



DISCLOSURE MATERIALS

Certified B Corporations must complete a Disclosure Questionnaire to identify potentially sensitive issues related to the company (e.g. historical fines, sanctions, material litigation, or sensitive industry practices).

This component does not affect the company's score on the B Impact Assessment. If the company answers affirmatively to any items in the Disclosure Questionnaire and B Lab deems them to be material, the company must:

- 1) Be transparent about the disclosure issues identified on the company's public B Impact Report
- 2) Describe how the company has addressed this issue.
- 3) Demonstrate that management systems are in place to avoid similar issues from arising in the future.

In all cases, the Standards Advisory council reserves the right to refuse certification if the company is ultimately deemed not to uphold the spirit of the community.

In addition to the voluntary indication of sensitive issues in the Disclosure Questionnaire, companies pursuing Certification also are subject to background checks by B Lab staff. Background checks include a review of public records, news sources, and search engines for company names, brands, executives/founders, and other relevant topics.

Sensitive issues identified through background checks may or may not be within the scope of questions in the Disclosure Questionnaire, but undergo the same review process and are subject to the same possible review by the Standards Advisory Council, including ineligibility for B Corp Certification, required remediation, or disclosure.

This document contains a copy of the company's completed Disclosure Questionnaire and related disclosure documentation provided by the company.

DISCLOSURE QUESTIONNAIRE

PROVIDED BY:

New England Biolabs, Inc.

UPDATED AS OF:

04/22/2020

Industries & Products	Yes	No
Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.		
Animal Products or Services		✓
Biodiversity Impacts		✓
Chemicals		✓
Company Explanation Of Disclosure Item Flags		✓
Disclosure Alcohol		✓
Disclosure Firearms Weapons		✓
Disclosure Mining		✓
Disclosure Pornography		✓
Disclosure Tobacco		✓
Energy and Emissions Intensive Industries		✓
Fossil fuels		✓
Gambling		✓
Genetically Modified Organisms	✓	
Illegal Products or Subject to Phase Out		✓
Industries at Risk of Human Rights Violations		✓
Monoculture Agriculture		✓
Nuclear Power or Hazardous Materials		✓
Payday, Short Term, or High Interest Lending		✓
Water Intensive Industries		✓
Other		✓

Supply Chain Disclosures	Yes	No
Please indicate if any of the following statements are true regarding your company's significant suppliers.		
Business in Conflict Zones		✓
Child or Forced Labor		✓
Negative Environmental Impact		✓
Negative Social Impact		✓
Other		✓

Other Disclosures	Yes	No
		✓

Outcomes & Penalties	True	False
Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.		
Anti-Competitive Behavior		✓
Breaches of Confidential Information		✓
Bribery, Fraud, or Corruption		✓
Company Explanation Of Disclosure Item Flags		✓
Company has filed for bankruptcy		✓
Consumer Protection		✓
Financial Reporting, Taxes, Investments, or Loans		✓
Hazardous Discharges Into Air/Land/Water (Past 5 Yrs)		✓
Labor Issues		✓
Large Scale Land Conversion, Acquisition, or Relocation		✓
Litigation or Arbitration		✓
On-Site Fatality		✓
Penalties Assessed For Environmental Issues		✓
Political Contributions or International Affairs		✓
Recalls		✓
Significant Layoffs		✓
Violation of Indigenous Peoples Rights		✓
Other		✓

Practices	True	False
Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."		
Animal Testing		✓
Company/Suppliers Employ Under Age 15 (Or Other ILO Minimum Age)		✓
Company Explanation Of Disclosure Item Flags		✓
Company prohibits freedom of association/collective bargaining		✓
Company workers are prisoners		✓
Conduct Business in Conflict Zones		✓
Confirmation of Right to Work		✓
Does not transparently report corporate financials to government		✓
Employs Individuals on Zero-Hour Contracts		✓
Facilities located in sensitive ecosystems		✓
ID Cards Withheld or Penalties for Resignation		✓
No formal Registration Under Domestic Regulations		✓
No signed employment contracts for all workers		✓
Overtime For Hourly Workers Is Compulsory		✓
Payslips not provided to show wage calculation and deductions		✓
Sale of Data		✓
Tax Reduction Through Corporate Shells		✓
Workers cannot leave site during non-working hours		✓
Workers not Provided Clean Drinking Water or Toilets		✓
Workers paid below minimum wage		✓
Workers Under Bond		✓
Other		✓



B Corp Certification - Disclosure Questionnaire Documentation

PROVIDED BY:

New England Biolabs, Inc.

UPDATED AS OF:

04/22/2020

DISCLOSURE QUESTIONNAIRE CATEGORY	Disclosure Industries
TOPIC	Genetically Modified Organisms
SUMMARY OF ISSUE	<p>New England Biolabs manufactures and sells recombinant enzymes that are used to perform DNA research and advance the life science industry. The U.S. scientific community shares general consensus that the body of current research lacks sufficient evidence to indicate the consumption of GM food results in direct negative human health effects. Amongst other counter-arguments, GMO critics argue that while short-term studies may not indicate negative effects, more longitudinal research is needed to understand whether GMOs pose any potential negative health effects for humans. Most arguments against use of GMOs in food supply adhere to the precautionary principle calling for more research into the possible harm on humans and/or the environment. This philosophy drives certain initiatives, such as the Non-GMO Project, which call for greater transparency and labelling requirements to empower consumers with the ability to choose between GMO or Non-GMO Verified food products. The most prominent ethical concern is the use of CRISPR Cas9 techniques to modify the DNA of an organism to perform gene editing on humans. New England Biolabs is not in favor of doing human gene editing and does not sell to labs that work on those types of projects.</p>
SIZE/SCOPE OF ISSUE (e.g. \$ financial implication, # of individuals affected)	The company earns 1% of revenues from the sale of products related to the use of GMOs and genetic modification.
IMPLEMENTED MGT PRACTICES	<p>New England Biolabs has position statements and is developing an ethics page for the company website. Additionally, the company screens customers for potential misuse of products or a history of "misuse" of products. All the products that NEB sells are labeled as "Research Use Only" (RUO). This is an important distinction in the biotech world and the RUO label conveys to a customer that if they want to use the products for a diagnostic kit, for example, then the customer will have to perform testing to qualify the material to be used for their purpose (under FDA regulations).</p>
OTHER MANAGEMENT COMMENTS	<p>Additionally, with respect to GMOs, New England Biolabs agrees with B Lab's references to the Sustainable Development Goals that were articulated by the UN. NEB fully supports the importance of maintaining genetic diversity and promoting fair and equitable sharing of the benefits arising from the utilization of genetic resources.</p>