



ETR AG



## **Corporate account application form**

# Corporate account application

## 1 Instructions

The following supporting documentation must accompany the Company's completed account Application Form:

- ❖ for legal entities that are registered with the Commercial Register:
  - an extract:
    - a) from the Commercial Register issued by the Commercial Register authorities,
    - b) from a database held by the Commercial Register authorities, or
    - c) from a reliable, privately managed directory or similar database,
  - latest available financial statements of the Company that are less than 12 months old,
  - The last two months financial statements of company bank statements,
  - Copy of Register of Shareholders of the company (if such information is not provided in the Company's Commercial registry extract),
  - Copy of Register of the Company's management and control bodies,
  - Proof of Registered Address (original utility bill or bank statement displaying the Company's Name and Registered Address dated within the last three months),
  - Proof of Operating Address (original utility bill or bank statement displaying the Company's Name and Operating Address dated within the last three months),
  - Individual verification of Authorised Representative (copy of passport. ID card, driving license or other equivalent and valid identification document) and authorization document, certifying such authorization by the Company,
  - Individual verification of all active Directors (copy of passport. ID card, driving license or other equivalent and valid identification document)
  - Individual verification of Shareholders/Beneficial Owners with a holding of 25% or more:
    - Individual shareholders (copy of passport. ID card, driving license or other equivalent and valid identification document)
    - Corporate shareholders (a complete list of supporting documentation for each Company as listed above)

- For applications from outside the EEA, please supply a Certificate of Incumbency (dated within the last 3 months) and notarised copies of the documentation stated above.
- ❖ for legal entities that are not registered with the Commercial Register the Company's completed account Application Form shall be also accompanied with the following documentation:
  - an official certificate issued in Liechtenstein,
  - the statutes,
  - the formation documents or the constitutive agreement,
  - a confirmation of the information referred to in the precedent paragraph by the appointed auditor of the annual financial statements;
  - an official authorisation to conduct regulated activity, or a written extract from a reliable, privately managed directory or a similar database.

## 2 Company details

Full registered Company name:

Legal form of Company:

Other commercial names of the Company:

Company's address:

Operating Address (if different to Registered Company Address)	
Address Line 1:	Town:
Address Line 2:	County:
Address Line 3:	Post code:
Country:	Business tel. no:
Registered Company Address	
Address Line 1:	Town:
Address Line 2:	County:
Address Line 3:	Post code:
Country:	Business tel. no:

State of domicile:

Company's e-mail address

Company's ID number:

Company's registration number:

Company's tax identification number:

Incorporation date:

Place and date of entry in the Commercial Register (where applicable):

Names of the bodies, representatives and/or trustees acting formally on behalf of the legal entity in the relationship with the Company:

Company's main business activities including revenue sources:

Company's reason(s) for opening a corporate account:

<b>More Information on The Company</b>	
<b>Is the company authorised and regulated by a financial regulator (e.g. FMA in Liechtenstein, FINMA in Switzerland) in any country or territory?</b>	
If yes please provide details and probative documentation:	Yes <input type="checkbox"/> No <input type="checkbox"/>
<b>Is the company listed on a Regulated Stock Exchange (e.g. Berlin stock exchange? Börse Berlin in Germany) in any country or territory?</b>	
If yes please provide details and probative documentation:	Yes <input type="checkbox"/> No <input type="checkbox"/>
<b>Does the company have any pending litigation, disputed accounts or other unresolved matters in any country or territory?</b>	
If yes please provide details and documentation:	Yes <input type="checkbox"/> No <input type="checkbox"/>
<b>Has the company ever been subject to Bankruptcy/Insolvency proceedings in any country or territory?</b>	
If yes please provide details and documentation:	Yes <input type="checkbox"/> No <input type="checkbox"/>
<b>Do you expect to have transaction with any related companies?</b>	
	Yes <input type="checkbox"/> No <input type="checkbox"/>
<b>Do you expect to conduct transaction with persons in off shore jurisdictions?</b>	
If yes, please provide full name and address of such companies:	Yes <input type="checkbox"/> No <input type="checkbox"/>

### 3 Company Bank Account Information for Business relationship with ETR AG

Company bank information	
Bank name	
Bank address	
Postcode:	
Country:	
Account name:	
Account number:	
IBAN	
BIC	

### 4 Volume of funds

Volume of Funds	
Estimated amount of FIAT Funds that will be deposited to ETR AG trading account:	
Estimated amount of Cryptocurrency Funds that will be deposited to ETR AG trading account:	
Total value of cryptocurrency held by Company:	

## 5 Origin of funds

The origins (s) of FIAT and/or Cryptocurrency Funds for Trading on ETR AG	
You may select more than one origin and we may require you to provide supporting documentation in respect of the origin (s)	
<input type="checkbox"/> <b>Normal commercial Activities</b>	Trading Name:
	Nature of business:
	Annual Turnover:
<input type="checkbox"/> <b>Investments held at another brokerage firm/cryptocurrency exchange</b>	Current value of investments/funds/cryptocurrency at the firm (s) of brokers/cryptocurrency exchange:
<input type="checkbox"/> <b>Others</b> (please specify and provide value of funds)	

Are the funds to be deposited entirely from the entity and/or its shareholders/principals?	
If yes, you declare that all funds to be deposited to ETR AG trading account are corporate proprietary funds, resulting exclusively from: <ol style="list-style-type: none"> <li>1. Paid in capital from the entity's shareholders/principals/beneficial owners and/or</li> <li>2. Business profit and/or retained earnings from regular business operations</li> </ol>	Yes <input type="checkbox"/> No <input type="checkbox"/>

Authorised Representative of the Company	
Title: Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss <input type="checkbox"/> Dr <input type="checkbox"/> Other <input type="checkbox"/> (Please specify)	
First Name:	Residential Address:
Surname:	
Date of Birth:	
Nationality:	Postcode/Zip Code:
Contact Tel. No.:	Country:
Email Address:	
Position within the Company:	
Specimen Signature:	

Authorised Traders - 1	
Title: Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss <input type="checkbox"/> Dr <input type="checkbox"/> Other <input type="checkbox"/> (Please specify)	
First Name:	
Surname:	
Contact Tel. No.:	
Email Address:	
Position within the Company:	

Authorised Traders - 2	
Title: Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss <input type="checkbox"/> Dr <input type="checkbox"/> Other <input type="checkbox"/> (Please specify)	
First Name:	
Surname:	
Contact Tel. No.:	
Email Address:	
Position within the Company:	

Authorised Traders - 3	
Title: Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss <input type="checkbox"/> Dr <input type="checkbox"/> Other <input type="checkbox"/> (Please specify)	
First Name:	
Surname:	
Contact Tel. No.:	
Email Address:	
Position within the Company:	

Authorised Traders - 4	
Title: Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss <input type="checkbox"/> Dr <input type="checkbox"/> Other <input type="checkbox"/> (Please specify)	
First Name:	
Surname:	
Contact Tel. No.:	
Email Address:	
Position within the Company:	

## 6 Trading experience

Trading Experience		
Has your company traded cryptocurrency in the past 12 months? Yes <input type="checkbox"/> No <input type="checkbox"/>		
If yes, for how long?		
< 1 year <input type="checkbox"/>	1 – 2 years <input type="checkbox"/>	> 2 years <input type="checkbox"/>
If yes, how many cryptocurrency transactions has your company made in the past 12 months?		
1 – 20 per month <input type="checkbox"/>	20 - 50 per month <input type="checkbox"/>	51+ per month <input type="checkbox"/>
What is the average size of your cryptocurrency transactions? *		
< 10,000 CHF	10,001 CHF– 50,000 CHF	50,001 CHF +

## 7 Beneficial owners and recipients of distributions

Shareholders/Beneficial Owners				
Please list any shareholders or beneficial owners with a holding of 25% or more				
Title	Full Name	Address	Date of Birth	Holding %

Company Directors			
Please list any company directors (if necessary please continue on an additional sheet)			
Title	Full Name	Address	Date of Birth

Senior management				
Please detail the senior persons responsible for the operations of the business This may include the CEO, COO and CFO.				
Title	Full Name	Address	Date of Birth	Position held



## 8 Certified board resolution and declaration

Certified Board Resolution	
I _____ Company Director/Company Secretary of _____ (the "Company") certify that the following resolutions were duly passed by the Directors of the Company at a meeting held on _____ (Date)	
It was resolved as follows: <ol style="list-style-type: none"> <li>1. That accounts (the "Accounts") be opened in the name of the Company for the purpose of buying and selling cryptocurrency on ETR AG Platform.</li> <li>2. That an agreement be entered into in connection with the opening of the Account shall require (the "Agreements") and that all transactions entered into by the Company shall be subject to the terms of the ETR AG as described in the "Declaration" section hereof, and as amended from time to time.</li> <li>3. That Company is not conducting any activities prohibited by the criminal law and they might mean predicate offence for Money laundering.</li> <li>4. That Company is not under any applicable sanctions regime (UN, EU, OFAC) and they is not conducting any activities, that might have negative impact ETR AG being sanctioned for money laundering or terrorism financing or any other financial crime.</li> <li>5. That each of the persons whose names and specimen signatures appear below (the "Authorised Signatories") shall be and are hereby jointly and severally authorised to sign any document in connection with the opening or operation of the Account, including (but without limitation) the said ETR AG Agreements and any document creating, perfecting or relating to any mortgage, charge or encumbrance over the Company's assets and to give any oral or written instructions to ETR AG with respect to the Account(s) including (but without limitation) instructions to effect or otherwise enter transactions with or on behalf of the Company.</li> </ol>	
Authorised Signatory:  _____ (Name)	Authorised Signatory:  _____ (Name)
_____ (Signature)	_____ (Signature)
_____ (Title)	_____ (Title)
It was resolved as follows: <ol style="list-style-type: none"> <li>4. That any transactions of any description whatsoever previously entered into by the Company with or through ETR AG</li> </ol>	

be and are hereby ratified and approved.

5. That these Resolutions be communicated to ETR AG and shall remain in force and that ETR AG shall be entitled to rely on the same until an amending resolution shall be passed and a copy certified by an officer of the Company shall have been received by ETR AG.

I/We further certify that there is no legal or other reason why the Company should not conduct this business.

### Declaration

In signing and returning this form I confirm for and on behalf of the applicant that:

- We have full power and authority to enter into the legal relationship with **ETR AG**, registered address: c/o Ganten Trustees Ltd. , Marktgass 11, 9490 Vaduz, Liechtenstein, Corporate ID number: FL-0002.616.922-1 governed by relevant Terms of Use for and on behalf of the named applicant
- We have read and understood and agree to be bound by the ETR AG Terms of Use and corresponding publicly available documents, comprised of the current versions published on the ETR AG official website of: (a) the Terms of Use, (b) Fee schedule, (c) Rulebook, (d) ETR AG Privacy policy, (e) the Cookie Policy, (f) the API agreement (if applicable) and any other relevant documents stated in the said Terms of Use if not explicitly otherwise stipulated.
- **We also acknowledge that by applying for an ETR AG Account, we agree to open an account which is used to facilitate the operation of ETR AG Services. We have therefore read and understood, and agree to be bound by the ETR AG Terms of Use and other documents stated therein as applicable.**
- We declare that the information we have provided as part of this application process is true and complete.
- We will notify ETR AG promptly, with at least two weeks' notice, in advance if we cease to be employed or engaged as an employee, agent or contractor by my/our company, or if we cease have authority to act on behalf of the applicant, whether under the terms of this Agreement or otherwise

*You should not open an account with ETR AG unless you understand the nature of its trading services and the extent of your Company's exposure to risk.*

### Agreement/signature

By signing this form you represent and confirm that all the information contained herein is true and accurate and you agree on behalf of the applicant to be bound by this Agreement.

<b>Signature of the Company Director:</b>	
Date:	
Full name:	