



## DISCLOSURE MATERIALS

Certified B Corporations must complete a Disclosure Questionnaire to identify potentially sensitive issues related to the company (e.g. historical fines, sanctions, material litigation, or sensitive industry practices).

This component does not affect the company's score on the B Impact Assessment. If the company answers affirmatively to any items in the Disclosure Questionnaire and B Lab deems them to be material, the company must:

- 1) Be transparent about the disclosure issues identified on the company's public B Impact Report
- 2) Describe how the company has addressed this issue.
- 3) Demonstrate that management systems are in place to avoid similar issues from arising in the future.

In all cases, the Standards Advisory council reserves the right to refuse certification if the company is ultimately deemed not to uphold the spirit of the community.

In addition to the voluntary indication of sensitive issues in the Disclosure Questionnaire, companies pursuing Certification also are subject to background checks by B Lab staff. Background checks include a review of public records, news sources, and search engines for company names, brands, executives/founders, and other relevant topics.

Sensitive issues identified through background checks may or may not be within the scope of questions in the Disclosure Questionnaire, but undergo the same review process and are subject to the same possible review by the Standards Advisory Council, including ineligibility for B Corp Certification, required remediation, or disclosure.

**This document contains a copy of the company's completed Disclosure Questionnaire and related disclosure documentation provided by the company.**

## DISCLOSURE QUESTIONNAIRE

Company Name: Ingenio Providencia SA  
 Date Submitted: 04/01/2021

Industries & Products	Yes	No
Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.		
Animal Products or Services		✓
Biodiversity Impacts	✓	
Chemicals		✓
Company Explanation Of Disclosure Item Flags		✓
Disclosure Alcohol		✓
Disclosure Firearms Weapons		✓
Disclosure Mining		✓
Disclosure Pornography		✓
Disclosure Tobacco		✓
Energy and Emissions Intensive Industries		✓
Fossil fuels		✓
Gambling		✓
Genetically Modified Organisms		✓
Illegal Products or Subject to Phase Out		✓
Industries at Risk of Human Rights Violations		✓
Monoculture Agriculture		✓
Nuclear Power or Hazardous Materials		✓
Payday, Short Term, or High Interest Lending		✓
Water Intensive Industries		✓
Tax Advisory Services		✓

  

Supply Chain Disclosures	Yes	No
Please indicate if any of the following statements are true regarding your company's significant suppliers.		
Business in Conflict Zones		✓
Child or Forced Labor		✓
Negative Environmental Impact		✓
Negative Social Impact		✓
Other		✓

Outcomes & Penalties	True	False
Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.		
Anti-Competitive Behavior		✓
Breaches of Confidential Information		✓
Bribery, Fraud, or Corruption		✓
Company Explanation Of Disclosure Item Flags		✓
Company has filed for bankruptcy		✓
Consumer Protection		✓
Financial Reporting, Taxes, Investments, or Loans		✓
Hazardous Discharges Into Air/Land/Water (Past 5 Yrs)		✓
Labor Issues		✓
Large Scale Land Conversion, Acquisition, or Relocation		✓
Litigation or Arbitration		✓
On-Site Fatality	✓	
Penalties Assessed For Environmental Issues		✓
Political Contributions or International Affairs		✓
Recalls		✓
Significant Layoffs		✓
Violation of Indigenous Peoples Rights		✓
Other		✓

  

Practices	True	False
Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."		
Animal Testing		✓
Company/Suppliers Employ Under Age 15 (Or Other ILO Minimum Age)		✓
Company Explanation Of Disclosure Item Flags		✓
Company prohibits freedom of association/collective bargaining		✓
Company workers are prisoners		✓
Conduct Business in Conflict Zones		✓
Confirmation of Right to Work		✓
Does not transparently report corporate financials to government		✓
Employs Individuals on Zero-Hour Contracts		✓
Facilities located in sensitive ecosystems		✓
ID Cards Withheld or Penalties for Resignation		✓
No formal Registration Under Domestic Regulations		✓
No signed employment contracts for all workers		✓
Overtime For Hourly Workers Is Compulsory		✓
Payslips not provided to show wage calculation and deductions		✓
Sale of Data		✓
Tax Reduction Through Corporate Shells		✓
Workers cannot leave site during non-working hours		✓
Workers not Provided Clean Drinking Water or Toilets		✓
Workers paid below minimum wage		✓
Workers Under Bond		✓
Other		✓

## B Corp Certification - Disclosure Questionnaire Documentation

PROVIDED BY:

Ingenio Providencia SA

UPDATED AS OF:

04/01/2021

<b>DISCLOSURE QUESTIONNAIRE CATEGORY</b>	Monoculture and Biodiversity
<b>ISSUE DATE</b>	April 2021
<b>TOPIC</b>	Biodiversity loss and monoculture risks within the agricultural industry
<b>SUMMARY OF ISSUE</b>	Ingenio Providencia is a company that produces food, energy solutions and fertilizers, all its products being derived from sugar cane and represented mainly by: sugars, alcohols, electrical energy and compost.
<b>SIZE/SCOPE OF ISSUE</b> (e.g. \$ financial implication, # of individuals affected)	Ingenio controls 11,496 hectares between the modalities of own land, participation and leased. 100% of this area is cultivated, there are no vacant lands. Organic production accounts for 10% of overall revenue.
<b>IMPACT ON STAKEHOLDERS</b>	Large scale monoculture agriculture can result in biodiversity loss to local flora and fauna through the use of pesticides and herbicides and can negatively impact soil health.
<b>IMPLEMENTED MGT PRACTICES</b>	<p>The company is Bonsucro certified and was the first sugar mill to produce organic sugar in Colombia.</p> <p>They manage the preservation or conservation of biodiversity through practices and / or policies such as:</p> <ul style="list-style-type: none"> <li>• Development of organic sugarcane crops.</li> <li>• Use of green cut for the cane harvest avoiding its burning.</li> <li>• Implementation of reduced tillage in soil preparations.</li> <li>• Protection of natural areas through the conservation of forests.</li> <li>• Development of tree restoration programs and biological corridors.</li> <li>• Protection of wetlands and implementation of protective forest strips of rivers in agreement with the local environmental authority.</li> <li>• Growth in agricultural areas from areas already planted and from areas not restricted for agriculture according to the Territorial Ordinance or Conservation Plan</li> <li>• Implementation of comprehensive pest management and varietal management of sugarcane for disease control</li> <li>• Constitution and strengthening of the Providencia ecological park, currently representing 414 hectares.</li> </ul> <p>The mill has 58% of organic sugarcane on its own land, where green manure and compost are used as a source of fertilizer. In addition, manual and / or mechanical control is used to control weeds avoiding the use of herbicides. There are also practices such as integrated pest management and varietal management for disease control.</p>

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UPDATED AS OF:

04/01/2021

<b>DISCLOSURE QUESTIONNAIRE CATEGORY</b>	Onsite Fatality
<b>ISSUE DATE</b>	2017 and 2020
<b>TOPIC</b>	The company has experienced two onsite fatalities over the past two years.
<b>SUMMARY OF ISSUE</b>	One fatality occurred due to a safety failure at the facility. The second fatality occurred due to a road accident while the employee was on work travel.
<b>SIZE/SCOPE OF ISSUE</b> (e.g. \$ financial implication, # of individuals affected)	Two fatalities over a five year period.
<b>IMPACT ON STAKEHOLDERS</b>	Loss of life and impact to family and friends of deceased workers.
<b>RESOLUTION</b>	Both incidents were reported to the Ministry of Labour and the Occupational Risk Administration with the conclusion that the first fatality occurred due to a safety failure in the factory equipment and the second accident occurred due to a public risk as it was the fault of a private vehicle.
<b>RESOLUTION</b>	<p>In both cases, the company launched strategic practices for Security and Health in the Workplace under the guidance of the Manager for Human Resources.</p> <p>FIRST CASE:</p> <ol style="list-style-type: none"> <li>1. The company carried out changes in the elements of the lifting gear in the grinding area.</li> <li>2. Polyester type slings were removed.</li> <li>3. It was replaced by crawler chains that give better resistance with a capacity of 15 tons and with a safety factor of 7, the maximum safety offered by these chains is 7 times the nominal load capacity, to withstand the maximum capacity of the hoist, which is 50 tons. . Compared to the previous ones, which were 3-ton slings with a safety factor of 5 times.</li> <li>4. Sensors were installed to know the capacity and weight of the load that is being hoisted at that moment.</li> <li>5. Equipment with Controls that allow the overhead crane operator to know the data more easily.</li> <li>6. The OPYM 0739 procedure was updated where the step-by-step for changing the slings was established, with training of employees so that the risk is minimized, establishing a sequence of installation of the slings, mitigating the risk during the change</li> <li>7. Any operation with the crane must be supervised by the titular supervisor.</li> </ol> <p>SECOND CASE:</p> <ol style="list-style-type: none"> <li>1. It was established that when a worker is performing irrigation at night, he must finish his work on the farm and from there move to his place of residence, without moving between farms to perform other work</li> <li>2. Training of safe handling of off-road motorcycles is carried out to the personnel.</li> <li>3. Vehicle inspections are carried out in order to comply with the provisions of the National Traffic Code.</li> </ol>