



DISCLOSURE MATERIALS

Certified B Corporations must complete a Disclosure Questionnaire to identify potentially sensitive issues related to the company (e.g. historical fines, sanctions, material litigation, or sensitive industry practices).

This component does not affect the company's score on the B Impact Assessment. If the company answers affirmatively to any items in the Disclosure Questionnaire and B Lab deems them to be material, the company must:

- 1) Be transparent about the disclosure issues identified on the company's public B Impact Report
- 2) Describe how the company has addressed this issue.
- 3) Demonstrate that management systems are in place to avoid similar issues from arising in the future.

In all cases, the Standards Advisory council reserves the right to refuse certification if the company is ultimately deemed not to uphold the spirit of the community.

In addition to the voluntary indication of sensitive issues in the Disclosure Questionnaire, companies pursuing Certification also are subject to background checks by B Lab staff. Background checks include a review of public records, news sources, and search engines for company names, brands, executives/founders, and other relevant topics.

Sensitive issues identified through background checks may or may not be within the scope of questions in the Disclosure Questionnaire, but undergo the same review process and are subject to the same possible review by the Standards Advisory Council, including ineligibility for B Corp Certification, required remediation, or disclosure.

This document contains a copy of the company's completed Disclosure Questionnaire and related disclosure documentation provided by the company.

DISCLOSURE QUESTIONNAIRE

Company Name: Maker's Mark Distillery, Inc.
 Date Submitted: 12/20/2021

Industries & Products	Yes	No
Please indicate if the company is involved in production of or trade in any the following. Select Yes for all options that apply.		
Animal Products or Services		✓
Biodiversity Impacts		✓
Chemicals		✓
Company Explanation Of Disclosure Item Flags		✓
Disclosure Alcohol	✓	
Disclosure Firearms Weapons		✓
Disclosure Mining		✓
Disclosure Pornography		✓
Disclosure Tobacco		✓
Energy and Emissions Intensive Industries		✓
Fossil fuels		✓
Gambling		✓
Genetically Modified Organisms		✓
Illegal Products or Subject to Phase Out		✓
Industries at Risk of Human Rights Violations		✓
Monoculture Agriculture		✓
Nuclear Power or Hazardous Materials		✓
Payday, Short Term, or High Interest Lending		✓
Water Intensive Industries		✓
Tax Advisory Services		✓

Supply Chain Disclosures	Yes	No
Please indicate if any of the following statements are true regarding your company's significant suppliers.		
Business in Conflict Zones		✓
Child or Forced Labor		✓
Negative Environmental Impact		✓
Negative Social Impact		✓
Other		✓

Outcomes & Penalties	True	False
Please indicate if the company has had any formal complaint to a regulatory agency or been assessed any fine or sanction in the past five years for any of the following practices or policies. Check all that apply.		
Anti-Competitive Behavior		✓
Breaches of Confidential Information		✓
Bribery, Fraud, or Corruption		✓
Company Explanation Of Disclosure Item Flags		✓
Company has filed for bankruptcy		✓
Consumer Protection		✓
Financial Reporting, Taxes, Investments, or Loans		✓
Hazardous Discharges Into Air/Land/Water (Past 5 Yrs)		✓
Labor Issues		✓
Large Scale Land Conversion, Acquisition, or Relocation		✓
Litigation or Arbitration		✓
On-Site Fatality		✓
Penalties Assessed For Environmental Issues		✓
Political Contributions or International Affairs		✓
Recalls		✓
Significant Layoffs		✓
Violation of Indigenous Peoples Rights		✓
Other		✓

Practices	True	False
Please indicate if the following statements are true regarding whether or not the company engages in the following practices. Check all that apply. If the statement is true, select "Yes." If false, select "No."		
Animal Testing		✓
Company/Suppliers Employ Under Age 15 (Or Other ILO Minimum Age)		✓
Company Explanation Of Disclosure Item Flags		✓
Company prohibits freedom of association/collective bargaining		✓
Company workers are prisoners		✓
Conduct Business in Conflict Zones		✓
Confirmation of Right to Work		✓
Does not transparently report corporate financials to government		✓
Employs Individuals on Zero-Hour Contracts		✓
Facilities located in sensitive ecosystems		✓
ID Cards Withheld or Penalties for Resignation		✓
No formal Registration Under Domestic Regulations		✓
No signed employment contracts for all workers		✓
Overtime For Hourly Workers Is Compulsory		✓
Payslips not provided to show wage calculation and deductions		✓
Sale of Data		✓
Tax Reduction Through Corporate Shells		✓
Workers cannot leave site during non-working hours		✓
Workers not Provided Clean Drinking Water or Toilets		✓
Workers paid below minimum wage		✓
Workers Under Bond		✓
Other		✓

B Corp Certification - Disclosure Questionnaire Documentation

PROVIDED BY:

Maker's Mark Distillery, Inc.

UPDATED AS OF:

12/20/2021

DISCLOSURE QUESTIONNAIRE CATEGORY	Alcohol
TOPIC	Company produces alcohol products
SUMMARY OF ISSUE	Maker's mark is a bourbon whiskey producer that earns a material amount of revenue from the sale of alcohol
SIZE/SCOPE OF ISSUE (e.g. \$ financial implication, # of individuals affected)	In the previous fiscal year, 100% of the company's revenue was earned from the sale of alcohol
IMPACT ON STAKEHOLDERS	Alcohol may have a negative impact on the health and well-being of individuals and their communities
IMPLEMENTED MGT PRACTICES	<p>"As a leading brand in the portfolio of the world's third-largest premium spirits company, Maker's Mark's commitment to the responsible consumption of our whisky is fundamental to everything we do. Our commitment is demonstrated by upholding the highest standards in brand marketing and reminding Legal Purchase Aged (LPA) adults who choose to consume Maker's Mark to do so with respect and in moderation.</p> <p>All Maker's Mark advertising and marketing efforts, as well as onsite visitor experiences at the Maker's Mark Distillery, adhere to the Beam Suntory Marketing Code of practice.</p> <p>i. Under the Code, all Maker's Mark marketing and sales efforts:</p> <ol style="list-style-type: none"> 1. Are directed to LPA adults. 2. Have content which is designed to appeal to LPA adults and is never intended to be directed towards or primarily appeal to those under LPA. 3. Are placed in media outlets and locations where at least 70% of the audience is reasonably expected to be LPA adults. This percentage may be higher in some markets (e.g. United States where the Media Placement Standard is 75% of LPA, reaching an annual aggregate of 85% of LPA). 4. Are legal, decent and truthful, conforming to the accepted principles of fair competition and good business practice. <p>Maintain social and ethical standards with respect to gender and cultural differences.</p> <p>As a best practice, Maker's Mark includes a responsible drinking message on all brand communications and touchpoints, and in many cases, a direct link to Drink Smart, our company's global educational platform to promote responsible choices about alcohol."</p> <p>"Additionally, Each employee at the distillery involved with serving alcohol goes through training called STAR, which is Server Training in Alcohol Regulations. There are also classes on de-escalation and conflict training to help in managing challenging situations with guests. The distillery also uses the Intellicheck system to assure that guest IDs are valid and they are of legal drinking age.</p> <p>Maker's Mark representatives based in locations outside of Kentucky go through the same training as those on the distillery campus. In addition, they seek out and comply with all other locally required or recommended certifications for each of the markets in which they represent the brand.</p> <p>All Maker's Mark staff are encouraged to be aware when anyone they see may need a safe ride home, and the cost of the taxi ride is covered by the company.</p> <p>To reduce the risk of consumption by minors, Maker's Mark – via its global brand website and communications – actively directs consumers to our global educational platform, Drink Smart, for resources and information on making smart choices about alcohol consumption. This includes tools to understand how alcohol affects you, resources for talking to your children about alcohol responsibility and more.</p> <p>All Maker's Mark personnel must familiarize themselves with the Marketing Code and the principles of Drink Smart in order to convey a message of responsibility, both in consumption and in our marketing and advertising.</p> <p>Our Marketing Code and its principles also provide guidance to those with whom we do business. We expect and require our partners – including those closer to the point of sale to a consumer – to employ the high standards we have set for the promotion and sale of our brands. This includes the requirement that: all marketing and activation be directed to Legal Purchase Age (LPA) adults and the requirement that no marketing and activation should encourage excessive or irresponsible consumption or present abstinence or moderation in a negative "</p>