Isolation of an Efficient Actin Promoter for Use in Rice Transformation

David McElroy,^a Wanggen Zhang,^a Jun Cao,^b and Ray Wu^{a,b,1}

^a Field of Botany, Cornell University, Ithaca, New York 14853

^b Section of Biochemistry, Molecular and Cell Biology, Cornell University, Ithaca, New York 14853

We have characterized the 5' region of the rice actin 1 gene (Act1) and show that it is an efficient promoter for regulating the constitutive expression of a foreign gene in transgenic rice. By constructing plasmids with 5' regions from the rice Act1 gene fused to the coding sequence of a gene encoding bacterial β -glucuronidase, we demonstrate 2that a region 1.3 kilobases upstream of the Act1 translation initiation codon contains all of the 5'-regulatory elements necessary for high-level β -glucuronidase (GUS) expression in transient assays of transformed rice protoplasts. The rice Act1 primary transcript has a noncoding exon separated by a 5' intron from the first coding exon. Fusions that lack this Act1 intron showed no detectable GUS activity in transient assays of transformed rice protoplasts. Deletion analysis of the Act1 5' intron suggests that the intron-mediated stimulation of GUS expression is associated, in part, with an in vivo requirement for efficient intron splicing.

INTRODUCTION

Significant progress has recently been made in the application of gene transfer techniques to previously recalcitrant crop plants, such as rice (Ou-Lee, Turgeon, and Wu, 1986; Uchimiya et al., 1986; Gasser and Fraley, 1989). However, a major limitation in the development of this technology has been the lack of an efficient promoter for high-level constitutive expression of foreign genes in transgenic rice plants. Although the cauliflower mosaic virus 35S promoter (Guilley et al., 1982) has been widely used for this purpose in a number of dicotyledonous plant transformation systems, previous work in our laboratory has shown that this promoter has low activity in transformed rice cells (D. McElroy, W. Zhang, J. Cao, and R. Wu, unpublished results). Furthermore, a recent report suggests that the pattern of cauliflower mosaic virus 35S promoter activity in transgenic plants may not be constitutive (Benfey and Chua, 1989). Work in our laboratory has shown that the 5' region of the maize Adh1 gene (Dennis et al., 1984) is 10 times to 20 times more active than the CaMV 35S promoter in transformed rice protoplasts and calli (Zhang and Wu, 1988; W. Zhang, L.-F. Liu, and R. Wu, unpublished results). However, the maize Adh1 promoter is induced by anaerobic stress in transformed rice protoplasts and is not constitutively active in all transformed rice tissues (Zhang and Wu, 1988).

Plant actin promoters are likely to be active in all tissues because actin is a fundamental component of the plant cell cytoskeleton (Seagull, 1989). In rice, there are at least eight actin-like sequences per haploid genome; four of these have been isolated and shown to differ from each other in the tissue- and stage-specific abundance of their respective transcripts (Reece, 1988; McElroy, Rothenberg, and Wu, 1990; Reece, McElroy, and Wu, 1990). The rice actin 1 gene, *Act1*, was found to encode a transcript that is relatively abundant in all rice tissues and at all developmental stages examined. Therefore, we set out to characterize the 5'-flanking region of the rice *Act1* gene.

In this paper, we describe the isolation and partial sequencing of a genomic clone containing a region 5' of the rice Act1 coding sequence. A number of fusions between the Act1 5' region and a bacterial β -glucuronidase (Gus) coding region were constructed and used in transient expression assays of transformed rice protoplasts. (Although Escherichia coli β-glucuronidase is encoded by the uidA gene, we have denoted this gene as Gus for the purposes of this publication.) Our results suggest that the regulatory element(s) necessary for maximal Act1 promoter activity in transformed rice protoplasts are located within a region 1.3 kb upstream of the Act1 translation initiation codon. The rice Act1 promoter is approximately 5 times to 10 times more active than the maize Adh1 promoter in transformed rice cells, suggesting that the rice Act1 5' region contains an efficient promoter for use in rice transformation.

A complete structural analysis of the rice *Act1* gene had previously led to the identification and localization of a 5'-

¹ To whom correspondence should be addressed.

noncoding exon, separated by a 5' intron from the first coding exon of the Act1 sequence (McElroy et al., 1990). It has been reported that a 5' intron in the maize Adh1 gene is essential for the efficient expression of foreign genes from the maize Adh1 promoter (Callis, Fromm, and Walbot, 1987). To investigate the effect of the rice Act1 5' intron on gene expression, we created a number of Gus fusion plasmids containing alterations to the Act1 5' intron. The results of transient assays of GUS activity in rice protoplasts transformed with these various constructs indicate that the 5' intron of the rice Act1 gene is essential for efficient foreign gene expression from the Act1 promoter. Furthermore, our results suggest that the intronmediated stimulation of gene expression is not a function of any enhancer-like activity within the intron sequence but is associated, in part, with an in vivo requirement for efficient intron splicing.

RESULTS

Characterization of a Clone Containing the Rice Act1 5' Region

To begin our analysis of the rice Act1 regulatory regions, we carried out restriction mapping of a *\Lambda EMBL4* phage clone, $\lambda Act1$, which had previously been shown to contain the rice Act1 gene (Reece et al., 1990). The resulting restriction map of the 15.1-kb \Act1 insert, together with an indication of the position of the Act1 coding and noncoding exons (McElroy et al., 1990), is shown in Figure 1A. A 5.3-kb HindIII fragment from the λ Act1 insert, spanning a region from 3.9 kb upstream of the Act1 coding sequence to a point within its third coding exon, was isolated and cloned into the HindIII site of pBluescriptII-KS (Stratagene) to produce the plasmid pAct1-A. A restriction map of the pAct1-A insert is shown in Figure 1B, which also indicates the strategy used to sequence an EcoRV fragment that covers a region 2.1 kb upstream of the translation initiation codon of the Act1 gene. This sequence is shown in Figure 2.

A number of small repetitive elements were identified in the 5'-flanking region of the rice Act1 gene. A 12-bp direct repeat, GGTTTTTAAGTT (Figure 2, region i), is located between bases -608 to -619 and -559 to -570. A direct repeat of tandem (imperfect) 16 bp, $\mathsf{AA}^G_C\mathsf{CCC}(\mathsf{T})\mathsf{AAAGT}^G_C\mathsf{TA}$ (Figure 2, region ii), is located between bases -316 and -345. Twenty base pairs downstream of this tandem direct repeat are eight tandem copies of an imperfectly repeating pentamer with the consensus sequence CCCAA (Figure 2, region iii). We identified a number of sequences downstream of these repeated elements that have previously been implicated in the control of gene expression. From bases -146 and -186, there is a poly(dA) sequence where 35 out of 40 bases are A (Figure 2, region iv). Between bases -35 and -41, there is a putative TATA box (Figure 2, region v). The sequence and position of the putative *Act1* TATA box are in agreement with that previously determined for a number of other plant genes (Joshi, 1987).

The sequence around the *Act1* transcription initiation site, as previously determined by primer extension analysis (McElroy et al., 1990), CCTACCA, is similar to the consensus sequences for transcription initiation, YYYAYYA (Y = pyrimidine), previously determined for a number of plant genes (Joshi, 1987). The 79-bp noncoding exon located 3' of the putative *Act1* TATA box is GC-rich (77.5%) and

consists of a number of tandemly repeated $\frac{A}{T}CC$ triplets

(Figure 2, region vi). The 5' intron of the rice *Act1* gene is 313 bp long. Its 5'-acceptor splice site (G/gta), and 3'-donor splice site (ttttttgtag/G), follow the consensus sequence previously determined for a number of plant genes (Shapiro and Senapathy, 1987). Within the *Act1* 5' intron, between bases +359 and +363 (Figure 2, region vii), we identified a putative branch-point site for mRNA splicing whose sequence, gtgac, and distance from the 3'-donor splice site bear similarity to the location and consensus sequence for animal mRNA branch-point splice sites, YTRAC (R = purine) (Keller and Noon, 1985).



Figure 1. Restriction Maps of the $\lambda Act1$ and pAct1-A Inserts.

(A) Restriction maps of the $\lambda Act1$ insert determined by single and double restriction enzyme digestion, as detailed in Methods. The enzyme sites are abbreviated as follows: B, BamHI; E, EcoRI; H, HindIII. Noncoding and coding portions of *Act1* exons are depicted by open and filled boxes, respectively.

(B) Restriction maps of the pAct1-A insert determined by single and double restriction enzyme digestion, as detailed in Methods. The enzyme sites are abbreviated as follows: B, BamHI; G, BgIII; E, EcoRI; R, EcoRV; H2, HinclI; H3, HindIII; Sp, SphI; S, SstI; Xh, Xhol. The position of the pAct1-A 5.3-kb HindIII insert within the λ Act1 restriction map is indicated by dashed lines between (A) and (B). Noncoding and coding portions of Act1 exons are depicted by open and filled boxes, respectively. The strategy used to sequence the 2.1-kb EcoRV fragment within the pAct1-A insert is indicated by horizontal arrows. The lengths of the horizontal arrows are indicative of the size of the sequence obtained.

| | Xho I |
|------|---|
| 846 | TAGCIAGCATACTCCAGGICATTCATATCCTTGAGAAGAGAGICGOGATAGT |
| 794 | CCAAAATAAAACAAAGGTAAGATTACCTOGTCAAAAGTGAAAACATCAGTTA |
| -742 | AAAGGTGGTATAAGTAAAAATATOOGTAATAAAAGGTGOOOCAAAGTGAAATT |
| -690 | TACTCTTTTCIACIATTATAAAAATIGAGGATGTTTTGTOGGIACIFIGATA |
| -638 | CETCATTETERICATESTICATETERICATION |
| -586 | TAICIGIAITIGAGIOGTITTIAAGIOGTICCITTIGIAAAIACAGAGG |
| -534 | ATTTGTATAAGAAATATCITTAAAAAAACCCATATGCIAATTTGACATAATTT |
| -482 | |
| -430 | AAATAGCTTGCCCCCGTTGCAGCGATGGGIATTTTTTCTAGIAAAATAAAAG |
| -377 | |
| -325 | |
| -273 | |
| -221 | COERCACTIGICOCCACCACCACCACCACCACCACCACCACAAAAAAAAA |
| -170 | |
| -117 | |
| -65 | |
| -13 | |
| +39 | |
| +91 | cgcccctctcctctttcttctccgtttttttttcgtctcggtctcgatct |
| +143 | ttggccttggtagtttgggtgggcgagagcggcttcgtcgcccagatcggtg |
| +195 | cgogggagggggggggggggggggggggggggggggggg |
| +247 | gatectcgoggggaatggggetetoggatgtagatettettettett |
| +299 | tttgtggtagaatttgaatccctcagcattgttcatcggtagttftttctttt |
| +351 | catgattt <u>gtgacaaatgcagcctcgtgcggagc</u> tttttgtag/ <i>GTAGAAG</i> <i>Eco</i> RV |

+403 ATG GCT GAC GCC GAG <u>GAT ATC</u> Met Ala Asp Ala Glu Asp Ile

Figure 2. Nucleotide Sequence of the 5' Region of the Rice *Act1* Gene.

Nucleotides are numbered with the A of the rice Act1 transcription initiation site designated as +1. Restriction sites used in the subsequent construction of the various Act1-Gus fusion plasmids are indicated in italics and underlined. The specific regions described in the text are designated by lower-case Roman numerals and are underlined with arrows (for direct repeats) or lines. Uppercase capital letters represent 5'-flanking sequences, upper-case italic letters represent exon sequences, and lower-case letters represent the intron sequence. The codons of the Act1 first coding exon have their translation product indicated below them. The vertical arrow indicates the position of the transcription initiation point, and the slashed lines indicate exon-intron boundaries.

Visualization of GUS Activity in Rice Protoplast and Suspension Culture Cells Transformed with *Act1-Gus* Fusion Constructs

We constructed four Act1-Gus fusion plasmids, pAct1-D, pAct1-F, pAct1-G, and pAct1-H, to determine the minimum amount of rice Act1 5'-flanking sequence required for maximal GUS activity. The features of these plasmids are described in the legend to Figure 3. pAct1-D has the 2.1kb EcoRV restriction fragment from pAct1-A fused to the Gus coding region and 3'-noncoding transcript terminator region of the nopaline synthase (*nos*) gene (Jefferson, 1987). pAct1-D encodes a transcript containing two inframe translation start codons, one each from the Act1 and Gus genes, adding 15 amino acids to the N-terminal end of the wild-type GUS protein. The plasmids pAct1-F and pAct1-G (with truncated Act1 5' regions) and pAct1-H (with a 1.2-kb inversion in the 5'-most region of the Act1 sequence) were derived from pAct1-D.

We constructed the plasmids pAct1-J, pAct1-K, pAct1-L, pAct1-M, and pAct1-R (Figure 3) containing various deletions to their respective Act1 5' introns to investigate the importance of this intron sequence on Act1-promoter-mediated gene expression (Figure 3). The plasmids pAct1-S and pAct1-T were constructed to test for any potential enhancer-like activity within the Act1 5' intron. In pAct1-S, the intron sequence was cloned in a forward orientation, 5' of the pAct1-R transcription unit. In pAct1-T, the intron sequence was introduced in a reverse orientation, 3' of the pAct1-R transcription unit.

The plasmid pAct1-E was constructed as a negative control. It contains the 2.1-kb EcoRV fragment from pAct1-A cloned in the opposite orientation to that in pAct1-D. As a positive control, we used the plasmid pAl₁GusN (Klein et al., 1988), which contains the promoter, first exon, and first intron of the maize Adh1 gene fused to a Gus-nos sequence.

As a rapid method of assaying GUS expression from the various *Act1-Gus* fusion plasmids, we transformed rice suspension culture cells by the biolistic method (Wang et al., 1988; Cao et al., 1990) and assayed for high-level GUS activity by visual inspection (Jefferson, Kavanagh, and Bevan, 1987) 10 days after transformation. The results of this rapid assay are shown in the final column of Table 1. Suspension cultures transformed with the plasmids p*Act1*-D, p*Act1*-F, p*Act1*-G, p*Act1*-H, and pAl₁GusN all displayed positive GUS activity. Untransformed cultures or those transformed with the negative control plasmid p*Act1*-E, the intronless plasmid p*Act1*-R, or the plasmids p*Act1*-S and p*Act1*-T, containing the *Act1* intron 5' or 3' of their p*Act1*-R-derived transcription units, displayed no visible GUS expression.

The third column of Table 1 shows the results of GUS expression efficiencies, defined as the percent of intact cells displaying visible GUS activity 10 days after PEG-mediated transformation of rice protoplasts with the *Act1*-





Maps of constructs containing various portions of the 5'-flanking and 5'-transcribed sequence of the rice *Act1* gene fused, inframe, to a sequence containing the *Gus* coding region and 3' nos transcription terminator are shown next to their respective names. Open boxes represent noncoding exons of the *Act1* gene, filled boxes represent the *Gus* coding region (not to scale), and striped boxes represent the *nos* terminator sequence. The stippled box in the construct pAl₁*Gus*N represents the first exon of the maize *Adh1* gene. The " Δ " symbol indicates the deletion point in the various *Act1* first intron deletion constructs. The indicated restriction enzyme sites are abbreviated as follows: B1, BamHI; B2, BgIII; R1, EcoRI; RV, EcoRV; H2, HincII; H3, HindIII; S1, SstI; Xh1, XhoI; Xb1, Xba1.

The characteristics of the rice Act1 5' region in each construct are as follows: pAct1-D has a 2.1-kb EcoRV fragment from pAct1-A, containing the Act1 translation initiation codon, 5' intron, and 5'-noncoding exon; pAct1-F and pAct1-G were created by deletion of 0.8 kb and 1.2 kb of sequence, respectively, from the 5' end of pAct1-D; pAct1-H has the 5' 1.2-kb EcoRI fragment from pAct1-D cloned in the opposite direction; pAct1-K contains a deletion of 9 bp around its Act1 5' intron Bglll site; pAct1-L contains a deletion of 133 bp, between bases +222 and +354 (Figure 2) of its Act1 5' intron; pAct1-M has a deletion of 157 bp, between bases +222 and +379 (Figure 2), removing the putative mRNA branch site from its pAct1 5' intron; pAct1-J was created by deletion of a 170-bp BamHl site from pAct1-G, removing the putative mRNA branch site and 3'-donor splice site from its Act1 5' intron; pAct1-R is identical to pAct1-G except that it has had the Act1 5' intron deleted; cloning of the Act1 intron in a 5' "forward" orientation and a 3' "reverse" orientation of the pAct1-R transcription unit created the plasmids pAct1-S and pAct1-T, respectively; pAct1-E contains the 2.1-kb EcoRV fragment from pAct1-A cloned in the opposite orientation to that in pAct1-D.

Gus fusion constructs. The plasmids pAct1-D and p-Act1-F displayed the highest GUS expression efficiencies at 8.4% and 7.9%, respectively. The plasmids pAct1-G and pAct1-H showed GUS expression efficiencies of 5.7% and 6.1%, respectively. All four plasmids displayed significantly higher GUS expression efficiencies than was found for pAI₁GusN. Partial deletion of Act1 5' intron sequences resulted in a significant reduction in the number of cells displaying visible GUS activity, as is shown for the plasmids pAct1-K (2.8%), containing a 9-bp deletion around its Act1 5' intron Balll site, and pAct1-L (1.4%), containing a deletion of 133 bp between the branch point and 5'-acceptor splice sites of its Act1 5' intron. Removal of the branch point for mRNA splicing in the plasmid pAct1-M and the additional removal of the 3'-donor splice site in the plasmid pAct1-J led to a 96% reduction in GUS expression efficiencies relative to pAct1-G. Untransformed protoplasts or protoplasts transformed with either the negative control

 Table 1. Activity of GUS in Rice Protoplasts Transformed with

 Act1-Gus Fusion Constructs

| | Protoplasts | | | |
|--------------------|---|------|---|--|
| Names | Mean Specific Activity (nmol/hr/mg) | SE | Mean GUS Expression Efficiency (%) | Cell Suspension: Visible GUS Activity |
| pAct1-D | 211.4ª | 65.4 | 8.4 | + |
| pAct1-F | 195.0ª | 58.4 | 7.9 | + |
| pAct1-G | 94.5 ^b | 29.8 | 5.7 | + |
| pAct1-H | 92.5 ^b | 11.1 | 6.1 | + |
| pAct1-K | 95.4 ^b | 26.2 | 2.8 | NA |
| pAct1-L | 53.4° | 9.2 | 1.4 | NA |
| pAct1-M | 17.0 ^d | 5.5 | 0.2 | NA |
| pAct1-J | 8.8 ^e | 7.6 | 0.2 | NA |
| pAct1-R | 4.7 ^e | 6.9 | 0.0 | |
| pAct1-S | NA | NA | 0.0 | - |
| pAct1-T | NA | NA | 0.0 | _ |
| pAct1-E | 0.7° | 5.8 | 0.0 | |
| Untrans- formed | 0.0 ^e | 7.8 | 0.0 | _ |
| pAl₁G <i>u</i> sN | 31.9 ^t | 15.9 | 4.2 | + |

The results of four independent transformation experiments, assayed 20 days after transformation, were used to estimate the mean specific activity of GUS in transformed rice protoplasts after correction for background. Mean specific activity results with identical superscripted letters are not statistically different from each other. Two independent protoplast transformation experiments were carried out to estimate the mean GUS expression efficiency where the number of blue cells (indicative of visible GUS expression) were expressed as a percent of the total number of intact viable cells assayed 10 days after transformation. Suspension cultures were visually examined for GUS expression 10 days after transformation. + indicates that visible GUS activity was observed; - indicates that visible GUS activity was not observed; NA means that these constructs were not assayed.



Figure 4. Histogram of the Temporal Pattern of GUS Expression after Transformation of Rice Protoplasts with *Act1-Gus* Fusion Plasmids.

Rice protoplasts were assayed visually for GUS expression by counting the number of blue cells among 10^5 protoplasts 1, 3, 5, 10, and 20 days after transformation with the plasmids pAct1-D, pAct1-F, pAct1-G, pAct1-H, and pAl₁GusN. The histogram represents the mean of three independent experiments for each time point.

plasmid pAct1-E, the intronless plasmid pAct1-R, or the plasmids pAct1-S and pAct1-T gave no blue cells.

To determine the optimal time, subsequent to protoplast transformation, for guantifying GUS activity, rice protoplasts were transformed with the various constructs that had shown positive GUS expression in transformed rice suspension culture cells. The number of blue cells, indicative of high-level GUS activity, was then determined at various time intervals after transformation. A histogram analysis of the results is shown in Figure 4. For the constructs tested, there was an initial lag period of 5 days in which relatively few cells showed any visible GUS expression. The number of cells displaying visible GUS activity increased rapidly for all constructs between 5 and 20 days after protoplast transformation. Beyond 20 days after protoplast transformation, we found no significant increase in the number of cells displaying GUS activity (results not shown). Based upon these results, we decided to quantitatively assay GUS activity in rice protoplasts 20 days after transformation with the various Act1-Gus fusion plasmids.

Quantitative Analysis of GUS Expression in Transformed Protoplasts

To determine the quantitative differences in GUS expression from the various *Act1-Gus* fusion plasmids, the constructs were introduced into rice protoplasts by PEG- mediated transformation. The results of this analysis of GUS expression are presented in the first two columns of Table 1.

The highest GUS specific activities were recorded for the plasmids pAct1-D and pAct1-F, which have the longest Act1 5' regions. The plasmid pAl₁GusN, which has the Gus gene expressed from the maize Adh1 promoter, displayed less than 17% of the GUS specific activity shown by pAct1-D and pAct1-F. The plasmids pAct1-G, with a truncated Act1 5' region, and pAct1-H, with an inverted Act1 5' end, were found to have GUS specific activities approximately 48% of that for pAct1-D. Thus, the inversion of the Act1 5' end in pAct1-H did not enhance GUS expression relative to the truncated pAct1-G construct.

The effects of the various rice Act1 intron deletions could be seen when their GUS specific activities were compared with that of their progenitor plasmid, pAct1-G. The small 9-bp deletion in the Act1 5' intron of plasmid pAct1-K had no effect on the quantitative level of GUS activity. The plasmid pAct1-L, containing a deletion of 133 bp between the 5'-acceptor splice site and the putative mRNA branch-point splice site, displayed a GUS specific activity that was less than 57% of that observed for pAct1-G. The plasmid pAct1-M, which had the putative mRNA branch-point site removed, showed a further decrease in GUS specific activity to 17% of that observed for pAct1-G. The plasmid pAct1-J, which lacks the putative mRNA splicing branch site and 3'-splicing donor site of the Act1 5' intron, and pAct1-R, which lacks the entire Act1 5' intron, displayed no significant GUS specific activities over that observed for untransformed samples.

DISCUSSION

We have characterized the 5' regions of the rice *Act1* gene and demonstrated that it contains an efficient promoter (relative to the maize *Adh1* 5'-region) for regulating foreign gene expression in transgenic rice. The construction of a number of *Act1-Gus* fusion plasmids allowed us to determine that the plasmid p*Act1-F*, containing 0.83 kb of 5'flanking sequence, and the noncoding exon and 5' intron of the rice *Act1* gene, has the minimal amount of *Act1* sequence necessary for maximal GUS expression in transient assays of transformed rice protoplasts. Although the *Gus* mRNAs and protein produced from the rice *Act1* and the maize *Adh1* 5' regions are not identical, we observed that the rice *Act1* 5'-flanking region was at least 6 times more active than that of the maize *Adh1* gene in stimulating GUS expression in transformed rice protoplasts.

Deletion of a 0.4-kb region from the 5' end of pAct1-F, in the construction of pAct1-G, resulted in a 52% reduction in GUS activity. When this 0.4-kb region was inverted and displaced 0.8 kb further upstream (in pAct1-H), high-level GUS activity was not restored. This suggests that the sequence element(s) in the 5' end of the pAct1-F insert that are responsible for high-level GUS expression do not display any enhancer-like activity.

Within the *Act1* 5' sequence, we noted the occurrence of a long poly(dA) stretch located between bases -146and -186. Such poly(dA) regions have been shown to prevent nucleosome formation in vitro (Prunell, 1982), and it has also been found that naturally occurring poly(dA) tracts act as 5'-promoter elements for the constitutive expression of three different yeast genes (Struhl, 1985). It is believed that poly(dA) stretches change chromatin structure, enabling general transcription factors to access the DNA template and activate constitutive transcription in the absence of more specific transcription factors, which may play some role in the constitutive activation of *Act1* gene expression.

By constructing Act1-intron-deletion-Gus fusion plasmids, we were able to show that GUS expression in transformed rice protoplasts was dependent on the presence of an intact rice Act1 5' intron. The effect of the rice Act1 intron on gene expression is similar to the previously described dependence on introns of mouse dihydrofolate reductase (Gasser et al., 1982) and maize Adh1-S (Callis et al., 1987) gene expression. We do not believe that the Act1 5' intron increases expression as a result of some enhancer-like element within its sequence. We obtained no stimulation of visible GUS activity from the intronless pAct1-R sequence when the Act1 intron was introduced either in a forward orientation, 5' of its transcription unit (in pAct1-S), or in the opposite orientation, 3' of its transcription unit (in pAct1-T). A similar finding was also reported in the case of the maize Adh1 intron (Callis et al., 1987). There is no indication that the Act1 first intron codes for any functionally active transcript or protein product because previous RNA gel blot hybridization with a doublestranded probe that spans the Act1 first intron failed to show any binding to total RNA from 7-day-old rice shoots, other than to the 1.7-kb Act1 transcript itself (McElroy et al., 1990). Furthermore, the Act1 5' intron contains no open reading frames of greater than 25 codons.

Our results suggest that the primary effect of the Act1 5' intron is associated with an in vivo requirement for efficient mRNA splicing. A 9-bp deletion in the Act1 intron of the plasmid pAct1-K caused no significant reduction in GUS specific activity relative to that of its progenitor plasmid pAct1-G. However, a 133-bp deletion, in the plasmid pAct1-L, although not removing any of the sequences previously implicated in intron splicing (Green, 1986), did cause a reduction in the distance between the 5'-acceptor and putative mRNA branch-point splice sites with an associated 44% reduction in GUS specific activity. Similar effects have been reported in animal systems (Wieringa, Hoffer, and Weissmann, 1984; Ruskin, Greene, and Greene, 1985) and probably reflect a requirement for multiple splicing factors to interact with specific intron regions. The in vivo requirement for efficient intron splicing was further supported by the observation that a deletion that removed the putative 5' intron mRNA branch-point splice site (pAct1-M) or removed both the branch-point and 3'donor splice sites (pAct1-J) led to GUS specific activities that were 18% and 9% of that observed for pAct1-G. It is known that deletion of the normal branch-point and 3'donor splice sites of animal genes does not abolish splicing but rather leads to the use of cryptic branch-point and 3'donor splice sites, with associated reductions in splicing efficiency and gene expression (Fukamaki et al., 1982; Ruskin et al., 1984; Hornig, Aebi, and Weissmann, 1986).

Our results demonstrate that the rice Act1 5'-flanking sequence contains a very efficient promoter for rice transformation. Experiments are under way to investigate the activity of the Act1 5'-flanking sequences in regenerated transgenic plants of rice and other monocot crop plants. Our results support the general conclusion of Callis et al. (1987) and Oard, Paige, and Dvorak (1989) that the expression of a foreign gene in transformed plant cells can be dependent upon, but not necessarily an absolute function of, the presence of an intact intron sequence. It has previously been noted that the occurrence of 5'-noncoding exons, such as that of the rice Act1 gene, is extremely rare for plant genes (Hawkins, 1988). We suggest that a functional requirement for the presence of the 5' intron may be correlated with the conservation of the 5'-noncoding exon during the evolution of this rice actin gene. The dependence on an intact 5' intron for Act1-regulated gene expression cannot be related to intron splicing alone because the plasmid pAct1-R (which showed no GUS activity above background levels) was effectively spliced in vitro prior to its introduction into rice protoplasts. Rather, the intron dependence may be related to a splicing-associated function, such as transport to or across the nuclear membrane. It may be noted that the maize 5' intron is located between the first and second coding exons of the Adh1 gene, whereas the rice 5' intron is located between a 5'noncoding exon and the first coding exon of the Act1 gene. This suggests that there may be a common positional component to the intron-mediated stimulation of gene expression observed for the maize Adh1 and rice Act1 5' introns.

In conclusion, we agree with Callis et al. (1987) that an investigation of the role of 5' introns should be an integral part of any experiments aimed at optimizing foreign gene expression in transformed plant cells.

METHODS

Genomic Clone Characterization

A genomic restriction map of the 15.1-kb insert from $\lambda Act1$ (Reece, 1988; Reece et al., 1990) was prepared by analyzing all possible single and double digests with the enzymes BamHI,

EcoRI, HindIII, and Sall (Boehringer Mannheim, Indianapolis, IN). A 5.3-kb HindIII-HindIII restriction fragment from the $\lambda Act1$ clone was subcloned into pBluescriptII-KS (Stratagene, La Jolla, CA) to generate the plasmid pAct1-A. A restriction map of pAct1-A was prepared by analyzing all possible single and double digests with the enzymes BamHI, BgIII, EcoRI, EcoRV, HincII, HindIII, KpnI, Pstl, Smal, SphI, Sstl, XbaI, and XhoI (Boehringer Mannheim, Indianapolis, IN).

A 2.1-kb EcoRV-EcoRV region within the pAct1-A insert was further subcloned into pBluescriptII-KS and its DNA sequence determined according to the sequencing strategy outlined in Figure 1B. Double-stranded DNA sequencing reactions were run using the dideoxynucleotide chain termination method following the T7 polymerase protocol (Pharmacia LKB Biotechnology, Piscataway, NJ). Analysis of the DNA sequence data was performed using the Microgenie computer program of Queen and Korn (1984).

Construction of Plasmids

The 2.1-kb EcoRV-EcoRV fragment from pAct1-A was subcloned into the Smal site of pBluescriptII-KS (Stratagene) in both possible orientations to produce the plasmids pAct1-B and pAct1-C. A promoterless β -glucuronidase (*Gus*) gene, containing the 3'-noncoding region of the nopaline synthase (*nos*) gene (Jefferson et al., 1987), was excised by BamHI-Xbal digestion of pEXAG3 (a gift from Stanley Goldman), and cloned between the BamHI and Xbal sites of pAct1-B and pAct1-C to produce the plasmids pAct1-D and pAct1-E. Deletion of the 0.8-kb XhoI-XhoI and 1.2kb EcoRI-EcoRI fragments from pAct1-D generated the plasmids pAct1-F and pAct1-G, respectively. Introduction of the 1.2-kb EcoRI-EcoRI fragment into the EcoRI site of pAct1-G, in the reverse orientation, produced the plasmid pAct1-H.

The plasmid pAct1-J was produced by deletion of a 0.17-kb BamHI-BamHI fragment from pAct1-G. The plasmids pAct1-K. pAct1-L, and pAct1-M were generated by cleavage of pAct1-G at its BgIII site, followed by exonuclease III deletion and S1 nuclease treatment (Guo and Wu, 1983) to remove different amounts of the Act1 5' intron. To construct the plasmid pAct1-R, the 0.9-kb EcoRI-EcoRV fragment from pAct1-A, containing the 5' intron of the rice Act1 gene, was cloned between the EcoRI and EcoRV sites of pBR322 (Bethesda Research Laboratories, Gaithersburg, MD) to produce the plasmid pAct1-N. The 0.4-kb SstI-EcoRV fragment from pAct1-N, containing the Act1 intron, was excised and replaced with an intronless 0.1-kb SstI-EcoRV fragment from the insert of an Act1 cDNA clone, pcRAc1.3 (McElroy et al., 1990) to produce the plasmid pAct1-P. The intronless 0.6-kb EcoRI-EcoRV fragment from pAct1-P was excised and cloned between the EcoRI and Smal sites of pBluescriptII-KS to yield the plasmid pAct1-Q. Cloning of the Gus-nos-containing BamHI-Xbal fragment from pEXAG3 between the BamHI and Xbal site of pAct1-Q produced the plasmid pAct1-R. To construct pAct1-S and pAct1-T, the plasmid pAct1-E was digested with BstEll (which has a single site in this vector between nucleotides 79 and 85) and Xbal, subjected to S1 nuclease treatment, and self-ligated to produce the plasmid pAct1-U. The Act1-Gus-nos fragment from pAct1-R was isolated by Xho1-Xbal digestion and cloned into the Xhol site of pAct1-U, in both orientations, to produce the plasmids pAct1-S (containing the Act1 intron in a "forward" orientation, 5' of the Act1-Gus transcription unit) and pAct1-T (containing the Act1 intron in a "reverse" orientation, 3' of the Act1-Gus transcription unit). The structure of these *Act1-Gus* fusion constructs was confirmed by double-stranded DNA sequencing.

Culture, Transformation, and Visualization of GUS Activity in Rice Cell Suspension Cultures and Protoplasts

Cell suspension cultures were generated from rice (*Oryza sativa* cv Lemont) calli, cultured in liquid R2 media (Ohira, Ojima, and Fujiwara, 1973) containing 3% (w/v) sucrose, 2 mg/L 2,4-dichlorophenoxyacetic acid, and 2 mg/L vitamin B5 (Sigma, St. Louis, MO) with weekly subculturing and incubation in the dark at 26°C. Cell suspensions were filtered through a 700- μ m mesh (Medical Instruments, Inc., Los Angeles, CA) before particle gun bombardment with 1.2- μ m diameter tungsten particles mixed with a solution containing 10 μ g of plasmid DNA (Wang et al., 1988; Cao et al., 1990). GUS activity in intact cells and developing calli was determined by the appearance of blue spots 2 and 10 days after bombardment with plasmid DNA following the GUS assay procedure of Jefferson et al. (1987).

Protoplasts were isolated from rice (*O. sativa* cv Nipponbare) cell suspension cultures (Abdullah, Cocking, and Thompson, 1986) and resuspended in MaMg medium (Zhang and Wu, 1988) to a final density of 10^6 protoplasts/mL. For transformation, 1 mL of protoplast suspension was incubated with 10 μ g of circular plasmid DNA, 50 μ g/mL calf thymus carrier DNA, and an equal volume of 30% polyethylene glycol 4000 (Sigma, St. Louis, MO). The mixture was incubated for 30 min, diluted with CPW13 medium (Abdullah et al., 1986), washed 3 times in CPW13 media, with centrifugation between each wash, before being resuspended in simplified KPR liquid media (Zhang and Wu, 1988) to a final density of 10^6 protoplasts/mL. Aliquots (0.1 mL) of this final suspension were incubated by thin-layer culture in 96-well plates (Krackeler Scientific, Inc., Albany, NY) before subsequent assays for GUS activity (Jefferson, 1987; Zhang and Wu, 1988).

Quantitative Analysis of GUS Activity in Transformed Protoplasts

Cells were collected 20 days after transformation by low-speed centrifugation in a bench-top microcentrifuge. Total soluble protein was isolated in a GUS extraction buffer (Jefferson et al., 1987). Protein extracts were incubated with 1 mM methylumbelliferyl- β -pglucuronide (Sigma, St. Louis, MO) in a standard assay at 37°C for 3 hr to 6 hr. The liberation of 4-methylumbelliferone was followed by measuring fluorescence with excitation at 365 nM and emission at 455 nM in a spectrofluorometer (American Instrument Co., Silver Spring, MD). Protein concentrations of plant extracts were determined by the dye-binding method of Bradford (1976) with a Bio-Rad Laboratories kit (Richmond, CA). Statistical analysis of the quantitative GUS activity results was done using a paired *t* test on the StatView SE+ program (Abacus Concepts, Inc., Berkeley, CA).

ACKNOWLEDGMENTS

This research was supported (in part) by grant RF84066, Allocation No. 3, from the Rockefeller Foundation, and by grant GM29179 from the National Institutes of Health. D.M. was supported by a SERC/NATO studentship, a Fulbright fellowship, scholarships from the British Universities North America Club and the St. Andrew's Society of Washington, DC, USA, and a predoctoral fellowship from the Cornell University Biotechnology Program, which is sponsored by the New York State Science and Technology Foundation, a consortium of industries, and the U.S. Army Research Office. J.C. was supported by a postdoctoral fellowship from the Cornell NSF Plant Science Center, a unit in the USDA-DOE-NSF Plant Science Centers Program. W.Z. was supported by a predoctoral fellowship from the Rockefeller Foundation. We thank Dr. Karen Kindle, Helen Moore, and Elizabeth Kemmerer for critical reading of the manuscript and Dr. Michael N. Kazarinoff for the use of the spectrofluorometer.

Received September 1, 1989; revised December 13, 1989.

REFERENCES

- Abdullah, R., Cocking, E.C., and Thompson, J.A. (1986). Efficient plant regeneration from rice protoplasts through somatic embryogenesis. Bio/Technology 4, 1087–1090.
- Benfey, P.N., and Chua, N.-H. (1989). Regulated genes in transgenic plants. Science 244, 174–181.
- Bradford, M.M. (1976). A rapid and sensitive method for the quantitation of microgram quantities of protein utilizing the principle of protein-dye binding. Anal. Biochem. **72**, 248–254.
- Callis, J., Fromm, M., and Walbot, V. (1987). Introns increase gene expression in cultured maize cells. Genes Dev. 1, 1183~1200.
- Cao, J., Wang, Y.-C., Klein, T.M., Sanford, J., and Wu, R. (1990). Transformation of rice and maize using the biolistic process. UCLA Symposia Plant Gene Transfer, in press.
- Dennis, E.S., Gerlach, W.L., Pryor, A.J., Bernetzen, J.L., Inglis, A., Llewellyn, D., Sachs, M.M., Ferl, R.J., and Peacock, W.J. (1984). Molecular analysis of the alcohol dehydrogenase (*Adh1*) gene of maize. Nucl. Acids Res. **12**, 3983–4000.
- Fukamaki, Y., Ghosh, P.K., Benz, E.J., Reddy, V.B., and Lebowitz, P. (1982). Abnormally spliced mRNA in erythroid cells from patients with β+-thalassemia and monkey cells expressing a cloned β+-thalassemia gene. Cell 28, 589–593.
- Gasser, C.S., and Fraley, R.T. (1989). Genetically engineering plants for crop improvement. Science 244, 1293–1299.
- Gasser, C. S., Simonsen, C. C., Schilling, J. W., and Schimke, R. T. (1982). Expression of abbreviated mouse dihydrofolic reductase genes in cultured hamster cells. Proc. Natl. Acad. Sci. USA 79, 6522–6526.
- Green, M.R. (1986). Pre-mRNA splicing. Annu. Rev. Genet. 20, 671–708.
- Guilley, H., Dudley, R.K., Jonard, G., Balazs, E., and Richards, K.E. (1982). Transcription of cauliflower mosaic virus DNA: Detection of promoter sequences, and characterization of transcription. Cell **30**, 763–773.
- Guo, L.H., and Wu, R. (1983). Exonuclease III: Use for DNA

sequence analysis and specific deletion of nucleotides. Methods Enzymol. **100**, 60–96.

- Hawkins, J.D. (1988). A survey of intron and exon lengths. Nucl. Acids Res. 16, 9893–9908.
- Hornig, H., Aebi, M., and Weissmann, C. (1986). Effect of mutations at the lariat branch acceptor site on β-globin premRNA splicing in vitro. Nature 324, 589–591.
- Jefferson, R.A. (1987). Assaying chimeric genes in plants: The GUS gene fusion system. Plant Mol. Biol. Rep. 5, 387–405.
- Jefferson, R.A., Kavanagh, T.A., and Bevan, M.W. (1987). GUS fusions: β-Glucuronidase as a sensitive and versatile gene fusion marker in higher plants. EMBO J. 6, 3901–3907.
- Joshi, C.P. (1987). An inspection of the domain between putative TATA box and transcription start sites in 79 plant genes. Nucl. Acids Res. 15, 6643–6653.
- Keller, E.B., and Noon, W.A. (1985). Intron splicing: A conserved internal signal in introns of *Drosophila* pre-mRNAs. Nucl. Acids Res. 13, 4971–4981.
- Klein, T.M., Gradziel, T., Fromm, M.E., and Sanford, J.C. (1988). Factors influencing delivery into Zea mays cells by high-velocity microprojectiles. Bio/Technology 6, 559–563.
- McEiroy, D., Rothenberg, M., and Wu, R. (1990). Structural characterization of a rice actin gene. Plant Mol. Biol., in press.
- Oard, J.H., Paige, D., and Dvorak, J. (1989). Chimeric gene expression using maize intron in cultured cells of breadwheat. Plant Cell Rep. 8, 156–160.
- Ohira, K., Ojima, K., and Fujiwara, A. (1973). Studies on the nutrition of rice cell culture. I. A simple, defined medium for rapid growth in suspension culture. Plant Cell Physiol. 14, 1113–1121.
- Ou-Lee, T.M., Turgeon, R., and Wu, R. (1986). Expression of a foreign gene linked to either a plant virus or a *Drosophila* promoter after electroporation of protoplasts of rice, wheat and sorghum. Proc. Natl. Acad. Sci. USA **83**, 6815–6819.
- Prunell, A. (1982). Nucleosome reconstitution on plasmid-inserted poly(dA) · poly(dT). EMBO J. 1, 173–179.
- Queen, C., and Korn, L.J. (1984). A comprehensive analysis program for the IBM personal computer. Nucl. Acids Res. 12, 581–599.
- Reece, K.S. (1988). The actin gene family of rice (*Oryza sativa* L). PhD thesis, Cornell University, Ithaca, NY.
- Reece, K.S., McEiroy, D., and Wu, R. (1990). Genomic nucleotide sequence of four rice (*Oryza sativa*) actin genes. Plant Mol. Biol., in press.
- **Ruskin, B., Greene, J.M., and Greene, M.R.** (1985). Cryptic branch point activation allows accurate in vitro splicing of human β -globin intron mutants. Cell **41**, 833–844.
- Seagull, R.W. (1989). The plant cytoskeleton. Crit. Rev. Plant Sci. 8, 131–167.
- Shapiro, M.B., and Senapathy, P. (1987). RNA splice junctions of different classes of eukaryotes: Sequence statistics and functional implications in gene expression. Nucl. Acids Res. 15, 7155–7174.
- Struhl, K. (1985). Naturally occurring poly(dA-dT) sequences are upstream promoter elements for constitutive transcription in yeast. Proc. Natl. Acad. Sci. USA 82, 8419–8423.
- Uchimiya, H., Fushimi, T., Hashimoto, H., Harada, H., Syono,

K., and Sugawara, Y. (1986). Expression of a foreign gene in callus derived from DNA-treated protoplasts of rice (*Oryza sativa* L.). Mol. Gen. Genet. **204**, 204–207.

- Wang, Y.-C., Klein, T.M., Fromm, M., Cao, J., Sanford, J.C., and Wu, R. (1988). Transient expression of foreign genes in rice, wheat and soybean cells following particle bombardment. Plant Mol. Biol. 11, 433–439.
- Wieringa, B., Hofer, E., and Weissmann, C. (1984). A minimal intron length but no specific internal sequence is required for splicing the large rabbit β -globin intron. Cell **37**, 915–925.
- Zhang, W., and Wu, R. (1988). Efficient regeneration of transgenic rice plants from rice protoplasts and correctly regulated expression of foreign genes in the plants. Theor. Appl. Genet. 76, 835–840.

Isolation of an efficient actin promoter for use in rice transformation. D McElroy, W Zhang, J Cao and R Wu *Plant Cell* 1990;2;163-171 DOI 10.1105/tpc.2.2.163

This information is current as of July 26, 2012

| Permissions | https://www.copyright.com/ccc/openurl.do?sid=pd_hw1532298X&issn=1532298X&WT.mc_id=pd_hw15322 98X |
|--------------------------|--|
| eTOCs | Sign up for eTOCs at: http://www.plantcell.org/cgi/alerts/ctmain |
| CiteTrack Alerts | Sign up for CiteTrack Alerts at: http://www.plantcell.org/cgi/alerts/ctmain |
| Subscription Information | Subscription Information for <i>The Plant Cell</i> and <i>Plant Physiology</i> is available at: http://www.aspb.org/publications/subscriptions.cfm |

© American Society of Plant Biologists ADVANCING THE SCIENCE OF PLANT BIOLOGY