



# New Coordinator's Pathways Program

## Session #5

### Monitoring: Federal and Subgrantee

August 14, 2024 @ 2:00-3 :00pm EST

#### Session Overview

Participants will:

- Overview of Pathways and steps for next year
- Explore the statutes surrounding monitoring
- Hear from Program Officers at the Department of Education on the federal monitoring process
- Hear from a State coordinator who was recently monitored.
- Explore newly released resources that outline the SEAs responsibility to monitor.

#### Introductions & Housekeeping

- Name
- State
- Ice Breaker: "What was your favorite grade of school as a student?" OR if you were a teacher, "What was your favorite grade or subject to teach?"

#### Monitoring

- What is the purpose of monitoring?
- Definition from [New Coordinator Handbook](#): NDTAC defines monitoring as a process to ensure compliance with applicable State and Federal laws. This includes:
  - The Elementary and Secondary Education Act (ESEA), as amended by the Every Student Succeeds Act (ESSA)
  - Uniform administrative Requirements, Cost Principles, and Audit Requirements for federal Awards (Uniform Guidance: 2 Code of Federal Regulations (CFR) Part 200)
  - EDGAR-Education Department General Administrative Regulations
  - The monitoring process helps ED and SEAs to:
    - Ensure compliance •

- Identify technical assistance needs
- Identify trends (both positive and negative)
- Highlight promising practices
- Build relationships with subgrantees
- Mitigate risk

## **Federal Monitoring**

- Discussion on the difference between federal and SEA monitoring. Let's start with Federal Monitoring.
- Share with the group the [federal monitoring protocol](#)
- Introduction of Program Officer Jeff Buehler
  - Questions for Jeff:
    - Can you tell us a little about the overall process for selecting states to be monitored?
    - If a state is selected for monitoring, how will they be notified and what does the pre-monitoring process and support look like?
    - What should a State coordinator expect during the actual monitoring? Who should be involved in the monitoring? How many days? How many subgrantees are interviewed?
    - How will SCs be made aware of any areas of non-compliance and what is the process for resolving these issues?
- [past federal monitoring reports](#)
- Introduction of Jeff Hoover, State Coordinator for NV who was recently monitored.
  - Questions for Jeff H.:
    - Leading up to the monitoring, what did you expect?
    - Going into the monitoring process, you had to prepare and upload a lot of information. How did you go about organizing, collecting, and posting all of the artifacts?
    - What did you learn about your own program from the monitoring process?
    - What advice would you give a State coordinator that may be monitored in the next year or two?
    - How did the monitoring experience compare to your expectations?

## **Subgrantee Monitoring**

- Walk through of the Subgrantee Monitoring Section in the [new coordinators handbook](#) highlighting the responsibilities and authority granted in the CFR. This discussion will include:
  - Risk assessments
  - Monitoring schedules
  - Monitoring protocols and guidelines

- Ensuring LEAs and SAs are monitoring facilities
- Monitoring follow-up
- NDTAC [Monitoring Menu](#) and walk through some of the key elements of this document and how they can use it.

## Questions

## Evaluation

- NDTAC evaluation team will conduct an evaluation of the Pathways program for this year during this session. This will take place the last 10-15 minutes of the session.

## Resources

- [TIPD main page](#)
- [Non-Regulatory Guidance](#)
- [federal monitoring protocol](#)
- [New Coordinator Handbook](#)
- [NDTAC Monitoring Menu](#)
- [past federal monitoring reports](#)
- [May 2023 NDTAC Webinar: Monitoring - An Overview of Federal and State Responsibilities | NDTAC: Technical Assistance Center for the Education of Youth Who Are Neglected, Delinquent, or At-Risk](#)
- [2022 NDTAC Webinar: Title I, Part D Program Monitoring Updates and Overview | NDTAC: Technical Assistance Center for the Education of Youth Who Are Neglected, Delinquent, or At-Risk](#)