



Anti-Money Laundering Regulation With Senior Officials from the SEC, FBI and FINRA

Kelley Drye Live Seminar

Firm Event

November 14, 2017 from 5:00 pm to 6:30 pm (EST)

New York

New York, NY

Regulators continue to actively examine compliance, introduce new and heightened requirements, recalibrate global priorities, and aggressively pursue enforcement. Don't miss this interactive and engaging seminar focused on anti-money laundering (AML) regulation. Senior officials from the SEC, FBI and FINRA will be discussing the latest major money laundering cases. This seminar provides a provocative, frank and practical discussion about AML regulation in your world.

This seminar is designed for Broker Dealers, FinTech Firms, Hedge Funds, Investment Banks, Commercial Banks, Insurance Companies, CRE Mortgage Lenders, Credit Card Issuers, CEOs, COOs, CFOs, Branch Office Managers, Bank Supervisors, Brokers, and Compliance Professionals at Financial Institutions.

Partner [Matt Luzadder](#) will be a presenter at the seminar. Other presenters include **Troy Paredes**, former Commissioner of the U.S. Securities & Exchange Commission and founder of Paredes Strategies LLC; **Sarah Green**, Senior Director in the Enforcement Division at FINRA; and **Donald G. Anspacher**, FBI Special Agent, New York Division assigned to the Eurasian Organized Crime Squad.

To register for the seminar, please [click here](#).

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