

## **DISCLOSURE MATERIALS**

Certified B Corporations must complete a Disclosure Questionnaire to identify potentially sensitive issues related to the company (e.g. historical fines, sanctions, material litigation, or sensitive industry practices).

This component does not affect the company's score on the B Impact Assessment. If the company answers affirmatively to any items in the Disclosure Questionnaire and B Lab deems them to be material, the company must:

- 1) Be transparent about the disclosure issues identified on the company's public B Impact Report
- 2) Describe how the company has addressed this issue.
- 3) Demonstrate that management systems are in place to avoid similar issues from arising in the future.

In all cases, the Standards Advisory council reserves the right to refuse certification if the company is ultimately deemed not to uphold the spirit of the community.

In addition to the voluntary indication of sensitive issues in the Disclosure Questionnaire, companies pursuing Certification also are subject to background checks by B Lab staff. Background checks include a review of public records, news sources, and search engines for company names, brands, executives/founders, and other relevant topics.

Sensitive issues identified through background checks may or may not be within the scope of questions in the Disclosure Questionnaire, but undergo the same review process and are subject to the same possible review by the Standards Advisory Council, including ineligibility for B Corp Certification, required remediation, or disclosure.

This document contains a copy of the company's completed Disclosure Questionnaire and related disclosure documentation provided by the company.



## DISCLOSURE QUESTIONNAIRE

Company Name: Alliant International University Date Submitted: 04/20/2022

Industries & Products	Yes	No
Please indicate if the company is involved in pr following. Select Yes for all options that apply.	oduction of or tra	ide in any the
Animal Products or Services		√
Biodiversity Impacts		√
Chemicals		√
Company Explanation Of Disclosure Item Flags		√
Disclosure Alcohol		√
Disclosure Firearms Weapons		√
Disclosure Mining		V
Disclosure Pornography		√
Disclosure Tobacco		√
Energy and Emissions Intensive Industries		√
Fossil fuels		V
Gambling		√
Genetically Modified Organisms		√
Illegal Products or Subject to Phase Out		√
Industries at Risk of Human Rights Violations		√
Monoculture Agriculture		√
Nuclear Power or Hazardous Materials		√
Payday, Short Term, or High Interest Lending		√
Water Intensive Industries		V
Tax Advisory Services		V
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Supply Chain Disclosures	Yes	No

Supply Chain Disclosures	Yes	No
Please indicate if any of the following statements are true regarding your company's significant suppliers.		
Business in Conflict Zones		<b>V</b>
Child or Forced Labor		V
Negative Environmental Impact		7
Negative Social Impact		7
Other		V

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Outcomes & Penalties	True	False
Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.		
Anti-Competitive Behavior		$\sqrt{}$
Breaches of Confidential Information		√
Bribery, Fraud, or Corruption		√
Company Explanation Of Disclosure Item Flags		√
Company has filed for bankruptcy		V
Consumer Protection		√
Financial Reporting, Taxes, Investments, or Loans		$\sqrt{}$
Hazardous Discharges Into Air/Land/Water (Past 5 Yrs)		√
Labor Issues		√
Large Scale Land Conversion, Acquisition, or Relocation		V
Litigation or Arbitration		V
On-Site Fatality		V
Penalties Assessed For Environmental Issues		√
Political Contributions or International Affairs		√
Recalls		√
Significant Layoffs		√
Violation of Indigenous Peoples Rights		√
Other	$\sqrt{}$	

Practices	True	False
Please indicate if the following statements are true company engages in the following practices. Chec statement is true, select "Yes." If false, select "No."	k all that app	
Animal Testing		$\sqrt{}$
Company/Suppliers Employ Under Age 15 (Or Other ILO Minimum Age)		√,
Company Explanation Of Disclosure Item Flags		√
Company prohibits freedom of association/collective bargaining		V
Company workers are prisoners		√
Conduct Business in Conflict Zones		$\sqrt{}$
Confirmation of Right to Work		$\sqrt{}$
Does not transparently report corporate financials to government		V
government Employs Individuals on Zero-Hour Contracts		$\sqrt{}$
Facilities located in sensitive ecosystems		V
ID Cards Withheld or Penalties for Resignation		V
No formal Registration Under Domestic Regulations		V
No signed employment contracts for all workers		V
Overtime For Hourly Workers Is Compulsory		V
Payslips not provided to show wage calculation and deductions		V
Sale of Data		√
Tax Reduction Through Corporate Shells		V
Workers cannot leave site during non-working hours		V
Workers not Provided Clean Drinking Water or Toilets		V
Workers paid below minimum wage		V
Workers Under Bond		V
Other		<b>V</b>



## **B Corp Certification - Disclosure Questionnaire Documentation**

PROVIDED BY: Alliant International University UPDATED AS OF: 04/20/2022

DISCLOSURE QUESTIONNAIRE CATEGORY	Probation or Loss of Accreditation	
ISSUE DATE	June 2016 - June 2017 and January 2021 - ongoing	
TOPIC	Alliant International University had two programs placed on probation	
SUMMARY OF ISSUE	In the past five years, two of the company's programs were placed on probation:  1) On June, 2016, Alliant International University was given probationary stipulations by the California Commission on Teacher Credentialing (CTC) relating primarily to the institutional program leadership and systems rather than program quality. One program, Autism Spectrum Disorders Authorization, did not meet standards and was not allowed to continue in that format. The university has implementes changes to solve the indentified problems and on June, 2017, these stipulations were removed and CTC changed the status to Accreditation.  2) On January, 2021 the Alliant's San Francisco Law School was placed on probation by the California State Bar because of a non-compliance with the Minimum Cumulative Five Year Bar Pass Rate (MPR) required by State Bar for their accredited law schools. The probation will remain in effect until July 31, 2022, or until the Law School's MPR corrects the non-compliance identified.	
SIZE/SCOPE OF ISSUE (e.g. \$ financial implication, # of individuals affected)	Probationary status applied to two programs at the Alliant International University. In the Spring of 2016, for the CTC probationary period, 6.5% of courses were affected. In the Spring of 2021, 1.4% of courses were affected by the SFLS probation.  - CTC probation: Approximately 225 students were in programs covered by this accreditation at that time.  - California State Bar Probation: The approximate number of students who may be impacted is about 21, which takes into account an estimate of the number of students who will have graduated from the program as a California accredited Law School within the two-year period during which Alliant is working to help graduates pass the Bar Exam.	
IMPACT ON STAKEHOLDERS	1) CTC Probation: students enrolled in the program could have potentially felt an impact, but there is no record of negative impact in terms of learning, financial, job alocation and reputation for them. Students in the Autism program that did not meet standards were allowed to complete their programs and gain their authorizations from CTC with no adverse consequence, and Alliant modified the program and was approved to admit new candidates to that program. Accreditation was maintained throughout the probationary timeframe.  2) California State Bar Probation: The stakeholders who could potentially feel an impact are the students enrolled in the program if accreditation were not able to be retained.	
IMPLEMENTED MGT PRACTICES	<ol> <li>1) CTC Probation:         <ul> <li>Alliant International University hired a new dean for the school,</li> <li>The University made a significant number of changes in the way data were collected, monitored documented and used,</li> <li>Alliant International University revised and gained reapproval for the one program (Autism Spectrum Disorders Authorization) that did not meet standards,</li> <li>The University submitted quarterly progress reports to CTC documenting progress</li> <li>Alliant received instruction on how to contact students about the probationary period. One communication was sent to all candidates entering the program and a second was sent to active students. This communication included the facts around the probation and the implications of the probation.</li> </ul> </li> <li>2) California State Bar Probation: The Law School had been working with the State Bar since 2020 to review the data and respond to the results in the process established by the State Bar. All SFLS students were contacted by the Dean of the Law School via email.</li> </ol>	



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REPORT	California Commission on Teacher Credentialing Probationary Stipulations 2016:  - Recommendations by the Accreditation Team and Report of the Accreditation: https://info.ctc.ca.gov/fmi/xml/cnt/23-AIU-Site-Vist-Report-FINAL.pdf?-db=PSD_Program_Sponsors_DB&-lay=php_Accreditation_Reports_list&-recid=150&-field=COA_Report_Site_Visit
	- Accreditation with Probationary Stipulations: https://info.ctc.ca.gov/fmi/xml/cnt/Alliant-Prob-Stips-6-30-16.pdf?-db=PSD_Program_Sponsors_DB&-lay=php_Accreditation_Reports_list&-recid=150&-field=COA_Letter
	- Report of the Revisit Team to Alliant International University: https://info.ctc.ca.gov/fmi/xml/cnt/09-Alliant-2017-Revisit-Report-FINAL.pdf?-db=PSD_Program_Sponsors_DB&-lay=php_Accreditation_Reports_list&-recid=150&-field=COA_Report_Site_Revisit
	- Accreditation: https://info.ctc.ca.gov/fmi/xml/cnt/Alliant-Removal-of-Stips06-17.pdf?-db=PSD_Program_Sponsors_DB&-lay=php_Accreditation_Reports_list&-recid=150&-field=COA_Letter_Revisit
	California State Bar Accreditation Probation 2021:  - O-402 – Notice of Noncompliance and Inspection Report – San Francisco Law School – January 29, 2021  https://board.calbar.ca.gov/Agenda.aspx?id=16025&tid=0&show=100028721
	- O-100 Approval of January 29, CBE Public Minutes March 26, 2021 (p.7) https://board.calbar.ca.gov/Agenda.aspx?id=15922&tid=0&show=100028137