

# BSA/AML & OFAC Risk: Strategies for Building Effective Compliance

The Clearing House's Concepts 2020 conference

Speaking Engagement

October 22, 2020 from 2:20 pm to 3:05 pm (EDT)  
Chicago

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In many financial institutions (FIs), enterprise risk management, BSA/AML/OFAC compliance, and fraud prevention responsibilities are located in different departments. Effective compliance programs tap into the shared purposes and resources of these functions to increase productivity and reduce friction. Recent actions taken by law enforcement and regulators highlight why financial institutions should break down silos and encourage cross-functional approaches. This interactive class will work through questions and “real life” scenarios to help attendees avoid the situations that lead to headlines and horror stories.

## Related Services

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