### BOARD OF PROFESSIONAL RESPONSIBILITY
### CASELOAD REPORT
### September 2014
### Fiscal Year 07/01/2014 - 06/30/2015

#### 1. Frivolous Complaints (no files)
- Dismissed Frivolous
  - This period: 24
  - This Fiscal Year to date: 73
  - Last Fiscal Year to date: 110
- Dismissed Frivolous from CAP
  - This period: 0
  - This Fiscal Year to date: 0
  - Last Fiscal Year to date: 0

#### 2. Administrative Files:
- Pending at beginning of period
  - This period: 1
  - This Fiscal Year to date: 1
  - Last Fiscal Year to date: 1
- Received during period (total)
  - This period: 0
  - This Fiscal Year to date: 0
  - Last Fiscal Year to date: 0
  - New matters *
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 0
  - Closed matters reactivated
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 0
  - Referred from CAP
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 0
- Closed during period
  - By dismissal
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 0
  - By priv. informal admon.
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 0
  - By trans. to investigative
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 0
  - Other
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 0
  - Diversion
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 0
- Pending at end of period
  - This period: 1
  - This Fiscal Year to date: 1
  - Last Fiscal Year to date: 1

#### 3. Investigative Files:
- Pending at beginning of period
  - This period: 480
  - This Fiscal Year to date: 477
  - Last Fiscal Year to date: 520
- Received during period (total)
  - This period: 86
  - This Fiscal Year to date: 242
  - Last Fiscal Year to date: 264
  - New matters *
    - This period: 72
    - This Fiscal Year to date: 195
    - Last Fiscal Year to date: 210
  - Closed matters reactivated
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 0
  - Administrative matters from 2(c)(ii)
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 0
  - Referred from CAP
    - This period: 14
    - This Fiscal Year to date: 47
    - Last Fiscal Year to date: 54
  - Closed matters on appeal
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 0
- Closed during period
  - By dismissal
    - This period: 42
    - This Fiscal Year to date: 125
    - Last Fiscal Year to date: 162
  - By priv. informal admon.
    - This period: 5
    - This Fiscal Year to date: 16
    - Last Fiscal Year to date: 12
  - By priv. informal reprimand
    - This period: 0
    - This Fiscal Year to date: 4
    - Last Fiscal Year to date: 2
  - By informal public censure
    - This period: 0
    - This Fiscal Year to date: 4
    - Last Fiscal Year to date: 8
  - By transfer to formal charg.
    - This period: 33
    - This Fiscal Year to date: 81
    - Last Fiscal Year to date: 29
  - By placed on retired status
    - This period: 2
    - This Fiscal Year to date: 2
    - Last Fiscal Year to date: 8
  - By consent to disbarment
    - This period: 0
    - This Fiscal Year to date: 1
    - Last Fiscal Year to date: 0
  - By disability inactive
    - This period: 2
    - This Fiscal Year to date: 2
    - Last Fiscal Year to date: 0
  - Other
    - This period: 14
    - This Fiscal Year to date: 16
    - Last Fiscal Year to date: 2
  - Diversion
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 7
- Pending at end of period
  - This period: 468
  - This Fiscal Year to date: 468
  - Last Fiscal Year to date: 554

#### 4. Total New Complaints
- New files
  - This period: 86
  - This Fiscal Year to date: 242
  - Last Fiscal Year to date: 264
- Frivolous complaints
  - This period: 24
  - This Fiscal Year to date: 73
  - Last Fiscal Year to date: 110
- Frivolous complaints from CAP
  - This period: 0
  - This Fiscal Year to date: 0
  - Last Fiscal Year to date: 0
- Total
  - This period: 110
  - This Fiscal Year to date: 315
  - Last Fiscal Year to date: 374

#### 5. Formal Charges:
- Pending at beginning of period
  - This period: 71
  - This Fiscal Year to date: 68
  - Last Fiscal Year to date: 72
- Filed during period
  - This period: 12
  - This Fiscal Year to date: 31
  - Last Fiscal Year to date: 19
- Closed during period
  - By dismissal
    - This period: 2
    - This Fiscal Year to date: 18
    - Last Fiscal Year to date: 18
  - By priv. informal admon.
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 0
  - By priv. reprimand
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 0
  - By public censure
    - This period: 0
    - This Fiscal Year to date: 0
    - Last Fiscal Year to date: 1
  - By suspension
    - This period: 0
    - This Fiscal Year to date: 10
    - Last Fiscal Year to date: 5
  - By disbarment
    - This period: 0
    - This Fiscal Year to date: 1
    - Last Fiscal Year to date: 2
  - By disability inactive stat.
    - This period: 2
    - This Fiscal Year to date: 4
    - Last Fiscal Year to date: 6
  - By other action
    - This period: 0
    - This Fiscal Year to date: 3
    - Last Fiscal Year to date: 1
(ix) placed on retired status 0 0 0 0
(x) diversion 0 0 0 0
d. pending at end of period 81 81 73

6. Reinstatements:
   a. pending at beginning of period 6 8 4
   b. filed during period 1 4 1
   c. closed during period 1 6 0
      (i) reinstatements granted 1 6 0
      (ii) reinstatements denied 0 0 0
      (iii) reinstatements non-suited 0 0 0
      (iv) voluntary dismissal 0 0 0
      (v) other or no response 0 0 0
d. pending at end of period 6 6 5

7. Temporary Suspensions:
   a. pending at beginning of period 29 26 19
   b. filed during period 2 10 3
   c. basis
      (i) failure to respond 1 8 2
      (ii) misappropriation of funds 0 0 0
      (iii) irreparable harm 0 0 1
      (iv) combination of i and ii 0 0 0
      (v) combination of i and iii 0 0 0
      (vi) combination of ii and iii 0 1 0
      (vii) Section 14 / Other 0 0 0
      (viii) Section 31 0 0 0
     (v) TLAP default 1 1 0
d. suspension granted 0 6 3
e. closed during period 0 4 2
     (i) suspension denied 0 0 0
     (ii) suspension lifted 0 3 0
     (iii) other or no response 0 1 2
f. pending at end of period 31 32 20

8. Overdrafts:
   a. pending at beginning of period 7 4 8
   b. filed during period 10 39 42
   c. closed during period 6 25 29
      (i) law office errors
         (a) bank charges deducted 0 0 0
         (b) check written error 0 2 4
         (c) deposit in error to 1 2 2
           bus/personal account
         (d) deposit in error to 2 6 3
           wrong trust account
         (e) math/clerical error 1 6 1
      (ii) bank errors
         (a) encoding error 1 1 0
         (b) debit error - TR account 1 2 2
         (c) credit error 0 2 2
         (d) not an overdraft 0 3 0
         (e) service charge deducted 0 0 0
         (f) unspecified 0 0 1
      (iii) reporting error
         (a) attorney bus. account 0 1 0
         (b) personal account 0 0 0
         (c) estate account 0 0 0
         (d) unspecified 0 0 1
         (e) uncollected 0 0 0
     (iv) returned items
        (a) endorsement lacking or 0 0 0
           improper
        (b) stop payment by client 0 0 0
do not deposited item
        (c) stop payment by attorney trust check 0 0 0
(d) third party check
  bounced after deposit 0 0 1
(e) unspecified 0 0 0
(v) untimely deposits
  (a) client or other attorney
    disregarded hold instr. 0 0 0
  (b) late deposit - mail 0 0 0
  (c) late deposit in real
    estate matter 0 0 5
  (d) late deposit - other 0 0 4
  (e) other 0 0 0
(vi) unspecified - forgery 0 0 3
d. transfer to investigative file 6 10 10
e. audits performed 0 0 0
f. pending at end of period 5 8 11

9. Complainants Appeals
   a. pending at beginning of period 22 21 21
   b. received during period 2 15 20
   c. resolved during period 5 17 20
      (i) no change in disposition 5 17 20
      (ii) disposition modified 0 0 0
      (iii) reopened for further
            investigation 0 0 0
   d. pending at end of period 19 19 21

NOTES:

Run date : 10/01/2014
Started : 08:31:23
Completed : 08:49:31
Printer not ready. Retry? (Y/N) No