MiFID II: Pre-trade compliance
At a glance

Solutions partner for the financial services industry
MiFID II: Pre-trade compliance

Solutions for regulatory & risk

Bloomberg Pre-trade Compliance Solutions: MiFID II & MiFIR transparency

Achieves MiFIR transparency through real-time data feeds.

Relevant MiFID II product classifications and flags are directly fed to firms’ internal data architectures.

Bloomberg New Security Setup (NSS) solution enables front office to acquire high-quality reference data for new securities of interest.

Front-office slow-downs are eliminated as workflow becomes faster and more efficient while adhering to vital pre-trade compliance checks.

Key benefits

Achieving MiFID II pre- and post-trade compliance by leveraging real-time technology.

NSS establishes front-office workflow efficiencies that support MiFID II & MiFIR compliance.

“While solutions abound, few offer a comprehensive solution across trade reporting, transaction reporting, and communications monitoring. Bloomberg offers the broadest range of coverage, spanning all three areas.”

MiFID II Spending and Solutions Landscape Report, Opimas LLC

How does MiFID II affect you?

MiFID II and MiFIR extend beyond equities to the fixed income and derivatives markets.

Pre- and post-trade reporting is now a real-time process requiring access to accurate reference and transaction-specific data.

Compliance departments must meet all reporting obligations before trade execution.

Pre-trade compliance workflow under MiFID II

Firms must confirm all reporting obligations for all MiFID II instruments pre-trade.

Instruments must be assessed against all regulation-specific criteria.

Traders need all relevant pre-trade information in real-time.

Firms require accurate reference data to run all pre-trade compliance checks.

The broadest range of MiFID II reporting and monitoring coverage
More than just MiFID II compliance solutions.

Talk to us about regulatory & risk solutions for the financial services industry.

bloomberg.com/MIFID