.org with a written request to withdraw the submission.
EFFECTIVE ENTRY GUIDE

Review the most common feedback judges give entrants. The guide breaks down each of the four scoring sections to show what the judges are looking for. It also provides general tips on writing a clear and effective entry.

Find this resource here.

CASE STUDY SAMPLES

Review sample case studies from recent winners here.

Additional case studies can be reviewed through the subscription-based Case Database. For any questions on the database & subscriptions, please contact subscriptions@effie.org.

INSIGHT GUIDE

The Effie Insight Guide is a report that gives entrants feedback directly from the judges who reviewed their case. Teams are encouraged to review Insight Guides from past entries when preparing for the 2020 competition.

If purchased during the entry period, the price is $250/case. If purchased after the entry period, the price will increase to $350/case.

For past entries, Insight Guides will be distributed within 2-3 weeks of payment. For 2020 submissions, Insight Guides will be distributed in June 2020.

Review a sample Insight Guide here. If you have any questions about the Insight Guide or would like to purchase the Insight Guide for a prior entry, please contact us at entries@effie.org.

Download the Entry Form Guide & Template here.

There are dedicated Entry Forms for submissions into the Commerce & Shopper, Positive Change: Environmental, Marketing & Business Solutions, and Sustained Success categories. All other category submissions use the standard entry form.

Entrants can use the Entry Form Guide & Template to draft their responses and collaborate with team members, partner agencies, and clients. In the Entry Portal, entrants will need to copy their answers to each question on the entry form into the corresponding question.

The written entry tells the story of the effort from beginning to end. Each part of the submission should work together and tell a story. Judges may not know about category norms or nuances within your brand’s industry, so it is critical to provide context throughout the written case.

WRITTEN ENTRY FORM

SCORING SECTION 1: CHALLENGE, CONTEXT & OBJECTIVES (23.3% of score)
In this section, judges evaluate whether they have the necessary context about your industry category, competitors, and brand to understand your entry and the degree of challenge represented by your objectives. Judges will assess the case for both suitability and ambition within the framework of the challenge. Weight will be given to the degree of difficulty and whether the entrant has provided the context to evaluate the case’s effectiveness in this section.

SCORING SECTION 2; INSIGHTS & STRATEGIC IDEA (23.3% of score)
This section prompts you to explain your strategic process and thinking to the judges. Your idea should be borne from these unique insights.

SCORING SECTION 3: BRINGING THE IDEA TO LIFE (23.3% of score)
This section relates to how and where you brought your idea to life – including your creative, communications and media strategies and the creative work itself. Judges are looking to understand why you chose specific media channels and how they relate to your strategy and audience. Your creative work, as shown in the Creative Reel & Images, is judged as part of this scoring section.

SCORING SECTION 4: RESULTS (30% of score)
This section relates to your results. Be sure to provide context (category/prior year) and explain the significance of your results as it relates to your brand’s business. Tie results back to the objectives outlined in Section 1.

OTHER ENTRY REQUIREMENTS

In addition to the materials for judging, you are required to submit publicity materials, company & individual credits, and additional data points for research/database purposes. You can see a full outline of these additional items here.
TOP ENTRY TIPS FROM JUDGES

1. “Start with the executive summary before you try to write individual sections. Get your overall story really strong, crisp, clear, and inspiring.”

2. “Be sure to provide context, most judges don’t know your category or what success looks like.”

3. “Concise written entries stand out. They are not only refreshing -- they encourage high marks.”

4. “The entrants who build their cases from a place of honesty, authenticity, and simplicity vs. marketing jargon were really the strongest.”

5. “Ensure that the ‘insights’ somehow tie to a human behavior. A data point is not an insight, it’s what the data point means to your brand, target or audience that makes it an insight.”

6. “Remember that communications strategies include both creative and media strategies - not just tactics.”

7. “Make sure your creative reel doesn’t just reiterate what you said in your entry. Use it to complement that information.”

8. “Connect results to objectives very clearly. Don’t try to fake it and write objectives to meet existing results. We can tell and will ding you for it.”

9. “Advertising principles should apply to case studies too: write them in a way that engages judges, focus on how your campaign helped achieve your brand’s objectives and don't over-complicate it. Less is more!”

10. “Check for grammar, typos, math errors, and inconsistencies.”

For more tips and detailed guidance on each of the four scoring sections, review the Effective Entry Guide.
The following will result in disqualification and entry fees will be forfeited:

- **Failing to adhere to the Effie Eligibility rules.** Data presented must be isolated to the United States, and the Effie eligibility period is 9/1/18-8/31/19*. No results after 8/31/19 may be included. Data prior to the eligibility period may be included for context. *See the Eligibility section for further details & special exceptions.

- **Entry does not meet category definition requirements.** Entries are judged based on effectiveness within the entered category.

- **Agency names/logos published in the entry form or in the creative materials.** Effie is an agency-blind competition; no agency names should be included in the materials that judges will review (entry form, investment overview, creative reel, creative images). Do not include any agency names in your sources – this includes agency names other than your own. The source of data should be referenced as “Agency Research,” “PR Agency Research,” “Media Agency Research,” etc.

- **Data not sourced.** All data, claims, facts, etc. presented anywhere in the entry form must reference a specific, verifiable source. Sources must be as specific as possible in documenting all evidence, while not citing specific agency names. Provide source of data, type of research, and the time period covered. The Entry Portal is set up to encourage sourcing via footnotes. Refer to the “sourcing data” page for more information.

- **Including screen grabs or other images of creative elements in your written entry form.** Entrants may not include any images of creative work, screen grabs of social media or other websites, or any other pictorial elements in the written entry. Any images of creative work must be provided as part of the Creative Examples for Judging. Charts/graphs are allowed to be included in the written case.

- **Directing Judges to External Websites.** Entrants are judged solely on the materials presented in their written entry and creative examples (creative reel + images). Entrants are not permitted to direct judges to websites for further information or for further examples of work.

- **Missing Translation.** All non-English creative work must include translation via subtitles or the Translation field on the Creative Examples tab in the Entry Portal.

- **Violating Creative Example (Reel, Images) Rules.** Entrants must follow all creative rules as outlined in the entry kit. This includes but is not limited to: competitor logos/creative work and results may not be included in the creative examples; time limits must be followed. See full rules, including guidelines on editing and content, in the Creative Requirements section of the entry kit.
entry form & requirements

entry basics / entry form & reqs. / publication & confidentiality / judging / categories / contact

sourcing data

All data, claims, facts, etc. included anywhere in the entry form must include a specific, verifiable source. Entries that do not source data will be disqualified.

In the entry portal, the entry form has a SOURCING box provided at the bottom of each scoring section for all references/footnotes. This allows entrants to provide sourcing affecting word limit restrictions.

1. When submitting your responses to questions 1-4, you must provide a source for all data and facts. At the end of a sentence that requires a source, use the SUPERSCRIPT feature to number your sources.
2. In the Sourcing box, numerically list your citations.

SUGGESTED SOURCING LAYOUT

Source of Data/Research, Research/Data Type, Dates Covered.

ADDITIONAL GUIDANCE

- Because of Effie’s specific eligibility time period, entrants are required to include the dates covered for all results data presented in your case.
- Judges are not permitted to visit external websites. If citing a website, please list out the website name, article name (if applicable), and any other relevant citation information, as judges are not able to click on links during the judging process.
- All data must be included in your response to questions 1-4. Entrants may not add additional information or explanation in the sourcing section. The sourcing sections may only be used to provide citation.
- Use the specific name of the company to reference a source except when the source is an agency (ad, media, or other). Because Effie is an agency-blind competition we require agency research to be referenced via the term “Agency Research.” This applies to all agencies, not limited to the entering agency. For increased specificity, entrants are encouraged to list type of agency when applicable, such as: PR Agency Research, Media Agency Research, Third Party Agency Research, etc. As with all other sourcing, include any other relevant citation information (type of research/data, dates covered, etc.).
  - Research Companies should be cited by name.
- Judges encourage third-party data when available.
- The Effie Awards reserves the right to check all sources provided for accuracy.

⚠️ effie reminder: When citing data, do not list any agency names. Data provided anywhere in the entry form must be sourced.
PURPOSE OF THE CREATIVE REEL: SHOWCASE EXAMPLES OF THE CREATIVE WORK THAT YOUR AUDIENCE EXPERIENCED.

Your creative work is scored as part of Scoring Section 3: Bringing the Idea to Life, which also includes your written response to Question 3 and the data presented in the Investment Overview. This section accounts for 23.3% of the total score.

CONTENT

- At minimum, 70% of the reel must be examples of creative work the audience experienced.
- Label each creative example by type of media (TV spot, Radio spot, etc.).
- No results (of any kind) may be included.
- 3-minute maximum

The creative reel should showcase the creative that brought the big idea to life. Judges are often frustrated when reels do not show enough examples of the creative work and too much time is spent re-telling the story told in the written case study.

Judges are required to read the written case before viewing the creative reel, so it is not necessary to repeat your written case. Any set-up or explanation may not interfere with the judge’s ability to review sufficient examples of your creative work. Creative and communication elements submitted must directly relate to your Strategic Objectives and Results outlined in the written form and must have run in the marketplace.

“"The creative reel should showcase all the work of the campaign, and not a way of re-telling the entire case. It should complement your case.”

You do not need to feature all items selected in the communications touchpoints checklist, only those integral to the case’s success that are explained in your written case. Points will be deducted if the importance of print ads, for example, is mentioned in the written case, but not demonstrated in the reel. Alternatively, creative elements that are shown in the reel, but not outlined in the written case are cause for down scoring. The creative reel should complement your response to Question 3.

Because the judges read the written case first and the focus of the reel is on the creative work, no results – hard or soft - may be included in the creative reel. Judges are aware that results are not allowed in the reel and express frustration when entrants submit reels with statements that reference results.

Reels are not required to be a full 3 minutes. Entrants must showcase at least one complete example of each integral communications touchpoint – additional examples are encouraged where helpful to judges in evaluating the creative work.
DO NOT INCLUDE

- Results of any kind - including numbers of social media likes, followers, etc.*
- Competitive work or logos
- Agency names, logos or images
- Any stock music/images that will cause confusion for judges with how your work ran in the marketplace. Any stock music/images that did not run in the original creative that you do not have the rights to include.
- Editing effects that cause confusion with how the work ran in the marketplace, e.g. music or voiceover added on top of TV spots.

MUST INCLUDE

- At least one complete example of each of the integral communications touchpoints mentioned in the written case (question 3)* - includes any type of work (print, radio, web, OOH, TV, etc.)
- If time allows, additional examples of specific creative materials.
- Translation for non-English work

* You may edit down video clips longer than 60 seconds when it is necessary to do so in order to show other examples of your work. However, you should showcase as much of the example as you can to give judges a clear understanding of what your audience experienced.

Any video elements 60 seconds or shorter must be shown in full.

STOCK IMAGES & MUSIC THAT DID NOT RUN IN YOUR CREATIVE WORK

Do not add music/stock images that did not run in your original creative work to your reel unless you secure the rights to include those supplemental materials.

Effie Worldwide is a 501(c)(3) non-profit educational organization that shows the work for educational purposes of honoring effective marketing communications ideas and the successful teams (client and agency) creating them. In regards to rights/licensing for talent/music that ran as part of the original creative work, we recommend that entrants talk to their company when entering about the policy for entering award shows - most award shows have the same requirements as Effie does, and this can help resolve any questions. As long as elements featured on the reel are the work for the campaign/effort you are entering, you should not run into an issue with rights/licensing.

SUSTAINED SUCCESS SUBMISSIONS

- 4-minute maximum
- Feature work that ran in the initial year (prior to 8/31/16), at least 1 interim years, and the most current year (9/1/18 - 8/31/19) of the case.
- Clearly mark the year the work ran in the marketplace before (or as) the work is shown on the reel.
GUIDELINES

- Do not include any agency names in the file name.
- Add subtitles or include written translation (via translation text box in the Entry Portal) for all non-English creative materials.
- Bear in mind that judges will see your .mp4 uploaded file on a laptop at Round One and on a central screen of at least 50” x 30” at Final Round.

TECHNICAL SPECS

- 1 creative reel file per entry
- 3-minute maximum
  - Note: Sustained Success cases may be up to 4 minutes in length.
- 250 MB maximum file size
- .mp4 format
- Resolution
  - 4:3 640 x 480
  - 16:9 1280 x 720
  - Letterbox 640 x 480
IMAGES OF THE CREATIVE WORK (2 required, 6 max.)

After the judges read your case and watch the creative reel, they review images of your creative work. Images uploaded should complement your reel and help the judges better evaluate creative elements that ran in front of your audience.

It can be helpful to provide images of work best seen as a still image (e.g. website, print ad, direct mail piece, etc.). Or, you may wish to upload work that you want judges to get another look at after watching the reel.

✨ This is an opportunity to showcase your creative work:
- Highlight elements that are better seen as a still image vs. video format.
- Draw further attention to key creative elements you wish to highlight.

Upload 2-6 (2 required) examples of the creative work.

📍 Technical Requirements: .jpg format, high-res. 15 MB max.
- Do not include agency names or logos on any creative materials submitted for judging.

📍 One of the top complaints from 2019 judges was that entrants were not maximizing the opportunity to showcase the work in these images.

📍 Note: The Creative Work provided for judging (Creative Reel, Images of Creative) will also be used for publicity purposes if your case is a finalist or winner.
IMAGES FOR PUBLICITY

**Primary Publicity Image.** This should be an image of the creative work that best represents your case. This image will be the primary image of the work for publicity and promotional purposes if the case is a finalist or winner. This includes usage in print and digital editions of the Effie Awards Journal, press/publicity materials, etc. This image may also be used in the Case Database. Because the case image will be printed, please upload high-res images.

- **Technical Requirements:** .jpg, high-res for printing, CMYK format, 100% of size. 15 MB max.

**Company Logos.** Company logos are required for all lead agencies and clients credited on the case.

- **Technical Requirements:** .eps or .ai format. 2 logos required. 3-4 logos if an additional lead agency or second client is credited. You may upload .jpg logos if you do not have .eps/.ai available.

**Team Photos.** Upload team photos of the lead agency and client team. You may upload a maximum of one photo per lead agency and client. You may only submit a third or fourth team photo only if you have a second lead agency or client. These images will be shown on screen at the Awards Gala and online by Effie Worldwide to promote your win. If you are unable to assemble your team for a team photo at time of entry, you will be able to provide an image once you learn your case is a winner.

- **Technical Requirements:** 300 dpi .jpg or .jpeg, 1000 pixels minimum wide or tall. 15 MB max.

- If you do not have a team photo to submit at time of entry, please make a note to yourself to submit a team photo by **April 10, 2020** if your submission is a winner. You are not required to upload an image at time of entry.

**Creative Examples from Judging.** The Creative Work provided for judging (Creative Reel, Images of Creative) will also be used for publicity purposes.
Effie recognizes the effective teamwork needed to create an effective case. You are required to credit all creative and strategic partners who contributed to the effort. Think carefully about your partners - clients; agencies of all types including full service, media, digital, promo, PR, events, media owners; etc.

COMPANY CREDITS

Space has been provided in the Entry Portal to credit a maximum of two lead agencies (1 required), two clients (1 required), and four contributing agencies (none required).

- You may credit a second Lead Agency and they will be given equal recognition by Effie Worldwide. In order to be considered a second lead agency, you must certify that the work done by each agency was of equal weighting and each agency deserves equal recognition. Second Lead Agencies must be designated at time of entry – you may not add or remove second lead agencies after the entry period.

- If you are an advertiser submitting in-house work, please list your company as both the Lead Agency & Client. You may also credit any contributing companies who assisted with the effort.

- Please confirm the spelling and formatting of other company names to ensure they will receive proper credit in the Effie Index and in all forms of publicity if your effort is a finalist or winner.

INDIVIDUAL CREDITS

Primary Individual Credits (10 max.): Entrants may credit up to ten individuals from any of the credited companies who were integral to the success of the case. Individuals will be listed in the Effie Awards Journal and the Case Database.

Secondary Individual Credits (10 max.): Entrants may credit up to ten additional individuals from any of the credited companies and these individuals will receive recognition in the Case Database. Due to space restrictions, they will not appear in the Effie Awards Journal.

CREDIT AMENDMENT POLICY

- Effie’s policy is that those recognized on the work at time of entry deserve recognition at time of win. At no time will Effie permit individual or company credits to be removed or replaced.

- Client & Lead Agency credits are final at the time of entry and cannot be removed or added after the entry is submitted.

- Credits must be thoroughly reviewed at time of entry by senior account leadership. All credits must be signed off by senior leadership on the “Authorization & Verification Form,” downloaded in the Entry Portal after credits have been added to the entry.

- Additional contributing company credits and individual credits can be added after time of entry only if the entry did not already credit the maximum number of contributing companies and individuals permitted. Credit additions and amendments require a $350 fee per change and are not guaranteed. No credit edits/additions are permitted after April 1, 2020.

All credit requests will be reviewed and accepted at the discretion of Effie Worldwide and are not guaranteed.
Effie collects contact information at each credited company. All contacts, except the CEO/Top Marketing Executive Contacts, may be added to Effie’s mailing lists, which can be unsubscribed from at any time. Contacts may be considered for an Effie jury. Individuals may be contacted about the submission at any time – the below explanations are examples of the most common reasons for contacting an individual; however, this is not an exclusive list.

These contacts will not be listed publicly. Only those listed in the Individual Credits will be public if the case is a finalist or winner.

**ENTRANT**
The Entrant Contact is the person whose account the entry is submitted under. The entrant contact is the primary contact for all entry notifications: submission confirmation, payment, revision requests/DQ issues, finalist & winner notifications, insight guides, etc. If you need to transfer an entry to a different person, please email entries@effie.org.

**LEAD AGENCY**

**LEAD AGENCY MAIN CONTACT**
This contact will be sent a congratulatory note, along with key information about celebrating their success, if the case is a winner. If your case is a winner, the complimentary trophy will be shipped to this individual. This is a secondary contact on the entry - if Effie cannot reach the entrant, Effie will reach out to this contact.

**LEAD AGENCY PUBLIC RELATIONS CONTACT**
This contact will be sent a congratulatory note, along with key information about celebrating their success, if the case is a winner. They may also be contacted regarding any PR/publicity opportunities for the agency or if Effie cannot reach the Entrant/Lead Agency Main Contact.

**LEAD AGENCY CEO**
This contact will be sent a congratulatory note if the case is a winner.

**CLIENT COMPANY**

**CLIENT MAIN CONTACT**
This contact will be sent a congratulatory note, along with key information about celebrating their success, if the case is a winner. Effie may also reach out to this contact if there are any questions regarding their company, the provided credits, or other entry issues that require client involvement. If Effie cannot reach the entrant or Lead Agency contacts, Effie may reach out to this person.

**CLIENT CEO/TOP MARKETING EXECUTIVE**
This contact will be sent a congratulatory note if the case is a winner.

**CONTRIBUTING COMPANY CONTACTS**

**CONTRIBUTING COMPANY MAIN CONTACT**
This contact will be sent a congratulatory note, along with key information on celebrating their success, if the case is a winner. Effie may also reach out to this contact if there are any questions regarding their company.
THE EFFIE INDEX

The Effie Index (effieindex.com), the global ranking of marketing effectiveness, uses the credits submitted at time of entry to tabulate the yearly rankings. Rankings include: Marketers, Brand, Agency, Independent Agency, Network and Holding Company. Below is some guidance on how the credits lead into the rankings.

- If your case becomes a 2020 Effie finalist or winner, the submitted credits will be used to tally the Effie Index results. Because different point values are given to lead and contributing companies, it is critical that all brands and companies are properly credited at time of entry.

- We request that all entrants communicate with their own corporate/communications office and the offices of their credited partner companies and/or PR departments to ensure all client and agency company names are credited correctly. This information should be communicated to contributing companies as well.

AGENCY RANKINGS

- Agency office rankings are compiled using the Agency Name, city and state as listed on the Credits Tab of the Entry Portal. Whether or not the city/state is included in the “Agency Name” field, the Index rankings will be based off of the location of the office using the city/state fields. Effie encourages consistency year-to-year.

- Be sure to guide all teams entering in the competition within your office to list the company name in a consistent manner – this includes consistent capitalization, spacing, punctuation, abbreviations, etc. This will ensure all finalist/winning cases from your office location can easily be tabulated together.

AGENCY NETWORK & HOLDING COMPANY RANKINGS

- Agency networks and holding companies are selected via a drop down in the Entry Portal.

- The way the agency name is entered in that field does not affect the Agency Network or Holding Company rankings, though it will be reviewed for accuracy.
Effie Worldwide is a 501(c)(3) non-profit organization that stands for effectiveness in marketing communications, spotlighting marketing ideas that work and encouraging thoughtful dialogue about the drivers of marketing effectiveness. In order to help fulfill this mission and provide learning to the industry, Effie relies on entrants’ willingness to share their finalist and winning case studies with the industry.

By providing permission to publish your written case, you are:

1. **Bettering the industry.**
   By allowing other marketers to learn from your success, you are inspiring the industry to raise the bar and make their marketing better.

2. **Bettering the future leaders of our industry.**
   Colleges and universities use Effie case studies in their courses, and Collegiate Effie participants learn how to write their own effective submissions by learning from yours.

3. **Showcasing your team’s success in achieving one of the top marketing honors of the year.**
   Effie wins help attract new talent, prove the importance of marketing in business, and strengthen agency-client relationships.

The Effie Awards entry and judging process is designed to help all entrants present their work effectively, while ensuring the confidentiality of classified information.

To help alleviate confidentiality concerns, you can refer to Effie’s policies on confidentiality and the publication of submissions in the following pages. Entrants are encouraged to reach out to Effie Worldwide (entries@effie.org) with any questions or concerns regarding our confidentiality policies.
The Effie Awards competition offers finalists and winners the opportunity to have written cases published in the Effie Case Database, in turn helping inspire the industry and do their part to “Make Marketing Better”. The written case and creative reel will be featured on the Effie Worldwide web site and/or on Effie partner web sites or publications.

We respect that entries may have information deemed confidential. Within the Entry Portal, entrants are asked to set publishing permission for the written entry.

Entrants may select from the following options:

- **“PUBLISH AS THE CASE WAS SUBMITTED”** – You agree that the written entry form may be published as it was submitted and reproduced or displayed for educational purposes.
- **“PUBLISH AN EDITED VERSION OF THE WRITTEN CASE”** – You agree to submit an edited version of your case study for publication which will be reproduced or displayed for educational purposes. You may redact any confidential information.
- **“PUBLISH THE CASE AS IT WAS SUBMITTED AFTER THREE YEARS”** – The written case will be published as it was submitted after three years. The case will be published as it was submitted and reproduced or displayed for educational purposes.
- **“PUBLISH AN EDITED VERSION OF THE WRITTEN CASE AFTER THREE YEARS”** – You agree to submit an edited version of your case study for publication which will be reproduced or displayed for educational purposes after three years. You may redact any confidential information.

**The written case is the only portion of the entry that should contain confidential information.** For that reason, the written case is the only portion of the entry that is included in the above publication permission policy. The creative work (reel, images), public case summary, and statement of effectiveness should not include confidential information and will be showcased in various ways if your entry becomes a finalist or winner.

Work submitted must be original or you must have the rights to submit it.
ADDRESSING CONFIDENTIALITY CONCERNS

JUDGING

Judging events are held in secure locations led by Effie moderators. Judges are required to sign confidentiality agreements before judging begins. Judges cannot remove materials from the judging session and are individually matched with entries and categories that do not pose a conflict of interest. For example, a judge from the Beverages industry will not judge the Beverages category.

INDEXING DATA

While judging is confidential and entrants may select publication permission for their written case, Effie understands some entrants may still have concerns regarding sensitive information. When presenting numerical data within the entry, entrants may choose to provide those numbers as percentages or indexes, so that actual numbers are withheld. Additionally, unless the entrant opts to allow Effie to publish the entry as it was submitted if it becomes a finalist or winner, only judges will see the written entry as it was submitted.

CREATIVE WORK & PUBLICITY MATERIALS

The creative material (creative reel, images, publicity materials) and case summary you submit into the competition become the property of Effie Worldwide and the Effie Awards and will not be returned. By entering your work in the competition, the Effie Awards/Effie Worldwide is automatically granted the right to make copies, reproduce and display the creative materials, case summaries, and statements of effectiveness within the context of your Effie entry for education and publicity purposes.

Creative work, case summaries, and the statement of effectiveness may be featured in the Effie Awards Journal, Effie Worldwide’s website, partner websites, press releases/newsletters, programming/conferences and the Effie Awards Gala. Because materials for publicity & creative work will be published for all finalists & winners, no confidential information should be included in these elements.

In regards to confidentiality concerns in the Creative Reel:

- Per entry rules, results (of any kind) may not be included in the creative reel.
- Entrants are only required to showcase examples of the integral creative work that ran in the marketplace. Confidential information should not be featured in the creative reel.

Entrants must have the rights to show all elements presented in the creative reel. Because Effie is a 501(c)(3) non-profit organization with the mission of providing learning to the industry, **Effie has not encountered issues with talent featured in the creative that ran in the marketplace.** The focus of the reel should be on this creative work—entrants should obtain the rights to feature any supplemental elements (music that did not run with the creative in-market, added stock imagery not used in-market, etc.).
In extraordinary circumstances, Effie will review requests to submit an edited reel for publication. Such requests should be submitted if the case becomes a finalist, as non-finalist creative reels are only seen by judges and will not be published.

**ADDITIONAL POINTS**

This year’s eligibility time period is September 1, 2018 – August 31, 2019 (Seasonal categories: 9/1/18-9/30/19) and the awards will be presented in June 2020. For some companies, this delay may alleviate some concerns regarding sensitive data.

Companies across the full spectrum – from large to small and across all industry sectors enter the Effie Awards. The Effie Award’s confidentiality policy, the ability to index data, the ability to set publication permissions, etc. are all established to ensure that any company can enter their effective work without hesitation.

We recommend nominating your client and agency team members for judging. Participating as a judge is one of the most valuable ways to learn about the award, understand how judging works, and experience our security and confidentiality rules firsthand.

To nominate a judge, please complete our Judge Application Form.

Effie Board, Executive Staff, and Committee members are senior, well-respected professionals in the industry on both the client and agency side. If you are interested, we would be happy to set up a time for them to talk to you about confidentiality during judging; how to involve key team members in the judging process; and how you can submit indexed data. If you would like to have a further discussion about confidentiality, please email entries@effie.org.
Your entry will be judged by some of the brightest and most experienced business leaders from the United States. We draw on their experience to not only judge the work of their peers but to highlight learning for the industry overall. Entries are judged in two phases. In both rounds, judges evaluate the written case and creative executions. Scoring is done anonymously and confidentially. Judges provide feedback on each case for the Insight Guide.

The judges’ scores determine which entries will be finalists and which finalists are awarded a gold, silver, or bronze Effie trophy. The finalist level and each winning level – gold, silver, bronze – have minimum scores required in order to be eligible for finalist status or for an award. Effie trophies are awarded in each category at the discretion of the judges. It is possible that a category may produce one or multiple winners of any level or perhaps no winners at all – no matter the number of finalists.

**SCORING SYSTEM**

Judges are asked to evaluate specific criteria in scoring a case’s overall effectiveness and provide four separate scores analyzing specific attributes of the work.

The breakdown is as follows:

- **Challenge, Context & Objectives** 23.3%
- **Insights & Strategic Idea** 23.3%
- **Bringing the Idea to Life** 23.3%
- **Results** 30%

Jurors are specifically matched with cases that do not prove a conflict of interest. For example, a judge with an automotive background would not review automotive cases. For this reason, it is critical that entrants provide market and category context in their entries. Give judges a clear understanding of the category situation and explain what your KPIs mean in the context of your category.

**ROUND ONE**

Round One Judges individually review 8-12 cases across a range of categories. Each jury member reviews a unique set of cases across multiple categories. Due to the volume of entries reviewed by each judge, brevity is encouraged. Cases that score high enough become finalists and move on to Final Round Judging.

**FINAL ROUND**

Finalists are judged against other finalists within their category, and like Round One, all elements of each case are reviewed and scored. Final Round judges discuss the finalists in the category before finalizing their scores.
THE GRAND EFFIE

The highest-scoring Gold Effie winners are eligible for the Grand Effie Award. The Grand Effie represents the single best case entered in a given year. As the Grand Jury is so senior and they express their collective opinion, the winning case represents both the most effective case of the year and a message that will be sent to the industry about lessons for the way forward. Only a select number of the highest-scoring Gold winning cases are considered contenders for the Grand Effie award.

Note: Because of the unique time period of the Sustained Success category, Sustained Success cases are not eligible for the Grand Effie Award.

2019 Grand Effie Winner
“It’s a Tide Ad”
Procter & Gamble / Saatchi & Saatchi New York
Category: Carpe Diem - Products

2018 Grand Effie Winner
“The Power of SHE”
State Street Global Advisors / McCann New York
Category: Media Innovation – Existing Channel

2017 Grand Effie Winner
“The McWhopper Proposal”
Burger King / Y&R New Zealand
Category: Restaurants
WINNING AN EFFIE

Receiving an Effie Award signifies your work was one of the most effective marketing efforts of last year, incorporating an insightful communications strategy, effective creative, and the market results to prove it worked. As the competition continues to grow, winning an Effie is becoming increasingly difficult.

Winner notifications will be sent in April 2020. Winners will receive additional information about celebrating their success at that time.

The 2020 Effie Awards United States Gala will be held on June 4, 2020, at Cipriani 42nd Street in New York City.

TROPHIES & CERTIFICATES

A complimentary trophy is provided to each winning lead agency and client company. The client and lead agency is the engraving default setting for all trophies, unless co-lead agencies or co-clients are designated, in which case both lead agencies/clients would appear on the trophy. Complimentary trophies will be shipped to each Lead Agency Main Contact in Summer 2020.

If your case is a finalist or winner, you can purchase additional personalized trophies and certificates with your choice of credited company(ies) or individuals showcased.

ORDER TROPHIES & CERTIFICATES HERE
CATEGORIES

entry basics / entry form & reqs. / publication & confidentiality / judging / categories / contact

CATEGORY LIMIT

To honor even more great work, efforts can be entered into a maximum of four categories. Of those four categories, only one category submission may be a product/service category, and you may only enter up to two Commerce & Shopper categories. You are not required to enter a product/service category or a Commerce & Shopper category – you may enter four specialty categories instead.

♣ Each entry should be customized to speak to the specifics of each entered category whenever applicable. Judges are often frustrated & express difficulty in effectively evaluating a case when an entry wasn’t tailored for the entered category.

♣ You will need to complete a separate entry form and pay the entry fee for each additional category.

♣ The Effie Awards reserves the right to re-categorize entries, split/redefine categories and/or refuse entry any at any time. Test market entries are not eligible for the Effie Awards United States competition.

PRODUCT & SERVICE CATEGORIES

There are over 30 product and service categories to choose from. Category definitions provide guidance regarding the types of products/services that may enter that category; however, it is not an exclusive list. If you are unsure what category best fits your brand, please email entries@effie.org.

You may only enter one product/service category per effort.

SPECIALTY CATEGORIES

The Specialty Categories are designed to address a specific business situation or challenge. There are over 40 specialty categories, with focuses on audiences, business challenges, health, media, shopper marketing, and industry trends.

When entering into any of these categories, you should present your entry in a way that addresses the situation or challenge as outlined in the category definition. It is critical to thoroughly review these category definitions to ensure your effort meets the criteria of the specific category definition. Judges will down score your entry if you are missing information required by the category definition.
## PRODUCT & SERVICE CATEGORIES
- Agricultural, Industrial & Building
- Automotive - Aftermarket
- Automotive - Vehicles
- Beauty & Fashion
- Beverages - Alcohol
- Beverages - Non-Alcohol
- Culture & The Arts
- Electronics
- Entertainment & Sports
- Finance
- Fitness & Wellness
- Food
- Government, Institutional & Recruitment

### HEALTH
- Healthcare - OTC
- Healthcare - Rx - Consumer/DTC
- Healthcare - Rx - Devices
- Healthcare - Rx - Professional
- Healthcare Services
- Home Furnishings & Appliances
- Household Supplies & Services
- Insurance
- Internet & Telecom
- Leisure & Lifestyle
- Media & Entertainment Companies
- New Product or Service Introduction
- Office & Delivery
- Personal Care
- Pet Care
- Professional Services
- Restaurants
- Retail
- Snacks & Desserts
- Software & Apps
- Transportation
- Travel & Tourism

## SPECIALTY CATEGORIES
- **Brand Experience**: Live, AR/VR, Live + AR/VR
- **NEW**: Brand Integration & Entertainment Partnerships
- **Branded Content & Entertainment**
- **Business-to-Business**: Products, Services
- **Carpe Diem**: Products, Services
- **Corporate Reputation**
- **David vs. Goliath**
- **NEW**: Direct to Consumer
- **Engaged Community**
- **NEW**: Marketing Disruptors
- **NEW**: Marketing Innovation Solutions
- **Multicultural**: Products, Services
- **Renaissance**
- **Seasonal Marketing**: Products, Services
- **Small Budgets**: Non-Profit, Products, Services
- **Sustained Success**: Products, Services
- **NEW**: Topical Marketing
- **Youth Marketing**

## COMMERCE & SHOPPER
- **NEW**: Brand Experience
- **Category/Aisle Evolution**
- **Challenger Brand Solution**
- **NEW**: Data-Driven
- **E-Commerce**
- **NEW**: Media Innovation
- **Multi-Brand Shopper Solution**
- **Multi-Retailer Program**
- **New Product/Service Introduction**
- **Omni-Channel Shopper Solution**
- **Seasonal/Event**
- **Single-Retailer Program**: Mass Merchants, Supermarkets, Drugstores, Other
- **Sustained Success**

### HEALTH
- **Disease Awareness & Education**: Charitable/Research Funding; Non-Profit; Pharma/Corporate

### MEDIA
- **Data-Driven**
- **Media Content Partnerships**
- **Media Idea**
- **Media Innovation**: Emerging & New Channels, Existing Channels

### POSITIVE CHANGE
- **Environmental**: Brands, Non-Profit
- **Social Good**: Brands, Non-Profit
Agricultural, Industrial & Building. All related products, materials, tools and services.

Automotive - Aftermarket. Gasoline, motor oil, tires, batteries, paint, quick-lube, oil change, muffler, transmission, windshield wipers, enhancements, etc.

Automotive - Vehicles. Cars, trucks, motorcycles, vans. Both brand and model advertising.

Beauty & Fashion. Includes cosmetics, fragrances, hair products, nail products, subscription boxes, jewelry, brands of clothing, handbags, accessories, eyewear, shoes, etc. Includes services such as salons, spas, style services, etc. (For personal care items, please see the Personal Care category.)

Beverages - Alcohol. Beer, champagne, liquor, wine, hard seltzers, wine coolers, etc.

Beverages - Non-Alcohol. Diet and non-diet soda, coffee, tea, juices, milk, milk substitutes, bottled water, sparkling water, etc. (For energy drinks, please see the Fitness & Wellness category.)

Culture & The Arts. Museums, plays, immersive experiences, music organizations and festivals, concert series, cultural festivals, theater festivals.

Electronics. TVs, radios, mobile devices, home entertainment, laptops, tablets, cameras, computer hardware, game consoles, drones, external or integrated VR/AR devices, sound systems, etc. Electronic devices may be aimed at consumers or businesses.

Entertainment & Sports. Includes all forms of entertainment, e.g. video games, movies, TV shows, podcasts, games, toys, entertainment apps, etc. Sporting events such as the Super Bowl, sports teams, etc.

Finance. Financial products and services including: communications promoting overall image and capabilities of a financial institution; specific products or services including credit/debit cards, reward/loyalty cards, financial planning, mobile payment services, retirement funds, investment, home banking, loans, mortgage, mutual funds, etc.

Fitness & Wellness. Unregulated products/services focused on consumer health and/or promoting a healthy lifestyle. Includes digital health products, fitness trackers, health/fitness apps, exercise equipment, nutraceuticals, vitamins, energy bars and drinks, etc.; fitness studios; weight loss and fitness programs/camps, training camps and facilities, etc.

Food. Fresh, packaged and frozen foods. (For snacks & desserts, please see the Snacks & Desserts category.)

Government, Institutional & Recruitment. Municipal or state economic development, lotteries, utilities (i.e. electricity conservation messages), membership drives, educational institutions/organizations, armed forces marketing communications. Includes political messages and special interest/trade group communications.

Health Effies Product/Service Categories. [jump to Health Effie section]
**Home Furnishings & Appliances.** Kitchen and laundry appliances, air conditioners, carpeting, furniture, decorator’s supplies, paint, wallpaper.

**Household Supplies & Services.** Cleaning products, waxes, detergents, floor-care products, fabric softeners, paper products, domestic services, mowers, fertilizers, lawn care, gardening services.

**Insurance.** Communications promoting specific products or services related to insurance and the capabilities of financial institutions offering these services. All types of insurance are eligible (home, auto, financial, life, travel, business, etc.), with the exception of health insurance service providers – efforts for health insurance companies must be submitted into Healthcare Services.

**Internet & Telecom.** Mobile network providers, high speed internet access services, online services, bundled communications (Internet, telephone, and TV), etc.

**Leisure & Lifestyle.** Products and services aimed at hobbies, leisure, and recreation, including, but not limited to: dating services/apps, personal development/improvement programs/apps, genetics/ancestry testing services, sporting and camping goods/services, etc.

**Media & Entertainment Companies.** TV networks, streaming services, websites (entertainment, lifestyle, news, trade, etc.), magazines, newspapers, consumer or trade media, radio stations, broadcasters, etc.

**New Product or Service Introduction.** Any communications effort used to introduce a new product or service that is not a line extension. Brand new products or new products in a new category are eligible.

Effie defines line extension as:

- Any variation of an existing product that shares the same brand name and is in the same category as the existing product and shares the same characteristics as the parent but offers new benefit (flavor, size, package, type, etc.)
- A derivative product that adds or modifies features without significantly changing the price
- Products that bear the same brand name and offer the consumer varied options (e.g. Diet version of drink)

Your entry must be written to address how your product and service was new and the situation you faced as a result of it being new. For example, what specifically was new? Why did the newness matter? Write the entry to address the category situation (new product/service introductions) similar to what you would do when writing your entry to address a category situation like sustained success, etc.

**Office & Delivery.** Delivery: Overnight delivery, package tracking, international service, etc. Office: Printers, copiers, supplies, office furniture, etc.

**Personal Care.** Soap, dental products, face & body lotions and cleansers, cotton swabs, deodorants, feminine hygiene products, razors, shaving cream, etc. *(Items focusing on beauty should be entered in the Beauty & Fashion category.)*
**Pet Care.** Animal care products and services of all types, including food, toys, veterinary and boarding services, training, breeders.

**Professional Services.** Includes Business/Professional services such as accounting, consulting, legal, employment, real estate, etc.

**Restaurants.** Quick service, casual dining, mid-scale, white tablecloth and other restaurants. Any type of restaurant may enter.

**Retail.** Open to all retail companies (online and/or brick & mortar) with general or specific merchandise. E.g., department stores; clothing, shoes or jewelry stores; food stores; movie/book stores; discount/bulk retailers; pet care; toy stores; specialty stores; etc.

**Snacks & Desserts.** Ice cream, candy, chips, cookies, bakery items, nut, fruit & vegetable snacks, popcorn, etc.

**Software & Apps.** Software, groupware, operating systems, SaaS/laaS and Cloud based services, software/apps stored locally on a computer/tablet/mobile device, etc.

**Transportation.** Air, train, bus, taxi, rideshare services, subway systems, bike shares, car rentals, ferries, etc.

**Travel & Tourism.** Cruises, hotels, resorts, amusement parks, travel websites and booking services, travel tours, tourism campaigns, etc.
Brand Experience

- Brand Experience: Live
- Brand Experience: AR/VR
- Brand Experience: Live + AR/VR

This category is not for efforts that focused on TV, radio or print ads to connect with an audience. It is meant to showcase how you can create a brand experience beyond traditional advertising. Work that truly brought a brand or product to life and interacted with a specific audience to achieve desired objectives should be entered. You may have re-invented the product demo, re-imagined the pop-up store, or led a “brick and mortar” retail overhaul; you could have created a new game, an alternate or virtual reality experience or interactive/immersive film experience that effectively showcases a new product or brand personality - it could have been anything. As long as you can prove it truly came alive and worked. The winners of this award will show how advertisers are reaching out to their audiences to establish meaningful relationships, memorable, engaging experiences, and unique connections with their brands.

Entrants in the Brand Experience category must address how the brand experience related back to the overall brand strategy.

- Note: As with all Effie categories, you can enter whether your work brought the brand to life as the entire marketing effort or as part of the larger marketing program.

- Note: Judges will expect to understand the ‘participation’ in the experience as a core factor.

NEW: Brand Integration & Entertainment Partnerships

This award will honor those brands that have effectively reached their audience via strategic integrations & entertainment partnerships. Submissions should detail how the brand was seamlessly interwoven within the right content in an engaging way. Detail the strategic reasoning behind the partnership - why was this partner chosen over others? Clearly detail the selection process of the partner, and explain how this partnership led to the results that met the objectives of the brand.

Branded Content & Entertainment

This category is for efforts that effectively reached their audience through the creation of original branded content that is not advertising. The core of a branded content entry should be content designed to be consumed/experienced and sought out by the consumer for entertaining or informative reasons. Entrants must detail the content created, how it related back to the overall brand and business goals, how it was distributed to, and shared by, the audience, and the results it achieved for the brand and business. Branded content may be produced and distributed by either publishers or independently and can include longform entertainment.

- Note: Judges will expect to understand why branded content was chosen as a tactic.

Business-to-Business

- Business-to-Business – Products
- Business-to-Business – Services

This category is for marketing efforts from businesses targeting other businesses. Business-to-business efforts for any type of product or service, from any marketplace segment, are eligible to enter.
Carpe Diem

- Carpe Diem - Products
- Carpe Diem - Services

This category is looking for those brands that had the insight to know how and when to “throw a stone into a pond” and maximize the ripple effect from that initial throw.

The cases entered into this category will show how to put a brand or product/service in an intensely bright spotlight to create immediate and measurable impact.

Winners will represent those who had the insight and creativity to craft those unexpected and unconventional moments for a brand. The best examples will see live experiences, moments, stunts, and tactics, online & offline, perhaps amplified through PR, social media, digital engagement, or even the use of content created in the moment to fuel paid campaigns.

This category spotlights those effective strategic efforts that were able to generate real desired results as a direct outcome from a single significant moment of activity.

David vs. Goliath

This is an award for smaller, new, or emerging brands:

- making inroads against big, established leaders
- taking on “sleeping giants”
- moving into a new product/service field beyond their current category and set of competitors to tackle a dominant leader

Entrants must detail the business challenge, the competitive landscape, and how their business succeeded despite the odds. You are required to define your competitive landscape, including the market difference between the David and Goliath to demonstrate why your brand was a David.

Judges will deduct from your case if you do not sufficiently prove that your brand is a David in the situation.

NEW: Direct to Consumer

This category is for effective marketing efforts from direct to consumer (DTC) businesses. DTC efforts for any type of product or service, from any marketplace segment, are eligible to enter.

Engaged Community

This category is about managing effective, engaged communities. Entrants will be brands that are creating content, experiences, platforms, news, etc. that get their communities to grow, engage, share, act or amplify messaging in a way that directly relates to the brand’s goals. Entrants will need to state clearly how they managed the community, how they defined effectiveness around the community, what they specifically achieved, and why the engaged community was significant for the development of the brand/business.
NEW: Marketing Disruptors

This award is for efforts that grew their business / brand by changing the marketing model in ways that drive the industry forward. A marketing disruptor of any size can enter. Entrants must detail the marketing challenge, the competitive landscape and how they succeeded by changing the existing marketing model for the brand / category.

NEW: Marketing Innovation Solutions

In this category, single marketing activities or entire marketing programs will be awarded. You can submit any action or business idea that has had an exceptionally positive impact on the market position of a brand, product or service. If communication was a significant element of marketing mix, work should be submitted in another competition category of Effie.

Examples of eligible activities in this category include: product innovation; change in packaging, both in terms of its appearance and size; design, technology or UX innovation; consumer involvement in product development; introduction/change of a loyalty program, introduction of a new distribution channel, etc. Note: There is a special entry form for the category.

Note: Download the special entry form for this category in late September at effie.org. The deadline for this category is January 15th.

Multicultural

- Multicultural – Products
- Multicultural – Services

Any effort whose success was dependent on effectively connecting with a specific cultural group, e.g. African-American, Asian, Hispanic, LGBTQ+, etc. If the entry had multiple audiences, it is necessary to demonstrate results for the specific audience detailed in the entry.

Identify any elements in the creative work or strategy that are specifically directed to this community and any relevant cultural differences, dynamics and/or nuances, traditions, values, linguistic characteristics, and their significance with respect to your submission. Judges may not be familiar with your particular segment, so this is your opportunity to showcase the details that they may miss.

Renaissance

This is an award for rebirth campaigns. To enter your brand must have experienced a downturn of several years and a period of at least six months of upturn sales.

Entrants must detail the business challenge, the situation of the brand, the competitive landscape and how the effort succeeded.

Note: Your entry must address the previous marketing investment and strategy as part of the entry context. Also, provide detail on the length of the renaissance.
Categories

Specialty Category Definitions

Entry Basics / Entry Form & Reqs. / Publication & Confidentiality / Judging / Categories / Contact

Seasonal Marketing

- Seasonal Marketing - Products
- Seasonal Marketing - Services

Seasons, holidays and events allow marketers the opportunity to build strategic communications based on the time-based interests of their target audience. This category will honor those efforts that effectively capitalized on a season, holiday or cultural event to drive results for their business.

- The eligibility period for this category runs from September 1, 2018 through September 30, 2019. If you are including results in the month of September 2019, you are eligible for a later entry deadline schedule. Contact entries@effie.org for details.
- Entrants may submit into either Seasonal Marketing OR Topical Marketing - the same case cannot be entered into both categories.

Small Budgets

- Small Budgets - Non-Profit
- Small Budgets - Products
- Small Budgets - Services

Cases eligible for this category must represent the only communications efforts for this brand (brand defined as listed in the “brand” question of the Effie entry form) during the time period that the effort entered ran.

To be eligible, an entry may not be for a line extension. Effie defines line extension as: a variation of an existing product with the same brand name and same category but with a new characteristic, a derivative of the product or service that offers modified features without significantly changing price; a product bearing the same name and offering a varied option (e.g. new flavor, diet version, etc.).

Value of donated and non-traditional media as well as activation costs must be included.

Budget eligibility is as follows:

- Local Efforts: $1 million or less
- Regional Efforts: $2 million or less
- National Efforts: $5 million or less
**Sustained Success**

- Sustained Success - Products
- Sustained Success - Services

Products or service communications efforts that have experienced sustained success for more than 3 years are eligible for entry. At a minimum, the creative work and case results must date back to August 31, 2016, and you must include the current year’s results. Entries must have a common objective in both strategy and creative executions; with a continuation of core executional elements (e.g., spokesperson, song, theme, tagline, etc.) that demonstrates effectiveness over time.

To enter you must be able to provide data about the case in its first year (before August 31, 2016) an interim year and the most current competition year (9/1/18/8/31/19).

> Note: There is a special entry form and different creative requirements for the Sustained Success Award. The creative requirements, including different rules for the creative reel, can be found in the Sustained Success section and the Sustained Success entry form.

**NEW: Topical Marketing**

This category is for cases that effectively leveraged immediate relevance, interest or importance via a targeted marketing/pr strategy around current events (e.g. elections, World Cup, Olympics, economics, etc.)

- Entrants may submit into either Seasonal Marketing OR Topical Marketing - the same case cannot be entered into both categories.

**Youth Marketing**

This category will honor those efforts that successfully communicate to teens or young adults.

Your entry should be written in a way that identifies how the case was created and specifically directed to this audience and how it succeeded.

Identify any elements in the creative work or strategy that are specifically directed to the youth market and any relevant dynamics and/or nuances, trends, values, linguistic characteristics, and their significance with respect to your submission. Judges may not be familiar with this particular youth audience, so this is your opportunity to showcase the details that they may miss.
Commerce & Shopper Effies

The Commerce & Shopper Effies honor the most effective integrated campaigns designed to engage the shopper and guide the purchase process. This program is presented in partnership with the Path to Purchase Institute.

- Review the Effective Entry Guide: Commerce & Shopper for help in preparing entries for these categories.
- Questions about the Commerce & Shopper Effies? Email shopper@effie.org.

NEW: Brand Experience

This award will feature work that truly brought a brand, product or retailer to life – either literally or virtually – and interacted with shoppers to influence conversion. You may have re-invented the product demo, re-imagined the pop-up store, or led a “brick and mortar” retail overhaul, or created a new game/interactive film experience that effectively showcases a new product or brand personality. As long it truly came alive and worked. The winners of this award will show how brands and/or retailers are reaching out to their audiences to establish meaningful relationships, memorable engaging experiences, and unique connections.

Entrants in the Brand Experience category must address how the brand experience related back to the overall brand strategy.

Category/Aisle Evolution

Some of the most impactful efforts occur when a deep understanding of shoppers’ attitudes, behaviors, and needs lead to innovative category/aisle evolution, whether in-store, online or both. Entrants must outline how the effort utilized shopper activation at shelf or shopper awareness of evolution to enhance the shopping experience and drove greater engagement, conversion and category growth. Successful entries in this category will be sustainable evolutions and not simply promotional in nature.

Challenger Brand Solution

This is an award for smaller, new, or emerging brands:
- making inroads against big, established leaders
- taking on “sleeping giants”
- moving into a new product/service field beyond their current category and set of competitors to tackle a dominant leader

Challenger brands are defined as having less than 15% of branded market share in the product/service category.

Entrants must detail the business challenge, the competitive landscape, and how their business succeeded despite the odds. You are required to define your competitive landscape, including the market difference between the entered brand and it’s top competitors to demonstrate why your brand was a challenger. Judges will deduct from your case if you do not sufficiently prove that your brand is appropriately classified as a challenger brand.

NEW: Data-Driven

Brands and retailers using data, analytics, and technology to identify and match the right shopper to the right message at the right time should enter this category. Award submissions should explain how entrants utilized data (i.e., category data, retail channel data, shopper data) to guide commercial growth strategies, support the shopper journey, and ultimately meet their business objectives. Highlight any advanced analytic capabilities that contributed to the success of the program, such as market mix modeling, price elasticity, and Return On Investment (ROI) measurement & analysis.
E-Commerce

The category is for campaigns that effectively use insights, strategy, creative, and analytics to drive e-commerce shopper conversion. By utilizing data, and a deep understanding of the shopper, brands and retailers can succeed in an e-commerce setting.

A successful effort will combine strong shopper knowledge with digital marketing practices to increase conversion online. The effort must be based on a shopper insight(s) and be shopper-driven. Explain the strategy of how the effort went to market with e-commerce. Submissions in this category will be solely evaluated on e-commerce effectiveness.

NEW: Media Innovation

Changing the rules to maximize conversion.

This award will showcase those who had the insight and creativity to change the way a particular media channel is consumed or to create a new channel. The award will go to brands and retailers who reached out of the conventional approach to grab their shopper and effectively engage with them. Entrants should identify the specific target and how the campaign influenced conversion.

Whether the effort was one execution or multiple, and/or used one engagement channel or multiple - the work must represent new and creative usage of the media channels we know and love or have not yet met.

Note: All entries must specifically address what was innovative and the conversion achieved. Write the entry to address the category and/or brand situation and provide clear articulation of how the media was used innovatively.

Multi-Brand Shopper Solution

Shoppers are looking for ways to tackle everyday challenges, whether it’s what to prepare for dinner or how to maintain a beautiful lawn - they want all-in-one solutions. This award will celebrate multi-brand/product/manufacturer solutions that came together to address exactly what their target shopper needed. Entries into this category must address the rationale behind the creation of these differentiated shopper solutions. Brands may be from a single manufacturer or multiple manufacturers. Shopper solutions may be developed by manufacturer(s) and/or retailers.

Multi-Retailer Program

For campaigns that ran with a central idea and executed that idea via dedicated rollouts to three or more different retail chains. Cases in this category will highlight and explain both the cohesive thread working at each retailer, and the specific customizations that were done for each retailer. Entrants should outline the specific barriers and challenges in tailoring their solution to each retailer - elaborating on the nuances among the shopper segment and insights at each retailer, differences in retail environments, etc.

New Product/Service Introduction

To enter this category, your case must address the commerce/shopper effort surrounding the launch of your new product/service. Whether it is the creation of a whole new brand, a new product from an existing brand, or a new line extension, submissions in this category need to present how shopper insights were leveraged to overcome the barriers faced by products/services being launched for the first time.

If you are submitting an effort that was part of or inspired by a national campaign, explain how you adapted it for the shopper and the retailer.
 Omni-Channel Shopper Solution

In a time when people have more choices than ever, delivering an effective omni-channel experience to shoppers is key to sustained business success. This category will look for cases that exemplify the manufacturers and retailers who have ensured an effective, connected shopper journey.

This category is meant to showcase how manufacturers and retailers are connecting the dots in their engagement strategies to ensure simple, seamless, effective experiences across the shoppers’ path to purchase.

In-store, online, customer service, loyalty programs, mobile apps, delivery services, social media, aisle disruption... it could entail anything - as long as you can show how it was all connected to provide a fully-integrated “shopper journey” from beginning to end.

Winners in this category will represent the best examples of manufacturers and retailers committed to providing effective omni-channel shopper programs.

Seasonal/Event

The most effective manufacturers and retailers are the ones that can strategically plan for and react to the events that most impact their shoppers. This category will feature events, platforms or programs that were created to meet the demands of the shopper that are driven by a season, holiday or cultural event.

Entrants must explain the insight into their shoppers that led to adjusting product and/or creating events/seasonally-based platforms and programs to be in-line with their needs and shopping mindset during a specific time period. Innovative shopper events, strategically created by the retailer and/or manufacturer, may enter here as well.

- Eligibility Period: 9/1/18 – 9/30/19
- If you are including results from September 2019, you are eligible for a later deadline schedule. To confirm eligibility, please contact shopper@effie.org.

Single-Retailer Program

- Mass Merchants
- Supermarkets
- Drugstores
- Other (includes warehouse clubs, value chains, pure-play e-commerce stores, specialty stores including those for consumer electronics, DIY, hardware, office, pet, sporting goods, etc.)

For campaigns that ran with a tailored, retailer-specific idea and executed that idea at a single retail chain. The work in this category will provide insights as to how an effort was effectively designed to work with the particular retailer and the shoppers of that retailer. Entrants should elaborate on the specific shopper barriers affecting their brand at that retailer and how they addressed those issues in a customized way for that particular retailer.

Sustained Success

Commerce & Shopper efforts that have experienced sustained success for more than 3 years are eligible for entry. At a minimum, the creative work and case results must date back to August 31, 2016 and you must include the current competition year’s results. Entries must have a common objective in both strategy and creative executions; with a continuation of core strategic and executional elements that demonstrate effectiveness over time.

To enter you must be able to provide data about the case in its first year (before August 31, 2016), an interim year and the most current competition year (9/1/18-8/31/19).

- Note: There is a special entry form and different creative requirements for the Sustained Success Award. The creative requirements, including different rules for the creative reel, can be found in the Sustained Success section and the Sustained Success entry form.
**The Health Effies**

Health Effies honor effective marketing within the healthcare industry. Please note the OTC, Services, and Rx categories are considered Product & Service categories, while the Disease Awareness & Education categories are considered Specialty categories. Entrants may only submit an effort into one Product & Service category.

Health Effie submissions will be evaluated by health marketing executives in both Round One and Final Round Judging.

- Review the Effective Entry Guide: Health for help in preparing entries for these categories.
- Questions about the Health Effies? Email health@effie.org.

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**PRODUCT & SERVICE CATEGORIES**

**Healthcare - OTC**

Efforts for products that are sold without a prescription that address specific health conditions. Efforts may be targeted to healthcare professionals, patients and/or consumers. Only products that address a specific health condition should enter this category – there are separate categories for Beauty, Fitness & Wellness, and Personal Care efforts.

**Healthcare - Rx - Consumer/DTC**

Efforts for prescription required drugs that are directed to patients and/or consumers. Entrants must detail any regulatory challenges that impacted the effort.

**Healthcare - Rx - Devices**

Efforts that were developed for devices that address specific health conditions that require a prescription for surgical placement, use or purchase. Efforts may be targeted to healthcare professionals and/or patients. Entrants must detail any regulatory challenges that impacted the effort.

**Healthcare - Rx - Professional**

Efforts for prescription-required drugs and other healthcare products that are directed to healthcare professionals. Entrants must detail any regulatory challenges that impacted the effort.

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**Healthcare Services**

Efforts that were developed for hospitals, HMOs, health insurance companies, referral services, dental and medical care services, pharmacies or chronic care facilities. Efforts may be targeted to healthcare professionals, patients and/or consumers.

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**SPECIALTY CATEGORIES**

**Disease Awareness & Education**

*Note: Disease Awareness & Education efforts must enter one of these categories – they may not enter Positive Change.*

**Charitable/Research Funding:** Efforts dedicated to generating funding/donations/support for research, treatment and care of specific health conditions.

**Non-Profit** Public health and non-profit cause marketing efforts produced to raise awareness/education about specific health conditions. Efforts may be targeted to healthcare professionals, patients and/or consumers.

**Pharma/Corporate:** Brand/client efforts designed to educate and/or promote health awareness. Efforts may be targeted to either healthcare professionals, patients and/or consumers.
The Media Effies

Effie Worldwide created the Media Effies to reflect the ever-increasing importance of the use of media in driving effectiveness.

The Media Effie submissions will be judged by experts within the media industry - across brands, agencies, and media owners. Submissions must speak to the media channel choices & measurement methodologies.

- Review the Effective Entry Guide: Media for help in preparing entries for these categories.
- Questions about the Media Effies? Email media@effie.org.

Data-Driven

Data-driven media is the application of data and technology to identify and match the right audiences to the right message at the right moments. These efforts should prove how they specifically utilized data to optimize media to improve business outcomes (brand KPIs, ROI, performance marketing measures, etc.). The best examples will recognize the interplay and application of automation, applied technology, and human and artificial intelligence (AI) to deliver to a precise audience and achieve the best results.

To enter, your case must detail the role that data had within the media strategy in achieving the brand and business goals.

Submissions are expected to:

- Utilize personalized/custom-tailored creative messages.
- Explain how data impacted the media plan through clear measurement & analytics.
- Demonstrate the insights captured from data used to understand audience.

Media Content Partnerships

This category focuses on efforts based on partnerships between a brand and a media owner/platform to create and activate original media content beyond traditional advertising.

These partnerships demonstrate the innovative consumer connections that media companies can develop for advertisers via integrated communication channels centered on creative and strategic content. Each activation channel is critical to delivering the overall effectiveness and impact of the campaign and shows the value media companies can deliver.

The winners of this award will represent those advertisers and media partners that knew exactly where and how to connect with their audience via the assets and creativity of a media company. Submissions must detail the strategic reasoning behind the partnership - why was this partner chosen over others? Clearly detail the selection process of the partner, and explain how this partnership led to the results that met the objectives of the brand.

(Media categories continue on next page)
Media Idea

This is about outstanding effectiveness as a result of media-led ideas. The line between what constitutes a creative idea and a media idea is blurring. There are occasions when the media idea drove the entire effort. Of course, media cannot exist without the content, but this award is intended to recognize those cases that were led by the media thinking - where the integration of media and message led to success. The award honors media-led ideas that are powerful enough to become the genesis of the communications program itself, to the extent that the program would not have been successful without the strategic media idea.

Media Innovation

- Media Innovation - Emerging & New Channels
- Media Innovation - Existing Channel

Changing the rules to maximize impact.

This award will showcase those who had the insight and creativity to change the way a particular media channel is consumed or to create a new channel. The award will go to those who reached out of the conventional approach to grab their audience and effectively engage with them.

Whether the effort was one execution or multiple, and/or used one engagement channel or multiple - the work must represent new and creative usage of the media channels we know and love, or have not yet met.

- Note: All entries must specifically address what was innovative and the results achieved. Write the entry to address the category situation and provide clear articulation of how the media was used innovatively.
The Positive Change Effies

The Positive Change Effies reward and celebrate the brands and non-profits that are promoting the greater good through marketing communications. The program has two tracks - Environmental and Social Good.

- Review the Effective Entry Guide: Positive Change for help in preparing entries for these categories.
- Questions about the Positive Change Effies? Email positivechange@effie.org.

Environmental

(Entrants must use the dedicated Positive Change: Environmental Entry Form to enter this category.)

The Positive Change: Environmental category celebrates efforts that have measurably shifted audience behavior toward more environmentally sustainable choices, and/or grown demand for more sustainable products and services by incorporating environmentally-conscious messaging into their marketing communications.

Winners of this award showcase how effective marketing communications that incorporate sustainable strategies can make a positive difference for brands and for the environment.

Over and above the standard Effie requirements to demonstrate a positive impact on business, there are specific areas of interest that relate to this award.

Efforts entered must have as one of their main strategic objectives changing audience behavior towards more environmentally sustainable choices and environmentally conscious messaging must be a part of the marketing communications.

Entrants should address how the sustainability goal relates back to the overall brand and business strategy.

Criterion for this award is the result of behavior change toward more environmentally sustainable choices, with these elements being considered in judging:

- **Awareness** – Making the audience aware of a sustainable product, service or action.
- **Trial** – Trying the sustainable product or service for the first time.
- **Product/Service Substitution** – Switching to a more sustainable product or service.
- **Change in Use** – Using a product/service more sustainably than before.

Enter your case into one of the following categories:

**Environmental – Brands**

Recognizing brands with marketing programs that have measurably shifted audience (B2B or B2C) behavior toward more environmentally sustainable choices, and/or grown demand for more sustainable products and services by incorporating environmentally conscious messaging into their marketing communications.

**Environmental – Non-Profit**

Recognizing non-profit organizations and associations with marketing programs that have measurably shifted audience (B2B or B2C) behavior toward more environmentally sustainable choices, grown demand for more sustainable products and services, and/or measurably drove positive impact for their cause by incorporating environmentally conscious messaging into their marketing communications.
Social Good

The Positive Change: Social Good category celebrates marketing communications efforts proven effective in addressing a social problem or in expanding an existing program in ways that benefit our society. Any effort that sets out to give back in some way for the greater good is eligible to enter. Maybe it was for profit, maybe not. Maybe you got paid, maybe you didn’t. Any and all marketing communications efforts, whether full campaigns or unique efforts within a campaign are eligible to enter as long as measurable results exist.

Note: Efforts focused on disease awareness & education must enter the Disease Awareness & Education categories – they are not eligible in the Positive Change categories.

Social Good – Brands:

Recognizing brands that are making the world a better place by using the power of their communications platforms for “good.” This category celebrates for-profit brand efforts that effectively combined business goals with a social cause (health, education, community, family, etc) and successfully related that cause back to the company’s overall brand strategy, resulting in positive business and social impact.

Social Good – Non-Profit:

Recognizing non-profit organizations and associations whose communications efforts have effectively driven positive change for society and successfully contributed back to the organization’s purpose. Campaigns must show measurable impact and proven results in support of the cause.
GLOBAL EFFIE AWARDS

Communication efforts eligible for this award must be for a single brand idea running across multiple worldwide markets.

😊 Entry materials for the 2020 Global Effie Awards will be posted in late October at the Global Effie website.
😊 Questions? Email global@effie.org.

WORLDWIDE EFFIE AWARDS PROGRAMS

Effie Worldwide offers competitions in over 50 countries and regions. To see a full listing of our partner programs, please visit our Worldwide Partner Listing.
contact

Entering Effie Awards United States

For any questions regarding the entry process, materials, categories, rules, etc., contact: entries@effie.org

Questions specific to the Commerce & Shopper categories: shopper@effie.org

Questions specific to the Health categories: health@effie.org

Questions specific to the Media categories: media@effie.org

Questions specific to the Positive Change categories: positivechange@effie.org

Payment Questions

payments@effie.org

Judging

To apply to be an Effie Judge, please complete the Judge Application Form.

If you have questions about the judging process, please email judging@effie.org.

Entering & Judging Global Effie Competition

For all questions regarding the Global Effie competition, including both entering and judging, contact: global@effie.org

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