

BOARD OF PROFESSIONAL RESPONSIBILITY
CASELOAD REPORT
September 2014
Fiscal Year 07/01/2014 - 06/30/2015

	This period	This Fiscal Year to date	Last Fiscal Year to date
1. Frivolous Complaints (no files)			
a. Dismissed Frivolous	24	73	110
c. Dismissed Frivolous from CAP	0	0	0
2. Administrative Files:			
a. pending at beginning of period	1	1	1
b. received during period (total)	0	0	0
(i) new matters *	0	0	0
(ii) closed matters reactivated	0	0	0
(iii) referred from CAP	0	0	0
c. closed during period	0	0	0
(i) by dismissal	0	0	0
(ii) by priv. informal admon.	0	0	0
(iii) by trans. to investigative	0	0	0
(iv) other	0	0	0
(v) diversion	0	0	0
d. pending at end of period	1	1	1
3. Investigative Files:			
a. pending at beginning of period	480	477	520
b. received during period (total)	86	242	264
(i) new matters *	72	195	210
(ii) closed matters reactivated	0	0	0
(iii) administrative matters from 2(c)(iii)	0	0	0
(iv) referred from CAP	14	47	54
(v) closed matters on appeal	0	0	0
c. closed during period	98	251	230
(i) by dismissal	42	125	162
(ii) by priv. informal admon.	5	16	12
(iii) by priv. informal reprimand	0	4	2
(iv) by informal public censure	0	4	8
(v) by transfer to formal charg.	33	81	29
(vi) placed on retired status	2	2	8
(vii) by consent to disbarment	0	1	0
(viii) by disability inactive	2	2	0
(ix) other	14	16	2
(x) diversion	0	0	7
d. pending at end of period	468	468	554
4. Total New Complaints			
(i) New Files	86	242	264
(ii) Frivolous Complaints	24	73	110
(iii) Frivolous Complaints from CAP	0	0	0
Total	110	315	374
5. Formal Charges:			
a. pending at beginning of period	71	68	72
b. filed during period	12	31	19
c. closed during period	2	18	18
(i) by dismissal	0	0	2
(ii) by priv. informal admon.	0	0	0
(iii) by priv. reprimand	0	0	0
(iv) by public censure	0	0	1
(v) by suspension	0	10	6
(vi) by disbarment	0	1	2
(vii) by disability inactive stat.	2	4	6
(viii) by other action	0	3	1

(ix) placed on retired status	0	0	0
(x) diversion	0	0	0
d. pending at end of period	81	81	73
6. Reinstatements:			
a. pending at beginning of period	6	8	4
b. filed during period	1	4	1
c. closed during period	1	6	0
(i) reinstatements granted	1	6	0
(ii) reinstatements denied	0	0	0
(iii) reinstatements non-suited	0	0	0
(iv) voluntary dismissal	0	0	0
(v) other or no response	0	0	0
d. pending at end of period	6	6	5
7. Temporary Suspensions:			
a. pending at beginning of period	29	26	19
b. filed during period	2	10	3
c. basis			
(i) failure to respond	1	8	2
(ii) misappropriation of funds	0	0	0
(iii) irreparable harm	0	0	1
(iv) combination of i and ii	0	0	0
(v) combination of i and iii	0	0	0
(vi) combination of ii and iii	0	1	0
(vii) Section 14 / Other	0	0	0
(viii) Section 31	0	0	0
(vix) TLAP default	1	1	0
d. suspension granted	0	6	3
e. closed during period	0	4	2
(i) suspension denied	0	0	0
(ii) suspension lifted	0	3	0
(iii) other or no response	0	1	2
f. pending at end of period	31	32	20
8. Overdrafts:			
a. pending at beginning of period	7	4	8
b. filed during period	10	39	42
c. closed during period	6	25	29
(i) law office errors			
(a) bank charges deducted	0	0	0
(b) check written error	0	2	4
(c) deposit in error to bus/personal account	1	2	2
(d) deposit in error to wrong trust account	2	6	3
(e) math/clerical error	1	6	1
(ii) bank errors			
(a) encoding error	1	1	0
(b) debit error - TR account	1	2	2
(c) credit error	0	2	2
(d) not an overdraft	0	3	0
(e) service charge deducted	0	0	0
(f) unspecified	0	0	1
(iii) reporting error			
(a) attorney bus. account	0	1	0
(b) personal account	0	0	0
(c) estate account	0	0	0
(d) unspecified	0	0	1
(e) uncollected	0	0	0
(iv) returned items			
(a) endorsement lacking or improper	0	0	0
(b) stop payment by client on deposited item	0	0	0
(c) stop payment by attorney trust check	0	0	0

(d) third party check bounced after deposit	0	0	1
(e) unspecified	0	0	0
(v) untimely deposits			
(a) client or other attorney disregarded hold instr.	0	0	0
(b) late deposit - mail	0	0	0
(c) late deposit in real estate matter	0	0	5
(d) late deposit - other	0	0	4
(e) other	0	0	0
(vi) unspecified - forgery	0	0	3
d. transfer to investigative file	6	10	10
e. audits performed	0	0	0
f. pending at end of period	5	8	11
9. Complainants Appeals			
a. pending at beginning of period	22	21	21
b. received during period	2	15	20
c. resolved during period	5	17	20
(i) no change in disposition	5	17	20
(ii) disposition modified	0	0	0
(iii) reopened for further investigation	0	0	0
d. pending at end of period	19	19	21

NOTES:

Run date : 10/01/2014
 Started : 08:31:23
 Completed : 08:49:31
 Printer not ready. Retry? (Y/N) No