



## DISCLOSURE MATERIALS

Certified B Corporations must complete a Disclosure Questionnaire to identify potentially sensitive issues related to the company (e.g. historical fines, sanctions, material litigation, or sensitive industry practices).

This component does not affect the company's score on the B Impact Assessment. If the company answers affirmatively to any items in the Disclosure Questionnaire and B Lab deems them to be material, the company must:

- 1) Be transparent about the disclosure issues identified on the company's public B Impact Report
- 2) Describe how the company has addressed this issue.
- 3) Demonstrate that management systems are in place to avoid similar issues from arising in the future.

In all cases, the Standards Advisory council reserves the right to refuse certification if the company is ultimately deemed not to uphold the spirit of the community.

In addition to the voluntary indication of sensitive issues in the Disclosure Questionnaire, companies pursuing Certification also are subject to background checks by B Lab staff. Background checks include a review of public records, news sources, and search engines for company names, brands, executives/founders, and other relevant topics.

Sensitive issues identified through background checks may or may not be within the scope of questions in the Disclosure Questionnaire, but undergo the same review process and are subject to the same possible review by the Standards Advisory Council, including ineligibility for B Corp Certification, required remediation, or disclosure.

**This document contains a copy of the company's completed Disclosure Questionnaire and related disclosure documentation provided by the company.**

## DISCLOSURE QUESTIONNAIRE

PROVIDED BY:

Spottswode

UPDATED AS OF:

02/17/2020

Industries & Products	Yes	No
Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.		
Animal Products or Services		✓
Biodiversity Impacts	✓	
Chemicals		✓
Company Explanation Of Disclosure Item Flags		✓
Disclosure Alcohol	✓	
Disclosure Firearms Weapons		✓
Disclosure Mining		✓
Disclosure Pornography		✓
Disclosure Tobacco		✓
Energy and Emissions Intensive Industries		✓
Fossil fuels		✓
Gambling		✓
Genetically Modified Organisms		✓
Illegal Products or Subject to Phase Out		✓
Industries at Risk of Human Rights Violations		✓
Monoculture Agriculture	✓	
Nuclear Power or Hazardous Materials		✓
Payday, Short Term, or High Interest Lending		✓
Water Intensive Industries	✓	
Other		✓

  

Supply Chain Disclosures	Yes	No
Please indicate if any of the following statements are true regarding your company's significant suppliers.		
Business in Conflict Zones		✓
Child or Forced Labor		✓
Negative Environmental Impact		✓
Negative Social Impact		✓
Other		✓

  

Other Disclosures	Yes	No
		✓

Outcomes & Penalties	True	False
Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.		
Anti-Competitive Behavior		✓
Breaches of Confidential Information		✓
Bribery, Fraud, or Corruption		✓
Company Explanation Of Disclosure Item Flags		✓
Company has filed for bankruptcy		✓
Consumer Protection		✓
Financial Reporting, Taxes, Investments, or Loans		✓
Hazardous Discharges Into Air/Land/Water (Past 5 Yrs)		✓
Labor Issues		✓
Large Scale Land Conversion, Acquisition, or Relocation		✓
Litigation or Arbitration		✓
On-Site Fatality		✓
Penalties Assessed For Environmental Issues		✓
Political Contributions or International Affairs		✓
Recalls		✓
Significant Layoffs		✓
Violation of Indigenous Peoples Rights		✓
Other		✓

  

Practices	True	False
Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."		
Animal Testing		✓
Company/Suppliers Employ Under Age 15 (Or Other ILO Minimum Age)		✓
Company Explanation Of Disclosure Item Flags		✓
Company prohibits freedom of association/collective bargaining		✓
Company workers are prisoners		✓
Conduct Business in Conflict Zones		✓
Confirmation of Right to Work		✓
Does not transparently report corporate financials to government		✓
Employs Individuals on Zero-Hour Contracts		✓
Facilities located in sensitive ecosystems		✓
ID Cards Withheld or Penalties for Resignation		✓
No formal Registration Under Domestic Regulations		✓
No signed employment contracts for all workers		✓
Overtime For Hourly Workers Is Compulsory		✓
Payslips not provided to show wage calculation and deductions		✓
Sale of Data		✓
Tax Reduction Through Corporate Shells		✓
Workers cannot leave site during non-working hours		✓
Workers not Provided Clean Drinking Water or Toilets		✓
Workers paid below minimum wage		✓
Workers Under Bond		✓
Other		✓



## B Corp Certification - Disclosure Questionnaire Documentation

PROVIDED BY:

Spottswood

UPDATED AS OF:

02/17/2020

<b>DISCLOSURE QUESTIONNAIRE CATEGORY</b>	Environmentally Intensive Industries
<b>TOPIC</b>	Biodiversity Impact and Monoculture Agriculture
<b>SUMMARY OF ISSUE</b>	As an estate vineyard and winery, Spottswood operates in an industry in which biodiversity impact and monoculture agriculture are material environmental issues.
<b>SIZE/SCOPE OF ISSUE</b> (e.g. \$ financial implication, # of individuals affected)	Spottswood owns a total of 47 acres. Of this, 40.5 acres is vineyard. The winery, office and its gardens are 1.5 acres; the estate home parcel is 3.08 acres, of which a majority is gardens; there are three .5-acre parcels adjacent to the estate home on which the guest houses reside, and each of these parcels are comprised primarily of vineyard and gardens. The vineyard, which has been farmed organically since 1985 and biodynamically since 2008, contains grapevines, which are a perennial, woody crop. Between rows we grow cover crop every year after we add compost, some of which is no-till and the remainder of which is partial no-till (every other row tilled at the most).
<b>IMPACT ON STAKEHOLDER(S)</b>	Agriculture, particularly in cases where a single crop is cultivated at a time, poses a risk to local ecosystems of flora and fauna as well as the potential degradation of cultivated land. At Spottswood, we build in biodiversity through our insectary plantings, cover crops, native creek planting, bird and owl boxes, and the goats, chickens and pigs we keep on the property.
<b>IMPLEMENTED MGT PRACTICES</b>	We have maintained CCOF certification since 1992 (organic farming practices adopted in 1985); we also have bird boxes, owl boxes, insectaries and olive trees all around the border of our vineyard so as to build in biodiversity. We have farmed biodynamically since 2008 and we are Demeter certified. Starting in 2000, we also restored the riparian corridor that borders and defines the southern boundary of our estate property. We have 30 bird boxes, 4 owl boxes, over 20 different insectaries. 4. Does the company utilize any farming techniques (e.g. crop rotation, etc.) to minimize the degradation of cultivated land? Please explain. Wine grapes are a perennial crop. To minimize the degradation of our cultivated land, we apply organic compost each year, in a quantity to match the amount of fruit picked from each block; we plant cover crops; we incorporate our pruning cuttings into the soil via the rows that we till (we generally till every other row); and we place straw on our avenues each fall to protect the soil.

<b>DISCLOSURE QUESTIONNAIRE CATEGORY</b>	Environmentally Intensive Industries
<b>TOPIC</b>	Water Intensive Industries
<b>SUMMARY OF ISSUE</b>	As a vineyard and winery, Spottswood operates in an industry that is water intensive. Aspects of the industry that make it water intensive include irrigation used in the cultivation of vines, water used in the winemaking process (e.g. for cleaning tanks and barrels), and water used in the bottling process.

<b>SIZE/SCOPE OF ISSUE</b> (e.g. \$ financial implication, # of individuals affected)	<p>Vineyards and landscaping – Irrigated with well water, which we meter and measure.</p> <p>Winery – The winery facility uses well water from an on-site well, which is also metered and measured.</p> <p>Office - The office uses city water.</p> <p>Follow up to second part of question in #6: Like most wineries/vineyards in the area, Spottswode relies on wells to supply irrigation water and winery water. Local and statewide reports have been conducted to determine the health of Napa County's groundwater and aquifers. In the 2018 Napa County Groundwater Sustainability report, it was noted that "...due to high recharge potential in most years, low water requirements and a hydrogeologic setting conducive to recharge, the Napa Valley Subbasin remains full overall." Specific studies related to the immediate area surrounding Spottswode's property has not been reviewed as a part of this question, and while well water levels do fluctuate year-to-year, it is extremely uncommon for wells to "run dry" in this area. Spottswode's wells have never run dry, and we voluntarily monitor them and share this information with the County.</p>
<b>IMPACT ON STAKEHOLDER(S)</b>	As somewhat water-intensive pursuits, winegrape agriculture pose risks such as water stress or depletion of local water sources if water use is not appropriately managed.
<b>IMPLEMENTED MGT PRACTICES</b>	<p>We have purchased crush equipment that cleans more easily and thus requires far less water to clean. Our new equipment also allows us to process our fruit more quickly, thus minimizing the number of days we crush and, thus, clean our equipment. Finally, we have invested in steam cleaners for our barrels, which also minimizes water use. Does your company utilize any best practices related to water intensive industries? If so, how do you manage these best practices?</p> <p>We have Standard Operating Procedures (SOP) in place for all cleaning and wine work. These have been developed with the specific goal of ensuring we use the minimal amount of water. We only use the most environmentally conscious cleaning products.</p>

<b>DISCLOSURE QUESTIONNAIRE CATEGORY</b>	Alcohol
<b>TOPIC</b>	Company manufactures alcohol products
<b>SUMMARY OF ISSUE</b>	Spottswode is a vineyard/winery that earns a material amount of revenue from the sale of alcohol in the form of wine.
<b>SIZE/SCOPE OF ISSUE</b> (e.g. \$ financial implication, # of individuals affected)	In the previous fiscal year, 100% of Spottswode's revenue was earned from the sale of alcohol in the form of wine.
<b>IMPACT ON STAKEHOLDER(S)</b>	While alcohol may have negative impacts on the health and well-being of individuals and their communities, wine may well also a positive impact, as producing and selling wine successfully keeps our vineyard land in organic agriculture, offers the town of St. Helena and our community a beautiful space free of development, and allows us to support our local and national communities with financial and wine donations. (As an example, we have been a part of 1% for the Planet since 2007)
<b>IMPLEMENTED MGT PRACTICES</b>	Spottswode complies with all of the regulations and laws related to the sale of alcohol in the jurisdictions where the company operates. Spottswode also brings in an educator to teach every employee about Responsible Beverage Service, and each employee received a certificate of completion.