

BOARD OF PROFESSIONAL RESPONSIBILITY
CASELOAD REPORT
December 2014
Fiscal Year 07/01/2014 - 06/30/2015

	This period	This Fiscal Year to date	Last Fiscal Year to date
1. Frivolous Complaints (no files)			
a. Dismissed Frivolous	32	161	214
c. Dismissed Frivolous from CAP	2	2	0
2. Administrative Files:			
a. pending at beginning of period	1	1	1
b. received during period (total)	0	0	0
(i) new matters *	0	0	0
(ii) closed matters reactivated	0	0	0
(iii) referred from CAP	0	0	0
c. closed during period	0	0	0
(i) by dismissal	0	0	0
(ii) by priv. informal admon.	0	0	0
(iii) by trans. to investigative	0	0	0
(iv) other	0	0	0
(v) diversion	0	0	0
d. pending at end of period	1	1	1
3. Investigative Files:			
a. pending at beginning of period	448	475	518
b. received during period (total)	60	438	453
(i) new matters *	50	368	368
(ii) closed matters reactivated	0	0	0
(iii) administrative matters from 2(c)(iii)	0	0	0
(iv) referred from CAP	10	70	85
(v) closed matters on appeal	0	0	0
c. closed during period	52	457	480
(i) by dismissal	28	226	320
(ii) by priv. informal admon.	3	26	27
(iii) by priv. informal reprimand	0	11	5
(iv) by informal public censure	0	13	16
(v) by transfer to formal charg.	9	129	82
(vi) placed on retired status	11	17	8
(vii) by consent to disbarment	0	1	1
(viii) by disability inactive	0	2	0
(ix) other	0	23	2
(x) diversion	1	9	19
d. pending at end of period	456	456	491
4. Total New Complaints			
(i) New Files	60	438	453
(ii) Frivolous Complaints	32	161	214
(iii) Frivolous Complaints from CAP	2	2	0
Total	94	601	667
5. Formal Charges:			
a. pending at beginning of period	85	68	72
b. filed during period	8	59	38
c. closed during period	2	36	36
(i) by dismissal	0	1	5
(ii) by priv. informal admon.	0	0	0
(iii) by priv. reprimand	0	0	0
(iv) by public censure	0	3	4
(v) by suspension	0	17	12
(vi) by disbarment	0	4	5
(vii) by disability inactive stat.	1	6	9
(viii) by other action	1	5	1

(ix) placed on retired status	0	0	0
(x) diversion	0	0	0
d. pending at end of period	91	91	74
6. Reinstatements:			
a. pending at beginning of period	5	8	4
b. filed during period	0	5	4
c. closed during period	0	8	0
(i) reinstatements granted	0	8	0
(ii) reinstatements denied	0	0	0
(iii) reinstatements non-suited	0	0	0
(iv) voluntary dismissal	0	0	0
(v) other or no response	0	0	0
d. pending at end of period	5	5	8
7. Temporary Suspensions:			
a. pending at beginning of period	27	26	19
b. filed during period	1	14	9
c. basis			
(i) failure to respond	1	11	7
(ii) misappropriation of funds	0	0	0
(iii) irreparable harm	0	0	1
(iv) combination of i and ii	0	0	0
(v) combination of i and iii	0	0	0
(vi) combination of ii and iii	0	2	0
(vii) Section 14 / Other	0	0	0
(viii) Section 31	0	0	0
(vix) TLAP default	0	1	1
d. suspension granted	0	7	9
e. closed during period	0	10	9
(i) suspension denied	0	0	0
(ii) suspension lifted	0	9	4
(iii) other or no response	0	1	5
f. pending at end of period	28	30	19
8. Overdrafts:			
a. pending at beginning of period	5	4	8
b. filed during period	26	82	80
c. closed during period	9	47	58
(i) law office errors			
(a) bank charges deducted	0	1	1
(b) check written error	1	5	17
(c) deposit in error to bus/personal account	0	2	6
(d) deposit in error to wrong trust account	0	6	9
(e) math/clerical error	2	9	2
(ii) bank errors			
(a) encoding error	0	1	0
(b) debit error - TR account	1	7	3
(c) credit error	1	3	3
(d) not an overdraft	1	4	0
(e) service charge deducted	0	1	1
(f) unspecified	0	0	1
(iii) reporting error			
(a) attorney bus. account	0	1	0
(b) personal account	0	0	0
(c) estate account	1	1	0
(d) unspecified	0	0	1
(e) uncollected	0	0	0
(iv) returned items			
(a) endorsement lacking or improper	0	0	0
(b) stop payment by client on deposited item	0	0	0
(c) stop payment by attorney trust check	0	0	0

(d) third party check bounced after deposit	0	0	1
(e) unspecified	0	0	0
(v) untimely deposits			
(a) client or other attorney disregarded hold instr.	2	3	0
(b) late deposit - mail	0	0	0
(c) late deposit in real estate matter	0	2	5
(d) late deposit - other	0	1	4
(e) other	0	0	0
(vi) unspecified - forgery	0	0	4
d. transfer to investigative file	2	12	12
e. audits performed	0	0	0
f. pending at end of period	20	27	18
9. Complainants Appeals			
a. pending at beginning of period	21	21	21
b. received during period	1	29	44
c. resolved during period	1	29	37
(i) no change in disposition	1	29	37
(ii) disposition modified	0	0	0
(iii) reopened for further investigation	0	0	0
d. pending at end of period	21	21	28

NOTES:

Run date : 01/02/2015
 Started : 12:45:16
 Completed : 13:03:32
 Printer not ready. Retry? (Y/N) No