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## **Marealis AS**

Service with Significant Environmental Footprint 1-9 Employees

2017-10-13

As a wholly owned subsidiary of Stella Polaris AS, Marealis AS is required to make its full B Impact Assessment transparent. The PDF contains a completed B Impact Assessment that has been reviewed by B Lab with Marealis AS for its certification as a B Corporation. Answers to questions that would reveal sensitive information (e.g. that would advantage competitors or prejudice litigation) are covered such as:

# Full Impact Assessment

## Governance

### Mission & Engagement

#### Level of Impact Focus (Score Value: Not Scored)

Select the description that best describes your business.

This is an unweighted question that will not impact your score and is asked only for research/benchmarking purposes.

- ☐ Positive social/environmental impact is desirable but not a particular focus for our business.
- ☐ Social and environmental impact is frequently considered but it isn't a high priority.
- ☐ We consider social and environmental impact in some aspects of our business but infrequently.
- ☒ We consistently incorporate social and environmental impact into decision-making because we consider it important to the success and profitability of our business.
- ☐ We treat our social/environmental impact as a primary measure of success for our business and prioritize it even in cases where it may not drive profitability.

#### Mission Statement Characteristics (Score Value: Low)

Does your company have a corporate mission statement, and does it include any of the following?

Please check all that apply.

- ☐ No written statement
- ☐ A written corporate mission statement that does not include a social or environmental commitment
- ☐ A general commitment to social and/or environmental responsibility and stewardship
- ☒ A commitment to a specific positive social impact (e.g. poverty alleviation, sustainable economic development)
- ☒ A commitment to a specific positive environmental impact (e.g. reducing waste to landfill with upcycled products)
- ☐ A commitment to serve a target beneficiary group in need (e.g. low income customers, smallholder farmers)

#### Mission Statement (Score Value: Not Scored)

Please type or paste your mission statement here.

*Offer health improving supplements based on science and unused resources from the fish industry,mmmmm*

#### Board Review of Social/Environmental Performance (Score Value: Medium)

Does the Board of Directors or equivalent governing body review the company's social or environmental performance on at least an annual basis?

- ☒ Yes
- ☐ No
- ☐ N/A - No Board of Directors or equivalent governing body

#### Stakeholder Engagement (Score Value: Low)

In the last year, how did the company solicit specific feedback from its external stakeholders (excluding employees and investors) regarding the company's social and environmental performance?

Check all that apply.

- ☒ No formal stakeholder engagement
- ☐ Annual stakeholder meeting
- ☐ Online stakeholder forum to provide/report social or environmental concerns or feedback
- ☐ Meetings or other engagement mechanisms with local community members
- ☐ Meetings or other engagement mechanisms with social or environmental advocacy groups
- ☐ Community/environmental representation on an advisory board.
- ☐ Third party or anonymous surveys about social/environmental performance
- ☐ Other (please describe)

## Social/Environmental Key Performance Indicators (Score Value: Medium)

Are there key performance indicators (KPIs) or metrics that your company tracks at least annually to determine if you are meeting your social or environmental objectives?

- ☐ We don't track key social or environmental performance indicators
- ☒ We measure KPIs/metrics or outputs that we have identified and defined in order to determine if we are achieving our social and environmental objectives
- ☐ We measure social and environmental outcomes over time (examples: 3rd-party impact assessments, progress out of poverty indexing, beneficiary outcome surveys, etc.)

## Corporate Accountability

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### Governance Structures (Score Value: Medium)

What is the company's highest level of corporate oversight?

The answer to this question affects questions you'll encounter further on in your assessment.

- ☐ Owner/Manager only
- ☐ Non-Fiduciary Advisory Board
- ☒ Board of Directors or Equivalent

### Governing Body Characteristics (Score Value: High)

Which of the following apply to your company's Board of Directors or equivalent governing body?

Please check all that apply.

- ☒ Meets at least twice annually
- ☒ Includes at least 1 independent member
- ☐ Oversees executive compensation
- ☐ Company is a co-op and elects Board from membership
- ☐ None of the Above
- ☐ N/A - no Board of Directors or equivalent

### Governing Body Stakeholder Representation (Score Value: Medium)

Which of the following stakeholder groups or relevant independent experts have voting seats on the Board of Directors or equivalent governing body?

Select all that apply.

- ☒ Executive employee representative
- ☐ Non-executive employee representative
- ☒ Community expertise (e.g. local university representative)
- ☐ Environmental expertise (e.g. environmental nonprofits)
- ☐ Customers
- ☐ None of the above
- ☐ N/A - no Board of Directors or other governing body

## Transparency

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### Reviewed / Audited Financials (Score Value: Medium)

Does the company produce financials that are reviewed or audited by the Board, other formal governing body, or independent third party?

- ☒ Yes
- ☐ No

## Financial Transparency with Employees (Score Value: Medium)

Does the company have a formal process to share financial information with its full-time employees?

Exclude compensation data. Please check all that apply.

- ☐ No
- ☒ Yes - the company shares financial information if employees ask for them
- ☒ Yes - the company discloses all financial information (except salary info) at least yearly
- ☒ Yes - the company discloses all financial information (except salary info) at least quarterly
- ☐ Yes - The company has complete transparency of financial information and formally empowers all employees and departments to actively participate in financial planning (i.e. Open Book Management)
- ☐ Yes- In addition to sharing financials the company also has an intentional education program around shared financials

## Impact Reporting (Score Value: Medium)

Does the company publicly share information on its social and/or environmental performance? If so, how?

- ☒ No public reporting on social or environmental performance
- ☐ Specific quantifiable social and/or environmental indicators or outcomes are made public
- ☐ Company sets public targets and shares progress to those targets
- ☐ Information is shared/updated annually
- ☐ Information is presented in a formal report that allows comparison to previous time periods
- ☐ Information adheres to a comprehensive third party standard (ex. GRI or B Impact Assessment)
- ☐ A third party has validated the information shared
- ☐ Impact reporting is integrated with financial reporting

## Client Protection Warranty (Score Value: Medium)

Is your product or service covered by a written consumer warranty or client protection policy?

- ☐ Yes
- ☒ No

## Public Feedback Channel (Score Value: Medium)

Is there a publicly-known mechanism through which customers can provide product feedback, ask questions, or file complaints?

- ☐ No
- ☒ Yes, there is a mechanism for feedback to be sent only privately to company
- ☐ Yes, there is a mechanism where feedback is made transparent to the public

## Governance Metrics

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### Last Fiscal Year (Score Value: Not Scored)

On what date did your last fiscal year end?

2016-12-31

### Reporting Currency (Score Value: Not Scored)

Reporting currency

Norwegian Krone - NOK

### Revenue Last Year (Score Value: Not Scored)

Total Earned Revenue  
From the fiscal year before last

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**Earnings Before Interest & Taxes Last Year** (Score Value: Not Scored)

EBIT (Earnings Before Interest & Taxes)  
From the last fiscal year

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**Earnings Before Interest & Taxes Year Before Last** (Score Value: Not Scored)

EBIT (Earnings Before Interest & Taxes)  
From the fiscal year before last

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**Net Income Last Year** (Score Value: Not Scored)

Net Income  
From the last fiscal year

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**Net Income Year Before Last** (Score Value: Not Scored)

Net Income  
From the fiscal year before last

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**Mission Locked**

**Mission Lock** (Score Value: Very High)

Separate from a mission statement, has your company done any of the following to legally ensure that its social or environmental mission will be maintained over time, regardless of company ownership?

- ☒ Signed a contract or board resolution to amend or adopt a legal form that requires consideration of employees, community and the environment (i.e. Signed B Corp Term sheet but have not yet adopted stakeholder consideration)
- ☐ Amended corporate governing documents to require the consideration of employees, community and the environment (e.g. Amended Articles of Incorporation)
- ☐ Has a specific legal entity/governance structure that preserves mission (i.e. cooperative)
- ☐ Legal entity/governance structure preserves mission and requires stakeholder consideration (i.e. Benefit Corp or cooperative that has amended governing documents to include stakeholder consideration)
- ☐ Other - Please describe
- ☐ None of the above

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**Workers**

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**Worker Metrics**

**Majority Hourly vs. Salaried Workers** (Score Value: Not Scored)

Are the majority of your employees paid on a fixed salary or a daily/hourly wage?

This is a REQUIRED question that determines the set of additional questions your company will respond to regarding your employee impact. The answer to this question affects questions you'll encounter further on in your assessment.

- ☒ Fixed Salary
- ☐ Daily/Hourly Wage

### # of Full Time Workers (Score Value: Not Scored)

Number of Total Full-Time Workers

Current Total Full-Time Workers

The answer to this question affects questions you'll encounter further on in your assessment.

3

### # of Full Time Workers Last Year (Score Value: Not Scored)

Number of Total Full-Time Workers

Total Full-Time Workers 12 months ago

The answer to this question affects questions you'll encounter further on in your assessment.

3

### # of Part Time Workers (Score Value: Not Scored)

Number of Total Part-Time Workers

Current Total Part-Time Workers

The answer to this question affects questions you'll encounter further on in your assessment.

0

### # of Part Time Workers Last Year (Score Value: Not Scored)

Number of Total Part-Time Workers

Total Part-Time Workers 12 months ago

The answer to this question affects questions you'll encounter further on in your assessment.

0

### # of Temporary Workers (Score Value: Not Scored)

Number of Total Temporary Workers

Current Total Temporary Workers

The answer to this question affects questions you'll encounter further on in your assessment.

1

### # of Temporary Workers Last Year (Score Value: Not Scored)

Number of Total Temporary Workers

Total Temporary Workers 12 months ago

The answer to this question affects questions you'll encounter further on in your assessment.

0

## Compensation & Wages

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### Total Wages (Score Value: Not Scored)

Total Wages (including bonuses)

### Lowest Paid Wage (Score Value: Not Scored)

What is the company's lowest wage calculated on an hourly basis?

Please exclude students and interns in this calculation.

270

### High to Low Pay Ratio (Score Value: High)

What multiple is the highest compensated individual paid (inclusive of bonus) as compared to the lowest paid full-time worker?

- ☐ >20x
- ☐ 16-20x
- ☐ 11-15x
- ☐ 6-10x
- ☒ 1-5x

### Bonus Plan Characteristics (Score Value: Medium)

Which of the following are true about the company's bonus plan:

- ☐ Bonuses are given but there is no formal plan
  - ☐ Formal guidelines on the structure of the bonus plan (e.g. eligibility, profit/revenue target tied to the bonus pool, allocation criteria) are disseminated and accessible to all workers
  - ☐ All full-time and part-time workers are eligible in the plan
  - ☒ None of the above
- 

### Employees Receiving a Bonus (Score Value: High)

What % of full-time and part-time employees, excluding founders and executives, received a bonus in the last fiscal year?

- ☒ 0%
  - ☐ 1-24%
  - ☐ 25-49%
  - ☐ 50-74%
  - ☐ 75-99%
  - ☐ 100%
  - ☐ N/A
- 

### Initiatives To Increase Wages/Benefits (Score Value: High)

If it is not possible to verify a living wage in your country, has your company participated in any leadership initiatives to increase wages or benefits to workers provided in your country/industry?

Examples include commissioning a living wage calculation. Select N/A if living wage already exists.

- ☐ Yes
  - ☒ No
  - ☐ N/A - Living wage already exists
- 

## Compensation & Wages (Salaried)

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### Non-executive Wage Increases (Score Value: High)

Subtracting for inflation increase, what was the average % increase in wage/salary paid to non-executive workers in the last fiscal year?

Select 0% if average increase was at or below inflation rate.

- ☐ 0-2%
  - ☐ 3-5%
  - ☐ 6-15%
  - ☐ >15%
  - ☒ N/A - No workers last year
- 

### Bonus Plan Characteristics (Score Value: High)

In the last fiscal year, the company's bonus plan for non-executives represented what % of the company's salary base?

Please select 0% if your company did not have bonuses issued.

- ☒ No bonus payout, or no bonus plan
  - ☐ <1%
  - ☐ 1-5%
  - ☐ 6-15%
  - ☐ >15%
- 

## Benefits

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### Government Provision Of Healthcare (Score Value: Not Scored)

Which of the following best describes the provision of healthcare in the country where the majority of employees reside?

- ☒ Universal Provision of Basic Healthcare Services (e.g. United Kingdom)
  - ☐ Government Mandated or Provided Health Insurance Programs (e.g. Switzerland)
  - ☐ None of the Above
- 

### Healthcare Coverage (Score Value: High)

What % of employees are eligible for health care benefits either through company or government plan?

- ☐ <75%
  - ☐ 75-84%
  - ☐ 85-94%
  - ☒ 95%+
- 

### Additional Supplementary Benefits (Score Value: High)

Are any of the following benefits provided to employees to supplement government programs?

- ☒ Disability coverage/ accident insurance
  - ☒ Life insurance
  - ☐ Financial services (credit or savings programs)
  - ☐ Private dental insurance
  - ☒ Private supplemental health insurance
  - ☒ Other (describe)  
*Travel insurance for employee and family*
  - ☐ None of the above
- 

### Paid Secondary Caregiver Leave (Score Value: High)

What is the minimum paid secondary caregiver leave offered to full-time workers either through the company or the government?

- ☐ None
  - ☐ Up to 2 weeks
  - ☐ 2 to 5 weeks
  - ☒ 6+ weeks
- 

### Healthcare Eligibility for Part Time Workers (Score Value: Medium)

How many hours per week must a part-time employee work in order to qualify for the previously-selected benefits?

- ☐ No benefits beyond what is provided under national law
  - ☐ 30+ hours per week
  - ☐ 25-30 hours per week
  - ☐ 20-24 hours per week
  - ☐ <20 hours per week
  - ☒ N/A - No part-time workers
- 

### Retirement Programs (Score Value: High)

Do employees have access to any of the following savings programs for retirement?

- ☒ Government-sponsored pension plans
  - ☒ Private Pension or Provident Funds
  - ☐ Plan specifically includes Socially-Responsible Investing option
  - ☐ None of the above
-



## Worker Benefits (Salaried)

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### Number of Paid Days Off (Score Value: Medium)

How many paid days off (including holidays) do full-time employees receive annually?

- ☐ 0-15 days
  - ☐ 16-22 days
  - ☐ 23-29 days
  - ☐ 30-35 days
  - ☒ 36+ work days
- 

### Paid Primary Caregiver Leave for Salary Workers (Score Value: Medium)

What is the minimum number of weeks salaried workers receive paid primary caregiver leave, either through the company or the government?

- ☐ 0-5 weeks
  - ☐ 6-11 weeks
  - ☐ 12-17 weeks
  - ☐ 18-23 weeks
  - ☒ 24+ weeks
- 

## Training & Education

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### Internal Promotions (Score Value: Low)

What % of positions above entry level have been filled with internal candidates in the last 12 months?

Exclude material owners in your calculation.

- ☐ 0%
  - ☐ 1-24%
  - ☐ 25-49%
  - ☐ 50-74%
  - ☒ 75%+
- 

## Training & Education (Salaried)

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### Skills-Based Training Participation (Score Value: Low)

Excluding newly hired workers, what % of full-time and part-time workers received the following types of formal training during the last 12 months?

Skills-based training to advance core job responsibilities

- ☐ 0%
  - ☐ 1-24%
  - ☐ 25-49%
  - ☐ 50-74%
  - ☒ 75%+
  - ☐ Don't know
-

### Providing Cross-Job Skills Training (Score Value: Low)

Excluding newly hired workers, what % of full-time and part-time workers received the following types of formal training during the last 12 months?

Skills-based training on cross-job functions (i.e. training beyond regular job responsibilities, e.g. public speaking training or management training for non-managers)

- ☐ 0%
  - ☐ 1-24%
  - ☐ 25-49%
  - ☐ 50-74%
  - ☒ 75%+
  - ☐ Don't know
- 

### Life Skill Training Participation (Score Value: Low)

Excluding newly hired workers, what % of full-time and part-time workers received the following types of formal training during the last 12 months?

Training on life skills for personal development (i.e. literacy, personal financial planning, etc.)

- ☒ 0%
  - ☐ 1-24%
  - ☐ 25-49%
  - ☐ 50-74%
  - ☐ 75%+
  - ☐ Don't know
- 

### External Professional Development Participation (Score Value: Medium)

What % of full-time workers have participated in external professional development opportunities or lifelong learning opportunities in the past fiscal year?

Include only those that are paid for in advance, reimbursed or subsidized by the company.

- ☐ 0%
  - ☐ 1-24%
  - ☐ 25-49%
  - ☐ 50-74%
  - ☒ 75%+
- 

### Subsidized Educational Opportunities (Score Value: Medium)

What % of full-time workers received advancement or reimbursement for continuing education opportunities in the last fiscal year?

Continuing education opportunities include GED, college credits, industry-recognized accreditation, etc.

- ☐ 0
  - ☐ 1-5%
  - ☐ 6-15%
  - ☒ >15%
- 

### Worker Ownership

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## Employee Ownership (Score Value: High)

What % of the company is owned or formally reserved as part of a written plan for full-time workers and management (including founders/executives)?

Select N/A if your company is a consumer/shared services cooperative, a producer cooperative or a nonprofit.

- ☒ 0%
  - ☐ 1-24%
  - ☐ 25-49%
  - ☐ 50-74%
  - ☐ 75-99%
  - ☐ 100%
  - ☐ N/A
  - ☐ Don't Know
- 

## % of Company Owned by Non-Executive Employees (Score Value: High)

What % of the company is owned by full-time workers who are non-executive employees and non-founders?

Select N/A if your company is a consumer/shared services cooperative, a producer cooperative or a nonprofit.

- ☒ 0%
  - ☐ 1-4%
  - ☐ 5-24%
  - ☐ 25-49%
  - ☐ 50%+
  - ☐ N/A
  - ☐ Don't Know
- 

## Management & Worker Communication

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### Employee Review Process (Score Value: High)

Is there a formal consistent process for providing performance feedback to all tenured employees which includes any of the following?

Check all that apply.

- ☒ Is conducted on at least an annual basis
  - ☐ Includes peer and subordinate input
  - ☐ Provides written guidance for career development
  - ☒ Includes social and environmental goals
  - ☒ Clearly identifies achievable goals
  - ☐ Follows a 360-degree feedback process
  - ☐ None of the above
-

## Employee Handbook Information (Score Value: Medium)

Does your company have a written employee handbook that workers have access to and includes any of the following information?

Check all that apply.

- ☐ No written employee handbook
- ☒ A non-discrimination statement
- ☒ An anti-harassment policy
- ☒ Statement on work hours
- ☒ Pay and performance issues
- ☒ Policies on benefits, training and leave
- ☐ Grievance resolution
- ☐ Disciplinary procedures and possible sanctions
- ☐ Statement regarding workers' right to bargain collectively and freedom of association
- ☐ Prohibition of child labor and forced/compulsory labor

## Employee Satisfaction (Score Value: High)

What percent of your employees are 'Satisfied' or 'Engaged'?

Select N/A if satisfaction or engagement is not formally surveyed.

- ☐ N/A
- ☐ <65%
- ☐ 65-80%
- ☐ 81-90%
- ☒ >90%

## Management & Worker Communication (Salaried)

### Average Tenure (Score Value: High)

What is the average tenure of your current workforce?

- ☐ <12 months
- ☒ 1-3 years
- ☐ 3-5 years
- ☐ >5 years

## Worker Business Models Introduction

### Impact Business Model: Worker Ownership (Score Value: Not Scored)

Is your company structured to benefit its employees in the following way?

The answer to this question affects questions you'll encounter further on in your assessment.

- ☐ Ownership structures that provide significant equity (>40%) and empowerment to all employees (i.e. employee-owned companies/cooperative)
- ☒ No

## Community

### Job Creation

#### New Jobs Added Last Year (Score Value: Not Scored)

Number of full-time and part-time jobs that have been added to your company's payroll. Enter 0 if none or if your company has no workers.

Last 12 months:

0

### New Jobs Added Year Before Last (Score Value: Not Scored)

Number of full-time and part-time jobs that have been added to your company's payroll. Enter 0 if none or if your company has no workers.

Prior 12 months:

2

### Job Growth Rate (Score Value: Medium)

By what % has your worker base grown over the last 12 months?

- ☒ 0% (Has not grown on a net basis)
- ☐ 1-24%
- ☐ 25-49%
- ☐ 50%+

### Departed Employees (Score Value: Not Scored)

Number of full-time and part-time workers that departed/left the company during the last 12 months.

Enter 0 if None. Select N/A only if there are no workers.

### Attrition Rate (Score Value: Medium)

What was the attrition rate at the company for full-time and tenured part-time workers for the last 12 months?

Exclude workers terminated with cause.

### Facilities in Low-Income Communities (Score Value: Medium)

What % of your workers are employed in company facilities located in low-income communities?

- ☒ <10%
- ☐ 10-19%
- ☐ 20-29%
- ☐ 30%+
- ☐ Don't Know

### Suppliers in Low-Income Communities (Score Value: Low)

What % of your Significant Suppliers are located in low-income communities or create employment opportunities for other chronically underemployed populations?

- ☒ <10%
- ☐ 10-19%
- ☐ 20-30%
- ☐ >30%
- ☐ Don't Know

## Diversity & Inclusion

### Female Employees (Score Value: Not Scored)

Number of total full-time and part-time female employees.

Enter 0 if None. Select N/A only if there are no workers.

0

### Ownership Diversity (Score Value: Medium)

What % of the company is owned by the following groups?

Women and/or individuals from underrepresented populations, including low-income communities

- ☒ 0%
- ☐ 1-9%
- ☐ 10-24%
- ☐ 25-49%
- ☐ 50%+
- ☐ Don't know
- 

### Nonprofit Ownership (Score Value: Medium)

What % of the company is owned by the following groups?

Nonprofit organization(s)

- ☒ 0%
- ☐ 1-9%
- ☐ 10-24%
- ☐ 25-49%
- ☐ 50%+
- ☐ Don't know
- 

### Non-accredited Investor Ownership (Score Value: Medium)

What % of the company is owned by the following groups?

Individuals that qualify as non-accredited investors

- ☒ 0%
- ☐ 1-9%
- ☐ 10-24%
- ☐ 25-49%
- ☐ 50%+
- ☐ Don't know
- 

### Female Ownership (Score Value: Not Scored)

Optional unweighted metrics: Approximately what % of your company's ownership is held by individuals from the following groups?

Women

30

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### Low-income Ownership (Score Value: Not Scored)

Optional unweighted metrics: Approximately what % of your company's ownership is held by individuals from the following groups?

Low income communities

0

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### Ownership from Underrepresented Groups (Score Value: Not Scored)

Optional unweighted metrics: Approximately what % of your company's ownership is held by individuals from the following groups?

Other underrepresented populations (e.g. minorities, LGBT community, individuals with disabilities, etc.)

0

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### Board of Directors Diversity (Score Value: Medium)

What % of the members of your Board of Directors (or equivalent) are women or individuals from other underrepresented populations?

- ☒ 0%
- ☐ 1-9%
- ☐ 10-24%
- ☐ 25-49%
- ☐ 50%+
- ☐ Don't know
- ☐ N/A - No board of directors or equivalent

### Female Directors (Score Value: Not Scored)

Optional unweighted metrics: Approximately what % of your Board of Directors or other governing body are from the following groups?

Women

0

### Directors from Low-income Communities (Score Value: Not Scored)

Optional unweighted metrics: Approximately what % of your Board of Directors or other governing body are from the following groups?

Low income communities

0

### Minority Directors (Score Value: Not Scored)

Optional unweighted metrics: Approximately what % of your Board of Directors or other governing body are from the following groups?

Minority/previously excluded populations

0

### Directors from Underrepresented Populations (Score Value: Not Scored)

Optional unweighted metrics: Approximately what % of your Board of Directors or other governing body are from the following groups?

Other underrepresented populations (e.g. minorities, LGBT community, individuals with disabilities, etc.)

0

### Supplier Ownership Diversity (Score Value: Medium)

What % of your Significant Suppliers are majority owned by women or individuals from underrepresented populations?

- ☐ 0%
- ☐ 1-9%
- ☐ 10-19%
- ☐ 20-29%
- ☐ 30%+
- ☒ Don't Know

### Supplier Diversity Policy (Score Value: Medium)

Does the company have a written policy giving preference to suppliers owned by women or individuals from underrepresented populations?

- ☐ Yes
- ☒ No
- ☐ N/A: Such policies are illegal in my country of operations

## Diversity and Inclusion Training (Score Value: Medium)

Does the company provide specific content in worker training on inclusion and diversity issues related to any of the following specific underrepresented groups?

Check all that apply.

- ☐ Gender inclusiveness
- ☐ Minorities
- ☐ LGBT community
- ☐ Individuals with disabilities
- ☐ Other underrepresented groups (please describe)
- ☒ None of the Above

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## Civic Engagement & Giving

### Corporate Citizenship Program (Score Value: Medium)

Does your company have the following charitable giving practices implemented in practice or written in policy?

- ☐ Statement on the intended social or environmental impact of company's charitable contributions
- ☐ Cash and in-kind donations (excluding political causes)
- ☒ Volunteer and pro bono service
- ☐ Formal donations commitment (e.g. 1% for the planet)
- ☐ Matching individual workers' charitable donations
- ☐ Allowing workers and/or customers to select charities to receive company's donations
- ☐ Other (please describe)
- ☐ None of the above

### Volunteer Service Policies (Score Value: Medium)

Are full-time employees granted in writing any of the following options for volunteer service?

- ☐ Non-paid time off
- ☐ Paid time off
- ☐ 20 hours or more a year of paid time off
- ☐ Workers offered incentives for volunteerism (office parties, competitions with prizes, etc.)
- ☒ Do not offer paid or unpaid time off

### Total Amount of Volunteer Service Hours (Score Value: Not Scored)

Number of hours volunteered by full-time and part-time employees of the organization during the last fiscal year.

This should include both paid and unpaid time spent volunteering during traditional work hours, either for company-organized events or for employee-initiated activities.

177

### Volunteer Service Per Capita (Score Value: Medium)

What was the % of per capita worker volunteer, community service, or pro bono time donated in the reporting period?

Calculate by total volunteer hours / total hours worked, generally 2000 hours per FTE.

- ☐ 0%
- ☐ 0.1-0.5% of time
- ☐ 0.6-1% of time
- ☐ 1.1-2% of time
- ☒ >2% of time
- ☐ Don't know / not monitored



### Total Amount of Charitable Donations (Score Value: Not Scored)

Total amount (in currency terms) donated to registered charities in the last fiscal year.

Report with the currency specified in "Reporting currency" for this metric.

### % of Revenue Donated (Score Value: High)

What was the equivalent % of revenue donated to charity during the last fiscal year?

Please include tax deductible in-kind donations but do not include pro bono time.

- ☒ No donations last FY
- ☐ Less than 0.1% of revenues
- ☐ 0.1-0.4% of revenues
- ☐ 0.5-0.9% of revenues
- ☐ 1-1.9% of revenues
- ☐ 2%+ of revenues
- ☐ Don't know

### Charitable Organizations Supported (Score Value: Not Scored)

Which organizations does your company support?

*Biotech North*

### Advocacy for Social and Environmental Standards (Score Value: Medium)

Has your company worked with policymakers and/or stakeholders (including competitors) to develop or advocate for increased adoption of social and environmental standards or voluntary practices in your industry in the past two years?

- ☐ Yes, company has offered support in name and/or signed petitions
- ☒ Yes, company has provided active staff time or financial support
- ☒ Yes, company has directly introduced, testified, made recommendations or provided expertise to advance standards
- ☒ Yes, company has worked with other industry players on a cooperative initiative
- ☐ Yes, and efforts resulted in a specific institutional, industry or regulatory reform
- ☐ Other (please describe)
- ☐ None of the above

## Local Involvement

### Geographic Structure and Scope (Score Value: Not Scored)

We realize that for companies with more than one office, the definition of local involvement is a more complicated one to answer. Please tell us a bit about the structure of your company geographically.

*Marealis AS have their office in the city Tromsø in the north of Norway. This is 180 km from Kårvikhamn, where their mother-co*

### Spending on Local Suppliers (Score Value: High)

What % of your company's expenses (excluding labor) was spent with independent suppliers local to the company's headquarters or relevant production facilities?

- ☐ <20%
- ☐ 20-39%
- ☒ 40-59%
- ☐ 60%+
- ☐ Don't know

### Local Ownership (Score Value: High)

Is the majority (over 50%) of the company's ownership located locally to at least two-thirds of the company's workforce?

- ☐ Yes  
☒ No  
☐ Don't know
- 

### National Sourcing (Score Value: High)

What % of your company's Cost of Goods Sold (including value adding activities) was spent within the country of operations, from in-country registered companies or national citizens?

- ☐ 0%  
☐ 1-19%  
☐ 20-39%  
☐ 40-59%  
☐ 60-79%  
☒ 80%+
- 

### Impactful Banking Services (Score Value: High)

Is the majority of your company's banking services provided by an institution with any of the following characteristics?

- ☐ A certified CDFI or national equivalent social investment organization  
☐ A Certified B Corporation  
☐ A member of the Global Alliance for Banking on Values  
☐ A cooperative bank or credit union  
☒ A local bank committed to serving the community  
☐ An independently owned bank  
☐ None of the above
- 

## Suppliers, Distributors & Product

---

### Significant Supplier Descriptions (Score Value: Not Scored)

Please select the types of companies that represent your Significant Suppliers:

All companies have significant suppliers, which are defined as the largest suppliers of the company amounting to approximately 80% of non-labor costs. Select all that apply.

- ☒ Product Manufacturers  
☒ Professional Service Firms (Consulting, Legal, Accounting)  
☐ Independent Contractors  
☒ Marketing/Advertising  
☒ Office Supplies  
☐ Benefits Providers  
☒ Technology  
☒ Raw materials  
☐ Farms  
☐ Other
- 

### Social or Environmental Screening of Suppliers (Score Value: Not Scored)

Does your company screen and/or evaluate Significant Suppliers for social and environmental impact?

This question determines the set of supplier-focused questions your company will respond to. The answer to this question affects questions you'll encounter further on in your assessment.

- ☒ Yes  
☐ No
-

### Supplier Screen Topics (Score Value: Medium)

What is the social and environmental screen that is used for a majority of your company's Significant Suppliers:

- ☐ No formal screening process in place
- ☒ Screened for negative practices or regulatory non-compliance (e.g. no child labor)
- ☐ Screened for positive practices beyond what is required by regulations (environmentally-friendly manufacturing process; excellent labor practices, etc.)

### Supplier Evaluation Practices (Score Value: Medium)

When monitoring and evaluating the on-going social and environmental performance of the majority of Significant Suppliers, which of the following apply?

- ☒ No formal supplier monitoring and evaluation process
- ☐ Significant Suppliers are evaluated based on company's own criteria
- ☐ Significant Suppliers are evaluated based on social and environmental standards best-in-class third-party certification for your industry (ISO, SA8000, etc.)
- ☐ Company visits a majority of Significant Suppliers on-site

### Length of Supplier Relationships (Score Value: Medium)

What is the average tenure of your relationships with Significant Suppliers?

- ☐ Less than 12 months
- ☒ 13-36 months
- ☐ 37-60 months
- ☐ 61 months or more
- ☐ Don't know

### Supplier Code of Conduct (Score Value: Medium)

Is there a formal written Supplier Code of Conduct policy that specifically holds the company's suppliers accountable for social and environmental performance?

This may include policies on Fair Trade. The answer to this question affects questions you'll encounter further on in your assessment.

- ☐ Yes
- ☒ No

### Independent Contractor Practices (Score Value: Medium)

Which of the following describe your relationships with all your company's independent contractors?

- ☒ Formal routine process for independent contractors to receive post-project/contract performance feedback
- ☒ Formal routine process for independent contractors to communicate post-project or post-contract feedback to the company
- ☒ Independent contractors are verified to either work on a time-bound basis, or else split their time with work for other clients. Contractors not meeting either criteria have been offered employment.
- ☐ Independent contractors are paid a living wage (when calculated as hourly wage when living wage data is available)
- ☐ We have independent contractors, but have not engaged in any of these practices
- ☐ N/A - We haven't used independent contractors in the last year

### Social or Environmental Purchases (Score Value: Medium)

What % of materials or products purchased have third party social or environmental certification or approval, or are from Significant Suppliers that are purpose driven or have third party company level certification or approval?

- ☐ 0
- ☐ 1-19%
- ☐ 20-39%
- ☐ 40-60%
- ☒ 60%+
- ☐ Don't know

## Revenue from Certified Products (Score Value: Medium)

During the last fiscal year, what % of revenues are generated from products that have a certification that assesses the product or production process for the product (including certifications related to social and environmental performance)?

- ☐ 0%
- ☐ 1-9%
- ☐ 10-24%
- ☐ 25-74%
- ☐ 75-99%
- ☐ 100%
- ☐ Don't know
- ☒ N/A

## Quality Assurance Methodology (Score Value: Medium)

Does your company have a tracking system in place for all products to manage quality assurance issues?

- ☐ Yes
- ☒ No

## Community Business Models Introduction

### Community Oriented Business Models (Score Value: Not Scored)

Is your company structured to benefit community stakeholders in any of the following ways?

The answer to this question affects questions you'll encounter further on in your assessment.

- ☐ A producer-owned cooperative structure in which suppliers share control and benefits of company operations (e.g. farmer cooperative, artisanal cooperative)
- ☐ Purchasing fair/direct trade to improve livelihoods for underserved groups in your supply chain
- ☐ A micro-distribution or micro-franchising model that provides economic opportunities to underserved groups
- ☐ A formal standing commitment to donate a significant portion of sales/profits/ownership to charitable causes (>2% sales, >20% profits/ownership)
- ☐ Providing high quality jobs and/or professional development for individuals with chronic barriers to employment (workforce development programs)
- ☐ A community-focused business model that supports and builds the economic vitality of local communities
- ☒ None of the above

## Environment

### Environment Introduction

#### Type of Facilities (Score Value: Not Scored)

What kind of facilities does your business primarily operate in?

The answer to this question affects questions you'll encounter further on in your assessment.

- ☐ Company owned office space
- ☒ Leased office space
- ☐ Co-working Space
- ☐ Virtual/ Home Offices

### Land, Office, Plant

### Green Building Standards (Score Value: Medium)

What % of company facilities (by area, both owned by company or leased) are certified to meet the requirements of an accredited green building program?

- ☒ <20%
  - ☐ 20-49%
  - ☐ 50-79%
  - ☐ 80%+
  - ☐ N/A - Company has virtual office
- 

### Recycling Programs (Score Value: Medium)

Does the company have a company-wide recovery and recycling program that includes the following?

Please check all that apply.

- ☒ Paper
  - ☒ Cardboard
  - ☒ Plastic
  - ☒ Glass & metal
  - ☒ Composting
  - ☐ None of the above
- 

### Environmental Management Systems (Score Value: High)

Does your company have an environmental management system that includes any of the following?

Please check all that apply.

- ☒ Policy statement documenting the organization's commitment to the environment
  - ☐ Assessment undertaken of the environmental impact of the organization's business activities
  - ☐ Stated objectives and targets for environmental aspects of the organization's operations
  - ☐ Programming designed, with allocated resources, to achieve these targets
  - ☐ Periodic compliance and auditing to evaluate programs conducted
  - ☐ None of the above
- 

### Chemical Reduction Methods (Score Value: Medium)

Which of the following environmentally preferred products have been purchased for the majority of your corporate facilities?

- ☐ Non-toxic janitorial products
  - ☐ Unbleached / chlorine free paper products
  - ☐ Soy-based inks or other low VOC inks
  - ☐ Recycled/environmentally preferred office supplies (paper, pens, notebooks, etc.)
  - ☒ Other (please describe)  
*Fiber drums, rather than plastic drums.*
  - ☐ None of the above
-

## Virtual Office Stewardship (Score Value: High)

Which of the following are true of how your company encourages good environmental stewardship in how employees manage their virtual offices?

- ☐ There is a written policy encouraging environmentally preferred products and practices in employee virtual offices (recycling, etc.)
- ☐ Company shares resources with employees regarding environmental stewardship in home offices (i.e. energy efficiency, recycling, etc.)
- ☐ Policy in place for the safe disposal of e-waste and other hazardous materials purchased for employee home offices.
- ☐ Employees are provided with a list of environmentally preferred vendors for office supplies
- ☐ None of the above
- ☒ N/A

## Reducing Impact of Travel/Commuting (Score Value: Medium)

Does your company have any programs or policies in place to reduce the environmental footprint caused by travel/commuting?

- ☐ Employees are subsidized/incentivized for use of public transportation, carpooling, or biking to work
- ☒ Facilities are designed to facilitate use of public transportation, biking, or cleaner burning vehicles (e.g. electric chargers)
- ☒ Employees are encouraged to use virtual meeting technology to reduce in person meetings
- ☐ Company has a written policy limiting corporate travel
- ☐ None of the above

## Facility Improvement with Landlord (Score Value: Medium)

If you lease your facilities, have you worked with your landlord to implement/maintain any of the following?

Select N/A if you do not lease your building.

- ☐ Energy efficiency improvements
- ☐ Water efficiency improvements
- ☐ Waste reduction programs (including recycling)
- ☒ None of the above
- ☐ N/A - Company does not lease majority of facilities

## Inputs

---

### Monitoring Energy Usage (Score Value: Medium)

Does your company monitor, record and/or report its energy usage?

- ☒ We do not currently monitor and record usage
- ☐ We monitor and record usage (no reduction targets)
- ☐ We monitor and record usage, and have specific reduction targets
- ☐ We monitor usage and have met specific reduction targets during the last fiscal year

### Monitoring Water Usage (Score Value: Medium)

Does your company monitor, record and/or report its water usage?

- ☒ We do not currently monitor and record our usage
- ☐ We monitor and record usage (no reduction targets)
- ☐ We monitor and record usage, and have specific reduction targets
- ☐ We monitor usage and have met specific reduction targets during the last fiscal year

### Total Energy Use (Score Value: Not Scored)

Total energy used (Gigajoules) during the last 12 months:

### Total Renewable Energy Use (Score Value: Not Scored)

Total energy used from renewable resources (Gigajoules) during the last 12 months:

### Total Water Use (Score Value: Not Scored)

Total water use (liters) during the last 12 months

### Energy Use Reductions (Score Value: High)

Have conservation and efficiency improvements led to energy savings for your facilities? If so, by how much?

Please calculate based on changes from last year or annualized from a base year, referring to electricity use and other energy consumption from heating, hot water, etc.

- ☐ 0%
- ☐ 1-4%
- ☐ 5-9%
- ☐ 10-14%
- ☐ 15-20%
- ☐ >20%
- ☒ Don't know

### Low Impact Renewable Energy Use (Score Value: High)

What % of energy use is produced from low-impact renewable sources?

Include electricity and other energy consumption from heating, hot water, etc.

- ☐ 0%
- ☒ 1-24%
- ☐ 25-49%
- ☐ 50-74%
- ☐ 75-99%
- ☐ 100%
- ☐ Don't know

### Increasing Renewable Energy (Score Value: Medium)

Has the company increased its % use of low impact renewable energy annually at its corporate facilities?

- ☐ Yes
- ☒ No
- ☐ Already Maximized (100% low impact renewable)

### Facility Energy Efficiency (Score Value: Medium)

For which of the following systems have you used energy conservation/ efficiency measures for your corporate facilities in the past year?

- ☒ Equipment: Energy Star Appliances / Automatic Sleep Modes / After-Hour Timers / etc.
- ☒ Lighting: Natural Light / CF Bulbs / Occupancy Sensors / Daylight Dimmers / Task Lighting / etc.
- ☒ HVAC: Programmable Thermostat / Timers / Occupancy Sensors / Shade Sun-Exposed Walls / Double-Paned Windows / etc
- ☐ Other (please specify)
- ☐ None of the above
- ☐ N/A - We utilize virtual office

### Water Conservation Practices (Score Value: Medium)

Which of the following water conservation methods have been implemented at the majority of your corporate offices:

- ☒ Low-flow faucets/taps, toilets/urinals, showerheads
  - ☐ Grey-water usage for irrigation
  - ☐ Low-volume irrigation
  - ☐ Harvest rainwater
  - ☐ Other (please describe)
  - ☐ None
  - ☐ N/A: My company has a virtual office
- 

### Life Cycle Assessments (Score Value: Medium)

For what percentage of your products on a revenue basis has your company performed Life Cycle Assessments or had their environmental impact certified by a third party?

- ☒ 0%
  - ☐ 1-24%
  - ☐ 25-49%
  - ☐ 50-74%
  - ☐ 75-99%
  - ☐ 100%
  - ☐ No formal life cycle study, but life cycle considerations taken into materials selection
  - ☐ N/A: My revenue is generated from a service and a LCA can not be conducted
- 

### Environmentally Preferred Materials (Score Value: Medium)

What is the % of recycled, renewable, or other environmentally preferred materials in your product?

Include packaging in calculation.

- ☐ 0%
  - ☐ 1-24%
  - ☐ 25-49%
  - ☐ 50-74%
  - ☒ 75-99%
  - ☐ 100%
  - ☐ N/A - Company does not sell a physical product
- 

### Source Reduction (Score Value: Medium)

Have any of your products, including packaging, been source reduced in the last fiscal year?

This includes reducing the volume of material needed for a product through material selection, production process, product design, etc.

- ☒ Yes
  - ☐ No
  - ☐ N/A: My revenues are generated from a service so source reduction cannot be conducted.
- 

## Outputs

---



### Monitoring Greenhouse Gas Emissions (Score Value: High)

Please select the option that best describe how you monitor and record the following emissions:  
Scopes 1 and 2 greenhouse gas (GHG) emissions

- ☒ Company does not currently monitor and record emissions
- ☐ Company monitors and records emissions (no reduction targets)
- ☐ Company monitors emissions and has specific reduction targets
- ☐ Company monitors emissions and has met specific reduction targets during the reporting period
- ☐ Eliminated emissions of this by-product entirely
- ☐ N/A

### Total Waste Disposed (Score Value: Not Scored)

Waste Disposed (metric tonnes) during the last 12 months

12

### Total Waste Recycled (Score Value: Not Scored)

Waste Disposed: Recycled/Reused (metric tonnes) during the last 12 months

0

### Total Scope 1 GHGs (Score Value: Not Scored)

Total Greenhouse Gas Emissions (metric tonnes of CO2 equivalent) in:  
Scope 1:

### Total Scope 2 GHGs (Score Value: Not Scored)

Total Greenhouse Gas Emissions (metric tonnes of CO2 equivalent) in:  
Scope 2:

### Total Scope 3 GHGs (Score Value: Not Scored)

Total Greenhouse Gas Emissions (metric tonnes of CO2 equivalent) in:  
Scope 3:

### Greenhouse Gas Emissions Reduced (Score Value: High)

What % of Scopes 1 and 2 GHG emissions has been saved due to efficiency improvements implemented by your company?

- ☐ 0%
- ☐ 1-4%
- ☐ 5-9%
- ☐ 10-14%
- ☐ 15-20%
- ☐ >20%
- ☒ Don't Know

### % GHG Emissions Offset (Score Value: Medium)

If your company purchased certified carbon credits in the reporting period, what % of GHG emissions were off-set?

- ☐ 0%
- ☐ 1-24%
- ☐ 25-49%
- ☐ 50-74%
- ☐ 75-99%
- ☐ 100%
- ☐ Don't know
- ☒ N/A - No carbon offsets purchased

### Waste Reduction Programs (Score Value: High)

Does your company have a formal program to evaluate how to reduce its generation of hazardous, universal, and/or non-hazardous waste?

- ☒ Yes
- ☐ No
- ☐ Already maximized - we have achieved Zero Waste

### Hazardous Waste Disposal (Score Value: High)

Is hazardous waste always disposed of responsibly, in a way that the company can verify?

This includes batteries, paint, electronic equipment, etc.

- ☒ Yes
- ☐ No
- ☐ N/A - We have eliminated hazardous waste

### End-of-life Product Reclamation (Score Value: High)

Does the company have in place an active end-of-life product/component reclamation program to increase the useful life of parts and components?

- ☐ Yes
- ☐ No
- ☒ N/A

## Transportation, Distribution & Suppliers

---

### Sourcing % of COGS from Local Suppliers (Score Value: High)

What % of the following was spent with suppliers located within 200 miles (or 322 km) of where the end product was used during the last fiscal year?

Cost of Goods Sold (excluding labor)

- ☒ 0%
- ☐ 1-9%
- ☐ 10-19%
- ☐ 20-29%
- ☐ 30%+
- ☐ Don't know

## Sourcing % raw materials from Local Suppliers (Score Value: High)

What % of the following was spent with suppliers located within 200 miles (or 322 km) of where the end product was used during the last fiscal year?

Raw materials (in currency terms) grown or harvested

By company or local independent suppliers.

- ☒ 0%
- ☐ 1-9%
- ☐ 10-19%
- ☐ 20-29%
- ☐ 30%+
- ☐ Don't know

---

## Environmental Models Introduction

### Environmental Business Model (Score Value: Not Scored)

Are your company's products or process structured to restore or preserve the environment in any of the following ways?

The answer to this question affects questions you'll encounter further on in your assessment.

- ☐ Through a manufacturing, wholesale or agriculture process which is designed to significantly reduce environmental impact compared to typical practices for the industry
- ☒ Through a product or service that preserves, conserves, or restores the environment or resources
- ☐ None of the above

---

## Environment Products & Services Introduction

### Environmental Product Benefits (Score Value: Not Scored)

In what way or ways does your product/service conserve the environment?

Please select ONE option per product line. You may select an additional option if your product line has two separate environmental attribute. The answer to this question affects questions you'll encounter further on in your assessment.

- ☐ Provides or is powered by renewable energy or cleaner-burning energy than market alternatives (e.g. solar panel manufacturers/installers, hybrid vehicles)
- ☒ Conserves or diverts resources (including energy, water, materials, etc.)
- ☐ Conserves or preserves the well-being of land and/or animals (e.g. land protection or reforestation services, sustainably harvested agricultural products)
- ☐ Reduces or is made of less toxic/hazardous substances (e.g. brownfield remediation services, organic food, non-toxic cleaners)
- ☐ Educates, measures, researches, or provides information to solve environmental problems (e.g. environmental consulting auditing)
- ☒ None of the above

---

### Environmental Product/Service Certifications (Score Value: Not Scored)

Does your product or service have any third-party certifications? If so, please list certifications.

To meet the definition of a qualified third-party certification, the certification must be independently verified, be standards-based, and have those standards be transparent.

*Approval from Health Canada for safety and efficacy of the product ProCardix as a blood pressure supportive supplement.*

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## Resource Conservation

### Resource Conservation Overview (Score Value: Not Scored)

Tell us more about how your product or service reduces energy and/or water use.

*The company comply with Norwegian general standards in water and energy usage. In Norway we have fresh and clean water .*

**Resource Conservation Description** (Score Value: Not Scored)

Which of the following most accurately describes the method in which your product or service conserves or diverts resources?  
The answer to this question affects questions you'll encounter further on in your assessment.

- ☐ Product or service uses resources more efficiently than market alternatives (e.g. EnergyStar/energy-efficient appliances; low-flow shower heads)
- ☒ Product/services use recycled, used, or compostable input materials (e.g. recycled paper; used furniture; compostable ba
- ☐ Product/service is designed to share resources efficiently in order to minimize overall resource consumption
- ☐ Product/service creates systems for resource conservation (e.g. recycling programs; composting services, energy/water assessment software; water recycling systems)
- ☐ These descriptions do not apply to our company's product/service (Skip the remainder of this section)

**% Energy Reduction** (Score Value: Not Scored)

What is the average % energy reduction achieved by the product or service?  
.....

**% Water Reduction** (Score Value: Not Scored)

What is the average % water reduction achieved by the product or service?  
.....

**Tons of Carbon Offset** (Score Value: Not Scored)

If tracked, what was the total or per use number of metric tons of carbon (CO2) off-set or saved by use of your product or service during the last 12 months? Also, provide any of the following, if tracked:  
Metric tons of CO2 saved/off-set  
.....

**kWh Generated** (Score Value: Not Scored)

If tracked, what was the total or per use number of metric tons of carbon (CO2) off-set or saved by use of your product or service during the last 12 months? Also, provide any of the following, if tracked:  
kWh generated with cleaner alternative  
.....

**Water Saved** (Score Value: Not Scored)

If tracked, what was the total or per use number of metric tons of carbon (CO2) off-set or saved by use of your product or service during the last 12 months? Also, provide any of the following, if tracked:  
Liters of water saved/off-set  
.....

**Waste Diverted** (Score Value: Not Scored)

If tracked, what was the total or per use number of metric tons of carbon (CO2) off-set or saved by use of your product or service during the last 12 months? Also, provide any of the following, if tracked:  
Metric tons of waste saved from landfill or incineration  
.....

**Revenue from Resource Conservation** (Score Value: Not Scored)

What were your total revenues last fiscal year from the previous products or services?  
The answer to this question affects questions you'll encounter further on in your assessment.

## Verification of Resource Conservation (Score Value: Medium)

How do you verify that your product contributes to the outcome previously selected?

Please select all that apply.

- ☐ We have a track record of successful, verified positive outcomes and have created case studies based on these.
- ☒ There is secondary research that supports the link between our type of product and the stated outcome.
- ☒ We conduct our own direct research to track the outcomes produced for all our customers, such as impact-related survey
- ☐ We have third party certifications or verifications that verify the efficacy of our product/service in delivering outcomes
- ☐ We have performed, commissioned, or partnered with scientifically designed impact or outcome assessments to systematically learn about our product's impact
- ☐ Our product is too early stage to have research or studies that link our product to positive outcomes
- ☐ We cannot provide verification of our outcomes at this time.

## Efficacy of Resource Conservation (Score Value: Medium)

If direct research on your product/service has been performed, did the results confirm that a desired outcome is being achieved?

- ☒ Yes
- ☐ No
- ☐ N/A - No direct research conducted

## Negative Impact Management (Score Value: Medium)

Does your company also measure and manage the negative or unintended outcomes generated by this business model?

- ☐ Yes
- ☒ No

## Innovative Resource Conservation (Score Value: Not Scored)

Is there something different or innovative about the product/service that has changed the industry? Is this something that is replicable, unique at the time that it was created, and that has been emulated by other organizations?

*Yes. The innovation which is different and which may change the prawns-industry, is the fact that we use a bi-product which his*

# Customers

## Customer Models Introduction

### Customer Impact Business Model Introduction (Score Value: Not Scored)

Does your product/service address a social or economic problem for or through your customers?

The answer to this question affects questions you'll encounter further on in your assessment.

- ☒ Yes
- ☐ No

## Customer Products & Services Introduction

### Positive Impact of Product/Service (Score Value: Not Scored)

How would you describe the positive outcome for customers created by your product/service?

*Customers can benefit from the use of the product through significant health improvement. Our product ProCardix have a clinic*

## Beneficial Product Type (Score Value: Not Scored)

Which of the following most closely matches the outcome and/or problem solved for your customers as defined above?

Only select the ONE most relevant option for each product line. This will guide you to a series of questions specific to the type of impact you indicate you are creating. The answer to this question affects questions you'll encounter further on in your assessment.

- ☐ Access to products/services that fulfill basic human needs for individuals without prior access (e.g. providers of electricity clean drinking water to rural poor communities, affordable housing projects, waste and sanitation systems or disposal)
- ☒ Improved or Maintained Health/Wellness (e.g. medical equipment, medical services and medicines, preventative health services or products, healthy living products, exercise and sporting products, prescription eyeglasses)
- ☐ Improved Education or Skills Development (e.g. schools, textbooks, tutoring services, career leadership training, educational tools, games and software)
- ☐ Increased economic opportunity for underserved groups (e.g. financial or insurance services or benefits consulting for the underserved, new mechanisms to connect products to market)
- ☐ Increased operational success or capital for purpose driven or underserved enterprises (e.g. impact investing or fundraising platforms, non-profit accounting services)
- ☐ Increased social and/or environmental impact for businesses or other organizations (e.g. sustainability consulting)
- ☐ Increased Access to Arts, Media, or Culture (e.g. independent media, artisanal crafts, photography, information services)
- ☐ None of the above

## Total Customer Individuals (Score Value: Not Scored)

Total Number of Customers  
Individuals:

0

## Total Customer Organizations (Score Value: Not Scored)

Total Number of Customers  
Organizations:

0

## Health & Wellness Improvement

### Health Product Description (Score Value: Not Scored)

Which of the following best describes your health related product or service?

The answer to this question affects questions you'll encounter further on in your assessment.

- ☐ Our product reduces health risk, such as by producing healthy alternatives to products that are traditionally unhealthy or toxic to consumers (healthy food alternatives that meet rigorous government standards, BPA free, etc.)
- ☐ Our product/service contributes to the positive development of individual health and well-being (wellness programs, sporting equipment)
- ☐ Our product/service supports healthcare through improving the efficiency or access to healthcare systems (health insurance, drug tracking, hospital equipment, etc.)
- ☒ Our product/service directly provides healthcare that cures or prevents illness/disability
- ☐ None of the above

### Severity Of Health Issue Addressed (Score Value: Not Scored)

What is the severity of the health issue or issues addressed by your product/service?

Please click on the Help Text icon for instructions The answer to this question affects questions you'll encounter further on in your assessment.

- ☒ Low
- ☐ Mid
- ☐ High
- ☐ My product/service enables healthcare that cures or prevents illnesses/disabilities of all kinds
- ☐ My product/service does not address a particular ailment, it contributes to overall positive health outcomes
- ☐ Don't know

## Extent of Positive Health Outcomes (Score Value: Not Scored)

Which of the following best describes the extent to which your product/service contributes to the positive health outcome?

The answer to this question affects questions you'll encounter further on in your assessment.

- ☒ My product/service has been demonstrated to effectively and substantially address the stated health problem, independent of other factors
- ☐ My product contributes to the stated positive health outcome, but does so dependent upon other resources/circumstances outside of our control

## Revenue from Health Product/Service (Score Value: Not Scored)

What were your total revenues last fiscal year from the previous products or services?

The answer to this question affects questions you'll encounter further on in your assessment.

1

## Individuals Served (Score Value: Not Scored)

How many customers/clients/beneficiaries were served through the provision of the previous products or services during the last 12 months? Please provide figures that are as accurate as possible. Estimates within +/- 5% acceptable. Do not double count. Individuals

0

## Households Served (Score Value: Not Scored)

How many customers/clients/beneficiaries were served through the provision of the previous products or services during the last 12 months? Please provide figures that are as accurate as possible. Estimates within +/- 5% acceptable. Do not double count. Households

0

## Communities Served (Score Value: Not Scored)

How many customers/clients/beneficiaries were served through the provision of the previous products or services during the last 12 months? Please provide figures that are as accurate as possible. Estimates within +/- 5% acceptable. Do not double count. Communities

0

## Organizations Served (Score Value: Not Scored)

How many customers/clients/beneficiaries were served through the provision of the previous products or services during the last 12 months? Please provide figures that are as accurate as possible. Estimates within +/- 5% acceptable. Do not double count. Businesses/Non-Profits

0

## Governments Served (Score Value: Not Scored)

How many customers/clients/beneficiaries were served through the provision of the previous products or services during the last 12 months? Please provide figures that are as accurate as possible. Estimates within +/- 5% acceptable. Do not double count. Governments

0

## Client Tracking Methods (Score Value: Not Scored)

Please provide a brief description of how you track your customer/client/beneficiary figures.

*We are currently working towards a commercialization in the Canadian market (which will start in November 2017). We are also*

## Verification of Health Outcomes (Score Value: High)

How do you verify that your product contributes to the outcome previously selected?

Select all that apply.

- ☐ We have a track record of successful verified positive outcomes and have created case studies based on these.
- ☒ There is secondary research that supports the link between our type of product and the stated outcome.
- ☐ We conduct our own direct research to track the outcomes produced for all our customers, such as impact-related survey
- ☒ We have third party certifications or verifications that verify the efficacy of our product/service in delivering outcomes
- ☒ We have performed, commissioned, or partnered with scientifically designed impact or outcome assessments to systematically learn about our product's impact
- ☐ Our product is too early stage to have research or studies that link our product to positive outcomes
- ☐ We cannot provide verification of our outcomes at this time.

## Efficacy of Health Product/Service (Score Value: High)

If direct research on your product/service has been performed, did the results confirm that a desired outcome is being achieved?

- ☒ Yes
- ☐ No
- ☐ NA

## Innovative Health Products (Score Value: Not Scored)

Is there something different or innovative about the company's health product/service that has changed the industry? Is this something that is replicable, unique at the time that it was created, and that has been emulated by other organizations?

*What is unique by our product is the combination of the significant blood pressure lowering effect and the all natural ingredient*

## Negative Impact Management (Score Value: High)

Does your company also measure and manage the negative or unintended outcomes generated by this business model?

- ☐ Yes
- ☒ No

## Serving In Need Populations

### Impact on Underserved Populations (Score Value: Not Scored)

Does your product/service benefit underserved populations, either directly or by supporting organizations that directly serve them?

- ☐ Yes
- ☒ No

### Underserved Beneficiaries Overview (Score Value: Not Scored)

Describe the beneficiaries or end-users of your products or services and how you characterize them as underserved.

*Our typical end user will be people with pre-hypertension. People with elevated blood pressure, or people with a high risk of de*

### Underserved Beneficiary Types (Score Value: Not Scored)

If your beneficiaries are underserved individuals, which of the following underserved populations do they belong to? If you serve organizations that serve the underserved, which of the following populations are your client's beneficiaries?

Select the option that most accurately reflects the majority of underserved beneficiaries. The answer to this question affects questions you'll encounter further on in your assessment.

- ☐ Low income, poor, or very poor
- ☐ Other populations underserved in your product/service category, which can include minorities, veterans, disabled individuals
- ☒ Individuals who are not underserved in your product/service category (do not continue)



### Impact on Underserved Populations Description (Score Value: Not Scored)

Which of the following best describes how your product/service benefits underserved populations previously described?

The answer to this question affects questions you'll encounter further on in your assessment.

- ☐ My product/service helps the financial viability of purpose-driven enterprises, which in turn allows them to continue to serve their underserved target population (ex. marketing or accounting services for a social service agency)
- ☐ My product/service directly helps purpose driven enterprises improve positive impact for their underserved beneficiaries, but is not designed specifically for that underserved population (e.g. teaching curriculum sold to low income schools)
- ☐ My product/service directly helps purpose driven enterprises improve positive impact for their underserved beneficiaries, and is specifically designed to benefit that underserved population (e.g. teacher training/curriculum specifically designed to address challenges of teaching low income students)
- ☒ My product/service is directly used by the previous underserved populations (e.g. products marketed to or designed for the underserved)

### Low-Income Communities Served (Score Value: Not Scored)

If relevant, select which of the following impoverished communities your company serves:

Low Income

- ☐ Urban
- ☐ Rural
- ☐ Peri-urban

### Poor Communities Served (Score Value: Not Scored)

If relevant, select which of the following impoverished communities your company serves:

Poor

- ☐ Urban
- ☐ Rural
- ☐ Peri-urban

### Very Poor Communities Served (Score Value: Not Scored)

If relevant, select which of the following impoverished communities your company serves:

Very poor

- ☐ Urban
- ☐ Rural
- ☐ Peri-urban

### Underserved Group Demographics (Score Value: Not Scored)

If relevant, which of the following beneficiary groups is your product/service targeting?

Not all beneficiary populations are themselves under-served groups.

- ☐ Young children (younger than 5 years old)
- ☐ Children and adolescents (5 years of age or older but younger than 18)
- ☒ Adults
- ☒ Elderly/older adults
- ☐ Persons with disabilities
- ☐ Minority/previously excluded populations
- ☒ Women
- ☐ Pregnant women
- ☐ Other at risk populations
- ☐ None of the above

### Underserved Client Tracking (Score Value: Not Scored)

Which of the following statements are true about your in-need customers/ clients?

- ☐ Most customers/clients continue with us year by year and latest figures for the year roughly reflect the total number of beneficiaries to date
- ☒ Customers/clients we reach each year are in addition to previous customers/clients and total number served should be calculated by adding together the numbers for each year
- ☐ Don't know - we don't sell direct to customers/clients

### Revenue from Serving In Need Populations (Score Value: Not Scored)

How much revenue is generated through sale to the previously selected beneficiary group(s) or nonprofit(s)?

0

### % of Customers In-need (Score Value: Not Scored)

What % of customers/end beneficiaries of your product or service are from an underserved population identified previously? If you serve purpose driven enterprises, please respond with the % of your revenues generated from services provided that benefited the previously selected underserved in the last fiscal year.

The answer to this question affects questions you'll encounter further on in your assessment.

0

### In-Need Individuals Served (Score Value: Not Scored)

How many customers/clients served qualify in the previously selected underserved populations during the last 12 months? Do not duplicate individuals and households. Estimates within +/- 5% are acceptable.

Individuals

0

### Underserved Households (Score Value: Not Scored)

How many customers/clients served qualify in the previously selected underserved populations during the last 12 months? Do not duplicate individuals and households. Estimates within +/- 5% are acceptable.

Households

0

### In-Need Communities Served (Score Value: Not Scored)

How many customers/clients served qualify in the previously selected underserved populations during the last 12 months? Do not duplicate individuals and households. Estimates within +/- 5% are acceptable.

Communities

0

### In-need Organizations Served (Score Value: Not Scored)

How many customers/clients served qualify in the previously selected underserved populations during the last 12 months? Do not duplicate individuals and households. Estimates within +/- 5% are acceptable.

Businesses/Non-Profits

0

### Underserved Government Entities (Score Value: Not Scored)

How many customers/clients served qualify in the previously selected underserved populations during the last 12 months? Do not duplicate individuals and households. Estimates within +/- 5% are acceptable.

Governments

0

### Client Tracking Methods (Score Value: Not Scored)

Please provide a brief description of how you track your customer/client/beneficiary figures.

*Customers are tracked by our systems integrated in our web shop for our eCommerce B2C business model.*

## Increasing Accessibility for Underserved Groups (Score Value: High)

Which of the following products/services attributes assist in targeting the previously selected underserved communities:

- ☐ Product/service is accompanied by a zero-interest or below-market financing option (directly from company or through finance partner) with small repayment amounts to provide the poor access to purchase
- ☐ Company utilizes a cross-subsidization model whereby higher pricing for middle and high-income clients facilitates offering lower/subsidized pricing for low income clients/customers
- ☐ Product/service pricing model includes transparent pricing for all customers
- ☐ Vendor provides training on safe use and/or maintenance of the product/service
- ☒ These product/service attributes do not apply to our company (Skip the remainder of this section)

## Innovative Practices to Increase Accessibility (Score Value: Not Scored)

Use the field below to describe any innovative technology, distribution or pricing models selected previously.

0

## Poor Clients Served (Score Value: Not Scored)

If relevant, how many customers/clients served in the last 12 months qualify as poor or very poor, with incomes below \$2/day? Do not double-count (e.g. if you report 5 households, do not also report the number of individuals in those 5 households). Estimates within +/- 5% acceptable.

Individuals

0

## Low-Income Households Served (Score Value: Not Scored)

If relevant, how many customers/clients served in the last 12 months qualify as poor or very poor, with incomes below \$2/day? Do not double-count (e.g. if you report 5 households, do not also report the number of individuals in those 5 households). Estimates within +/- 5% acceptable.

Households

0

## Percent of Beneficiaries Poor or Very Poor (Score Value: Very High)

What % of customers/beneficiaries qualify as poor or very poor with incomes below \$2.00 per day?

Estimates within +/- 5% are acceptable. See currency converter in help text to get local currency terms.

0

## Revenue Products Benefiting Bottom of Pyramid (Score Value: Not Scored)

How much revenue is generated through sale to clients/customers that live on less than \$2/day?

0

# Disclosure Questionnaire

## Disclosure Industries

### Illegal Product/Activity (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply. Any product or activity deemed illegal under host country laws or regulations or international conventions and agreements

- ☐ Yes
- ☒ No

### Disclosure Alcohol (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply. Alcohol (excluding beer and wine)

- ☐ Yes
- ☒ No

### Commercial Logging (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.  
Commercial logging and logging equipment

- ☐ Yes  
☒ No
- 

### Disclosure Firearms Weapons (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.  
Firearms, weapons or munitions

- ☐ Yes  
☒ No
- 

### Genetically Modified Organisms (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.  
Genetically modified organisms

- ☐ Yes  
☒ No
- 

### Petroleum Or Coal Utility (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.  
Fossil fuel-based oil or coal utility

- ☐ Yes  
☒ No
- 

### Banned Ozone Depleting Substances (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.  
Ozone depleting substances subject to international phase-out

- ☐ Yes  
☒ No
- 

### Banned Persistent Organic Pollutants (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.  
Persistent organic pollutants (POPs) that are banned or scheduled to be phased out of production

- ☐ Yes  
☒ No
- 

### Internationally Banned Pesticides/Herbicides (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.  
Pesticides/herbicides subject to international phase-out or bans

- ☐ Yes  
☒ No
- 

### Internationally Banned Pharmaceuticals (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.  
Pharmaceuticals subject to international phase-outs or bans

- ☐ Yes  
☒ No
-

### Disclosure Pornography (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.  
Pornography

- ☐ Yes  
☒ No

### Radioactive Materials (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.  
Radioactive materials

- ☐ Yes  
☒ No

### Disclosure Tobacco (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.  
Tobacco

- ☐ Yes  
☒ No

### Unbonded Asbestos Fibers (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.  
Unbonded asbestos fibers

- ☐ Yes  
☒ No

### Disclosure Wildlife Regulated Under CITES (Score Value: Not Scored)

Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.  
Wildlife or wildlife products regulated under the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)

- ☐ Yes  
☒ No

### Company Explanation Of Disclosure Item Flags (Score Value: Not Scored)

If you selected "Yes" previously, please provide a detailed explanation of the company's involvement here.

If this does not apply to you, please enter "Does not apply" in the text area below.

*Does not apply*

### Disclosure Practices

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#### No formal Registration Under Domestic Regulations (Score Value: Not Scored)

Please indicate if the following statements are true regarding whether or not the company engages in the following practices.  
Check all that apply. If the statement is true, select "Yes." If false, select "No."

Company is not formally registered in accordance with domestic regulations

- ☐ Yes  
☒ No

#### Tax Reduction Through Corporate Shells (Score Value: Not Scored)

Please indicate if the following statements are true regarding whether or not the company engages in the following practices.  
Check all that apply. If the statement is true, select "Yes." If false, select "No."

Company has reduced or minimized taxes through the use of corporate shells or structural means

- ☐ Yes  
☒ No

**Facilities located in sensitive ecosystems** (Score Value: Not Scored)

Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."

Company facilities are located adjacent to or in sensitive ecosystems

- ☐ Yes  
☒ No
- 

**Overtime For Hourly Workers Is Compulsory** (Score Value: Not Scored)

Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."

Overtime work for hourly workers is compulsory

- ☐ Yes  
☒ No
- 

**Company workers are prisoners** (Score Value: Not Scored)

Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."

Company uses workers who are prisoners

- ☐ Yes  
☒ No
- 

**Company prohibits freedom of association/collective bargaining** (Score Value: Not Scored)

Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."

Company prohibits workers from freely associating and bargaining collectively for the terms of one's employment

- ☐ Yes  
☒ No
- 

**Animal Testing** (Score Value: Not Scored)

Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."

Animal testing is conducted

- ☒ Yes  
☐ No
- 

**Conduct Business in Conflict Zones** (Score Value: Not Scored)

Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."

Company exploitatively operates in conflict zones

- ☐ Yes  
☒ No
- 

**Employs Individuals on Zero-Hour Contracts** (Score Value: Not Scored)

Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."

Company employs individuals on zero-hour contracts

- ☐ Yes  
☒ No
-

## Company Explanation Of Disclosure Item Flags (Score Value: Not Scored)

If you selected "Yes" previously, please provide a detailed explanation of the company's engagement in these practices here.

If this does not apply to you, please enter "Does not apply" in the text area below.

*Marealis have done an pre-clinical effect-study, for their blood pressure reducing product Systolite, on hypertensive mice. We h*

## Disclosure Outcomes

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### On-Site Fatality (Score Value: Not Scored)

Please indicate if the following statements are true regarding if the company has experienced any of the following in the past 5 years. Check all that apply. If the statement is true, select "Yes" If false, select "No".

Company has had an operational or on-the-job fatality

- ☐ Yes  
☒ No

### Hazardous Discharges Into Air/Land/Water (Past 5 Yrs) (Score Value: Not Scored)

Please indicate if the following statements are true regarding if the company has experienced any of the following in the past 5 years. Check all that apply. If the statement is true, select "Yes" If false, select "No".

Company sites have experienced accidental discharges to air, land or water of hazardous substances

- ☐ Yes  
☒ No

### Forced Relocation Of People Due To Company Operations (Score Value: Not Scored)

Please indicate if the following statements are true regarding if the company has experienced any of the following in the past 5 years. Check all that apply. If the statement is true, select "Yes" If false, select "No".

Construction or operation of company facilities resulted in physical resettlement or economic displacement involving 5,000 or more people near your facility

- ☐ Yes  
☒ No

### Material Recalls (Score Value: Not Scored)

Please indicate if the following statements are true regarding if the company has experienced any of the following in the past 5 years. Check all that apply. If the statement is true, select "Yes" If false, select "No".

Material recalls due to quality control issues

- ☐ Yes  
☒ No

### Material Litigation (Score Value: Not Scored)

Please indicate if the following statements are true regarding if the company has experienced any of the following in the past 5 years. Check all that apply. If the statement is true, select "Yes" If false, select "No".

Material litigation or arbitration against company

- ☐ Yes  
☒ No

### Company has filed for bankruptcy (Score Value: Not Scored)

Please indicate if the following statements are true regarding if the company has experienced any of the following in the past 5 years. Check all that apply. If the statement is true, select "Yes" If false, select "No".

Company has filed for bankruptcy

- ☐ Yes  
☒ No

### Material Breaches of Confidential Information (Score Value: Not Scored)

Please indicate if the following statements are true regarding if the company has experienced any of the following in the past 5 years. Check all that apply. If the statement is true, select "Yes" If false, select "No".

Company has had material breaches of individual's confidential information

- ☐ Yes  
☒ No

### Company Explanation Of Disclosure Item Flags (Score Value: Not Scored)

If you selected "True" previously, please provide a detailed explanation of the company's experience related to the previous statement here.

If this does not apply to you, please enter "Does not apply" in the text area below.

*Does not apply*

### Disclosure Penalties

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#### Penalties Assessed Regarding Diversity/Equal Opportunity (Score Value: Not Scored)

Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.

Diversity and equal opportunity

- ☐ Yes  
☒ No

#### Penalties Assessed Regarding Company's Employee Safety (Score Value: Not Scored)

Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.

Employee safety or workplace conditions

- ☐ Yes  
☒ No

#### Penalties Assessed For Environmental Issues (Score Value: Not Scored)

Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.

Environmental issues

- ☐ Yes  
☒ No

#### Penalties Assessed Regarding Financial Reporting (Score Value: Not Scored)

Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.

Financial reporting

- ☐ Yes  
☒ No

#### International Affairs Penalties (Score Value: Not Scored)

Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.

Geographic operations or international affairs

- ☐ Yes  
☒ No



### Penalties Assessed Regarding Investments Or Loans (Score Value: Not Scored)

Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.

Investments or Loans

- ☐ Yes  
☒ No

### Penalties Regarding Labor Issues (Including Supply Chain) (Score Value: Not Scored)

Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.

Labor issues (internal and supply chain)

- ☐ Yes  
☒ No

### Penalties Assessed Regarding Company's Marketing (Score Value: Not Scored)

Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.

Marketing

- ☐ Yes  
☒ No

### Penalties Assessed Regarding Political Contributions (Score Value: Not Scored)

Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.

Political contributions

- ☐ Yes  
☒ No

### Penalties Assessed Regarding Company's Product Safety (Score Value: Not Scored)

Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.

Product safety

- ☐ Yes  
☒ No

### Penalties Assessed Pertaining To Company Taxes (Score Value: Not Scored)

Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.

Taxes

- ☐ Yes  
☒ No

### Animal Welfare Penalties Assessed (Score Value: Not Scored)

Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.

Animal welfare

- ☐ Yes  
☒ No

### Bribery, Fraud Or Corruption Penalties Assessed (Score Value: Not Scored)

Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.

Bribery, fraud or corruption

- ☐ Yes  
☒ No

### Company Explanation Of Disclosure Item Flags (Score Value: Not Scored)

If you selected "Yes" previously, please provide a detailed explanation of the complaint/fine/sanction here.

If this does not apply to you, please enter "Does not apply" in the text area below.

*Does not apply*

## Supplier Disclosure

### Workers Under the Age of 15 (Score Value: Not Scored)

Please indicate if any of the following statements are true regarding your company's significant suppliers.

Significant Suppliers employ workers under the age of 15 (or other minimum work age covered by the International Labour Organization Convention No. 138 )

- ☐ Yes  
☒ No  
☐ Don't Know

### Workers Who are Prisoners (Score Value: Not Scored)

Please indicate if any of the following statements are true regarding your company's significant suppliers.

Significant suppliers use any workers who are prisoners

- ☐ Yes  
☒ No  
☐ Don't Know

### Operational Fatality (Score Value: Not Scored)

Please indicate if any of the following statements are true regarding your company's significant suppliers.

Significant Suppliers have had an operational or on-the-job fatality

- ☐ Yes  
☒ No  
☐ Don't Know

### Accidental Hazardous Substances (Score Value: Not Scored)

Please indicate if any of the following statements are true regarding your company's significant suppliers.

Significant Suppliers' sites have experienced accidental discharges to air, land or water of hazardous substances

- ☐ Yes  
☐ No  
☒ Don't Know

### Resettlement or Economic Displacement (Score Value: Not Scored)

Please indicate if any of the following statements are true regarding your company's significant suppliers.

Construction or operation of Significant Suppliers' facilities resulted in physical resettlement or economic displacement involving 5,000 or more people near their facility

- ☐ Yes  
☒ No  
☐ Don't Know

### Land Acquisition (Score Value: Not Scored)

Please indicate if any of the following statements are true regarding your company's significant suppliers.  
Construction or operation of Significant Suppliers involved large scale land acquisition

- ☐ Yes  
☐ No  
☒ Don't Know
- 

### Land Conversion or Degradation (Score Value: Not Scored)

Please indicate if any of the following statements are true regarding your company's significant suppliers.  
Construction or operation of Significant Suppliers involved large scale land conversion and/or degradation

- ☐ Yes  
☐ No  
☒ Don't Know
- 

### Construction or Refurbishment of Dams (Score Value: Not Scored)

Please indicate if any of the following statements are true regarding your company's significant suppliers.  
Construction or operation of Significant Suppliers involved the construction or refurbishment of dams

- ☐ Yes  
☐ No  
☒ Don't Know
- 

### Material Fines or Sanctions (Score Value: Not Scored)

Please indicate if any of the following statements are true regarding your company's significant suppliers.  
Significant Suppliers have had material fines or sanctions in the last five years regarding the issues indicated in the goal Disclosure Penalties.

- ☐ Yes  
☐ No  
☒ Don't Know
- 

### Business in Conflict Zones (Score Value: Not Scored)

Please indicate if any of the following statements are true regarding your company's significant suppliers.  
Significant Suppliers exploitatively operate in conflict zones

- ☐ True  
☐ False  
☒ Don't Know
- 

### Other Disclosures

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#### Other Disclosures (Score Value: Not Scored)

Are there any other sensitive aspects of the business that are necessary to disclose?

If this does not apply to you, please type "does not apply" in the area below.

*Does not apply*

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