

#### **DISCLOSURE MATERIALS**

Certified B Corporations must complete a Disclosure Questionnaire to identify potentially sensitive issues related to the company (e.g. historical fines, sanctions, material litigation, or sensitive industry practices).

This component does not affect the company's score on the B Impact Assessment. If the company answers affirmatively to any items in the Disclosure Questionnaire and B Lab deems them to be material, the company must:

- 1) Be transparent about the disclosure issues identified on the company's public B Impact Report
- 2) Describe how the company has addressed this issue.
- 3) Demonstrate that management systems are in place to avoid similar issues from arising in the future.

In all cases, the Standards Advisory council reserves the right to refuse certification if the company is ultimately deemed not to uphold the spirit of the community.

In addition to the voluntary indication of sensitive issues in the Disclosure Questionnaire, companies pursuing Certification also are subject to background checks by B Lab staff. Background checks include a review of public records, news sources, and search engines for company names, brands, executives/founders, and other relevant topics.

Sensitive issues identified through background checks may or may not be within the scope of questions in the Disclosure Questionnaire, but undergo the same review process and are subject to the same possible review by the Standards Advisory Council, including ineligibility for B Corp Certification, required remediation, or disclosure.

This document contains a copy of the company's completed Disclosure Questionnaire and related disclosure documentation provided by the company.



### DISCLOSURE QUESTIONNAIRE

Company Name: Agulhas Applied Knowledge Date Submitted: 06/09/2023

Industries & Products	Yes	No
Please indicate if the company is involved in		ade in any the
following. Select Yes for all options that app	ly.	
Animal Products or Services		√
Biodiversity Impacts		
Chemicals		√
Company Explanation Of Disclosure Item Flags		V
Disclosure Alcohol		V
Disclosure Firearms Weapons		V
Disclosure Mining		√
Disclosure Pornography		1
Disclosure Tobacco		V
Energy and Emissions Intensive Industries		1
Fossil fuels		√
Gambling		√
Genetically Modified Organisms		V
Illegal Products or Subject to Phase Out		V
Industries at Risk of Human Rights Violations		V
Monoculture Agriculture		√
Nuclear Power or Hazardous Materials		V
Payday, Short Term, or High Interest Lending		V
Water Intensive Industries		√
Tax Advisory Services		V

Supply Chain Disclosures	Yes	No	
Please indicate if any of the following statements are true regarding your company's significant suppliers.			
Business in Conflict Zones	<b>V</b>		
Child or Forced Labor		√	
Negative Environmental Impact		√	
Negative Social Impact		√	
Other		√	

Outcomes & Penalties	True	False
Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.		
Anti-Competitive Behavior		V
Breaches of Confidential Information		√
Bribery, Fraud, or Corruption		√
Company Explanation Of Disclosure Item Flags		√
Company has filed for bankruptcy		√
Consumer Protection		√
Financial Reporting, Taxes, Investments, or Loans		V
Hazardous Discharges Into Air/Land/Water (Past 5 Yrs)		V
Labor Issues		V
Large Scale Land Conversion, Acquisition, or Relocation		√
Litigation or Arbitration		V
On-Site Fatality		V
Penalties Assessed For Environmental Issues		V
Political Contributions or International Affairs		V
Recalls		V
Significant Layoffs		V
Violation of Indigenous Peoples Rights		√
Other		<b>V</b>

Practices	True	False
Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."		
Animal Testing		$\sqrt{}$
Company/Suppliers Employ Under Age 15 (Or Other ILO Minimum Age)		<b>V</b>
Company Explanation Of Disclosure Item Flags		√
Company prohibits freedom of association/collective bargaining		<b>√</b>
Company workers are prisoners		V
Conduct Business in Conflict Zones		$\sqrt{}$
Confirmation of Right to Work		V
Does not transparently report corporate financials to government		V
Employs Individuals on Zero-Hour Contracts		$\sqrt{}$
Facilities located in sensitive ecosystems		V
ID Cards Withheld or Penalties for Resignation		V
No formal Registration Under Domestic Regulations		V
No signed employment contracts for all workers		V
Overtime For Hourly Workers Is Compulsory		V
Payslips not provided to show wage calculation and deductions		V
Sale of Data		$\sqrt{}$
Tax Reduction Through Corporate Shells		V
Workers cannot leave site during non-working hours		V
Workers not Provided Clean Drinking Water or Toilets		V
Workers paid below minimum wage		V
Workers Under Bond		V
Other		V



# **B Corp Certification - Disclosure Questionnaire Documentation**

PROVIDED BY: Agulhas Applied Knowledge UPDATED AS OF: 06/09/2023

DISCLOSURE QUESTIONNAIRE CATEGORY	Supply Chain Disclosure - Suppliers in Conflict Zones
TOPIC	Agulhas Applied Knowledge is a consultancy company based in London, UK that on occasion works with experts in conflict zones.
SUMMARY OF ISSUE	Agulhas Applied Knowledge is a consultancy company headquartered in London, UK, supporting government, NGO and philanthropic clients with applying knowledge and learning to the global challenges reflected in the Sustainable Development Goals. They do this through two hubs - the Climate Hub and Conflict, Crisis and Global Challenges (CRUNCH) Hub. This work inherently requires Agulhas to occasionally work in conflict affected zones, as per the project design and client's tender requirements. Their approach to bidding for assignments is based on a comprehensive evaluation of technical, commercial, and operational factors. One crucial aspect of their assessment involves thoroughly analyzing the risk profile of each opportunity, considering factors such as the nature of the work, geographical location, and the potential need to deploy experts or contract expertise on-site. As a result, the company frequently collaborates with experienced professionals who operate in conflict zones.
	Agulhas Applied Knowledge takes an equitable approach to partnership by working with local organisations and experts. During the fiscal year 2021/2022, Agulhas Applied Knowledge successfully engaged the services of three distinguished service providers for separate consultancy projects that demanded specialized local knowledge and expertise. Specifically, they hired Research Initiatives for Social Development (RISD) in the Democratic Republic of Congo for consultancy services, Economic Development Solutions (EDS) in Lebanon for survey and data analysis, and an independent consultant in Ethiopia for data collection and analysis.
	These service providers were directly contracted by the company to fulfill specific scopes of work, as part of paid assignments commissioned by organizations such as the Independent Commission for Aid Impact and the United Nations Development Programme, whose aims are to addresss SDGs, reduce poverty and better the lives of populations across the world, including in conflict zones.
SIZE/SCOPE OF ISSUE (e.g. \$ financial implication, # of individuals affected)	In the last fiscal year, Agulhas Applied Knowledge allocated a total of 3.12% of its expenses to hire various services. The breakdown of these expenses is as follows:  Democratic Republic of Congo (DRC): 0.33%  Lebanon: 2.35%  Ethiopia: 0.44%
IMPACT ON STAKEHOLDER(S)	As per the OECD, conflict zones are often characterized by widespread human rights abuses and violations of national or international law.
	The security of company workers who work in such areas could also be at risk.



### **B Corp Certification - Disclosure Questionnaire Documentation**

PROVIDED BY: Agulhas Applied Knowledge UPDATED AS OF: 06/09/2023

## MANAGEMENT PRACTICES

Agulhas Applied Knowledge maintains robust supplier screening and ongoing assurance practices, which encompass the following elements:

- Each company is subject to their supplier due diligence process as detailed in their policy pack and the suppliers agree to all the standards laid out in the Supplier Policy Pack sent with them with their contract. An example of the contract, including the policies, can be found at this google drive link.
- Where required, the company has a level of deeper vetting for individuals based in high
  risk environments. This takes a more detailed look at an individual's vulnerabilities and
  risks, and in some cases the company may request individuals to sign a declaration of
  independence from particular political groups.
- Maintain up-to-date supply chain maps to monitor how their work is delivered and by whom.

Furthermore, as part of Agulhas' annual FCDO Supplier Declaration, the company declares the following:

- 1. that no engaged employees within the organisation or delivery chains are engaged in terrorist activity, terrorism funding, money laundering, bribery & corruption or fraud.
- 2. that their organisation and delivery chains are compliant with data standards and that no data security issues have been identified.
- tax compliance on behalf of delivery chains on FCDO Code of Conduct funding arrangements.
  - Agulhas' Operational Lead sends an annual email to its suppliers, reminding them of the responsibility of all personnel to report fraud and misconduct, including detailing the mechanisms of how to do so.