

# Codes over $\mathbb{Z}_4 + v\mathbb{Z}_4$

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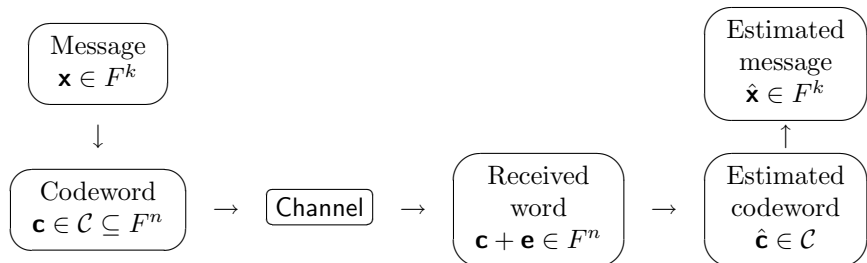
# Outline

- 1 Introduction
- 2 The ring  $\mathbb{Z}_4 + v\mathbb{Z}_4$
- 3 The MacWilliams identities
- 4 Self-dual Codes

## Introduction

- Coding theory addresses the problem of controlling errors on noisy communication channels.

Schematic representation of coding:



- Classically codes have been studied over finite fields.  
(A linear code is a subspace of  $\mathbb{F}_q^n$ ,  $\mathbb{F}_q$  a finite field.)

## Algebraic Codes

- Algebraic codes: Mostly studied over finite fields.
- Recently, finite rings have got the attention of many researchers especially after ([Hammons \*et al.\*, 1994](#)).
- These codes have been mostly generalized to codes over:
  - Finite chain rings
  - Galois rings ( $\mathbb{Z}_4$  and its generalization)
  - Rings of the form:
    - $\mathbb{F}_2 + u\mathbb{F}_2$  ([Bonnecaze and Udaya, 1999](#))
    - $\mathbb{F}_2 + v\mathbb{F}_2, \mathbb{F}_2 + u\mathbb{F}_2 + v\mathbb{F}_2 + uv\mathbb{F}_2$   
([Zhu \*et al.\*, 2010](#) and [Yildiz and Karandiz, 2010](#))
    - $\mathbb{F}_p + u\mathbb{F}_p + u^2\mathbb{F}_p + u^3\mathbb{F}_p + \dots + u^{m-1}\mathbb{F}_p$ , etc.
  - Recently a new ring structure  $\mathbb{Z}_4 + u\mathbb{Z}_4$ , where  $u^2 = 0$  has been introduced in ([Yildiz and Karandiz, 2014](#)).
  - We have studied the codes with respect to Rosenbloom-Tsfasman metric over a similar ring structure  $\mathbb{Z}_4 + v\mathbb{Z}_4$ , where  $v^2 = v$  in ([R. K. Bandi and Bhaintwal, 2013](#)).

## Why codes over Rings ?

- Many well known non-linear binary codes are images of linear codes over  $\mathbb{Z}_4$ .
- Several good codes have been obtained over different finite rings.
- Many structural properties of codes over rings are still not well understood.
- The families of codes studied here are among the most popular families of codes studied over finite fields. They have rich algebraic structures. They have provided several good codes (over finite fields and over some finite rings).

## The ring $R = \mathbb{Z}_4 + v\mathbb{Z}_4$ and its properties

Let  $R = \mathbb{Z}_4 + v\mathbb{Z}_4 = \{a + ub \mid a, b \in \mathbb{Z}_4, v^2 = v\}$ .

$R \cong \mathbb{Z}_4[u]/\langle u^2 \rangle \cong \mathbb{Z}_4 \times \mathbb{Z}_4$ .

Units:  $1, 3, 1 + 2v, 3 + 2v$ .

$R$  is a principal ideal ring with 7 non-trivial principal ideals:

$$\langle 2v \rangle = \{0, 2v\}$$

$$\langle 2 + 2v \rangle = \{0, 2 + 2v\}$$

$$\langle v \rangle = \{0, v, 2v, 3v\} = \langle 3v \rangle$$

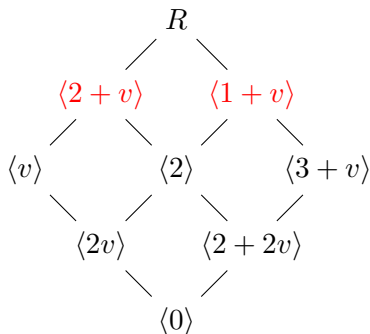
$$\langle 2 \rangle = \{0, 2, 2v, 2 + 2v\}$$

$$\langle 3 + v \rangle = \{0, 1 + 3v, 2 + 2v, 3 + v\} = \langle 1 + 3v \rangle$$

$$\langle 1 + v \rangle = \{0, 2, 2v, 1 + v, 1 + 3v, 2 + 2v, 3 + v, 3 + 3v\} = \langle 3 + 3v \rangle$$

$$\langle 2 + v \rangle = \{0, 2, v, 2v, 3v, 2 + v, 2 + 2v, 2 + 3v\} = \langle 2 + 3v \rangle$$

## Lattice diagram of ideals of $R$



- $R$  is **semi-local ring** with two maximal ideals  $\langle 2 + v \rangle$  and  $\langle 1 + v \rangle$ .
- $R$  can be expressed as:  $R = \langle 1 + 3v \rangle \oplus \langle v \rangle$ .

## Gray map on $R$

### Gray map

For any  $x = a(1 + 3v) + (a + b)v \in R$  the **Gray map**  $\psi : R \rightarrow \mathbb{Z}_4^2$  is defined by  $\psi(x) = (a, a + b)$ .

- This map can be extended componentwise to  $R^n$ .
- $\phi$  is a  $\mathbb{Z}_4$ -module isomorphism.

### Weights on $R$

$$\text{Lee weight} : w_L(a + ub) = w_L(a, a + b)$$

$$\text{Gray weight} : w_G(a + ub) = w_H(a, a + b)$$

$$\text{Euclidean weight} : w_E(a + vb) = w_E(a, a + b).$$

These weight are then extended componentwise to  $R^n$ .



## Theorem

The Gray map  $\phi : R^n \rightarrow \mathbb{Z}_4^{2n}$  is a distance preserving linear isometry.

A **linear code**  $C$  of length  $n$  over  $R$  is an  $R$ -submodule of  $R^n$ .

Define  $C_1 = \{a \in \mathbb{Z}_4^n : a + bv \in C \text{ for some } b \in \mathbb{Z}_4^n\}$  and  $C_2 = \{a + b \in \mathbb{Z}_4^n : a + bv \in C \text{ for some } a \in \mathbb{Z}_4^n\}$ , where  $C_1, C_2$  are linear codes of length  $n$  over  $\mathbb{Z}_4$ .

The **dual** of  $C$  is  $C^\perp = \{x \in R_i^n \mid x \cdot c = 0, \forall c \in C\}$ , where  $x \cdot y$  denotes the usual inner product of  $x$  and  $y$  over  $R_i$ .

The ring  $R$  is a **Frobenius ring**, and then  $|C||C^\perp| = 16^n$  (Wood, 1999).

## Theorem

Let  $C$  be a linear code of length  $n$  over  $R$ . Then  $\phi(C) = C_1 \otimes C_2$  and  $|C| = |C_1||C_2|$ .

## Corollary

A linear code  $C$  over  $R$  can be expressed as  $C = (1 + 3v)C_1 \oplus vC_2$ .

## Theorem

Let  $C$  be a linear code of length  $n$  over  $R$ . Then  $\phi(C^\perp) = \phi(C)^\perp$ .

# Lee weight distribution on $R$

| Elements ( $X$ ) of $R$ | Representative of $X$ | Gray image of $X$ | Lee weight of $X$ | Weight representative |
|-------------------------|-----------------------|-------------------|-------------------|-----------------------|
| 0                       | $a_1$                 | (0, 0)            | 0                 | $x$                   |
| 1                       | $a_2$                 | (1, 1)            | 2                 | $z$                   |
| 2                       | $a_3$                 | (2, 2)            | 4                 | $s$                   |
| 3                       | $a_4$                 | (3, 3)            | 2                 | $z$                   |
| $v$                     | $a_5$                 | (0, 1)            | 1                 | $y$                   |
| $2v$                    | $a_6$                 | (0, 2)            | 2                 | $z$                   |
| $3v$                    | $a_7$                 | (0, 3)            | 1                 | $y$                   |
| $1+v$                   | $a_8$                 | (1, 2)            | 3                 | $w$                   |
| $1+2v$                  | $a_9$                 | (1, 3)            | 2                 | $z$                   |
| $1+3v$                  | $a_{10}$              | (1, 0)            | 1                 | $y$                   |
| $2+v$                   | $a_{11}$              | (2, 3)            | 3                 | $w$                   |
| $2+2v$                  | $a_{12}$              | (2, 0)            | 2                 | $z$                   |
| $2+3v$                  | $a_{13}$              | (2, 1)            | 3                 | $w$                   |
| $3+v$                   | $a_{14}$              | (3, 0)            | 1                 | $y$                   |
| $3+2v$                  | $a_{15}$              | (3, 1)            | 2                 | $z$                   |
| $3+3v$                  | $a_{16}$              | (3, 2)            | 2                 | $z$                   |

Let  $I$  be a non-zero ideal of  $R$ . Define the **Character**

$$\chi : I \rightarrow \mathbb{C}^* \text{ by } \chi(a + bv) = i^b,$$

where  $\mathbb{C}^*$  is the multiplicative group of unit complex numbers.

- $\chi$  is a non-trivial character of  $I$ , and hence  $\sum_{a \in I} \chi(a) = 0$ .

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**Complete Lee Weight Enumerator :**

$$clwe_C(x_1, x_2, \dots, x_{16}) = \sum_{c \in C} x_1^{wt_{a_1}(c)} x_2^{wt_{a_2}(c)} \dots x_{16}^{wt_{a_{16}}(c)}, \text{ where}$$

$wt_{a_i}(c)$  is the number of  $a_i$ 's in  $c$ .

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### **Theorem (MacWilliams, 1977)**

Let  $C$  be a linear code of length  $n$  over  $R$ . Then

$$clwe_{C^\perp}(x_1, x_2, \dots, x_{16}) = \frac{1}{|C|} clwe_C(M \cdot (x_1, x_2, \dots, x_{16})^T),$$

where  $M$  is an  $|R| \times |R|$  matrix defined by  $M(i, j) = \chi(a_i a_j)$ .

Symmetrized Lee weight enumerator (slwe) :

$$\begin{aligned} slwe_C(x, y, z, w, s) = \\ clwe_C(x, z, s, z, y, z, y, w, z, y, w, z, w, y, z, w), \end{aligned}$$

$$slwe_C(x, y, z, w, s) = \sum_{c \in C} x^{wt_0} y^{wt_1} z^{wt_2} w^{wt_3} s^{wt_4},$$

where

$$wt_0 = wt_{a_1}(c);$$

$$wt_1 = wt_{a_5}(c) + wt_{a_7}(c) + wt_{a_{10}}(c) + wt_{a_{14}}(c);$$

$$wt_2 = wt_{a_2}(c) + wt_{a_4}(c) + wt_{a_6}(c) + wt_{a_9}(c) + wt_{a_{12}}(c) + wt_{a_{15}}(c);$$

$$wt_3 = wt_{a_8}(c) + wt_{a_{11}}(c) + wt_{a_{13}}(c) + wt_{a_{16}}(c); \quad (1)$$

$$wt_4 = wt_{a_3}(c).$$

## Theorem

Let  $C$  be a linear code of length  $n$  over  $R$ . Then

$$slwe_{C^\perp}(x, y, z, w, s) = \frac{1}{|C|} slwe_C(x + 4y + 6z + 4w + s, x - 2y + 2w - s, x - 2z + s, x + 2y - 2w - s, x - 4y + 6z - 4w + s).$$



## Theorem

Let  $C$  be a linear code of length  $n$  over  $R$ . Then

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The **Lee weight enumerator (Lee)** of the linear code  $C$  over  $R$  is defined as

$$Lee_C(x, y) = \sum_{c \in C} x^{4n - wt_L(c)} y^{wt_L(c)}.$$

## Theorem

Let  $C$  be a linear code of length  $n$  over  $R$ . Then

$$Lee_C(x, y) = slwe_C(x^4, x^3y, x^2y^2, xy^3, y^4).$$

## MacWillimas identities

### Theorem (MacWillimas identity 1)

Let  $C$  be a linear code of length  $n$  over  $R$ . Then

$$Lee_{C^\perp}(x, y) = \frac{1}{|C|} Lee_C(x + y, x - y).$$

### Theorem (MacWillimas identity 2)

Let  $C$  be a linear code of length  $n$  over  $R$ . Then

$$G_{C^\perp}(x, y) = \frac{1}{|C|} G_C(x + 3y, x - y).$$

### Example

Let  $C$  be a linear code of length 2 over  $R$  generated by  $\{(1, 3 + 2v), (0, 2)\}$ . The Gray map image of this code is a code of length 4 over  $\mathbb{Z}_4$  and it is of type  $4^2 2^2$ . The Lee and Gray weight enumerators of  $C$  are  $1 + 12z^2 + 39z^4 + 11z^6 + z^8$  and  $1 + 4z + 12z^2 + 24z^3 + 23z^4$ , respectively.

### Example

The linear code  $C$  of length 4 over  $R$  generated by  $\{(1 + 3v, 1 + v, 3 + 3v, 3 + v), (2 + 2v, 2v, 0, 2)\}$  is a self-orthogonal code of 32 codewords and its Gray image is also a self-orthogonal code of length 8 over  $\mathbb{Z}_4$ . The code  $C$  has all even Lee weight codewords and the minimum Lee weight of  $C$  is 4. The Lee and Gray weight enumerators of  $C$  are  $1 + 3z^4 + 19z^8 + 9z^{12}$  and  $1 + 5z^2 + 12z^4 + 14z^6$ , respectively.

## Self-dual codes over $R$

A code  $C$  is said to be *self-orthogonal* if  $C \subseteq C^\perp$

and *self-dual* if  $C = C^\perp$ .

### Theorem

If  $C$  is a self-dual code over  $R$  then so are  $\phi(C)$ ,  $C_1$  and  $C_2$  over  $\mathbb{Z}_4$ .

### Proof.

This follows from the fact that  $\phi(C^\perp) = \phi(C)^\perp$ . □

## Theorem

*A self-dual code of any length over  $R$  exists.*

## Proof.

We know that  $|C||C^\perp| = 16^n$  for any linear code  $C$  of length  $n$  over  $R$ .

Since  $C$  is a self-dual code over  $R$ ,  $|C| = 16^{\frac{n}{2}} = 4^n$ .

The code of length 1 generated by  $\langle 2 \rangle$  is a self-dual code.

Therefore, by taking direct sums of self-dual codes of length 1 we can obtain a self-dual code of any length over  $R$ . □

**Lemma**

*There is no free self-dual code of odd length over  $R$ .*

**Proof.**

Let  $C$  be a free self-dual code over  $R$  of length  $n$  with basis  $A$ .

Then  $|C| = 16^{k_0}$ , where  $|A| = k_0$ .

Since  $C$  is self-dual,  $|C| = 16^{\frac{n}{2}}$ .

So,  $k_0 = \frac{n}{2}$ . Therefore  $n$  must be even. □

**Theorem**

*The length of any free self-dual code over  $R$  is at least 6.*

**Proof.**

No free self-dual code of odd length over  $R$ .

A free self-dual code of length 2 over  $R$  must contain a codeword  $(1, x)$ , where  $x \in R$ . So  $1 + x^2 = 0 \pmod{4}$ , impossible in  $R$ .

Let  $C$  be a free self-dual code of length 4 generated by

$G = \begin{pmatrix} a_1 & a_2 & a_3 & a_4 \\ b_1 & b_2 & b_3 & b_4 \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 & c_1 & d_1 \\ 0 & 1 & c_2 & d_2 \end{pmatrix}$ . Since  $C$  is self-dual,  $1 + c_1^2 + d_1^2 = 0$ , impossible in  $R$ .

Consider  $G = (g_1, g_2, g_3)^T = \begin{pmatrix} 1 & 0 & 0 & a_1 & a_2 & a_3 \\ 0 & 1 & 0 & a_3 & a_1 & a_2 \\ 0 & 0 & 1 & a_2 & a_3 & a_1 \end{pmatrix}$ , where

each  $a_i$ ,  $i = 1, 2, 3$  is a self inverse element of  $R$  such that  $a_1a_2 + a_1a_3 + a_2a_3 = 0$ . This generates a free self-dual code of length 6 over  $R$ . □

**Theorem**

A self-dual code  $C$  of length  $n$  over  $R$  contains  $\bar{2} = (2, 2, \dots, 2)$ .

**Proof.**

The ring  $R$  can be partitioned into 4 sets as

$$A_0 = \{0, 2, 2v, 2 + 2v\}, \quad A_1 = \{1, 3, 1 + 2v, 3 + 2v\},$$

$$A_v = \{v, 3v, 2 + v, 2 + 3v\}, \quad A_{1+3v} = \{1 + v, 1 + 3v, 3 + v, 3 + 3v\},$$

where  $A_i$  contains  $a \in R$  such that  $a^2 = i$ .

Let  $n_i$  denote the number of components of

$c = (c_1, c_2, \dots, c_n) \in C$  which are from  $A_i$ .

Since  $C$  is a self-dual code, so

$$\langle c, c \rangle = n_1 + v n_v + (1 + 3v) n_{1+3v} = 0.$$

$$\text{Now, } c \cdot \bar{2} = 2n_1 + (2v)n_v + (2 + 2v)n_{1+3v} = 0.$$

Therefore,  $(2, 2, \dots, 2) \in C^\perp = C$ . □



## Construction of Self-dual codes

### Theorem (Construction 1)

Let  $G = [I_n \mid A_n]$ , where  $A_n = (a_{ij})$ , is a square matrix of order  $n$  such that  $\sum_{j=1}^n a_{ij} = 1$  or  $3$ , for  $i = 1, 2, \dots, n$ . If  $G$  is a generator matrix of a free self-dual code  $C$  of length  $2n$  ( $n$  is an even integer) over  $R$ , then

$$G' = \begin{pmatrix} I_n & B_n & \cdots & B_n & A_n & B_n & \cdots & B_n \\ B_n & I_n & \cdots & B_n & B_n & A_n & \cdots & B_n \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ B_n & B_n & \cdots & I_n & B_n & B_n & \cdots & A_n \end{pmatrix} \text{ generates a}$$

free self-dual code  $C'$  of length  $2kn$  over  $R$ , where  $B_n$  is an all  $\alpha$  matrix of order  $n$ ,  $\alpha$  a unit in  $R$ , and  $B_n$  is being repeated  $2(k-1)$  times in each row of  $G'$ .

## Example

Let  $C$  be a self-dual code of length 8 over  $R$  generated by

$$G = \begin{pmatrix} 1000 & 0 & 1+2v & 3+2v & 3 \\ 0100 & 1 & 1 & 1 & 0 \\ 0010 & 3+2v & 0 & 1+2v & 3 \\ 0001 & 1+2v & 3+2v & 0 & 3 \end{pmatrix}.$$

Then the matrix  $G' = \begin{pmatrix} I_4 & B_4 & A_4 & B_4 \\ B_4 & I_4 & B_4 & A_4 \end{pmatrix}$

$$= \begin{pmatrix} 1\ 0\ 0\ 0 & \alpha\alpha\alpha\alpha & 0\ a\ b\ 3 & \alpha\alpha\alpha\alpha \\ 0\ 1\ 0\ 0 & \alpha\alpha\alpha\alpha & 1\ 1\ 1\ 0 & \alpha\alpha\alpha\alpha \\ 0\ 0\ 1\ 0 & \alpha\alpha\alpha\alpha & b\ 0\ a\ 3 & \alpha\alpha\alpha\alpha \\ 0\ 0\ 0\ 1 & \alpha\alpha\alpha\alpha & a\ b\ 0\ 3 & \alpha\alpha\alpha\alpha \\ \alpha\alpha\alpha\alpha & 1\ 0\ 0\ 0 & \alpha\alpha\alpha\alpha & 0\ a\ b\ 3 \\ \alpha\alpha\alpha\alpha & 0\ 1\ 0\ 0 & \alpha\alpha\alpha\alpha & 1\ 1\ 1\ 0 \\ \alpha\alpha\alpha\alpha & 0\ 0\ 1\ 0 & \alpha\alpha\alpha\alpha & b\ 0\ a\ 3 \\ \alpha\alpha\alpha\alpha & 0\ 0\ 0\ 1 & \alpha\alpha\alpha\alpha & a\ b\ 0\ 3 \end{pmatrix},$$

## Construction of Self-dual codes

### Theorem (Construction 2)

Let  $G = (g_1, g_2, \dots, g_n)^T$ , where  $g_i = (g_{i1}, g_{i2}, \dots, g_{in})$ , be a generator matrix of a free self-dual code  $C$  of length  $n$  over  $R$ . Let  $x \in R^n$  such that  $1 + x^2 = 0$ , and  $y_i := x \cdot g_i$ . Then

$G' = \begin{pmatrix} 1 & 0 & 0 & 0 & x \\ 3y & y & y & y & G \end{pmatrix}$ , generates a free self-orthogonal code

$C'$  of length  $(2n + 4)$  over  $R$ , where  $y = (y_1, y_2, \dots, y_n)^T$ .

## Example

The matrix  $G = \begin{pmatrix} 1 & 0 & 0 & a_1 & a_2 & a_3 \\ 0 & 1 & 0 & a_3 & a_1 & a_2 \\ 0 & 0 & 1 & a_2 & a_3 & a_1 \end{pmatrix}$ , where  $a_1 = 1 + 2v$ ,

$a_2 = 3$  and  $a_3 = 3 + 2v$ , generates a free self-dual code of length 4 over  $R$ . From the above code we can construct a self-orthogonal code over  $R$ , as follows:

We have  $A_3 = \begin{pmatrix} 1 + 2v & 3 & 3 + 2v \\ 3 + 2v & 1 + 2v & 3 \\ 3 & 3 + 2v & 1 + 2v \end{pmatrix}$  then

$X = (vI_3 + A_3)(1, 1, 1)^T = (3 + v, 3 + v, 3 + v)^T$ . Then  $G' =$

$$\begin{pmatrix} 1 & v & 0 & 0 & 0 & v & v & v & 1 & 1 & 1 \\ 0 & 1 + 3v & 3 + v & 3 + v & 3 + v & v & 0 & 0 & 3v & 3v & v \\ 0 & 1 + 3v & 3 + v & 3 + v & 3 + v & 0 & v & 0 & v & 3v & 3v \\ 0 & 1 + 3v & 3 + v & 3 + v & 3 + v & 0 & 0 & v & 3v & v & 3v \end{pmatrix}$$

generates a self-orthogonal code of length 11.

## Type II codes

A self-dual code  $C$  over  $R$  is said to be **Type II code** if the Euclidean weight of every code word is divisible by 8, otherwise  $C$  is said to be **Type I code**.

### Theorem

*A Type II code of length  $n$  over  $R$  exists if and only if  $n$  is a multiple of 4.*





The following Theorem is analogous to ([Theorem 2.13] **Yildiz and Karandiz, 2010**).





### Theorem

*Let  $d_E(II)$  and  $d_E(I)$  be the minimum Euclidean weights of a Type II code and a Type I code of length  $n$ , respectively, over  $R$ . Then  $d_E(II), d_E(I) \leq 8 \lfloor \frac{n}{12} \rfloor + 8$ .*

## Conclusion

- We have studied linear codes over  $R$ .
- We have derived MacWilliams identities.
- We have discussed the properties of self-dual codes over  $R$ .
- Some constructions of self-dual codes have also been seen.
- Type II codes over  $R$  are also considered.

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