

Media	Design Schoo	bl			
SCORE	COMPLETION 100%	VERSION	NAME	SECTOR	COMPANY SIZE
<b>94.3</b>		6	Active Assessment	Service	<b>50-249</b>

As wholly-owned subsidiary of **Strategic Education Inc.**, **Media Design School** is required to make its full B Impact Assessment transparent. The PDF contains a completed B Impact Assessment that has been reviewed by B Lab with **Media Design School** as part of their certification as a B Corporation. Answers to questions that would reveal sensitive information (e.g. that would advantage competitors or prejudice litigation) are highlighted with a "Sensitive" textbox.

# **Mission & Engagement**

#### **Level of Impact Focus**

Describe your company's approach to creating positive impact.

This is an unweighted question that will not impact your score and is asked only for research/benchmarking purposes.

O Creating positive social or environmental impact is not a focus for our business

O We occasionally think about the social and environmental impact of some aspects of our business, but not frequently.

O We frequently consider our social and environmental impact, but it isn't a high priority in decision-making.

• We consistently incorporate social and environmental impact into decision-making because we consider it important to the success and profitability of our business.

• We treat our social and environmental impact as a primary measure of success for our business and prioritize it even in cases where it may not drive profitability.

Points Available: 0.00

#### **Mission Statement Characteristics**

Does your company's formal, written corporate mission statement include any of the following?

A formal written corporate mission statement is one that is either publicly facing or formally shared with the employees of the company. Please check all that apply.

□ No social or environmental commitment

A general commitment to social or environmental responsibility (e.g. to conserve the environment)

A commitment to a specific positive social impact (e.g. poverty alleviation, sustainable economic development)

A commitment to a specific positive environmental impact (e.g. reducing waste sent to landfills through upcycled products)

A commitment to serve a target beneficiary group in need (e.g. low-income customers, smallholder farmers)

We have no written mission statement

Points Earned: 0.28 of 0.38

#### **Mission Statement**

Please share the text of your formal mission statement here.

MDS Mission Statement - Media Design School's mission is to deliver high quality education in emerging and high growth digital technology fields to develop skilled graduates who contribute directly to the needs of the high growth, high return labour market. MDS Vision Statement - Media Design School will be globally recognised as a premium provider of specialist education in the creative sector, seeding a global community of "creators" who use their skills and talent to inspire others, to push boundaries and to harvest innovation in the global digital technology and design sectors.

### **Social and Environmental Decision-Making**

How does your company integrate social and environmental performance into decision-making?

Your answers determine which future questions in the assessment are applicable to your company.

- Employee training that includes social or environmental issues material to our company or its mission
- Manager roles with job descriptions that explicitly incorporate social and environmental performance
- Performance reviews that formally incorporate social and environmental issues
- Compensation and job descriptions of executive team members that include social and environmental performance
- Board of Directors review of social and environmental performance
- U We measure our externalities in monetary terms and incorporate them into our financial balances
- Other please describe
- □ None of the above

Points Earned: 0.60 of 0.75

#### **Social and Environmental Performance Training**

How are social or environmental performance principles and practices incorporated into employee training programs?

Please check all that apply.

- Conly included informally in orientation, training, or instruction
- Specific, formal training is integrated into new employee and new manager training
- Specific, formal training is integrated into ongoing employee and manager training
- Vorkers articulate goals and achievements related to social and environmental metrics as an individual or part of a workplace team

All supervisors and managers receive training on how to communicate social and environmental goals to employees and implement accountability for results

□ None of the above

Points Earned: 0.25 of 0.75

#### Managers with Responsibilities to Mission

What percentage of full-time managers have social or environmental mission-related responsibilities or expectations in their job descriptions?

○ 0% ○ 1-49% ● 50-99% ○ 100%

Points Earned: 0.56 of 0.75

#### **Social and Environmental Management Reviews**

What percentage of full-time managers had a formal written performance evaluation in the last year that included social or environmental goals?

00
◯ 1-49%
0 50-99%
◯ 100%

Points Earned: 0.56 of 0.75

#### **Board Review of Social or Environmental Performance**

Does the Board of Directors or equivalent governing body review your company's social or environmental performance on at least an annual basis?

O No, our Board doesn't review that

 $\bigcirc$  Yes, the Board receives a general update on the company's social or environmental performance

🖲 Yes, the Board reviews key performance indicators (KPIs) on the company's social or environmental performance

 $\bigcirc$  N/A - Our company has no Board of Directors or equivalent governing body

Points Earned: 0.75 of 0.75

#### **Stakeholder Engagement**

Has your company done any of the following to engage stakeholders about your social and environmental performance?

We have an advisory board that includes stakeholder representation

Ve have a formal stakeholder engagement plan or policy that includes identification of relevant stakeholder groups

We have created mechanisms to identify and engage traditionally underrepresented stakeholder groups or demographics

We have formal and regular processes in place to gather information from stakeholders (focus groups, surveys, community meetings, etc.)

We have formal procedures to address results from stakeholder engagement, with a designated individual or team responsible for appropriate follow ups.

We report the results of stakeholder engagement on social and environmental performance to the highest level of oversight in the company, such as the Board

We publicly report on stakeholder engagement mechanisms and results

Other - please describe

□ No formal stakeholder engagement

Points Earned: 0.38 of 0.38

#### **Management of Material Social and Environmental Issues**

How does your company identify, measure, and manage the most material social and environmental issues relevant to your operations and business model?

- Ve track impact metrics that we've chosen based on company mission or executive decision
- U We have conducted a materiality assessment of our company using stakeholder engagement mechanisms or research
- U We have identified and measure metrics based on the results of the materiality assessment we conducted for the company
- We have set performance targets for all identified material issues and measurements
- We measure the material social and environmental outcomes produced by our performance on our KPIs over time
- □ None of the above

Points Earned: 0.52 of 0.75

#### **Identification of Material Issues**

Based on the processes you have highlighted, what are the material issues that have been identified?

Access to education (Maori and Pasifika), student outcomes, and employability

Points Available: 0.00

## **Ethics & Transparency**

OPERATIONS 7.0

#### **Governance Structures**

What is the company's highest level of corporate oversight?

- $\bigcirc$  Owner or Manager Governed (including Board of Directors with only owners/ executives)
- O Management, Executive Committee, or Democratic Governance
- O Non-Fiduciary Advisory Board
- Board of Directors (with at least one member who is not an executive or owner of the company)

Points Earned: 0.75 of 0.75

### **Governing Body Characteristics**

Which of the following apply to your company's Board of Directors?

Please check all that apply.

Meets at least twice annually
Meets at least quarterly
Includes at least one independent member
Includes at least 50% independent members
Oversees executive compensation
Has an Audit Committee with at least one independent member
Has a Compensation Committee with at least one independent member
Company is a cooperative and elects Board from membership
None of the above
N/A - no Board of Directors

Points Earned: 0.75 of 0.75

### **Governing Body Stakeholder Representation**

Does your company's Board of Directors have voting seats representing:

Select all that apply.

- Executive employees
- □ Non-executive employees
- Community expertise (e.g. local university representative)
- Environmental expertise (e.g. environmental nonprofits)

Customers

O None of the above

N/A - no Board of Directors

Points Available: 0.38

## **Conflict of Interest Questionnaire**

Do all Board members and officers complete an annual conflict of interest questionnaire?

Yes
 No
 N/A - No Board of Directors or equivalent

Points Earned: 0.38 of 0.38

## **Code of Ethics**

What is required by your company's Code of Ethics?

- Prohibition of bribes in any form, including kickbacks or gifts, on any portion of contract payments or soft dollar practices
- Formal oversight policy covering direct or indirect political contributions, charitable donations, and sponsorships

Public disclosure of financial and in-kind contributions to political parties, politicians, lobby groups, charitable organizations, and advocacy groups

- Other please describe
- □ None of the above
- N/A No Code of Ethics

Points Earned: 0.50 of 0.75

### **Instruction on Code of Ethics**

How does your company instruct employees regarding your Code of Ethics on behavioral expectations, bribery, and corruption?

Please check all that apply.

- We instruct the Board of Directors on the Code at least annually
- We instruct all newly hired workers on the Code
- We instruct managers on the Code on an ongoing basis
- We instruct all non-managerial workers on the Code on an ongoing basis
- We communicate changes to the Code whenever it is updated
- Other please describe
- No Code of Ethics or equivalent, or no training on the Code

Points Earned: 0.75 of 0.75

### **Anti-Corruption Practices**

Which of the following anti-corruption reporting and prevention systems are in place?

Written employee whistle-blowing policy with confidentiality policy

Circulation of whistle-blowing policy to all employees and business partners

Communication of the anti-corruption system at least annually to the relevant internal and external stakeholders

Annual training on the anti-corruption system

Providing supporting tools and guidance (e.g. self-assessment survey for high-risk departments)

Anonymous mechanisms to report concerns and grievances

Individual or department oversight with direct access to Board of Directors

We take part of a collective action/coalition with governments, community-based organizations, NGOs and other businesses to act against corruption

Other - please describe

□ None of the above

Points Earned: 0.75 of 0.75

#### **Monitoring Ethics and Corruption**

Does your company do any of the following with regard to monitoring and reporting on your anticorruption programme?

Responsibility for the monitoring has been clearly assigned and resources have been made available

Mechanisms are in place for continuous monitoring (internal employee self-evaluations, automated controls monitoring)

The management of the company (e.g. Board of Directors, Audit Committee) review the results of internal and external reviews and ensure that required changes are implemented in an appropriate and prompt manner

External independent assurance is conducted to provide further security to management and stakeholders regarding the effectiveness of the anti-corruption programme

Regular reporting announcements about the program are made at least annually to relevant internal and external stakeholders

(workshops, CEO announcement, newsletter)

None of the above

Points Earned: 0.15 of 0.75

#### **Reviewed / Audited Financials**

Does the company produce financials that are verified annually by an independent source through an Audit or Review?

⊖ No

○ Yes, through a review

• Yes, through an audit

Points Earned: 0.75 of 0.75

### **Financial Controls**

#### Does your company maintain any of the following financial controls?

Please check all that apply.

IT systems have different password protection systems that are changed periodically with different access levels according to the position of the staff member accessing the data

Fraud risk assessment is conducted at least annually, with any identified internal control deficiencies communicated to Board of Directors and senior management

Lines of financial reporting, responsibilities, and limits for the authorization, approval, and verification of disbursements are all documented in writing

Financial control activities are documented, and at a minimum, cover controls around cash disbursement, accounts receivable, accounts payable, and inventory management

□ None of the above

Points Earned: 0.75 of 0.75

### **Company Transparency**

#### What information does the company make publicly available and transparent?

Your answers determine which future questions in the assessment are applicable to your company.

- Beneficial ownership of the company
- Financial performance (must be transparent to employees at minimum)
- Social and environmental performance (e.g. impact reports)
- Membership of the Board of Directors
- □ None of the above

Points Earned: 0.56 of 0.75

#### **Financial Transparency with Employees**

How does your company formally share financial information with full-time employees?

Exclude compensation data. Please check all that apply.

- $\hfill \Box$  We have no formal documented process to share financial information with employees
- Cour company discloses all financial information (except salary info) at least yearly
- Our company discloses all financial information (except salary info) at least quarterly
- In addition to sharing financials, our company also has an intentional education program around shared financials
- In addition to sharing financials with employees, our company publicly reports its financial statements

Points Earned: 0.38 of 0.75

### **Impact Reporting**

Does your company publicly share information on your social or environmental performance on an annual basis?

We provide descriptions of our social and environmental programs and performance

Use voluntarily share social or environmental performance scorecards

Specific quantifiable social or environmental indicators or outcomes are made public

We set public targets and share progress to those targets

We present information in a formal report that allows comparison to previous time periods

Reporting information / structure is based on a comprehensive third party standard (ex. GRI or B Impact Assessment)

A third party has validated / assured the accuracy of the information reported

Impact reporting is integrated with financial reporting

We don't report publicly on social or environmental performance

Points Earned: 0.56 of 0.75

## **Governance Metrics**

This section asks for your company to provide important financial information that will be referenced later in the assessment.

#### Last Fiscal Year

On what date did your last fiscal year end?

If your company has not yet completed its first fiscal year, please put your anticipated fiscal year end date.

On what date did your last fiscal year end? 31 Dec 2021

Points Available: 0.00

#### **Reporting Currency**

Select your reporting currency

🔘 New Zealand Dollar - NZD

Points Available: 0.00

OPERATIONS

### **Revenue Year Before Last**

**Total Earned Revenue** 

From the fiscal year before last

If your company has not yet completed its first fiscal year, please put \$0

From the fiscal year before last

Sensitive

Points Available: 0.00

#### **Revenue Last Year**

**Total Earned Revenue** 

From the last fiscal year

This question will be used for scored calculation questions later in the assessment. Please complete for accurate scoring. If your company has not yet completed its first fiscal year, please put \$0

From the last fiscal year

Sensitive

Points Available: 0.00

### Net Income Last Year

#### Net Income

From the last fiscal year

If your company has not yet completed its first fiscal year, please put \$0

From the last fiscal year

Sensitive

Points Available: 0.00

### **Net Income Year Before Last**

Net Income

From the fiscal year before last

From the fiscal year before last

Sensitive

Points Available: 0.00

# **Mission Locked - Impact Business Model**

IMPACT BUSINESS MODELS 7.5

Recognizes corporate forms and amendments that preserve mission and/or considers stakeholders regardless of company ownership

### **Mission Lock**

Separate from a mission statement, what has your company done to legally ensure that its social or environmental performance is a part of its decision-making over time, regardless of company ownership?

This question is related to the legal requirement for Certified B Corps. Click "Learn" for more information and resources about this requirement.

O Signed a contract or Board resolution committing to adopting a legal form that requires consideration of all stakeholders (e.g. signed B Corp Agreement)

O Adopted a specific legal entity or governance structure that preserves mission over time, but does not require consideration of all stakeholders in its decision-making (e.g. cooperative)

• As a company wholly owned by another company that has not done so, amended corporate governing documents or adopted a legal entity that requires consideration of all stakeholders in its decision-making (e.g. benefit corporation, completed B Corp legal amendment)

O As an independent or publicly-owned business, amended corporate governing documents or adopted a legal entity that requires consideration of all stakeholders in its decision-making (e.g. benefit corporation, completed B Corp legal amendment)

O None of the above

Points Earned: 7.50 of 10.00

#### Workers

## **Workers Impact Area Introduction**

OPERATIONS **0.0** 

This section identifies who should be considered a "worker" in the B Impact Assessment and reports your workerrelated metrics. It also identifies whether your company is designed to deliver a specific, material, positive impact for its workers, and if so, opens the Worker Impact Business Model section that is most applicable.

#### Majority Hourly vs. Salaried Workers

Are the majority (greater than 50%) of your employees paid on a fixed salary or a daily or hourly wage?

This is a REQUIRED question that determines the set of additional questions your company will respond to regarding your employee impact.

• Fixed Salary

O Daily or hourly wage

### **Use Of Contracted Labor**

Is any of your company's labor performed by subcontracted organizations or individuals, such as outsourced staffing services or independent contractors?

Your answers determine which future questions in the assessment are applicable to your company.

I Yes, some of our labor is contracted to third party subcontractors that manage staff on our behalf

Yes, we hire individual independent contractors who are contracted to work 20+ hours per week for the company indefinitely, or for longer than a 6 month period

U While we utilize independent contractors, they do not work for us greater than 20 hours per week for longer than a 6 month period

□ None of the above

Points Available: 0.00

#### **Independent Contractor Instructions**

For the remainder of the Workers section, you should consider independent contractors that work for the company 20+ hours per week over a 6+ month period as "employees" or "workers"

• Ok, I will consider all independent contractors that meet these specifications in my responses to the remaining questions in the Workers section.

Points Available: 0.00

#### **Workers Impact Business Model Introduction**

Is your company structured to benefit its employees in either of the following ways?

Your answers determine which future questions in the assessment are applicable to your company.

Ownership structures that provide significant equity (>40%) and empowerment to all employees (e.g. employee-owned companies, cooperatives)

Providing high quality jobs or professional development for individuals with chronic barriers to employment (workforce development programs)

✓ None of the above

Points Available: 0.00

#### **# of Full Time Workers**

Number of Total Full-Time Workers

Current Total Full-Time Workers

Please click "Learn More" to understand how to answer this question.

Current Total Full-Time Workers 67

UWe do not track this

#### # of Full Time Workers Last Year

Number of Total Full-Time Workers

Total full-time workers twelve months ago

Please click "Learn More" to understand how to answer this question.

Total full-time workers twelve months ago 74

UWe do not track this

Points Available: 0.00

#### **# of Part Time Workers**

Number of Total Part-Time Workers

Current Total Part-Time Workers

Please click "Learn More" to understand how to answer this question.

Current Total Part-Time Workers 4

UWe do not track this

Points Available: 0.00

#### # of Part Time Workers Last Year

Number of Total Part-Time Workers

Total part-time workers twelve months ago

Please click "Learn More" to understand how to answer this question.

Total part-time workers twelve months ago 1

We do not track this

Points Available: 0.00

#### **# of Temporary Workers**

Number of Total Temporary Workers

**Current Total Temporary Workers** 

Please click "Learn More" to understand how to answer this question.

Current Total Temporary Workers 10

We do not track this

#### **# of Temporary Workers Last Year**

Number of Total Temporary Workers

Total temporary workers twelve months ago

Please click "Learn More" to understand how to answer this question.

Total temporary workers twelve months ago 16

UWe do not track this

Points Available: 0.00

# **Financial Security**

OPERATIONS 8.4

#### **Lowest Paid Wage**

What is the company's lowest wage as calculated on an hourly basis?

Please exclude students and interns in this calculation.

What is the company's lowest wage as calculated on an hourly basis?

Sensitive

Points Available: 0.00

#### % of Employees Paid Individual Living Wage

What percentage of employees on an FTE (Full Time Equivalent) basis are paid at least the equivalent of a living wage for an individual?

Please exclude students and interns in this calculation.

<75%
75-89%
90-99%
100%
N/A</pre>

Points Earned: 2.22 of 2.96

### % of Employees Paid Family Living Wage

What percentage of employees on an FTE (Full Time Equivalent) basis are paid at least the equivalent of a living wage for a family?

Please exclude students and interns in this calculation.

<75%</li>
75-89%
90-99%
100%
N/A

Points Earned: 1.98 of 2.96

### % Above the Minimum Wage

What percentage above the legal minimum wage does your lowest-paid hourly employee earn?

Please answer this question ONLY taking into account hourly workers. If you do not have hourly workers, select N/A.

0% - Lowest wage is equivalent to minimum wage
 1-9%
 10-29%
 30-49%
 50-75%
 75%+
 N/A - We do not employ hourly workers

Points Earned: 0.30 of 1.48

### **Initiatives To Increase Wages and Benefits**

If it is not possible to verify a living wage in your country, has your company participated in any leadership initiatives/agreements to increase wages or benefits to workers provided in your country or industry?

Examples include commissioning a living wage calculation. Select N/A if living wage already exists.

⊖ Yes

ONo

N/A - Living wage already exists

### **Compensation Policies and Practices**

Does your company offer any of the following additional financial benefits to non-executive workers?

Your answers determine which future questions in the assessment are applicable to your company.

- Cost of living adjustments that match inflation rates of the country
- Bonuses or profit-sharing
- Employee ownership opportunities
- □ None of the above

Points Earned: 0.99 of 1.48

#### **Employees Receiving a Bonus**

What percentage of full-time and part-time employees, excluding founders and executives, received a monetary bonus in the last fiscal year?

0%
1-24%
25-49%
50-74%
75-99%
100%
N/A

Points Earned: 1.11 of 1.48

#### Significance of Bonuses

What was the equivalent percentage of profits that were distributed as bonuses to non-executive workers in the last fiscal year?

O No bonus payout, or no bonus plan

○ 5% or less

○ 5-10%

- 10-15%
- 15-20%

○>20%

 $\bigcirc$  Bonuses were paid to non-executive workers, despite the company not earning a profit

Points Earned: 0.19 of 1.48

### % Participation in Employee Ownership

What percentage of all full-time employees have been granted stock, stock options, or stock equivalents (including participation in an ESOP or other qualified ownership plans) in the company?

Select N/A if your company is a consumer/shared services cooperative, a producer cooperative or a nonprofit.

0%
1-24%
25-49%
50-74%
75-99%
100%
N/A

Points Earned: 0.37 of 1.48

### **Retirement Programs**

Do employees have access to any of the following savings programs for retirement?

Government-sponsored pension or superannuation plans

Private Pension or Provident Funds

Plan that specifically includes Socially-Responsible Investing option

□ None of the above

Points Earned: 1.11 of 1.48

### **Financial Services for Employees**

What financial products, programs, or services does your company provide that help to meet financial health needs of hourly employees?

Please answer this question ONLY taking into account hourly workers. If you do not have hourly workers, select N/A.

✓ Direct deposit
$\Box$ Access to free or affordable banking services or payroll cards (e.g. free ATM debit card)
Financial management tools or coaching
Emergency or short-term savings programs
Low-interest or interest-free loans
Debt management, refinancing, or loan payment contributions
Employer match for deposits into savings accounts
Paychecks issued off-schedule on a need basis
Tax preparation services
Other - please describe
□ None of the above
□ N/A - We do not employ hourly workers
Points Earned: 0.19 of 0.74

## Health, Wellness, & Safety

OPERATIONS
8.4

### **Government Provision Of Healthcare**

How is healthcare provided in the country where the majority of employees reside?

Universal Provision of Basic Healthcare Services (e.g. United Kingdom)

 $\bigcirc$  Government-mandated or -provided health insurance programs (e.g. Switzerland)

O None of the Above

Points Available: 0.00

#### **Healthcare Coverage**

What percentage of workers receive healthcare coverage either through a government plan or paid by the company?

If healthcare is covered through the company, only consider workers for which the company pays the majority of healthcare costs.

○<75%

○ 75-84%

085-94%

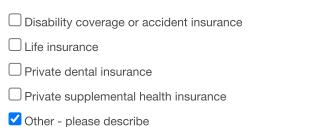
○95%+

Points Earned: 1.78 of 2.67

## **Supplementary Health Benefits**

What benefits does your company provide to all full-time tenured workers to supplement government programs?

Only select benefits the company pays the majority of costs to all full-time tenured workers. Select Other if the company covers less than 50% of the expenses for the benefits listed or other benefits offered.



□ None of the above

Points Earned: 0.27 of 2.67

### Supplementary Health Benefits Eligibility for Part-Time Workers

When do part-time workers become eligible to participate in the supplementary benefits offered by your company?

If applicable, please select one answer indicating tenure requirements (answers 1-2), and one answer indicating weekly hour requirements (answers 3-4).

Part-time workers are not eligible at time of hire, but become eligible to participate within their first 6 months of employment

Part-time workers are eligible to participate at time of hire

Part-time workers are only eligible if they work more than 20 hours a week

Part-time workers are eligible even if they work less than 20 hours a week

We do not offer supplementary health benefits to part-time workers

N/A - We don't have part-time employees

Points Earned: 2.67 of 2.67

### Health and Wellness Initiatives

What health and wellness initiatives or policies does your company offer beyond insurer-provided programs?

Check all that apply.

We sponsor and encourage workers to participate in health and wellness activities during the workweek (e.g. walking or steps programs)

We offer incentives for workers to complete health risk assessments or participate in health and wellness activities (e.g., a fund for exercise equipment, subsidized gym membership)

Employees have access to behavioral health counseling services, web resources, or Employee Assistance Programs

Spouses, partners, or children of employees are provided access to behavioral health counseling services, web resources, or

Employee Assistance Programs

Ve have policies and programs in place to prevent ergonomic-related injuries in the workspace

Over 25% of workers have completed a health risk assessment in the last twelve months

Anagement receives reports on aggregate participation in worker wellness programs

✓ Other - please describe

Company does not offer any formal health and wellness initiatives

Points Earned: 2.40 of 2.67

#### **Indoor Air Quality Monitoring**

Does the company monitor indoor environmental quality to ensure a healthy and comfortable work space and avoid "Sick Building Syndrome"?

Select N/A if you have no facilities.

O Yes

⊖ No

 $O_{N/A}$ 

Points Earned: 1.33 of 1.33

## **Career Development**

OPERATIONS

### **Professional Development Policies and Practices**

Does your company provide any of the following training opportunities to workers for professional development?

Your answers determine which future questions in the assessment are applicable to your company.

We have a formal onboarding process for new employees

Ve offered ongoing training on core job responsibilities to employees within the last year

We have a policy to encourage internal promotions and hiring for advanced positions (e.g. posting job openings internally first)

🗹 We provide cross-skills training for career advancements or transitions (e.g. management training for non-managers)

Use provide non-career-specific life-skill training (e.g. financial literacy, English as a Second Language)

We facilitate or have an allocated budget for external professional development opportunities, (e.g. conference attendance, online trainings)

We provide reimbursements or programs for intensive continuing education credentials (e.g. college degrees, professional licensures)

□ None of the above

Points Earned: 0.66 of 0.71

#### **Amount of Training for New Hires**

What was the average amount of training that a newly hired worker received in the past twelve months?

Use average of both full-time and part-time employees.

○ No training

On-the-job training (one day to one week)

On-the-job training (one week to one month)

O Apprenticeship or technical training (over one month)

O N/A - No new hires during the last 12 months

Points Earned: 0.24 of 0.71

#### **Paid Professional Development Days**

How many paid days of professional development do the majority of full time workers receive in a single year?

0 days
1-4 days
5-9 days
10+ days
No formal policy

Points Earned: 0.71 of 0.71

### **Management Training**

What management training and coaching do new and existing managers regularly receive?

Check all that apply.

- Providing ongoing praise and corrective feedback
- Conflict negotiation and resolution
- Group dynamics and optimal team functioning
- ✓ Performance evaluation systems
- Cother please describe
- □ None of the above

Points Earned: 0.71 of 0.71

#### **Employee Review Process**

Which of the following is included or applies to your company's formal process for providing performance feedback to employees?

Check all that apply.

- Process has a regular schedule and is conducted at least annually
- Peer and subordinate input

UWritten guidance for career development

- Social and environmental goals
- Clearly-identified and achievable goals
- A 360-degree feedback process
- ✓ All tenured employees receive feedback
- □ None of the above

Points Earned: 0.85 of 1.41

#### **Internal Promotions**

What percentage of employees has been internally promoted within the last 12 months?

Exclude material owners in your calculation.

○ 0% ○ 1-5% ● 6-15% ○ 15%+

Points Earned: 0.47 of 0.71

## **Intern Hiring Practices**

How does your company manage the hiring and treatment of interns?

Check all that apply. If there is no third party living wage calculated for your country of operations, please do not select "payment of a living wage."

- U We have a formalized policy or program outlining the objectives of internships or internship programs for participants
- We partner with education institutions to provide internship opportunities or work-study programs
- U We pay interns a living wage
- Our interns receive formal performance reviews
- Our interns have a formal opportunity to provide feedback on experience
- U We have hired interns on as full-time permanent employees in the past two years
- Intern tenures are restricted to not exceed one year if interns are not currently enrolled in school
- □ None of the above
- N/A Our company does not employ interns

Points Available: 0.71

#### **End of Employment Support**

What are your formal company policies regarding employee termination and layoffs?

- We have a policy to provide written notice of employee performance prior to termination
- We have a policy to provide performance improvement plans or stated corrective time periods prior to termination
- We have a policy to provide at minimum 2+ weeks of severance per year of employment
- We provide outplacement services for terminated employees
- We don't have written termination or severance policies

Points Earned: 0.11 of 0.35

## **Career Development (Salaried)**

OPERATIONS 0.9

#### **Skills-Based Training Participation**

Excluding newly hired workers, what % of full-time and part-time workers received the following types of formal training during the last 12 months?

Skills-based training to advance core job responsibilities

0%
 1-24%
 25-49%
 50-74%
 75%+
 Don't know

Points Earned: 0.06 of 0.25

### **Cross-Job Skills Training Participation**

Excluding newly hired workers, what % of full-time and part-time workers received the following types of formal training during the last 12 months?

Skills-based training on cross-job functions beyond regular responsibilities (e.g. public speaking training, management training for non-managers)

0%
1-24%
25-49%
50-74%
75%+
Don't know

Points Earned: 0.06 of 0.25

### **External Professional Development Participation**

What percentage of full-time workers has participated in external professional development or lifelong learning opportunities in the past fiscal year?

Professional development should be paid for in advance, reimbursed or subsidized by the company.

0%
1-24%
25-49%
50-74%
75%+

Points Earned: 0.25 of 0.50

## **Subsidized Educational Opportunities**

What percentage of full-time workers received advancement or reimbursement for continuing education opportunities in the last fiscal year?

Continuing education opportunities include GED, college credits, industry-recognized accreditation, etc.

0 1-5% 6-15% 15%+

Points Earned: 0.33 of 0.50

### **Career Development Policies**

What are your company's policies and practices around career development and promotion?

- Employees who seek to take a short-term leave or sabbatical will have their jobs guaranteed upon return
- 🗹 Our company will make an effort to find a place for employees who seek to take a long-term leave or sabbatical upon their return

**OPERATIONS** 

3.2

- C Employees are able to make lateral moves or change career direction or pace when possible
- □ None of the above

Points Earned: 0.25 of 0.25

# **Engagement & Satisfaction**

**Employee Handbook Information** 

What is included in your company's written and accessible employee handbook?

A non-discrimination statement
An anti-harassment policy with reporting mechanisms, processes, and disciplinary procedures
A statement on work hours
Policies on pay and performance issues
Policies on benefits, training and leave
Grievance resolution process
Disciplinary procedures and possible sanctions

A neutrality statement regarding workers' right to bargain collectively and freedom of association
Prohibition of child labor and forced or compulsory labor
We have no written employee handbook

### Paid Secondary Caregiver Leave

What secondary parental leave policies are available to your workers, either through your company or a government program?

Select all that apply, but only select one answer indicating the total amount of paid time equivalent (answers 2-4). See "Learn" for further instructions.

Workers receive unpaid time off for secondary parental leave

Uvorkers receive up to 2 weeks (or full pay equivalent) paid leave

Workers receive between 2 to 5 weeks (or full pay equivalent) paid leave

Uvorkers receive greater than 5 weeks (or full pay equivalent) paid leave

Policy does not distinguish between primary and secondary caregiving, or provides equivalent time and pay to both

□ No secondary caregiver leave is offered to employees

Points Earned: 0.69 of 0.87

#### **Supplementary Benefits**

What supplementary benefits are provided to a majority of non-managerial workers?

Including full time and part time employees. Please check all that apply.

On-site childcare

Off-site subsidized childcare

Free or subsidized meals

Policy to support breastfeeding mothers

✓ Other - please describe

O None of the above

Points Earned: 0.43 of 1.73

#### **Worker Empowerment**

How does your company engage and empower workers?

We have formalized feedback and complaint mechanisms beyond direct reporting lines to address concerns and improve company practices

We have processes in place to provide input from employees prior to operational and/or strategic policy or practice changes

Employee complaint / input mechanisms are reviewed at least every other year, with input from employees themselves into the process

Company tracks usage of input/ feedback / complaint mechanisms and resolution / implementation rates

U We have adopted open book management or self-management principles within the workplace

Workers have opportunity to elect member(s) to the Board of Directors

Other - please describe

□ None of the above

### Surveying and Benchmarking Engagement and Attrition

Does your company monitor and evaluate your worker satisfaction and engagement in any of the following ways?

Your answers determine which future questions in the assessment are applicable to your company.

We calculate employee attrition rate
We benchmark employee attrition rate to relevant benchmarks
We regularly (at least once a year) conduct employee satisfaction or engagement surveys
We benchmark employee satisfaction to relevant industry benchmarks
We disaggregate calculations based on different demographic groups to identify trends
We outperform industry benchmarks on attrition
We outperform industry benchmarks on satisfaction
None of the above

Points Earned: 0.87 of 0.87

#### **Departed Employees**

Number of full-time and part-time workers that departed or left the company in the last twelve months

Enter 0 if None.

Number of full-time and part-time workers that departed or left the company in the last twelve months

Sensitive

Points Available: 0.00

#### **Employee Satisfaction**

What percent of your employees are "Satisfied" or "Engaged"?

Select N/A if satisfaction or engagement is not formally surveyed.

○<65%

○ 65-80%

○ 81-90%

○90%+

 $\bigcirc$  N/A

Points Available: 1.73

## **Engagement & Satisfaction (Salaried)**

OPERATIONS **2.0** 

### Number of Paid Days Off

What is the annual minimum number of paid days off (including holidays) for full-time employees?

 $\bigcirc$  0-15 work days

- 16-22 work days
- 🔾 23-29 work days
- 30-35 work days
- 36+ work days

Points Earned: 0.70 of 0.70

### **Paid Primary Caregiver Leave for Salary Workers**

Which of the following describe the primary parental leave policies for salaried workers, either through the company or government program?

If applicable, please select one answer indicating total time off (answers 1-3), and one answer indicating fully paid time off (answers 4-7).

Primary caregivers receive 4-12 weeks of time off for parental leave (including unpaid and paid leave)

Primary caregivers receive 13 weeks to 6 months of time off for parental leave (including unpaid and paid leave)

Primary caregivers receive more than 6 months of time off for parental leave (including unpaid and paid leave).

✓ 4-12 weeks of primary parental leave (or equivalent) is fully paid

13-18 weeks of primary parental leave (or equivalent) is fully paid

19-24 weeks of primary parental leave (or equivalent) is fully paid

O More than 24 weeks of primary parental leave (or equivalent) is fully paid

Primary caregivers receive less than 4 weeks off or no time off for parental leave

Points Earned: 0.35 of 0.70

### **Worker Flexibility Options**

What job flexibility options does the company provide, whenever feasible, in writing and in practice for the majority of workers?

Please check all that apply.

Part-time work schedules at the request of workers

Flex-time work schedules allowing freedom to vary start and stop times

Telecommuting (e.g. working from home one or more days per week)

□ Job-sharing

□ None of the above

Points Earned: 0.35 of 0.70

### **Workplace Flexibility in Practice**

Which of the following flexible workplace practices have been used in the past 12 months?

Please check all that apply.

- Managers or executives worked part-time or in a job-share
- Managers or executives are in a telecommuting position
- We hired new people into permanent positions that are telecommuting
- We hired new people into permanent positions that are part-time or job-share
- We have transitioned staff into part-time, job-share, or telecommuting positions
- Other please describe
- □ None of the above

Points Earned: 0.70 of 0.70

#### **Attrition Rate for Salaried Workers**

What percentage of full-time and part-time salaried workers left the company during the last twelve months?

Calculation should include voluntary and involuntary separation, but exclude workers dismissed with cause.

Sensitive

Points Available: 0.70

#### Community

## **Community Impact Area Introduction**

OPERATIONS **0.0** 

This section identifies whether your company is designed to deliver a specific, material, positive impact for its community, and if so, opens the Community Impact Business Model section that is most applicable.

#### **Community Oriented Impact Business Model**

Does your company's business model create a specific positive benefit for stakeholders such as charitable partners, vendors or suppliers in need, or your local community?

Your answers determine which future questions in the assessment are applicable to your company.

◯ Yes

🔘 No

Points Available: 0.00

# **Diversity, Equity, & Inclusion**

OPERATIONS

#### **Inclusive Hiring Practices**

How does your company create an inclusive recruiting and hiring process?

Ve include a statement in all our job postings with a commitment to diversity, equity, and inclusion

We don't ask about incarceration history during our application process

- U We conduct anonymous or "blind" reviews of applications or resumes without attaching names or identifiable characteristics
- Use actively recruit through organizations or services that serve individuals from underrepresented populations
- Ve conduct analyses of our job description language and requirements to ensure they are inclusive and equitable
- None of the above

Points Earned: 0.48 of 0.91

#### **Diverse Ownership and Leadership**

Is your company majority-owned or -led by individuals from any of the following underrepresented groups?

Please select all that apply.

Led by a woman

Led by an individual from an underrepresented racial or ethnic minority

Led by another underrepresented individual (veterans, LGBT, etc.)

Adjority owned by women

Adjority owned by individuals from underrepresented racial or ethnic minorities

Majority owned by other underrepresented individuals (veterans, LGBT, etc.)

□ None of the above

Points Earned: 0.45 of 0.91

#### **Inclusive Work Environments**

How does your company create an equitable and inclusive workplace for employees?

We have designated an individual or group explicitly responsible for diversity, equity, and inclusion (i.e. a Diversity Manager or

Inclusion Committee)

 $\Box$  We offer trainings for all employees on topics related to diversity, equity, and inclusion

- We have voluntary employee resource or affinity groups
- Our facilities are designed to meet accessibility requirements for individuals with physical disabilities
- Cour facility restrooms are gender-neutral or gender-inclusive
- Ve have programs in place to provide mentorship, apprenticeships, or internships for individuals from underrepresented groups
- U We accommodate learning or emotional disabilities in work processes and workplace policies

□ None of the above

Points Earned: 0.91 of 0.91

#### Management of Diversity, Equity, and Inclusion

How does your company manage and improve your workplace diversity and inclusivity?

We anonymously survey employees on gender identity, race/ethnicity, disability status, and other demographic factors to track the diversity of our workforce

We have set specific, measurable diversity improvement goals that are reviewed by senior executives or our Board of Directors We have conducted a pay equity analysis by gender, race/ethnicity, or other demographic factors and, if necessary, implemented equal compensation improvement plans or policies

U We analyze job categories, satisfaction, promotion, retention rates, or benefits by different demographic groups, and if necessary, have implemented corrective actions for inequitable results

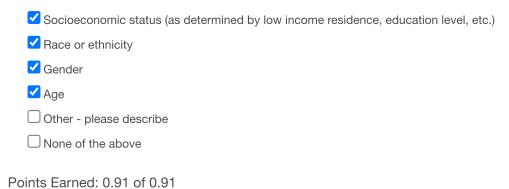
We specifically analyze diversity, equity, and inclusion data for individuals who are part of multiple underrepresented groups
None of the above

Points Earned: 0.68 of 0.91

#### **Measurement of Diversity**

What attributes of a diverse workforce does your company track, either through anonymous surveys or other methods legal in your jurisdiction?

If collecting this type of demographic data is not legal in your jurisdiction, select None of the Above.



#### Low Income Workers

What percentage of your workforce lives in poor/very poor or low-income areas or does not have college degree?

0%
1-9%
10-19%
20-29%
30%+
Don't Know

Points Earned: 0.23 of 0.91

### **Workers from Ethnic or Racial Minorities**

What percentage of your workforce identifies as being from a racial or ethnic minority?

0%
1-9%
10-19%
20-29%
30%+
Don't Know

Points Earned: 0.91 of 0.91

#### **Women Workers**

How many of your non-managerial workers identify as women?

0%
1-9%
10-24%
25-39%
40-49%
50%+
Don't know

Points Earned: 0.61 of 0.91

#### Age Diversity in Workforce

What percentage of your workforce is either under the age of twenty four or over the age of fifty?

0%
 1-9%
 10-19%
 20-29%
 30%+
 Don't Know

Points Earned: 0.76 of 0.91

### **High to Low Pay Ratio**

What multiple is the highest compensated individual paid, inclusive of bonus, as compared to the lowest paid full-time worker?

```
>20x
16-20x
11-15x
6-10x
1-5x
```

Points Earned: 0.68 of 0.91

### **Female Management**

How many of your company managers identify as women?

0%
1-9%
10-24%
25-39%
40-49%
50%+
Don't know
N/A

Points Available: 0.91

### **Management from Underrepresented Populations**

How many of your company managers identify as from another underrepresented social group?

If collecting this type of demographic data is not legal in your jurisdiction, select Don't Know.

0%
 1-9%
 10-19%
 20-29%
 30%+
 Don't know

#### **Female Directors**

How many of your company Board Directors identify as women?

0%
1-9%
10-24%
25-39%
40-49%
50%+
Don't know
N/A

Points Earned: 0.61 of 0.91

#### **Directors from Underrepresented Populations**

How many of your company Board Directors identify as from another underrepresented social group?

If collecting this type of demographic data is not legal in your jurisdiction, select N/A.

0%
 1-9%
 10-19%
 20-29%
 30%+
 Don't know
 N/A

Points Available: 0.91

#### **Supplier Diversity Policies or Programs**

Does your company have any of the following policies or programs in place to promote diversity within your supply chain?

We track diversity of ownership among our suppliers

We have a policy to give preferences to suppliers with ownership from underrepresented populations

U We have formal targets to make a specific percentage of purchases from suppliers with diverse ownership

U We have a formal program to purchase and provide support to suppliers with diverse ownership

None of the above

N/A - Collecting supplier data or having preferential treatment policies is illegal in my country of operations

## **Supplier Ownership Diversity**

What percentage of your purchases were from companies that are majority-owned by women or individuals from underrepresented populations?

○0%
○ 1-9%
○ 10-24%
○ 25-39%
040-49%
○ 50%+
🔘 Don't Know

Points Available: 0.91

# **Economic Impact**

OPERATIONS

### **Geographic Structure and Scope**

We realize that for companies with more than one office, the definition of local involvement is a more complicated one to answer. Please tell us a bit about the structure of your company geographically.

Company registered in NZ, based in Auckland City.

Points Available: 0.00

#### New Jobs Added Last Year

Number of full-time and part-time jobs that have been added to your company's payroll. Enter 0 if none or if your company has no workers.

Last twelve months:

Last twelve months: 4

UWe do not track this

#### Job Growth Rate

What was your company's net job growth rate for full-time and part-time positions over the last 12 months? ONLY include newly created jobs that are paid a living wage.

If there is no living wage data available for your country of operations, include new jobs that pay 10% or more above a minimum wage.

0% (no growth on a net basis)
 1-14%
 15-24%
 25%+

Points Available: 4.00

#### **Non-accredited Investor Ownership**

What percentage of the company is owned by individuals who would qualify as non-accredited investors?

○ 0% ○ 1-9%

○ 10-24%

○ 25-49%

○ 50%+

O Don't know

Points Available: 2.00

#### Local Ownership

Is the majority (over 50%) of the company's ownership located locally to at least two-thirds of the company's workforce?

"Local" is defined as being part of the same community. While the size and distance of a community may vary by context, they should generally be based on a small-scale economically and culturally connected area like a metropolitan area or a city/town.

○ Yes

🔘 No

◯ Don't know

### **Local Purchasing and Hiring Policies**

#### What written local purchasing or hiring policies does your company have in place?

"Local" is defined as being part of the same community. While the size and distance of a community may vary by context, they should generally be based on a small-scale economically and culturally connected area like a metropolitan area or a city/town.

- Uvritten preference at each facility to purchase from local suppliers
- Formal targets or goals for the amount of local purchasing
- Ready-to-use lists of preferred local suppliers and vendors for specific facilities
- Written preference for hiring and recruiting local managers
- Incentives for staff to live within 20 miles of local company facility
- Other (please describe)
- No written local purchasing or hiring policies in place

```
Points Available: 1.00
```

#### **Spending on Local Suppliers**

What percentage of your company's expenses (excluding labor) was spent with independent suppliers local to the company's headquarters or relevant facilities in the last fiscal year?

Please click "Learn More" to understand how to answer this question.

<20%</li>
 20-39%
 40-59%
 60%+
 Don't know
 Points Earned: 1.33 of 2.00

#### **Facilities in Low-Income Communities**

What percentage of your workforce is low-income or does not have a college degree AND is also employed in company facilities located in low-income communities?

```
    <10%</li>
    10-19%
    20-29%
    30%+
    Don't Know

Points Available: 2.00
```

#### **Impactful Banking Services**

What characteristics apply to the financial institution that provides the majority of your company's banking services?

Certified CDFI or national equivalent social investment organization

- Certified B Corporation
- Member of the Global Alliance for Banking on Values
- Cooperative bank or credit union
- Local bank committed to serving the community
- Independently owned bank
- None of the above

Points Available: 2.00

## **Civic Engagement & Giving**

OPERATIONS **2.6** 

#### **Corporate Citizenship Program**

How does your company take part in civic engagement?

Your answers determine which future questions in the assessment are applicable to your company.

Financial or in-kind donations (excluding political causes)

- Community investments
- Community or pro-bono service
- Advocacy for adopting improved social or environmental policies or performance
- Partnerships with charitable organizations or membership with community organizations
- ✓ Discounted products or services to qualified underserved groups
- Free use of company facilities to host community events
- Equity or ownership in the company granted to a nonprofit
- Other please describe
- □ None of the above

Points Earned: 0.83 of 0.83

#### **Community Service Policies and Practices**

How does your company manage employee community service?

- $\Box$  We have hosted or organized company service days in the last year
- The company offers paid time off for community service
- 20 hours or more a year of paid time off
- Our company monitors and records total volunteer hours
- Our company has set community service or pro-bono targets
- Other please describe
- □ None of the above

Points Earned: 0.41 of 0.83

#### % of Employees Volunteer Service

What percentage of employees took paid time off for volunteer service last year?

- 025-49%
- 50-74%
- ○75%+
- O Don't know

Points Earned: 0.41 of 1.66

#### **Total Amount of Volunteer Service Hours**

Number of hours volunteered by full-time and part-time employees of the organization during the last fiscal year

This should include both paid and unpaid time spent volunteering during traditional work hours, either for company-organized events or for employee-initiated activities.

Number of hours volunteered by full-time and part-time employees of the organization during the last fiscal year

✓ We do not track this

#### **Volunteer Service Per Capita**

What was the percentage of per capita worker time donated as volunteer, community service, or pro bono time in the reporting period?

Calculate by total volunteer hours / total hours worked, generally 2000 hours per FTE.

- 0%
   .1-.9% of time
   1-2.4% of time
   2.5-5% of time
   5%+ of time
- ◯ Don't know

Points Earned: 0.55 of 1.66

#### **Charitable Giving and Community Investment Policies and Practices**

What are your company's practices regarding donations or community investments?

We have a formal statement on the intended social or environmental impact of our company's philanthropy

U We have a formal donations commitment (e.g. 1% for the planet)

We match individual workers' charitable donations

We allow our workers or customers to select charities to receive our company's donations

- U We have screening practices for charitable contributions or impact measurement mechanisms for our community investments
- None of the above

Points Available: 0.83

#### **Total Amount of Charitable Donations**

Total amount (in currency terms) donated to registered charities in the last fiscal year

Report with the currency specified in "Reporting currency" for this metric.

Total amount (in currency terms) donated to registered charities in the last fiscal year

Sensitive

#### % of Revenue Donated

What was the equivalent percentage of revenue donated to charity during the last fiscal year?

Please include tax deductible in-kind donations but do not include pro bono time.

No donations last fiscal year
 0.1-0.4% of revenue
 0.5-1% of revenue
 1.1-2.4% of revenue
 2.5-5%. of revenue

 $\bigcirc$  5%+ of revenue

◯ Don't know

Points Available: 3.31

#### **Advancing Social and Environmental Performance**

How has your company worked with its stakeholders (including competitors) to improve behavior or performance on social or environmental issues in the past two years?

We have worked with other industry players on a cooperative initiative on relevant social and environmental standards for our industry

We have provided data or contributed to academic research on social or environmental topics

We participate in panel presentations or other public forums on social or environmental topics

Use provide public resources for other businesses or stakeholders on improving social or environmental performance

Other - please describe

□ None of the above

Points Earned: 0.41 of 0.41

## **Supply Chain Management**

OPERATIONS

#### **Significant Supplier Descriptions**

Please select the types of companies that represent your Significant Suppliers:

All companies have significant suppliers, which are defined as the largest suppliers of the company amounting to approximately 80% of non-labor costs. Select all that apply.

Product Manufacturers
 Professional Service Firms (Consulting, Legal, Accounting)
 Independent Contractors
 Marketing and advertising
 Office Supplies
 Benefits Providers
 Technology
 Raw materials
 Farms
 Other - please describe
 Points Available: 0.00

#### Social or Environmental Screening of Suppliers

Does your company screen or evaluate Significant Suppliers for social and environmental impact?

This question determines the set of supplier-focused questions your company will respond to.

○ Yes

🔘 No

Points Available: 0.00

#### **Outsourced Staffing Services**

Does your company outsource support services (staffing) essential to the delivery of your services to other individuals or organizations?

Your answers determine which future questions in the assessment are applicable to your company.

⊖ Yes

🔘 No

#### **Improving Impact of Suppliers**

Does the company have any of the following policies or programs to improve the social and/or
environmental impact of suppliers, either in cases of noncompliance or more broadly?
Company formulates corrective action or improvement plans with goals for continuous improvement of their supplier performance

Company requires a specific time period for suppliers to make changes to remediate code of conduct non-compliance or otherwise terminates contract

Company provides training and/or resources on improving social or environmental performance to suppliers, either from the company itself or through a third party

Company provides training and/or resources to its own staff, focused on managing their own practices and relationships with suppliers to enable the suppliers to improve their performance

Company has participated in collaborative initiatives with other companies to help improve the social or environmental impact of their supply chain

Company incentivizes social and environmental performance or improvement through contract terms, prices, or other means

Company has achieved quantifiable improvements on social or environmental performance of its supply chain

Other

□ None of the above

Points Earned: 0.06 of 0.38

#### % of Suppliers with Programs to Improve Impact

For what % of your suppliers (on a currency basis) do the policies and programs selected in the previous question apply?

○0%

01-20%

○21-49%

○ 50-74%

○ 75-99%

○ 100%

 $\bigcirc$  N/A

Points Earned: 0.19 of 1.52

#### Environment

## **Environment Impact Area Introduction**

OPERATIONS

This section asks about your environmental footprint to determine which questions are applicable later on in the assessment. It also identifies whether your company's product/service is designed to deliver a specific, material, positive environmental impact, and if so, opens the Environmental Impact Business Model section that is most applicable.

#### **Type of Facilities**

What kind of facilities does your business primarily operate in?

Your answers determine which future questions in the assessment are applicable to your company.

- Company-owned office space
- Leased office space
- O Co-working Space
- $\bigcirc$  Virtual or home offices

Points Available: 0.00

#### **Environmental Business Model**

Are your company's products/services or processes structured to restore or preserve the environment in any of the following ways? (Please note: the environmental impact of your day-to-day operations will be assessed in the remaining sections of the Environment Impact Area. This question is specifically asking about your products/services or innovative production processes.)

Answering affirmatively will opt you into additional sections of the B Impact Assessment with more specific questions about this Impact Business Model.

Through an innovative manufacturing, wholesale or agriculture process which is designed to significantly reduce environmental impact compared to typical practices for the industry

Through a product or service that preserves, conserves, or restores the environment or resources

✓ None of the above

Points Available: 0.00

## **Environmental Management**

OPERATIONS 3.7

#### **Green Building Standards**

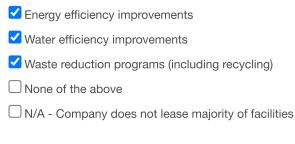
What percentage of company facilities (by area, both owned by company or leased) is certified to meet the requirements of an accredited green building program?

```
<20%</li>
20-49%
50-79%
80%+
N/A
```

Points Earned: 1.17 of 1.17

#### **Facility Improvement with Landlord**

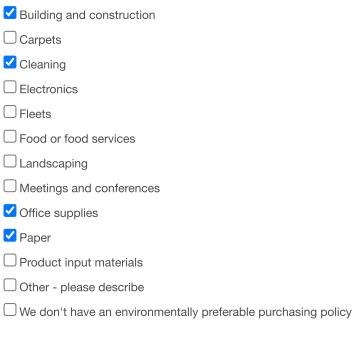
If you lease your facilities, have you worked with your landlord to implement or maintain any of the following?



Points Earned: 1.17 of 1.17

### **Environmental Purchasing Policy Topics**

Does the company have a written and circulated environmentally preferable purchasing (EPP) policy that includes any of the following?



Points Earned: 1.17 of 1.17

### Virtual Office Stewardship

How does your company encourage good environmental stewardship in how employees manage their virtual offices?

- We have a written policy encouraging environmentally preferred products and practices in employee virtual offices (e.g. recycling)
- Our company shares resources with employees regarding environmental stewardship in home offices (e.g. energy efficiency)
- U We have a policy in place for the safe disposal of e-waste and other hazardous materials purchased for employee home offices
- Employees are provided with a list of environmentally-preferred vendors for office supplies
- □ None of the above
- N/A

Points Available: 2.33

#### **Environmental Management Systems**

Does your company have an environmental management system (EMS) covering waste generation, energy usage, water usage, and carbon emissions that includes any of the following?

Please check all that apply.

- Policy statement documenting our organization's commitment to the environment
- Assessment undertaken of the environmental impact of our organization's business activities
- Stated objectives and quantifiable targets for environmental aspects of our organization's operations
- Programming designed, with allocated resources, to achieve these targets
- Periodic compliance and auditing to evaluate programs conducted
- We have no environmental management system

Points Earned: 0.23 of 1.17

## Air & Climate

OPERATIONS

#### **Monitoring Energy Usage**

Does your company monitor, record, or report its energy usage?

Include electricity and other energy consumption from heating, hot water, etc. Your answers determine which future questions in the assessment are applicable to your company.

We do not currently monitor and record usage

We monitor and record usage but have set no reduction targets

We monitor usage and have set intensity targets (e.g. relative to dollars of revenue, volume produced, etc.) that are being monitored

U We monitor usage and have set absolute reduction targets regardless of company growth

We have met specific reduction targets during the reporting period

Points Earned: 0.12 of 0.48

#### **Total Energy Use**

Total energy used (Gigajoules) during the last 12 months:

Total energy used (Gigajoules) during the last 12 months: 2113

UWe do not track this

Points Available: 0.00

### **Total Renewable Energy Use**

Total energy used from renewable resources (Gigajoules) during the last 12 months:

Total energy used from renewable resources (Gigajoules) during the last 12 months: 1774

UWe do not track this

Points Available: 0.00

### **Renewable Energy Usage**

What percentage of energy use is produced from renewable sources?

Include electricity and other energy consumption from heating, hot water, etc.

 $\bigcirc$  0%

- ○1-24%
- 25-49%
- 50-74%
- 075-99%
- $\bigcirc$  100%
- ◯ Don't Know

Points Earned: 0.21 of 0.24

#### Low Impact Renewable Energy Use

What percentage of energy use is produced from low-impact renewable sources?

Include electricity and other energy consumption from heating, hot water, etc. Please include both purchased and onsite-generated renewable energy.

0%
1-24%
25-49%
50-74%
75-99%
100%
Don't know

Points Available: 0.97

#### **Facility Energy Efficiency**

For what systems has your company used energy conservation or efficiency measures for a majority of your corporate facilities (by square feet) in the past year?

- Z Equipment: Energy Star appliances, automatic sleep modes, after-hour timers, etc.
- Lighting: natural light, CF bulbs, occupancy sensors, daylight dimmers, task lighting, etc.
- HVAC: programmable thermostat, timers, occupancy sensors, shade sun-exposed walls, double-paned windows, etc.
- Other please describe
- □ None of the above
- N/A We utilize virtual office

Points Earned: 0.48 of 0.48

#### **Energy Use Reductions**

Have conservation and efficiency improvements led to energy savings for your facilities? If so, by how much?

Please calculate based on changes from last year or annualized from a base year, referring to electricity use and other energy consumption from heating, hot water, etc.

- 0% ○ 1-4%
- 5-9%
- 10-14%
- 15-20%
- ○>20%
- 🔘 Don't know

#### **Monitoring Greenhouse Gas Emissions**

How does your company manage its greenhouse gas emissions for at least Scope 1 and 2?

Your answers determine which future questions in the assessment are applicable to your company.

We do not currently monitor and record emissions
We regularly monitor and record emissions but have not set any reduction targets
We regularly monitor and record emissions and have set specific reduction targets relative to previous performance (e.g. a 5% reduction of GHGs from baseline year)
We regularly monitor and record emissions and have set specific science-based targets necessary to achieve global goals to address climate change
We have met the specific reduction targets set during this reporting period
We have achieved carbon neutrality

```
Points Available: 0.48
```

#### **Greenhouse Gas Emissions Reduced**

What percentage of Scope 1 and 2 GHG emissions has been saved due to efficiency improvements implemented by your company?

0%
 1-4%
 5-9%
 10-14%
 15-20%
 20%+
 Don't Know

Points Available: 0.97

#### **Reducing Impact of Travel/Commuting**

Does your company have any programs or policies in place to reduce the environmental footprint caused by travel/commuting?

- Employees are subsidized/incentivized for use of public transportation, carpooling, or biking to work
- Z Facilities are designed to facilitate use of public transportation, biking, or cleaner burning vehicles (e.g. electric chargers)
- Employees are encouraged to use virtual meeting technology to reduce in person meetings
- Company has a written policy limiting corporate travel
- □ None of the above

Points Earned: 0.48 of 0.48

#### % GHG Emissions Offset

If your company purchased certified carbon credits in the reporting period, what % of Scope 1 and 2 GHG emissions were offset?

0%
 1-24%
 25-49%
 50-74%
 75-99%
 100%
 Don't know
 N/A - No carbon offsets purchased
 Points Available: 0.48

## Water

OPERATIONS 0.3

#### **Monitoring and Managing Water Use**

Does your company monitor and manage your water usage?

Your answers determine which future questions in the assessment are applicable to your company.

We do not currently monitor and record water usage

 $\Box$  We regularly monitor and record water usage but have not set any reduction targets

We monitor and record water usage and have set specific reduction targets relative to previous performance (e.g. a 5% reduction of

water usage from baseline year)

We regularly monitor and record emissions and have set science-based targets necessary to achieve sustainable usage linked to our local watershed

Use have met specific reduction targets set during this reporting period

#### Water Conservation Practices

What water conservation methods have been implemented at the majority of your corporate offices or plant facilities:

Please check all that apply.

- ∠ Low-flow faucets, taps, toilets, urinals, or showerheads
- Grey-water usage for irrigation
- Low-volume irrigation
- Harvest rainwater
- Other please describe
- □ None of the above
- □ N/A Our company has a virtual office

Points	Earned:	0.33	of	1.00
1 01110	Lamoa.	0.00	0.	1.00

## Land & Life

OPERATIONS

### Monitoring and Reporting Non-hazardous Waste

How does your company monitor and manage your waste production?

Your answers determine which future questions in the assessment are applicable to your company.

We do not currently monitor and record waste production

 $\Box$  We regularly monitor and record waste production but have not set any reduction targets

U We regularly monitor and record waste production and have set specific reduction targets relative to previous performance (e.g. a

5% reduction of waste to landfill from baseline year)

U We regularly monitor and record waste produced and have set a zero waste target

 $\Box$  We have met the specific reduction targets set during this reporting period

We produce zero waste to landfill / ocean

#### **Recycling Programs**

Does the company have a company-wide recovery and recycling program that includes the following?

Please check all that apply.

Paper
Cardboard
Plastic
Glass & metal
Composting
None of the above

Points Earned: 0.75 of 1.00

#### **Hazardous Waste Disposal**

Can your company verify that your hazardous waste is always disposed of responsibly?

This includes batteries, paint, electronic equipment, etc.

Yes
 No
 N/A - We have eliminated hazardous waste

Points Earned: 1.00 of 1.00

#### **Chemical Reduction Methods**

Which of the following environmentally preferred products have been purchased for the majority of your corporate facilities?

- ✓ Non-toxic janitorial products
- Unbleached / chlorine free paper products
- Soy-based inks or other low VOC inks
- Recycled/environmentally preferred office supplies (paper, pens, notebooks, etc.)
- Other please describe

□ None of the above

Points Earned: 0.50 of 1.00

#### Customers

## **Customers Impact Area Introduction**

OPERATIONS **0.0** 

This section identifies whether your company's product/service is designed to deliver a specific, material, positive impact for its customers (beyond the value normally provided from goods or services), and if so, opens the Customer Impact Business Model section that is most applicable.

#### **Customer Impact Business Model Introduction**

Do any of your company's products/services address a social or economic problem for your customers and/or their beneficiaries?

Your answers determine which future questions in the assessment are applicable to your company.

♥ Yes♥ No

Points Available: 0.00

#### **Customer Focus of Product or Service**

Is the social or economic problem addressed by your product/service one that is faced directly by your customers and/or your clients' beneficiaries?

If you answer "No" here, be sure to revisit the Environment and/or Community sections to ensure your business model impact is appropriately captured.

O Yes

O No, customers support in our ability to produce a positive social/economic impact, but the primary beneficiaries are other stakeholders (i.e. we donate to charities based on sales to customers, we sell fair trade products to our customers, we sell environmentally beneficial products to our customers)

Points Available: 0.00

#### **Positive Impact of Product/Service**

How would you describe the positive outcome for customers created by your product/service?

Our graduates shape the film, animation, 3D technology, visual effects, game development (software engineering), digital design and ICT (applications, mobile and web) sectors. Their contributions positively impact the creative industries in New Zealand and overseas through global mobility and digital distribution exports.

#### **Beneficial Product Type**

# Which of the following most closely matches the outcome and/or problem solved for your customers as defined above?

Only select the ONE most relevant option for each product line. This will guide you to a series of questions specific to the type of impact you indicate you are creating.

Access to products/services that fulfill basic human needs for individuals without prior access (e.g. providers of electricity or clean drinking water to rural poor communities, affordable housing projects, waste and sanitation systems or disposal)

Improved or maintained health and wellness (e.g. medical equipment, medical services and medicines, preventative health services or products, healthy living products, exercise and sporting products, prescription eyeglasses)

Improved education or skills development (e.g. schools, textbooks, tutoring services, career leadership training, education tools, games and software)

Increased economic opportunity for underserved groups (e.g. financial or insurance services or benefits consulting for the underserved, new mechanisms to connect products to market)

Increased operational success or capital for purpose driven or underserved enterprises (e.g. impact investing or fundraising platforms, nonprofit accounting services)

Increased social and/or environmental impact for businesses or other organizations (e.g. sustainability consulting)

Increased access to arts, media, or culture (e.g. independent media, artisanal crafts, photography, information services)

Improves market access through physical or technological infrastructure (e.g. mobile telecommunications, business technologies or software, roads, bridges, railways, ports, building and construction materials not previously available)

None of the above

Points Available: 0.00

#### **Education Product/Service Overview**

Please tell us more about how your product or service promotes education or professional development and advancement.

MDS provides a range of education opportunities from foundation through to higher level study as well as professional development (micro credentials, short courses) to enhance social and finiancial wellbeing of the wider community.

Points Available: 0.00

#### **Product or Service Focus on Education**

Is the support of education or knowledge the direct result of your revenue generating products or services?

Your answers determine which future questions in the assessment are applicable to your company.

Yes, the support of education or knowledge building is a core part of our product / service

O No, we provide or support education in other ways (e.g. through speaking engagements, through marketing and advertising, etc.)

#### **Product or Service Focus on Environmental Issues**

Is your revenue generating educational product or service focused exclusively on environmental issues?

If your educational product or service is specifically focused on environmental issues, be sure the revisit the Environment section to ensure that your impact is captured.

$\bigcirc$	Yes
------------	-----

🔘 No

Points Available: 0.00

#### **Impact on Underserved Populations**

Does your product or service benefit underserved populations, either directly or by supporting organizations that directly serve them?

Answering affirmatively will opt you into additional sections of the B Impact Assessment with more specific questions about this Impact Business Model.

Our products or services directly support underserved populations

Our products or services support organizations that directly support underserved populations

◯ Don't know

O None of the above

Points Available: 0.00

#### **Total Customer Organizations**

Total Number of Customers

Organizations served in the last 12 months:

Organizations served in the last 12 months:

✓ We do not track this

Points Available: 0.00

#### **Total Customer Individuals**

Total Number of Customers

Individuals served in the last 12 months:

Individuals served in the last 12 months: 826

UWe do not track this

Points Available: 0.00

## **Customer Stewardship**

#### Managing Customer Stewardship

Does your company do any of the following to manage the impact and value created for your customers or consumers?

We offer product / service guarantees, warranties, or protection policies
We have third party quality certifications or accreditations
We have formal quality control mechanisms
We have feedback / customer service feedback or complaint mechanisms
We monitor customer or consumer satisfaction
We assess the outcomes produced for our customers through the use of our product or service
We have written policies in place for ethical marketing, advertisement, or customer engagement
We manage the privacy and security of client / customer data
None of the above

Points Earned: 0.56 of 0.56

#### **Product / Service Warranties**

What percentage of your products or services are covered by a formal warranty or guarantee?

0%
1-9%
10-24%
25-49%
50-74%
75-99%
100%
N/A

Points Earned: 0.56 of 0.56

#### **Product Accreditations and Certifications**

What % your products or services have been reviewed and certified by an accreditation body focused on quality?

This can include process certifications like ISO9000 or industry specific quality accreditations.

0%
1-9%
10-24%
25-49%
50-74%
75-99%
100%

 $O_{N/A}$ 

Points Earned: 1.11 of 1.11

#### **Monitoring Customer Satisfaction and Retention**

Which of the following are true of your company with regards to customer or client satisfaction and/or retention?

Company monitors customer satisfaction

Company shares customer satisfaction internally within the company

Company shares customer satisfaction publicly

Company has specified targets for customer / client satisfaction

 $\Box$  In the last year, company has achieved specified targets for satisfaction

□ None of the above

Points Earned: 0.33 of 0.56

#### **Managing Product Impacts**

Does the company do any of the following with regards to managing the potential impact their products have on customers / beneficiaries?

Company regularly monitors customer outcomes and well-being

Company has formal program to incorporate customer testing and feedback into product design

Company has formal programs in place to continuously improve outcomes produced for customers (including reducing negative effects or increasing positive effects)

Other

□ None of the above

Points Earned: 0.56 of 0.56

#### Managing Marketing and Advertising

Does the company have any of the following practices with regard to ensuring accurate, ethical, and positive marketing and advertising?

- Company makes transparent potential risks and negative impacts of products, including, when appropriate ingredient lists
- Company has formal policies to review the accuracy and ethics of marketing and advertising
- Company complies with independent marketing and advertising standards relevant to their sector or industry
- Company has programs in place to promote social and or environmental causes through its marketing and advertising

Company gets input of the communities that are featured on the company's messaging and advertising campaigns and is inclusive of the culture of those communities.

Other

□ None of the above

Points Earned: 0.56 of 0.56

#### **Data Usage and Privacy**

Does your company have any of the following to address data usage and privacy issues?

- Company has a formal publicly available data and privacy policy
- Company makes all users aware of information collected, length of time it is preserved, how it's used, and whether and how it is

shared with other entities (public or private)

- All customers have option to decide how their data can be used
- Company's all email list building and email marketing strategies are GDPR compliant
- Other
- None of the above
- N/A Company does not collect sensitive data

Points Earned: 0.42 of 0.56

#### **Data Security Management**

Does the company have any of the following practices to ensure security of private data?

- Z Data privacy is included in company wide risk management compliance processes
- All employees with access to data are trained on data privacy policies
- Company has a formal code of conduct that defines unauthorized uses of data
- ✓ Internal audits of data security
- External audits of data security
- Simulated hacks on data security
- Other
- O None of the above
- N/A Company does not collect sensitive data

## Educational Models and Engagement - Impact Business Model

IMPACT BUSINESS MODELS **3.3** 

This section assesses the extent to which the company is able to deliver long term sustained educational services and its engagement with the higher education and broader community to advance higher education and promote well-being

#### Awards Offered

Which of the following types of awards does your institution offer?

Professional certifications
Subbaccalaureate certificate of less than one year
Subbaccalaureate certificate of more than one year
Post-baccalaureate certificate
Associate's degree
Bachelor's degree
Master's degree
Professional Degree
Doctoral degree
Other (please specify)

Points Available: 0.00

#### **Primary Award Offered**

Which of these types of awards is the primary award offered?

- O Professional certifications
- O Subbaccalaureate certificate of less than one year
- O Subbaccalaureate certificate of more than one year
- O Post-baccalaureate certificate
- O Associate's degree
- O Bachelor's degree
- O Master's degree
- O Professional Degree
- O Doctoral degree
- ◯ Other

#### Other

How many awards did your institution confer in the most recent academic year?

Other

Other	2	
Οw	le do not track this	

Points Available: 0.00

#### Total

How many awards did your institution confer in the most recent academic year?

TOTAL

UWe do not track this

Points Available: 0.00

#### More than one year subbaccalaureate certificate

How many awards did your institution confer in the most recent academic year?

Subbaccalaureate certificate of more than one year

Subbaccalaureate certificate of more than one year 0

UWe do not track this

Points Available: 0.00

#### **Masters Degree**

How many awards did your institution confer in the most recent academic year?

Master's degree

Master's degree 9

UWe do not track this

#### **Associates Degree**

How many awards did your institution confer in the most recent academic year?

Associate's degree

Associate's degree 0	]
We do not track this	

Points Available: 0.00

#### Less than one year subbaccalaureate certificate

How many awards did your institution confer in the most recent academic year?

Subbaccalaureate certificate of less than one year

Subbaccalaureate certificate of less than one year	112

UWe do not track this

Points Available: 0.00

#### **Professional certification**

How many awards did your institution confer in the most recent academic year?

Professional certification

Professional certification 0

UWe do not track this

Points Available: 0.00

#### **Bachelors Degree**

How many awards did your institution confer in the most recent academic year?

Bachelor's degree

Bachelor's degree 173

UWe do not track this

#### **Professional Degrees**

How many awards did your institution confer in the most recent academic year?

**Professional Degrees** 

Professional Degrees 0

UWe do not track this

Points Available: 0.00

#### Post-baccalaureate certificate

How many awards did your institution confer in the most recent academic year?

Post-baccalaureate certificate

UWe do not track this

Points Available: 0.00

#### **Doctoral Degree**

How many awards did your institution confer in the most recent academic year?

Doctoral degree

Doctoral degree 0

UWe do not track this

Points Available: 0.00

#### **Percent Of Revenue from Government Funds**

What percentage of your revenue comes from government funds?

What percentage of your revenue comes from government funds? 38

UWe do not track this

Points Available: 0.00

#### Marketing/Recruitment

Please indicate the percentage of overall institution expenditures in the following categories.

Marketing/Recruitment

Marketing/Recruitment

Sensitive

#### Other

Please indicate the percentage of overall institution expenditures in the following categories.

Other (please specify)

Other (please specify)	
✓ We do not track this	

Points Available: 0.00

#### **Direct Services to Students**

Please indicate the percentage of overall institution expenditures in the following categories.

Direct Services to Students

Your answer to this unscored question is combined with other answers to automatically calculate your score in this section of the assessment.

Direct Services to Students

Sensitive

Points Available: 0.00

#### Administration

Please indicate the percentage of overall institution expenditures in the following categories.

Administration

Administration

Sensitive

Points Available: 0.00

#### **Public Service and Research**

Please indicate the percentage of overall institution expenditures in the following categories.

Public Service and Research

Your answer to this unscored question is combined with other answers to automatically calculate your score in this section of the assessment.

Public Service and Research

Sensitive

### **Community Engagement**

Which of the following apply to your institution's community engagement? (check all that apply)

Institutional representation serves on task force, advisory council, or board of directors for regional planning, economic

development, or industry groups.

Institution actively solicits feedback from stakeholder groups regarding educational and employment needs of the regions with high student concentration and aligns offerings accordingly

Institution has program advisory committees to inform program development, strengthen community connections, and facilitate student opportunities

Institution has engaged employers in the fields of expected and actual graduate placement within the last year

A portion of institution research is targeted toward solving problems in the local community or region

None of the above

Points Earned: 1.50 of 1.50

#### **Partnerships with Higher Education**

How does your institution engage in partnerships with other institutions of higher education? (check all that apply)

Institution has developed transfer and articulation agreements with other independent institutions to accept credit from one another's coursework

Initiating or leading an industry-specific collaboration (such as a grant initiative or task force) within the last two years

Z Participating in industry-specific collaborations (such as collaborating for state or federal grants or developing industry best

practices) within the last two years

Providing discounted services to partner institutions (e.g. assessment, remediation, courses)

Sharing best practices or innovations with other institutions to help further the industry

Other (please specify)

□ None of the above

Points Earned: 0.90 of 1.50

## Marketing, Recruiting, and Transparency - Impact Business Model

IMPACT BUSINESS MODELS **2.5** 

This section measures the policies, practices, and results of the institution's recruiting practices to ensure accurate information and consumer protection

#### **Policies Accuracy of Marketing**

# Which of the below policies or practices does your institution use to ensure accurate marketing materials?

Check all that apply. Please copy relevant policies in answer details.

Training opportunities for all personnel and contractors representing the institution to potential students regarding accuracy of information delivered and compliance with recruiting policies

Review and approval process by a corporate officer for all marketing materials, including those used by lead generators (if applicable), to ensure they are clear, accurate and compliant with state and federal laws and regulations

Written and distributed accountability policies with specific penalties to ensure institutional staff and communications only provide accurate actual or projected student outcomes, endorsements and other relevant information

Written and distributed accountability policies with specific penalties to ensure external vendors, such as lead generators, and their communications only provide accurate actual or projected student outcomes, endorsements and other relevant information

Clearly articulated processes for addressing internal and external complaints

Regular internal audits to ensure that established processes and policies are being followed

Periodic external, independent assessment of practice and policy implementation

- Other
- □ None of the above

Points Earned: 0.56 of 0.56

#### **Policies Contacting Prospective Students**

# Does your institution have any of the below written policies governing protocol for contacting prospective students?

Check all that apply. Please copy any relevant policies in answer details.

Written and distributed internal policies governing protocol for contacting prospective students, including an opt-out function for those who do not wish continued contact

Written and distributed policies governing the actions of external vendors including lead generators (if applicable) with respect to contacting prospective students, including an opt-out function for those who do not wish continued contact

Other

□ None of the above

Points Earned: 0.56 of 0.56

#### **Expectations of Enrolled Students**

Does your institution do any of the following to assess and manage the relationship between institutional marketing and student experiences/outcomes?

Check all that apply.

- Institution regularly surveys students to determine if their expectations are being met
- An independent entity reviews student survey results against marketing claims

Institution has a written and distributed plan to address any discrepancies found between student expectations and marketing claims

□ None of the above

Points Earned: 0.19 of 0.56

#### **Provide Information on Homepage**

Which of the following information does your institution provide for each program within 1-2 clicks of the program's homepage?

Provide links to the relevant webpages in the Answer Details.

Description of transfer process and institutions that will accept the college's transfer credits

List of institutions with which the institution has articulation agreements indicating that designated academic credits will be

accepted by those institutions upon student transfer

Specific academic program requirements

Specific admissions requirements

✓ Total cost of tuition, instructional materials and mandatory fees in one place

Clear presentation of financial aid package including total amount a student must repay, over what length of time, and at what

estimated monthly payment

Median debt

Default rate

Graduation rates

Transfer rates

UWithdrawal rates year to year

Retention rates year to year

Graduates' earnings information over time

□ None of the above

Points Earned: 0.33 of 1.11

#### **Require Acknowledgement of Program Level Info**

# Does your institution require acknowledgement from students that they have seen any of the following program-level information before enrollment?

Check all that apply.

- Clear explanation of transfer process and institutions that will accept the college's transfer credits
- Clear list of institutions with which the institution has articulation agreements indicating that designated academic credits will be

accepted by those institutions upon student transfer

- Clearly defined and specific academic program requirements
- Clearly defined and specific admissions requirements
- ✓ Total cost of tuition, instructional materials and mandatory fees in one place
- Clear presentation of financial aid package including total amount a student must repay, over what length of time, and at what estimated monthly payment
- Median debt
- Default rate
- Graduation rates

Transfer rates

- UWithdrawal rates year to year
- Retention rates year to year
- Graduates' earnings information over time
- □ None of the above

Points Earned: 0.44 of 1.11

#### **Implementation of Practices**

#### Which of the following does your institution provide to prospective/enrolled students?

Check all that apply.

- Counseling for students on the risks borrowing more than the amount to cover their cost of attendance
- Counseling for all prospective students to find the best program for their goals, qualifications and circumstances within your institution(s)

Counseling for all prospective students to find the best program for their goals, qualifications and circumstances at other institutions, if they are a better fit than your institution(s)

- Counseling for all students on the tradeoffs of full-time and part-time enrollment, customized for their circumstances
- Distribution of a guide to understanding a student's financial aid package
- Z Transparent no-risk opportunity for students to attend your institution(s) without initial financial commitment
- None of the above

Points Earned: 0.44 of 1.11

## **Student Experience - Impact Business Model**

IMPACT BUSINESS MODELS **1.8** 

This section measures the extent to which the institution delivers a positive student experience, including quality instruction and faculty and supportive and accessible student services, and external career and service opportunities

#### **Policies to Improve Instruction**

Which of the following policies/practices have been implemented to improve or maintain educational quality?

Faculty are required to meet targets for student-faculty interaction

Faculty are provided with written student feedback for every course

Z Faculty are provided with written supervisor feedback at least once per program, term or academic year, as appropriate

Faculty are required to set student achievement goals in conjunction with department heads and institutional leadership

□ Faculty are required to meet student achievement goals

□ Faculty are provided with training and support from department to help set and reach student achievement goals

Accountability measures for senior management to ensure that all programs under their purview have the programmatic or specialized accreditation needed for graduates to successfully enter a job in that field of study

Other (please specify):

None of the above

Points Earned: 0.21 of 0.26

#### **Policies on Faculty Qualifications**

What policies are in place to ensure that faculty remain current in their field and are only assigned to courses they are qualified to teach?

Program/department leadership hires and assigns faculty only to those courses in which faculty can demonstrate specialized academic training or significant professional experience

Program/department leadership requires faculty to set individual performance and professional development goals (which may include continuing education) and report on those goals via self-evaluation

Program/department leadership holds faculty accountable for attaining approved individual performance and professional development goals

Program/department leadership requires faculty to engage in professional development targeted toward the courses he/she is or is likely to teach

Faculty are provided resources to engage in professional development

Other:

□ None of the above

Points Earned: 0.21 of 0.26

#### Flexible payment options

What percentage of students used the following service in the last academic year?

Flexible payment options, such as: multiple installment plans, modification options during the semester, or deferred payment under designated circumstances

1-24%
 25-49%
 50-74%
 75-100%
 0% (Not Offered)

Points Earned: 0.06 of 0.26

#### **Admissions Counseling**

What percentage of students used the following service in the last academic year?

Admissions counseling to prepare students for non-traditional modes of instructional delivery

1-24%
 25-49%
 50-74%
 75-100%
 0% (Not Offered)

Points Earned: 0.06 of 0.26

#### Mentoring

What percentage of students used the following service in the last academic year?

Mentoring

- 01-24%
- 25-49%
- 50-74%
- ○75-100%
- 0% (Not Offered)

Points Earned: 0.06 of 0.26

#### **Academic Advising**

What percentage of students used the following service in the last academic year?

Academic advising at determined intervals (such as once per semester)

1-24%
25-49%
50-74%
75-100%
0% (Not Offered)

Points Earned: 0.06 of 0.26

#### **Academic Advising**

What percentage of students used the following service in the last academic year?

Initial Academic advising, including mapping out a degree path with specific courses

1-24%
25-49%
50-74%
75-100%
0% (Not Offered)

Points Available: 0.26

#### **Orientation and Counseling**

What percentage of students used the following service in the last academic year?

Intensive orientation and/or first-year counseling

1-24%
25-49%
50-74%
75-100%
0% (Not Offered)

Points Earned: 0.26 of 0.26

#### **Financial Literacy Courses**

What percentage of students used the following service in the last academic year?

Financial literacy courses or resources

1-24%
25-49%
50-74%
75-100%
0% (Not Offered)

Points Available: 0.26

#### **Career counseling**

What percentage of students used the following service in the last academic year?

Career counseling

○1-24%

○ 25-49%

○ 50-74%

○75-100%

• 0% (Not Offered)

Points Available: 0.26

#### **Personalized Loan Counseling**

What percentage of students used the following service in the last academic year?

Personalized loan entrance counseling

○1-24%

○ 25-49%

○ 50-74%

○75-100%

0% (Not Offered)

# Personal counseling

What percentage of students used the following service in the last academic year?

Personal counseling

1-24%
 25-49%
 50-74%
 75-100%
 0% (Not Offered)

Points Earned: 0.13 of 0.26

# **Student Civic Engagement Opportunities**

Which of the following apply with respect to student civic engagement opportunities? (check all that apply)

Institution has a civic engagement office or clearinghouse to help students identify and pursue opportunities to engage and support issues for their local or regional community, such as community service, service learning, issue forums and others

Institution sets civic engagement targets and measures against them

Institution has a service learning curriculum

Institution evaluates efficacy and impact of its service learning curriculum

Institution facilitates student- or faculty-led forums on campus or online with thought leaders and local speakers

Institution solicits student feedback on types of service learning, volunteer efforts, or other opportunities of interest

Institution provides transportation subsidies or other benefits to students engaged in volunteerism and internships/externships off campus

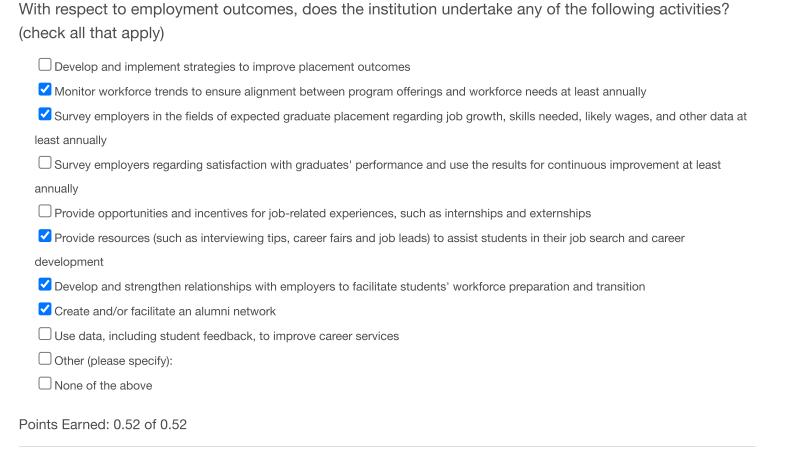
Institution leadership actively promotes civic engagement in multiple forums

□ Not applicable, institution exclusively offers graduate programs

□ None of the above

Points Earned: 0.10 of 0.26

# **Employment Outcomes**



# **Student Satisfaction**

Does your institution do any of the following with regard to student satisfaction?

- Use feedback to modify program offerings
- Use feedback to improve instruction
- ✓ Use feedback in faculty reviews
- Use feedback to modify student support services
- Student satisfaction not tracked/known

Points Earned: 0.13 of 0.13

# **Student Outcomes - Impact Business Model**

IMPACT BUSINESS MODELS 3.4

This section assesses the extent to which the institution delivers positive outcomes for its enrollees, particularly traditionally underrepresented groups, including their ability to progress to completion and achieve economic independence

# **Underrepresented minorities**

What percentage of the total student population are part of the below special interest populations? (may total more than 100%)

Underrepresented minorities

Underrepresented minorities	s 10
-----------------------------	------

UWe do not track this

Points Available: 0.00

#### **First-generation college students**

What percentage of the total student population are part of the below special interest populations? (may total more than 100%)

First-generation college students

First-generation college students

✓ We do not track this

Points Available: 0.00

#### **Students with Some College**

What percentage of the total student population are part of the below special interest populations? (may total more than 100%)

Students with some college, but no degree upon entry who have not enrolled in higher education in the past three years

Students with some college, but no degree upon entry who have not enrolled in higher education in the past three years

We do not track this

# **Traditional Students**

This is a REQUIRED question for accurate assessment scoring: What percentage of the total student population falls in one of the above special interest populations, and what percentage does not (traditional students)?

**Traditional Students** 

Your answer to this unscored question is combined with other answers to automatically calculate your score in this section of the assessment.

Traditional Students	83.3
UWe do not track	this

Points Available: 0.00

#### **Special Interest Populations**

This is a REQUIRED question for accurate assessment scoring: What percentage of the total student population falls in one of the above special interest populations, and what percentage does not (traditional students)?

**Special Interest Populations** 

Your answer to this unscored question is combined with other answers to automatically calculate your score in this section of the assessment.

Special Interest Populations	16.7

UWe do not track this

Points Available: 0.00

#### **Measure Student Learning**

How does your institution measure student learning at the student, program and institution levels? How do internal and external assessments of student learning influence programmatic decisions, resource allocation, and continuous improvement?

NB bachelor degrees are currently under annual government monitoring allowing only minor modifications to be made HOWEVER a variety of methods are used ranging from standard analysis of student and program data as well as internal and external moderation, industry assessment and feedback of final projects, institutional self and external assessment to gain information in order to make informed decisions about ongoing improvements, resource allocation and product development. Student learning and achievement is also monitored by Torrens Universities Australia in the form of annual reviews and Exam & Academic Progression Committee evaluations.

#### **Assess Student Goals**

Does your institution assess student goals (such as seeking a degree, career change or career advancement) upon institution entry and track student success in terms of whether or not students achieve their goals?

Institution surveys students at designated intervals to assess the degree to which their goals are met

- Institution surveys alumni at designated intervals to assess the degree to which their goals were met
- Institution works with or surveys employers for information about student goals and career progression
- Institution has or has sought a partnership with one or more states for employment data to inform career impact assessment
- □ Institution does not assess student goals

Points Earned: 0.27 of 0.36

#### **Remedial Education Courses and Support**

With regard to remedial education courses and supports, which of the following are true? (check all that apply)

Institution assesses incoming students' readiness for college-level work

Institution offers remedial support concurrently with college-level classes in remediated subject

Institution offers accelerated remedial courses

- Institution offers learning communities for students in need of remedial support
- Institution offers extra academic support to students who test below college-ready
- Institution offers remedial courses combined with job training
- Institution offers specialized advising and mentoring for students in remediation
- ✓ Other (please specify)
- □ None of the above
- N/A Institution does not offer remediation

Points Earned: 0.36 of 0.71

#### **Student Retention**

With regard to student retention, which of the following are true? (check all that apply)

- Institution tracks student retention term-to-term
- Institution has specific policies and initiatives to boost retention
- Institution analyzes retention at least annually
- ✓ Institution sets retention targets
- ✓ Institution has met or exceeded retention targets
- □ Institution does not track retention

Points Earned: 0.71 of 0.71

# **Program Completion**

With regard to program completion, which of the following are true? (check all that apply)

All degree/certificate programs measure and report on course completion

Institution tracks interim program completion benchmarks

The institution sets benchmarks for timely program completion

Institution has documented early warning system procedures to address and assist students at risk of non-completion

The institution encourages full-time degree-/certificate-seeking students to take enough credits per year to finish a bachelor's degree in 4 years or an associate degree in 2 years

The percentage of students who complete their degree/certificate has increased in the past 5 years

The percentage of students who complete their degree/certificate on time has increased in the past 5 years

None of the above

Points Earned: 0.71 of 0.71

#### **Institutional Decisions on Programs**

What criteria and evidence, such as completion rates, factor in to institution decisions on which programs to open, close or modify? How does the institution make such decisions?

The institution has a formal process which includes a Product and Innovation team which evaluates how a program is doing (or how it is forecasted to do), the market demand for it, etc. It looks at things such as, among other things, completion rates, industry demand, change in professional certification requirements, enrolment numbers, etc.

Points Available: 0.00

#### **Employment Records and Graduate Data**

With regard to graduates' employment records, has the institution done any of the following? (check all that apply)

Sought partnerships with government agencies to obtain data on graduates' earnings and unemployment

Secured partnerships with government agencies to obtain data on graduates' earnings and unemployment

Surveyed graduates on their earnings and employment status

Use a third-party service to verify survey data

None of the above

Points Earned: 0.18 of 0.36

#### **Program 4**

Please indicate whether the average earnings of graduates in each of your institution's five largest programs (by enrollment) is at least 300% above the poverty line for an individual. Answer for all that is tracked. See help text for further information.

Program 4
After 1 Year
After 5 Years
🗹 After 10 Years
None
Not tracked/Unknown
□ N/a

Points Earned: 0.18 of 0.36

#### Program 2

Please indicate whether the average earnings of graduates in each of your institution's five largest programs (by enrollment) is at least 300% above the poverty line for an individual. Answer for all that is tracked. See help text for further information.

Program 2

After 1 Year
After 5 Years
After 10 Years
None
Not tracked/Unknown
N/a

Points Earned: 0.18 of 0.36

# Program 5

Please indicate whether the average earnings of graduates in each of your institution's five largest programs (by enrollment) is at least 300% above the poverty line for an individual. Answer for all that is tracked. See help text for further information.

Program 5
After 1 Year
After 5 Years
🗹 After 10 Years
None
Not tracked/Unknown
□ N/a

Points Earned: 0.18 of 0.36

# **Program 1**

Please indicate whether the average earnings of graduates in each of your institution's five largest programs (by enrollment) is at least 300% above the poverty line for an individual. Answer for all that is tracked. See help text for further information.

Program 1

After 1 Year
After 5 Years
After 10 Years
None
Not tracked/Unknown
Not applicable

Points Earned: 0.18 of 0.36

# **Program 3**

Please indicate whether the average earnings of graduates in each of your institution's five largest programs (by enrollment) is at least 300% above the poverty line for an individual. Answer for all that is tracked. See help text for further information.

Program 3
After 1 Year
After 5 Years
✓ After 10 Years
None
Not tracked/Unknown
□ N/a

Points Earned: 0.18 of 0.36

# **Compare Graduates Earnings**

Does your institution compare graduates' earnings to regional averages by program or degree?

O Yes

⊖ No

Points Earned: 0.36 of 0.36

# Average Net Price for Academic Year

What is the average net price for the most recent academic year?

What is the average net price for the most recent academic year? 11208

UWe do not track this

Points Available: 0.00

# All graduates

What is the average debt burden for:

All graduates

All graduates 25000

We do not track this

# **Student Withdrawal of Records**

What is the average debt burden for:

Students who withdraw and do not request records transfer to another institution within one year

Students who withdraw and do not request records transfer to another institution within one year 25000

UWe do not track this

Points Available: 0.00

# Assitance to Drop Outs with Debt

What does your institution do to assist students who drop out while carrying debt?

We actively counsel at risk students, to reduce risk of drop out, and the reduce the impact of carrying debt.

Points Available: 0.00

# Student Outcomes (Traditional Students) - Impact Business Model

IMPACT BUSINESS MODELS **5.9** 

# Percent of Remedial Students Pass

This is a REQUIRED question for accurate assessment scoring: What percent of students who were enrolled in remedial coursework, complete and pass college-level courses in the most recent academic year?

Your answer to this unscored question is combined with other answers to automatically calculate your score in this section of the assessment.

This is a REQUIRED question for accurate assessment scoring: What percent of students who were enrolled in remedial coursework,

complete and pass college-level courses in the most recent academic year?  ${\color{black}0}$ 

UWe do not track this

Points Available: 1.43

# **Student Retention Rate**

This is a REQUIRED question for accurate assessment scoring: What is the student retention rate?

Your answer to this unscored question is combined with other answers to automatically calculate your score in this section of the assessment.

This is a REQUIRED question for accurate assessment scoring: What is the student retention rate? 92.3

U We do not track this

Points Earned: 1.43 of 1.43

#### **Primary Award Completion Rate**

This is a REQUIRED question for accurate assessment scoring: What is the completion rate within 100% of normal time of students at your institution's primary award level?

Your answer to this unscored question is combined with other answers to automatically calculate your score in this section of the assessment.

This is a REQUIRED question for accurate assessment scoring: What is the completion rate within 100% of normal time of students at

your institution's primary award level? 61.4

UWe do not track this

Points Earned: 1.24 of 1.43

#### **Primary Award Completion Rate**

This is a REQUIRED question for accurate assessment scoring: What is the completion rate within 150% of normal time of students at your institution's primary award level?

Your answer to this unscored question is combined with other answers to automatically calculate your score in this section of the assessment.

This is a REQUIRED question for accurate assessment scoring: What is the completion rate within 150% of normal time of students at

your institution's primary award level? 67.1

UWe do not track this

Points Earned: 1.09 of 1.43

#### Percent of Graduates Employed or in Continuing Education

What percent of graduates at your institution's primary award level goes on to employment, additional education (including transfer) or the military within one year of program completion?

Your answer to this unscored question is combined with other answers to automatically calculate your score in this section of the assessment.

○0-79%

080-89%

○90%+

O Not tracked/ Unknown

Points Earned: 0.71 of 1.43

# **Rate of Graduates Default on Student Loans**

This is a REQUIRED question for accurate assessment scoring: What is the rate of graduates that have defaulted on their student loans?

Your answer to this unscored question is combined with other answers to automatically calculate your score in this section of the assessment.

This is a REQUIRED question for accurate assessment scoring: What is the rate of graduates that have defaulted on their student

l	0	а	n	S	2

✓ We do not track this

Points Available: 1.43

# Percent of Students Withdrawn With Debt

This is a REQUIRED question for accurate assessment scoring: What percent of students in the past three year have withdrawn with debt incurred by attending the institution?

Your answer to this unscored question is combined with other answers to automatically calculate your score in this section of the assessment.

This is a REQUIRED question for accurate assessment scoring: What percent of students in the past three year have withdrawn with

debt incurred by attending the institution? 3.5

UWe do not track this

Points Earned: 1.43 of 1.43

# Disclosure Questionnaire - Impact Business Model

IMPACT BUSINESS MODELS

The Disclosure Questionnaire is an unweighted group of Yes/No questions regarding potentially sensitive issues and is used for due diligence.

#### **Probation or Loss of Accreditation**

Has your institution ever been placed on probation, lost accreditation, or lost and regained accreditation by any accrediting body? If so, please explain.

NO

Points Available: 0.00

#### **Federal or State Investigation**

Have your institution's marketing and/or recruitment practices ever been subject to a federal or state investigation? If so, please explain.

NO

# **Disclosure Industries**

Disclosure questions on specific production and trade.

# **Disclosure Alcohol**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Alcohol

Please also select "Yes" if your company serves clients in this industry

○ Yes

🔘 No

Points Available: 0.00

#### **Disclosure Tobacco**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Tobacco

```
Please also select "Yes" if your company serves clients in this industry
```

O Yes

0 110

Points Available: 0.00

#### **Disclosure Gambling**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Gambling

Please also select "Yes" if your company serves clients in this industry

○ Yes

🔘 No

# **Disclosure Firearms Weapons**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Firearms, weapons or munitions

Please also select "Yes" if your company serves clients in this industry

○ Yes

🔘 No

Points Available: 0.00

#### **Disclosure Pornography**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Pornography

Please also select "Yes" if your company serves clients in this industry

○ Yes

🔘 No

Points Available: 0.00

#### Disclosure Payday, Short Term, or High Interest Lending

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Payday, short-term, or high-interest lending

Please also select "Yes" if your company serves clients in this industry

○ Yes

🔘 No

# **Disclosure Fossil Fuels**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Fossil-fuel-based oil, natural gas, or coal extraction, distribution, sale, etc.

Please also select "Yes" if your company serves clients in this industry

C	)	Yes
	)	Yes

🔘 No

Points Available: 0.00

#### **Disclosure Mining**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Mining

Please also select "Yes" if your company serves clients in this industry

○ Yes

🔘 No

Points Available: 0.00

#### **Disclosure Nuclear Power or Hazardous Materials**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Nuclear power, radioactive materials or hazardous waste

Please also select "Yes" if your company serves clients in this industry

○ Yes

🔘 No

#### **Disclosure Prisons**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Prisons

Please also select "Yes" if your company serves clients in this industry

○ Yes

🔘 No

Points Available: 0.00

#### **Disclosure Whole Life Insurance**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Whole life insurance products

Select "Yes" only if the company earns 80%+ of its revenue from whole life insurance products.

○ Yes

🔘 No

Points Available: 0.00

#### **Disclosure Volunteer Placement to Orphanages**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Organizing volunteer programs to orphanages or child care organizations

○ Yes

🔘 No

Points Available: 0.00

#### **Disclosure Tax Advisory Services**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Tax advisory services

⊖ Yes

🔘 No

## **Disclosure Animal Products or Services**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Animal-based products or services (including seafood)

```
◯ Yes
```

Points Available: 0.00

# **Disclosure Genetically Modified Organisms**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Genetically modified organisms

Please also select "Yes" if your company serves clients in this industry

○ Yes

🔘 No

Points Available: 0.00

# **Disclosure Illegal Products or Subject to Phase Out**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Products or activities that are illegal under country laws or regulations where they have operated, banned in international conventions or agreements, or subject to international phase-out or regulation

◯ Yes ◯ No

Points Available: 0.00

#### **Disclosure Industries at Risk of Human Rights Violations**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Industries reliant upon materials at high risk of human rights infringements (e.g. conflict minerals)

◯ Yes

🔘 No

# **Other Disclosure Industries**

Please indicate if your company is involved in the production, operation, trade, or sale of any the following:

Other industries that may cause social or environmental harm or are subject to stakeholder criticism or concern

◯ Yes

🔘 No

Points Available: 0.00

#### **Company Explanation Of Disclosure Item Flags**

If you selected "Yes" to any of the "Disclosure Industries" listed above, please provide a detailed explanation of the company's involvement for each affirmative response:

If this does not apply to you, please enter "Does not apply" in the text area below.

Does not apply

Points Available: 0.00

# **Disclosure Practices**

Disclosure questions on sensitive practices.

## No formal Registration Under Domestic Regulations

Please indicate if your company engages in any of the following practices:

Company is not formally registered in accordance with all relevant regulations and requirements

If your company is a formally registered business, select "No."

○ Yes ○ No

Points Available: 0.00

#### **Tax Reduction Through Corporate Shells**

Please indicate if your company engages in any of the following practices:

Company uses corporate shells or other structural means, such as establishing multiple corporate entities, to minimize tax payments

```
○ Yes
○ No
```

#### **Operates in conflict zones**

Please indicate if your company engages in any of the following practices:

Company operates in conflict zones

0	Yes	
$\bigcirc$	No	

Points Available: 0.00

#### Sale of Data

Please indicate if your company engages in any of the following practices:

Company sells or provides access to consumer or user data

○ Yes

Points Available: 0.00

#### Facilities located in sensitive ecosystems

Please indicate if your company engages in any of the following practices:

Company facilities are located adjacent to or in sensitive ecosystems

○ Yes

🔘 No

Points Available: 0.00

#### **Marketing of Breastmilk Substitutes**

Please indicate if your company engages in any of the following practices:

Marketing of breastmilk substitutes

⊖ Yes

🔘 No

# Activities against freedom of association/collective bargaining

Please indicate if your company engages in any of the following practices:

Company has taken a public stance against unionization, has engaged in activities that may be perceived as taking a stance against union organizing, or prohibits workers from freely associating and bargaining collectively for the terms of one's employment

◯ Yes

🔘 No

Points Available: 0.00

#### **Employs Individuals on Zero-Hour Contracts**

Please indicate if your company engages in any of the following practices:

Company employs individuals on zero-hour contracts

⊖ Yes

🔘 No

Points Available: 0.00

#### Company workers are prisoners

Please indicate if your company engages in any of the following practices:

Company uses workers who are prisoners

⊖ Yes

🔘 No

Points Available: 0.00

# **Company Employs Workers Under Age 15 (Or Other ILO Minimum Age)**

Please indicate if your company engages in any of the following practices:

Company employs workers under the age of 15 (or other minimum work age covered by the International Labour Organization Convention No. 138) and/or company does not keep personnel records that include evidence of the date of birth of each

◯ Yes

🔘 No

# **Overtime For Hourly Workers Is Compulsory**

Please indicate if your company engages in any of the following practices:

Overtime work is compulsory and exceeds 48 hours in a week

○ Yes

🔘 No

Points Available: 0.00

#### **Other Disclosure Practices**

Please indicate if your company engages in any of the following practices:

Other sensitive practices that may cause social or environmental harm, or are subject to stakeholder concern

◯ Yes ◯ No

Points Available: 0.00

#### **Company Explanation Of Disclosure Item Flags**

If you selected "Yes" to any of the "Disclosure Practices" listed above, please provide a detailed explanation of the company's engagement in each practice marked in the affirmative:

If this does not apply to you, please enter "Does not apply" in the text area below.

Does not apply

Points Available: 0.00

# **Disclosure Outcomes & Penalties**

Disclosure questions concerning litigation, relocation of communities, accidents, and on-the-job fatality.

#### **On-Site Fatality**

Please indicate if your company has experienced any of the following in the past 5 years:

Company has had an operational or on-the-job fatality

⊖ Yes

🔘 No

# **Litigation or Arbitration**

Please indicate if your company has experienced any of the following in the past 5 years:

Litigation or arbitration against company either ongoing, settled, or found against the company

○ Yes

🔘 No

Points Available: 0.00

#### Company has filed for bankruptcy

Please indicate if your company has experienced any of the following in the past 5 years:

Company has filed for bankruptcy

○ Yes

Points Available: 0.00

# Bribery, Fraud, or Corruption

Please indicate if your company has had a formal complaint to a regulatory agency or been assessed a fine or sanction in the past five years for any of the following:

Bribery, fraud, or corruption

⊖ Yes

🔘 No

Points Available: 0.00

#### **Anti-Competitive Behavior**

Please indicate if your company has had a formal complaint to a regulatory agency or been assessed a fine or sanction in the past five years for any of the following:

Anti-competitive behavior

○ Yes ○ No

#### Financial Reporting, Taxes, Investments, or Loans

Please indicate if your company has had a formal complaint to a regulatory agency or been assessed a fine or sanction in the past five years for any of the following:

Financial reporting, tax payments, investments, or loans

○ Yes

Points Available: 0.00

#### **Political Contributions or International Affairs**

Please indicate if your company has had a formal complaint to a regulatory agency or been assessed a fine or sanction in the past five years for any of the following:

Political contributions or international affairs

○ Yes

🔘 No

Points Available: 0.00

#### Labor Issues

Please indicate if your company has had a formal complaint to a regulatory agency or been assessed a fine or sanction in the past five years for any of the following:

Labor issues (including safety and discrimination)

○ Yes ○ No

Points Available: 0.00

#### **Breaches of Confidential Information**

Please indicate if your company has experienced any of the following in the past 5 years:

Breaches of individual privacy and/or losses of individual confidential data

○ Yes

# **Significant Layoffs**

Please indicate if your company has experienced any of the following in the past 5 years:

Company has had layoffs of more than 20% of the workforce

0	Yes
	NL-

🔘 No

Points Available: 0.00

# Hazardous Discharges Into Air/Land/Water (Past 5 Yrs)

Please indicate if your company has experienced any of the following in the past 5 years:

Company sites have experienced accidental discharges to air, land or water of hazardous substances

◯ Yes ◯ No

Points Available: 0.00

# Large Scale Land Conversion, Acquisition, or Relocation

Please indicate if your company has experienced any of the following in the past 5 years:

Construction or operation of company involved large scale land acquisition, convergence, or degradation (including the construction or refurbishment of dams), or resulted in the resettlement or economic displacement of 5,000 or more people

○ Yes ○ No

Points Available: 0.00

#### **Penalties Assessed For Environmental Issues**

Please indicate if your company has had a formal complaint to a regulatory agency or been assessed a fine or sanction in the past five years for any of the following:

Environmental management penalties, including animal welfare

○ Yes ○ No

# **Violation of Indigenous Peoples Rights**

Please indicate if your company has had a formal complaint to a regulatory agency or been assessed a fine or sanction in the past five years for any of the following:

Infringing on indigenous people's rights, for instance by utilizing lands owned or used by indigenous peoples without full documented consent of such peoples

O Yes

🔘 No

Points Available: 0.00

#### **Other Disclosure Outcomes & Penalties**

Please indicate if your company has had a formal complaint to a regulatory agency or been assessed a fine or sanction in the past five years for any of the following:

Other penalties, complaints, or grievances filed or levied against the company for negative impacts on local communities, human rights, or other stakeholder concerns

○ Yes○ No

Points Available: 0.00

#### **Company Explanation Of Disclosure Item Flags**

If you selected "Yes" to any of the "Disclosure Outcomes & Penalties" listed above, please provide a detailed explanation of the company's experience related to each affirmative response:

If this does not apply to you, please enter "Does not apply" in the text area below.

Does not apply

Points Available: 0.00

# **Supply Chain Disclosure**

Disclosure questions concerning the significant suppliers of the company

# **Suppliers in Conflict Zones**

Please indicate if any of the following statements are true regarding your company's suppliers:

Operation in conflict zones

○ Yes

🔘 No

◯ Don't Know

# **Suppliers Negative Social Impact**

Please indicate if any of the following statements are true regarding your company's suppliers:

Practices or outcomes that produced substantial negative impacts regarding human rights, labor conditions, or local communities

○ Yes	
◯ No	
◯ Don't Know	

Points Available: 0.00

# **Suppliers Negative Environmental Impact**

Please indicate if any of the following statements are true regarding your company's suppliers:

Practices or outcomes that produced substantial negative environmental impact

Yes
No
Don't Know