



DISCLOSURE MATERIALS

Certified B Corporations must complete a Disclosure Questionnaire to identify potentially sensitive issues related to the company (e.g. historical fines, sanctions, material litigation, or sensitive industry practices).

This component does not affect the company's score on the B Impact Assessment. If the company answers affirmatively to any items in the Disclosure Questionnaire and B Lab deems them to be material, the company must:

- 1) Be transparent about the disclosure issues identified on the company's public B Impact Report
- 2) Describe how the company has addressed this issue.
- 3) Demonstrate that management systems are in place to avoid similar issues from arising in the future.

In all cases, the Standards Advisory council reserves the right to refuse certification if the company is ultimately deemed not to uphold the spirit of the community.

In addition to the voluntary indication of sensitive issues in the Disclosure Questionnaire, companies pursuing Certification also are subject to background checks by B Lab staff. Background checks include a review of public records, news sources, and search engines for company names, brands, executives/founders, and other relevant topics.

Sensitive issues identified through background checks may or may not be within the scope of questions in the Disclosure Questionnaire, but undergo the same review process and are subject to the same possible review by the Standards Advisory Council, including ineligibility for B Corp Certification, required remediation, or disclosure.

This document contains a copy of the company's completed Disclosure Questionnaire and related disclosure documentation provided by the company.

DISCLOSURE QUESTIONNAIRE

Company Name: New Seasons Market

Date Submitted: 09/13/2017

Disclosure Industries	Yes	No
Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.		
Any product or activity deemed illegal under host country laws or regulations or international conventions and agreements		✓
Alcohol (excluding beer and wine)		✓
Commercial loggings and logging equipment		✓
Firearms, weapons or munitions		✓
Genetically modified organisms		✓
Fossil fuel-based oil or coal utility		✓
Ozone depleting substances subject to international phase out		✓
Persistent organic pollutants (POPs) that are banned or scheduled to be phased out of production		✓
Pesticides/herbicides subject to international phase out or bans		✓
Pharmaceuticals subject to international phase-outs or bans		✓
Pornography		✓
Radioactive materials		✓
Tobacco		✓
Unbonded asbestos		✓
Wildlife or wildlife products regulated under the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)		✓
Disclosure Penalties	Yes	No
Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.		
Diversity and equal opportunity		✓
Employee safety or workplace conditions	✓	
Environmental issues		✓
Financial reporting		✓
Geographic operations or international affairs		✓
Investments or Loans		✓
Labor issues (internal and supply chain)		✓
Marketing		✓
Product Safety		✓
Political contributions		✓
Taxes		✓
Animal Welfare		✓
Bribery, Fraud or corruption		✓
Disclosure Outcomes	True	False
Please indicate if the following statements are true regarding if the company has experienced any of the following in the past 5 years. Check all that apply. If the statement is true, select "True." If false, select "False."		
Company has had an operational or on-the-job fatality		✓
Company sites have experiences accidental discharges to air, land or water of hazardous substances		✓
Construction or operation of company facilities resulted in physical resettlement or economic displacement involving 5,000 or more people near your facility		✓
Material recalls due to quality control issues		✓
Material litigation or arbitration against company		✓
Company has filed for bankruptcy		✓
Company has had material breaches of individual's confidential information		✓

Disclosure Practices	True	False
Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "True." If false, select "False."		
Company is not formally registered in accordance with domestic regulations		✓
Company has reduced or minimized taxes through the use of corporate shells or structural means		✓
Company facilities are located adjacent to or in sensitive ecosystems		✓
Company employs workers under the age of 15 (or other minimum work age covered by the International Labour Organization Convention No. 138) and/or company does not keep personnel records that include evidence of the date of birth of each		✓
Overtime work for hourly workers is compulsory		✓
Company uses workers who are prisoners		✓
Company prohibits workers from freely associating and bargaining collectively for the terms of one's employment		✓
Animal testing is conducted		✓
Company exploitatively operates in conflict zones		✓
Company employs individuals on zero-hour contracts		✓
Other	✓	

Supplier Disclosure	Yes	No	Don't Know
Please indicate if any of the following statements are true regarding your company's significant suppliers.			
Significant Suppliers employ workers under the age of 15 (or other minimum work age covered by the International Labour Organization Convention No. 138)		✓	
Significant Suppliers use any workers who are prisoners		✓	
Significant Suppliers have had an operational or on-the-job fatality		✓	
Significant Suppliers' sites have experienced accidental discharges to air, land or water of hazardous substances		✓	
Construction or operation of Significant Suppliers' facilities resulted in physical resettlement or economic displacement involving 5,000 or more people near their facility		✓	
Construction or operation of Significant Suppliers involved large scale land acquisition		✓	
Construction or operation of Significant Suppliers involved large scale land conversion and/or degradation		✓	
Construction or operation of Significant Suppliers involved the construction or refurbishment of dams		✓	
Significant Suppliers have had material fines or sanctions in the last five years regarding the issues indicated in DQ4.1		✓	
Significant Suppliers exploitatively operate in conflict zones		✓	

B Corp Certification - Disclosure Questionnaire Documentation

PROVIDED BY: **New Seasons Market**
 UPDATED AS OF: **09/13/2017**

DISCLOSURE QUESTIONNAIRE CATEGORY	Penalties
ISSUE DATE	February 2013 - May 2017
TOPIC	Employee safety or workplace conditions
SUMMARY OF ISSUE	In the past five years, New Seasons Market has had four OSHA complaints or inspections leading to a violation or penalty related, but not limited to, a slipping hazard and injury from pinch points in a machine used by employees.
SIZE/SCOPE OF ISSUE	Fines ranged from \$330-\$1,250.
RESOLUTION	The slipping hazard was complied with at time of inspection. For others, see below implemented management practices.
IMPLEMENTED MGT PRACTICES	<p>New Seasons Market has implemented an Accident Prevention Plan. All new hires complete a safety orientation that includes a Work Safety Guide. Each store has a Work Safety Committee that meets monthly to discuss general safety concerns, any recent incidents that may have occurred, and preventative actions taken to avoid future incidents. In addition, the Work Safety Committee performs a Quarterly Hazard Assessment of the entire store.</p> <p>New Seasons Market has a Safety Department that includes employees with expertise in Environmental Health & Safety, Work Safety, Food Safety, Quality Assurance, Workers' Compensation and General Liability that manages all aspects of safety at NSM. New Seasons Market is utilizing a safe lifting training system, Safety In Motion (SIM)®. A member of the Safety Department is one of several certified SIM trainers at NSM and manages the program.</p>
REPORT	
OTHER MANAGEMENT COMMENTS	



B Corp Certification - Disclosure Questionnaire Documentation

PROVIDED BY:

New Seasons Market

UPDATED AS OF:

04/25/2018

DISCLOSURE QUESTIONNAIRE CATEGORY	Freedom of Association/Collective Bargaining
ISSUE DATE	November 2017
TOPIC	New Seasons Market Response to Unionization Efforts
SUMMARY OF ISSUE	<p>New Seasons Markets employees are currently not covered under a collective bargaining agreement or represented by a union. In November 2017, a group of employees delivered a letter to the New Seasons Market's senior leadership under the name New Seasons Workers United (NSWU) seeking to engage on the topic of unionization.</p> <p>Following this action, NSWU filed complaints with the National Labor Relations Board (NLRB) alleging that the company wrongfully terminated employees who chose to participate in the unionizing efforts, and alleging that the company had used intimidation tactics against the workforce.</p> <p>New Seasons Market hired the firm Cruz and Associates, which has been labelled a "union busting" firm, to, as described by New Seasons Market, host information sessions about unionization for employees.</p>
SIZE/SCOPE OF ISSUE (e.g. \$ financial implication, # of individuals affected)	There have been five total complaints filed to the NLRB at this time. Union elections are triggered by 30% of workers formally signing union cards. To date, an official union election process has not been initiated, which would require a greater than 50% vote of the workforce to vote in favor of unionizing according to NLRB guidelines.
RESOLUTION	To date, two complaints were withdrawn, two complaints filed with the NLRB against New Seasons Market have been dismissed and one is ongoing. Cruz and Associates is no longer contracted by New Seasons Market to provide any services.
IMPLEMENTED MGT PRACTICES	New Seasons Market maintains that its staff has the right to choose whether or not they are represented by a union.
REPORT	
OTHER MANAGEMENT COMMENTS	From New Seasons Market: We fundamentally believe and support free choice for our staff, in the context of full and transparent information, and we believe this position, and our actions, are fully aligned with the spirit and intent of the B Corp standards.
RELATED INCIDENTS (YES/NO)	