



DISCLOSURE MATERIALS

Certified B Corporations must complete a Disclosure Questionnaire to identify potentially sensitive issues related to the company (e.g. historical fines, sanctions, material litigation, or sensitive industry practices).

This component does not affect the company's score on the B Impact Assessment. If the company answers affirmatively to any items in the Disclosure Questionnaire and B Lab deems them to be material, the company must:

- 1) Be transparent about the disclosure issues identified on the company's public B Impact Report
- 2) Describe how the company has addressed this issue.
- 3) Demonstrate that management systems are in place to avoid similar issues from arising in the future.

In all cases, the Standards Advisory council reserves the right to refuse certification if the company is ultimately deemed not to uphold the spirit of the community.

In addition to the voluntary indication of sensitive issues in the Disclosure Questionnaire, companies pursuing Certification also are subject to background checks by B Lab staff. Background checks include a review of public records, news sources, and search engines for company names, brands, executives/founders, and other relevant topics.

Sensitive issues identified through background checks may or may not be within the scope of questions in the Disclosure Questionnaire, but undergo the same review process and are subject to the same possible review by the Standards Advisory Council, including ineligibility for B Corp Certification, required remediation, or disclosure.

This document contains a copy of the company's completed Disclosure Questionnaire and related disclosure documentation provided by the company.

Certified



Corporation

DISCLOSURE QUESTIONNAIRE

Company Name: Rathfinny Wine Estate
Date Submitted: Mar 27th, 2023

Industries & Products	Yes	No
Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.		
Animal Products or Services		✓
Biodiversity Impacts		✓
Chemicals		✓
Company Explanation Of Disclosure Item Flags		✓
Disclosure Alcohol	✓	
Disclosure Firearms Weapons		✓
Disclosure Mining		✓
Disclosure Pornography		✓
Disclosure Tobacco		✓
Energy and Emissions Intensive Industries		✓
Fossil fuels		✓
Gambling		✓
Genetically Modified Organisms		✓
Illegal Products or Subject to Phase Out		✓
Industries at Risk of Human Rights Violations		✓
Monoculture Agriculture	✓	✓
Nuclear Power or Hazardous Materials		✓
Payday, Short Term, or High Interest Lending		✓
Water Intensive Industries	✓	✓
Tax Advisory Services		✓

Supply Chain Disclosures	Yes	No
Please indicate if any of the following statements are true regarding your company's significant suppliers.		
Business in Conflict Zones		✓
Child or Forced Labor		✓
Negative Environmental Impact		✓
Negative Social Impact		✓
Other		✓

Outcomes & Penalties	True	False
Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.		
Anti-Competitive Behavior		✓
Breaches of Confidential Information		✓
Bribery, Fraud, or Corruption		✓
Company Explanation Of Disclosure Item Flags		✓
Company has filed for bankruptcy		✓
Consumer Protection		✓
Financial Reporting, Taxes, Investments, or Loans		✓
Hazardous Discharges Into Air/Land/Water (Past 5 Yrs)		✓
Labor Issues		✓
Large Scale Land Conversion, Acquisition, or Relocation		✓
Litigation or Arbitration		✓
On-Site Fatality		✓
Penalties Assessed For Environmental Issues		✓
Political Contributions or International Affairs		✓
Recalls		✓
Significant Layoffs		✓
Violation of Indigenous Peoples Rights		✓
Other		✓

Practices	True	False
Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."		
Animal Testing		✓
Company/Suppliers Employ Under Age 15 (Or Other ILO Minimum Age)		✓
Company Explanation Of Disclosure Item Flags		✓
Company prohibits freedom of association/collective bargaining		✓
Company workers are prisoners		✓
Conduct Business in Conflict Zones		✓
Confirmation of Right to Work		✓
Does not transparently report corporate financials to government		✓
Employs Individuals on Zero-Hour Contracts		✓
Facilities located in sensitive ecosystems	✓	
ID Cards Withheld or Penalties for Resignation		✓
No formal Registration Under Domestic Regulations		✓
No signed employment contracts for all workers		✓
Overtime For Hourly Workers Is Compulsory		✓
Payslips not provided to show wage calculation and deductions		✓
Sale of Data		✓
Tax Reduction Through Corporate Shells		✓
Workers cannot leave site during non-working hours		✓
Workers not Provided Clean Drinking Water or Toilets		✓
Workers paid below minimum wage		✓
Workers Under Bond		✓
Other		✓



B Corp Certification - Disclosure Questionnaire Documentation

PROVIDED BY: Rathfinny Wine Estate

UPDATED AS OF: Mar 27th, 2023

DISCLOSURE QUESTIONNAIRE CATEGORY	Environmentally Intensive Industries
TOPIC	Biodiversity/Monoculture Impact
SUMMARY OF ISSUE	As a agricultural company, Rathfinny Wine Estate operates in an industry in which biodiversity impact is a material environmental issue.
SIZE/SCOPE OF ISSUE (e.g. \$ financial implication, # of individuals affected)	Approximately 57% of Rathfinny Wine Estate's revenue comes from products made with ingredients grown directly by the company. Rathfinny Wine Estate controls 240 hectares of land, of which approximately 75% is cultivated annually.
IMPACT ON STAKEHOLDERS	Agriculture poses a risk to local ecosystems of flora and fauna as well as the potential degradation of cultivated land.
IMPLEMENTED MGT PRACTICES	<ul style="list-style-type: none"> - The company makes crop rotation in the arable area (88 ha), as well as no till/min till. Planting of cover crops is also done; - Rathfinny has an Integrated Pest Management (IPM) that covers best practices in relation of farming techniques for: pest prevention, non-chemical control methods, chemical control, biodiversity monitoring, and others.
MANAGEMENT COMMENTS	<ul style="list-style-type: none"> - Rathfinny works closely with the South Downs National Park authority to maintain and expand areas of biodiversity; - The company is also conducting trials with Buglife to monitor biodiversity within the vineyard and the effects different practices have on insect species.



B Corp Certification - Disclosure Questionnaire Documentation

PROVIDED BY: **Rathfinny Wine Estate** UPDATED AS OF: **Mar 27th, 2023**

DISCLOSURE QUESTIONNAIRE CATEGORY	Environmentally Intensive Industries
TOPIC	Water Intensive Industries
SUMMARY OF ISSUE	As a agricultural company, Rathfinny Wine Estate operates in an industry that is water intensive.
SIZE/SCOPE OF ISSUE (e.g. \$ financial implication, # of individuals affected)	Approximately 57% of Rathfinny Wine Estate's revenue comes from products made with ingredients grown directly by the company, and Rathfinny Wine Estate controls 240 hectares of land, 181 ha are cultivated. The company does not make use of irrigation in their cultivated area.
IMPACT ON STAKEHOLDERS	As a water intensive industry, agriculture poses risks such as water stress or depletion of local water sources if water use is not appropriately managed. Rathfinny uses water for their operations from their own borehole and does not make use of irrigation.
IMPLEMENTED MGT PRACTICES	<ul style="list-style-type: none"> - Rathfinny has an Environmental Management System that tracks their water usage. In addition, their water usage footprint is considered in their Life Cycle Assessment as scope 3; - The company has been supporting and working with catchment scientists at South East water has been independently monitoring water quality and levels from Rathfinny borehole using data loggers and sampling on a biweekly basis for Nitrates; - The analysed Nitrate levels remain stable around 34 mg/L, lower than the drinking water level of 50 mg/L. Water usage is calculated from their discharge figures from onsite treatment plant, which processes virtually all liquid waste on the property. Domestic residences each have small waste treatment facilities; - All wastewater is treated on site using a Bio-Bubble biological smart treatment system. The Bio-Bubble significantly reduces the amount of sludge, as all the waste is processed not just the liquid. The sludge is composted within the process reducing the sludge volume. Rathfinny is one of the few agricultural business in the UK to have installed and use this system.
OTHER MANAGEMENT COMMENTS	<ul style="list-style-type: none"> -Key staff have undertaken Atlas Environmental training; - Other actions regarding water saving: modified tank washing protocol, to remove one rinse step, reducing water used in tank hygiene by approximately 20%; employed CIP machine to allow re-use of caustic and PAA further reducing water used in tank hygiene by up 40%; regularly checking of water system for leaks; use of low water usage cleaning equipment such as scrubber dryer, pressure washer, pressure guns; water from our own borehole is metered.



B Corp Certification - Disclosure Questionnaire Documentation

PROVIDED BY: Rathfinny Wine Estate

UPDATED AS OF: Mar 27th, 2023

DISCLOSURE QUESTIONNAIRE CATEGORY	Alcohol
TOPIC	Company manufactures and sells alcohol products
SUMMARY OF ISSUE	Rathfinny Wine Estate is a operates a vineyard and a winery and earns a material amount of revenue from the sale of alcohol products
SIZE/SCOPE OF ISSUE (e.g. \$ financial implication, # of individuals affected)	In the previous fiscal year, 57% of Rathfinny Wine Estate's revenue was earned from the sale of alcohol
IMPACT ON STAKEHOLDERS	Alcohol may have a negative impact on the health and well-being of individuals and their communities
IMPLEMENTED MGT PRACTICES	<p>- The company complies with all the regulations and laws. Their production is reported to Wine Standards (government body annually) and Rathfinny also has a number of HMRC/Government licences that allow them to produce and distribute alcoholic products. In addition, the company complies with all the labelling requirements as well as adding additional health warnings;</p> <p>- The company is also licensed to sell alcohol on site by their local council, including having a single designated member of staff who is licenced by the council to be responsible for the sale of alcohol on site and to ensure that the company is not selling to customers who are inebriated. Company adheres to the Licencing act 2013, which ensures they do not sell to customers below 18 years old;</p> <p>- Rathfinny follows the Portman Code, which dictates how and to whom the company markets their wine to. The company also has paid for a licence to advertise www.drinkaware.com on all their bottles, which helps promote drinking of alcohol in moderation.</p>
MANAGEMENT COMMENTS	- Rathfinny is part of the organization Wine in Moderation, that supports the promotion of information for companies' staff and consumers, provides standards for best practices in commercial communication and provides clear information about alcohol to consumers.



B Corp Certification - Disclosure Questionnaire Documentation

PROVIDED BY:

Rathfinny Wine Estate

UPDATED AS OF:

Mar 27th, 2023

DISCLOSURE QUESTIONNAIRE CATEGORY	Facilities located in sensitive ecosystems
TOPIC	Company operations located within a sensitive ecosystem.
SUMMARY OF ISSUE	<p>Rathfinny Wine Estate's vineyards and production facilities are located within a farmed environment of the South Downs National Park, considered a protected landscape due to the chalk grasslands that encompasses large areas of the park. The company's vineyards are not located within the chalk grasslands.</p> <p>Habitat destruction represents a potential risk of the company's operations within this ecosystem.</p> <p>Potential impact for the ecosystem could be the pollution caused by discharge of wastewater that hasn't been treated properly</p>
SIZE/SCOPE OF ISSUE (e.g. \$ financial implication, # of individuals affected)	100%, all production is within the South Downs National Park.
IMPACT ON STAKEHOLDERS	<p>Having facilities near protected/sensitive ecosystems can potentially impact the flora, fauna and quality of the ecosystem (e.g. noise & light pollution from the facilities, spills, air / soil / water pollution owing to company's operations resulting in ecological imbalance and degradation). Also, there is a risk of negatively impacting the local communities.</p> <p>Wetlands are considered sensitive ecosystems because of broad concerns about the increasing loss and degradation of wetland habitat for migratory waterbirds. These spaces in Petaluma are home to many species of invertebrates, fish, reptiles, amphibians, birds, and mammals – several of which are endangered or threatened.</p>
MANAGEMENT COMMENTS	<p>Rathfinny Wine Estate works closely with the South Downs National Park and Natural England who are ultimately responsible for the governance of National Parks to achieve shared outcomes. The company has a contract signed with South Downs National Park committing to 20 years of work in helping restore and protect the Chalk Grassland Habitat including activities such as:</p> <ol style="list-style-type: none"> a. Clearance of scrub and gorse to enable appropriate grazing of priority grassland habitat on the site; b. Appropriate conservation grazing regime on the site to improve/maintain condition of priority grassland habitats on the site; c. Monitoring of clearance and conservation grazing activities; including but not limited to any checking stock and animal welfare, temporary fencing, ecological surveys. <p>Any new vineyard areas would be developed on arable land that has been in active farm production for decades thereby having no adverse effect to habitats.</p>