

BOARD OF PROFESSIONAL RESPONSIBILITY  
CASELOAD REPORT  
June 2014  
Fiscal Year 07/01/2013 - 06/30/2014

|  | This<br>period | This Fiscal<br>Year to date | Last Fiscal<br>Year to date |
|--|----------------|-----------------------------|-----------------------------|
| 1. Frivolous Complaints (no files)             |                |                             |                             |
| a. Dismissed Frivolous                         | 37             | 397                         | 586                         |
| c. Dismissed Frivolous from CAP                | 0              | 3                           | 2                           |
| 2. Administrative Files:                       |                |                             |                             |
| a. pending at beginning of period              | 1              | 1                           | 4                           |
| b. received during period (total)              | 0              | 0                           | 0                           |
| (i) new matters *                              | 0              | 0                           | 0                           |
| (ii) closed matters reactivated                | 0              | 0                           | 0                           |
| (iii) referred from CAP                        | 0              | 0                           | 0                           |
| c. closed during period                        | 0              | 0                           | 3                           |
| (i) by dismissal                               | 0              | 0                           | 0                           |
| (ii) by priv. informal admon.                  | 0              | 0                           | 0                           |
| (iii) by trans. to investigative               | 0              | 0                           | 0                           |
| (iv) other                                     | 0              | 0                           | 3                           |
| (v) diversion                                  | 0              | 0                           | 0                           |
| d. pending at end of period                    | 1              | 1                           | 1                           |
| 3. Investigative Files:                        |                |                             |                             |
| a. pending at beginning of period              | 482            | 518                         | 583                         |
| b. received during period (total)              | 52             | 877                         | 884                         |
| (i) new matters *                              | 48             | 719                         | 812                         |
| (ii) closed matters reactivated                | 0              | 0                           | 0                           |
| (iii) administrative matters<br>from 2(c)(iii) | 0              | 0                           | 0                           |
| (iv) referred from CAP                         | 4              | 158                         | 72                          |
| (v) closed matters on appeal                   | 0              | 0                           | 0                           |
| c. closed during period                        | 65             | 926                         | 942                         |
| (i) by dismissal                               | 45             | 551                         | 611                         |
| (ii) by priv. informal admon.                  | 1              | 56                          | 69                          |
| (iii) by priv. informal reprimand              | 0              | 13                          | 13                          |
| (iv) by informal public censure                | 0              | 30                          | 30                          |
| (v) by transfer to formal charg.               | 8              | 199                         | 170                         |
| (vi) placed on retired status                  | 5              | 23                          | 12                          |
| (vii) by consent to disbarment                 | 0              | 1                           | 6                           |
| (viii) by disability inactive                  | 0              | 4                           | 13                          |
| (ix) other                                     | 2              | 8                           | 7                           |
| (x) diversion                                  | 4              | 41                          | 11                          |
| d. pending at end of period                    | 469            | 469                         | 525                         |
| 4. Total New Complaints                        |                |                             |                             |
| (i) New Files                                  | 52             | 877                         | 884                         |
| (ii) Frivolous Complaints                      | 37             | 397                         | 586                         |
| (iii) Frivolous Complaints from CAP            | 0              | 3                           | 2                           |
| Total  | 89             | 1277                        | 1472                        |
| 5. Formal Charges:                             |                |                             |                             |
| a. pending at beginning of period              | 73             | 71                          | 73                          |
| b. filed during period                         | 6              | 74                          | 79                          |
| c. closed during period                        | 11             | 76                          | 80                          |
| (i) by dismissal                               | 0              | 5                           | 3                           |
| (ii) by priv. informal admon.                  | 0              | 0                           | 0                           |
| (iii) by priv. reprimand                       | 0              | 0                           | 0                           |
| (iv) by public censure                         | 1              | 10                          | 7                           |
| (v) by suspension                              | 4              | 25                          | 29                          |
| (vi) by disbarment                             | 3              | 14                          | 14                          |
| (vii) by disability inactive stat.             | 3              | 18                          | 15                          |
| (viii) by other action                         | 0              | 4                           | 11                          |

|  |    |     |     |
|--|----|-----|-----|
| (ix) placed on retired status                | 0  | 0   | 1   |
| (x) diversion                                | 0  | 0   | 0   |
| d. pending at end of period                  | 68 | 69  | 72  |
| 6. Reinstatements:                           |    |     |     |
| a. pending at beginning of period            | 8  | 4   | 6   |
| b. filed during period                       | 1  | 8   | 3   |
| c. closed during period                      | 1  | 4   | 5   |
| (i) reinstatements granted                   | 0  | 2   | 3   |
| (ii) reinstatements denied                   | 0  | 0   | 1   |
| (iii) reinstatements non-suited              | 0  | 0   | 0   |
| (iv) voluntary dismissal                     | 1  | 1   | 0   |
| (v) other or no response                     | 0  | 1   | 1   |
| d. pending at end of period                  | 8  | 8   | 4   |
| 7. Temporary Suspensions:                    |    |     |     |
| a. pending at beginning of period            | 24 | 18  | 17  |
| b. filed during period                       | 1  | 20  | 19  |
| c. basis                                     |    |     |     |
| (i) failure to respond                       | 1  | 15  | 11  |
| (ii) misappropriation of funds               | 0  | 0   | 0   |
| (iii) irreparable harm                       | 0  | 3   | 5   |
| (iv) combination of i and ii                 | 0  | 0   | 0   |
| (v) combination of i and iii                 | 0  | 0   | 0   |
| (vi) combination of ii and iii               | 0  | 1   | 2   |
| (vii) Section 14 / Other                     | 0  | 0   | 0   |
| (viii) Section 31                            | 0  | 0   | 0   |
| (vix) TLAP default                           | 0  | 1   | 1   |
| d. suspension granted                        | 0  | 17  | 15  |
| e. closed during period                      | 0  | 13  | 14  |
| (i) suspension denied                        | 0  | 1   | 0   |
| (ii) suspension lifted                       | 0  | 5   | 3   |
| (iii) other or no response                   | 0  | 7   | 11  |
| f. pending at end of period                  | 25 | 25  | 22  |
| 8. Overdrafts:                               |    |     |     |
| a. pending at beginning of period            | 5  | 8   | 16  |
| b. filed during period                       | 15 | 149 | 172 |
| c. closed during period                      | 14 | 122 | 127 |
| (i) law office errors                        |    |     |     |
| (a) bank charges deducted                    | 0  | 1   | 7   |
| (b) check written error                      | 7  | 36  | 15  |
| (c) deposit in error to bus/personal account | 3  | 12  | 10  |
| (d) deposit in error to wrong trust account  | 1  | 14  | 15  |
| (e) math/clerical error                      | 1  | 8   | 13  |
| (ii) bank errors                             |    |     |     |
| (a) encoding error                           | 0  | 1   | 7   |
| (b) debit error - TR account                 | 0  | 7   | 10  |
| (c) credit error                             | 0  | 7   | 10  |
| (d) not an overdraft                         | 1  | 4   | 5   |
| (e) service charge deducted                  | 0  | 1   | 4   |
| (f) unspecified                              | 0  | 3   | 1   |
| (iii) reporting error                        |    |     |     |
| (a) attorney bus. account                    | 0  | 0   | 0   |
| (b) personal account                         | 0  | 0   | 1   |
| (c) estate account                           | 0  | 0   | 0   |
| (d) unspecified                              | 0  | 1   | 0   |
| (e) uncollected                              | 0  | 0   | 0   |
| (iv) returned items                          |    |     |     |
| (a) endorsement lacking or improper          | 0  | 0   | 2   |
| (b) stop payment by client on deposited item | 0  | 0   | 0   |
| (c) stop payment by attorney trust check     | 0  | 0   | 0   |

|   |    |    |    |
|---|----|----|----|
| (d) third party check<br>bounced after deposit          | 0  | 3  | 5  |
| (e) unspecified   | 0  | 0  | 0  |
| (v) untimely deposits                                   |    |    |    |
| (a) client or other attorney<br>disregarded hold instr. | 1  | 4  | 7  |
| (b) late deposit - mail                                 | 0  | 0  | 0  |
| (c) late deposit in real<br>estate matter               | 0  | 10 | 4  |
| (d) late deposit - other                                | 0  | 6  | 11 |
| (e) other   | 0  | 0  | 0  |
| (vi) unspecified - forgery                              | 0  | 4  | 0  |
| d. transfer to investigative file                       | 0  | 24 | 49 |
| e. audits performed                                     | 0  | 0  | 0  |
| f. pending at end of period                             | 6  | 11 | 37 |
| 9. Complainants Appeals                                 |    |    |    |
| a. pending at beginning of period                       | 22 | 21 | 17 |
| b. received during period                               | 3  | 77 | 68 |
| c. resolved during period                               | 5  | 78 | 64 |
| (i) no change in disposition                            | 4  | 75 | 61 |
| (ii) disposition modified                               | 0  | 0  | 1  |
| (iii) reopened for further<br>investigation             | 1  | 3  | 2  |
| d. pending at end of period                             | 20 | 20 | 21 |

NOTES:

Run date : 07/01/2014  
 Started : 08:28:09  
 Completed : 08:49:07  
 Printer not ready. Retry? (Y/N) No