

DOL's Fiduciary Rule: The View from Congress

Kelley Drye Seminar

Firm Event

December 4, 2015 from 12:30 pm to 2:30 pm (EST)

New York

New York, NY

In April 2015, the Department of Labor released a re-proposal of its "Conflict of Interest" rule - a regulation that would establish a new set of metrics for identifying individuals and companies that provide "investment advice" under ERISA. In October, the House of Representatives passed a bill prohibiting the DOL from issuing their rule until the SEC moves forward on its own rulemaking that would apply more generally to brokers and advisers. This legislation marked another chapter in a political and regulatory saga that began with the DOL's original 2010 proposal and will likely play itself out over the remainder of the President's term.

Kelley Drye & Warren LLP invites you to join on December 4th as **U.S. Congresswoman Ann Wagner**, sponsor of HR 1090, and **Congressman Peter Roskam**, a member of the House Ways and Means Subcommittee that has voted to block funding for the rule, discuss the details and implications of the 2015 proposal; the intended and unintended consequences of the proposed regulation on retirement savers and financial services providers; and the impact on America's retirement system. Lunch will be served at 12:30 and the program will begin at 1:15.

Agenda

12:30 - 1:15 Lunch

1:15 - 1:30 Overview of DOL Conflict of Interest Proposed Rule

1:30 - 2:30 Congressional Discussion and Audience Q&A

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