



## DISCLOSURE QUESTIONNAIRE

Certified B Corporations must complete a Disclosure Questionnaire to identify potentially sensitive issues related to the company (e.g. historical fines, sanctions, litigation, or sensitive industry practices).

If the company answers affirmatively to any items in the Disclosure Questionnaire and chooses to pursue B Corp Certification, a company must also:

- 1) Be transparent about the sensitive issues identified on the company's public B Impact Report.
- 2) Describe how the company has addressed this issue.
- 3) Demonstrate that management systems are in place to avoid similar issues from arising in the future.

In all cases, the Standards Advisory council reserves the right to refuse certification if the company is ultimately deemed not to uphold the spirit of the community.

**This document contains a copy of the company's completed Disclosure Questionnaire and related documentation provided by the company.**

## DISCLOSURE QUESTIONNAIRE

**Company Name:** Guayaki Sustainable Rainforest Products

**Date Submitted:** 5/2/2016

Industries & Products	Yes	No
Please indicate if the company is involved in production of or trade in any the following (check all that apply.)		
Any product or activity deemed illegal under host country laws or regulations		√
Alcohol (excluding beer and wine)		√
Commercial logging and logging equipment		√
Firearms, weapons or munitions		√
Genetically modified organisms		√
Mining		√
Nuclear Power		√
Fossil fuel-based oil or coal utility		√
Pornography		√
Tobacco		√
Wildlife or wildlife products regulated under the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)		√
Penalties, Fines & Sanctions	Yes	No
Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies (check all that apply.)		
Animal welfare		√
Diversity and equal opportunity		√
Employee safety or workplace conditions		√
Environmental issues		√
Financial reporting		√
Geographic operations or international affairs		√
Labor issues (internal and supply chain)		√
Marketing	√	
Political contributions		√
Product safety		√
Taxes		√

Practices	True	False
Please indicate if the following statements are true regarding whether or not the company engages in the following practices (check all that apply.) If the statement is true, select "True." If false, select "False."		
Company is formally registered in accordance with domestic regulations	√	
Company has not reduced or minimized taxes through the use of corporate shells or structural means	√	
Company facilities are not located adjacent to or in sensitive ecosystems	√	
No animal testing conducted	√	
Company or company supplier does not employ workers under the age of 15 (or other minimum work age covered by the International Labour Organization Convention No. 138 )	√	
Overtime work for hourly workers is voluntary (not compulsory)	√	
Company or company suppliers do not use any workers who are prisoners	√	
Company allows workers to freely associate and to bargain collectively for the terms of one's employment	√	
Outcomes	True	False
Please indicate if the following statements are true regarding if the company has experienced any of the following in the past 5 years (check all that apply.) If the statement is true, select "True." If false, select "False."		
Company has not had an operational or on-the-job fatality	√	
Company site has not experienced any accidental discharges to air, land or water of hazardous substances	√	
No construction nor operation of company facility has resulted in the relocation of any individuals or households near your facility	√	
No material recalls due to quality control issues	√	

## B Corp Certification - Disclosure Questionnaire Documentation

PROVIDED BY:

Guayaki

UPDATED AS OF:

05/02/2016

CATEGORY	MKTG
ISSUE DATE	September 16, 2014,
ISSUE DESCRIPTION	Ingredient Marketing Class Action
SUMMARY OF ISSUE	Guayaki Sustainable Rainforest Products Inc. has settled a proposed class action brought against it for allegedly concealing sugar in its tea-like yerba mate products by calling the ingredient "organic evaporated cane juice," according to documents filed Tuesday in California federal court.
RESOLUTION	Removed the wording "Organic Evaporated Cane Juice" from packaging.
IMPLEMENTED MGT PRACTICES	
REPORT	
OTHER MANAGEMENT COMMENTS	The claim in this lawsuit was not legitimate. An attorney claimed that a customer purchased Guayaki products in 2013 that were labeled with Organic Evaporated Cane Juice. This was not possible since we did not have any such ingredient listing during that time frame. 3 years prior we had used the term as it is a legitimate term used to this day by the organic fair-trade suppliers. Nonetheless we removed it to avoid any confusion. At all times our label fully disclosed the grams of sugar in every bottle.