

Apriori AG

Disclosure Report Date Submitted: December 12th, 2024

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Disclosure Materials

Certified B Corporations must complete a Disclosure Questionnaire to identify potentially sensitive issues related to the company (e.g. historical fines, sanctions, material litigation, or sensitive industry practices).

This component does not affect the company's score on the B Impact Assessment. If the company answers affirmatively to any items in the Disclosure Questionnaire that B Lab deems relevant for public stakeholders, then, as a condition of their certification, the company must:

- Be transparent about details of the disclosure issues identified on the company's public B Impact Report
- 2) Describe how the company has addressed this issue
- 3) Demonstrate that management practices are in place to avoid similar issues from arising in the future, when necessary.

In all cases, the Standards Advisory council reserves the right to refuse certification if the company is ultimately deemed not to uphold the spirit and integrity of the community.

In addition to the voluntary indication of sensitive issues in the Disclosure Questionnaire, companies pursuing Certification also are subject to a background check by B Lab staff. Background checks include a review of public records, news sources, and search engines for company names, brands, executives/founders, and other relevant topics.

Sensitive issues identified through background checks may or may not be within the scope of questions in the Disclosure Questionnaire, but undergo the same review process and are subject to the same possible review by the Standards Advisory Council, including ineligibility for B Corp Certification, required remediation, or disclosure.

B Lab's Public Complaints Process

Any party may submit a complaint about a current B Corp through B Lab's Public Complaint Process. Grounds for complaint include:

- Intentional misrepresentation of practices, policies, and/or claimed outcomes during the company's certification process
- 2) Breaches of the B Corp Community's core values as expressed in our Declaration of Interdependence

This document contains a copy of the company's completed Disclosure Questionnaire and related disclosure documentation provided by the company.



Disclosure Questionnaire

Industries and Products

Yes No Please indicate if the company is involved in production of or trade in any of the following. Select Yes for all options that **Animal Products or Services** $\boxed{}$ **Biodiversity Impacts** \square Chemicals $\boxed{}$ **Disclosure Alcohol** \square **Disclosure Firearms Weapons** $\boxed{}$ **Disclosure Mining** $\boxed{}$ **Disclosure Pornography** $\boxed{}$ **Disclosure Tobacco** $\boxed{}$ **Energy and Emissions Intensive** $\boxed{}$ Industries Fossil fuels \square Gambling **Genetically Modified Organisms** $\overline{\mathbf{A}}$ Illegal Products or Subject to $\overline{\mathbf{A}}$ **Phase Out** Industries at Risk of Human \square **Rights Violations Monoculture Agriculture** \square **Nuclear Power or Hazardous** $\overline{\mathbf{A}}$ **Materials** Payday, Short Term, or High **Interest Lending Water Intensive Industries** $\overline{\mathbf{A}}$ Tax Advisory Services

Outcomes & Penalties

	Yes	No	
Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.			
Anti-Competitive Behavior		\searrow	
Breaches of Confidential Information		V	
Bribery, Fraud, or Corruption		N.	
Company has filed for bankruptcy		\checkmark	
Consumer Protection		V	
Financial Reporting, Taxes, Investments, or Loans		N	
Hazardous Discharges Into Air/Land/Water (Past 5 Yrs)		N	
Labor Issues		V	
Large Scale Land Conversion, Acquisition, or Relocation		N	
Litigation or Arbitration		V	
On-Site Fatality		V	
Penalties Assessed For Environmental Issues		N	
Political Contributions or International Affairs		N	
Recalls		V	
Significant Layoffs		V	
Violation of Indigenous Peoples Rights		V	
Other		\checkmark	



Practices

	Yes	No	
Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."			
Animal Testing		V	
Company/Suppliers Employ Under Age 15 (Or Other ILO Minimum Age)		V	
Company prohibits freedom of association/collective bargaining		V	
Company workers are prisoners		\searrow	
Conduct Business in Conflict Zones			
Confirmation of Right to Work		V	
Does not transparently report corporate financials to government		\checkmark	
Employs Individuals on Zero-Hour Contracts		N.	
Facilities located in sensitive ecosystems		\vee	
ID Cards Withheld or Penalties for Resignation		K	
No formal Registration Under Domestic Regulations		\vee	
No signed employment contracts for all workers		V	
Overtime For Hourly Workers Is Compulsory		V	
Payslips not provided to show wage calculation and deductions		V	

	Yes	No
Sale of Data		\vee
Tax Reduction Through Corporate Shells		V
Workers cannot leave site during non-working hours		Ŋ
Workers not Provided Clean Drinking Water or Toilets		\searrow
Workers paid below minimum wage		N
Workers Under Bond		V
Other	N.	

Supply Chain Disclosures

	Yes	No
Please indicate if any of the following statements are true regarding your company's significant suppliers.		
Business in Conflict Zones		V
Child or Forced Labor		N
Negative Environmental Impact		V
Negative Social Impact		V
Other		✓



Disclosure Questionnaire Statement

Disclosure Questionnaire Category: Other - Disclosure Industries

Topic	Clients in the Pharmaceutical Industry
Summary of Issue	Apriori AG offers consultancy services focused on management consulting for clients in the healthcare, life-sciences, biotech, and pharmaceutical industry, including team and leadership coaching, strategic advisory to assist in broadening access to medicines, and developing/refining strategies for low- and middle-income countries (LMIC). The company's services focus on moderating workshops with patients, patient associations, and local healthcare stakeholders to identify a common aim and strengthen local capabilities in preventing, diagnosing, and treating diseases. The company's clients are involved mainly in oncology, neurology, immunology, communicable, and rare diseases.
	The company is not involved in Research and Development, manufacturing, or Direct Sales of pharmaceutical products. Apriori AG is not involved with lobbying and policy advocacy, intellectual property strategies, or has any direct influence on product pricing. Apriori AG is based in Bern, Switzerland, and operates globally /
	mainly in Europe and North America.
Size/Scope of Issue (e.g. \$ financial implication, # of individuals affected)	In FY 2023, 70% of the company's annual revenue derived from the pharmaceutical industry.
Impact on Stakeholders	Companies that work with clients in controversial industries can indirectly increase the harmful impact on stakeholders by enabling business growth. As a company involved with the Pharmaceutical Industry, B Lab requires the company to adhere to credible national and/or international standards of safety, as well as to have in place policies and practices in relation to the interaction with healthcare professionals/organizations, ethics, anti-corruption and bribery, lobbying, and advocacy. Companies that work with clients in this industry should have practices in place to ensure that their impact is aimed at decreasing the negative impacts of the industry.



Implemented Management Practices

As a management consultancy in the pharmaceutical sector, Apriori AG is committed to conducting all its activities in line with the United Nations Sustainable Development Goals (SDGs). Through its Code of Ethics, the company follows the following ethical principles:

- 1. Commitment to health and well-being (SDG 3: The company values consulting services that promote health and well-being by supporting ethical pharmaceutical practices, innovation in medicine, and access to affordable healthcare for all.
- 2. Ethical Business Practices and Transparency (SDG 16): The company conducts all business with integrity and promotes transparency, accountability, and anti-corruption. Apriori AG is committed to responsible corporate governance among its clients and adheres to the highest standards of professional ethics.
- 3. 3. Sustainability and Environmental Responsibility (SDG 12 & 13): The company supports sustainable business practices that minimize environmental impact. The company encourages the development and promotion of green solutions, waste reduction, and climate-resilient supply chains in the pharmaceutical sector.