

## Application to Trade with Hagerty International Limited

### Company Details

PLEASE USE BLOCK CAPITALS

Registered name of applicant:	
Trading name of applicant:	
Company registration number:	
Registered address of applicant:	Other address (e.g. account statement address)
Postcode:	Postcode:
Telephone:	Telephone:
Facsimile:	Facsimile:
Email:	Email:

Are you authorised and regulated by the Financial Conduct Authority?



Date authorised by the Financial Conduct Authority:
FCA registration number:

Have you ever had your FCA registration revoked, withdrawn or cancelled? If so, give details:

### Business Details

Please indicate the nature of your insurance trading concern:

Sole Trader  Limited Company  Partnership  PLC  Other

Date Established:
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Please provide details of your total account size on a premium income basis.

a. Personal Lines	Private Car: £	Household: £
	Classic Car: £	
b. Commercial Lines	Motor Trade: £	Classic Trade: £

How many agency facilities do you currently hold overall?

Please provide details of the five insurance companies with whom you hold your largest accounts. (Please indicate which are 'preferred' insurers with a \*).

To assist this application please use the space below to advise of any special terms, schemes or agreements you hold with any insurer and your methods of business acquisition (e.g. marketing).

Do you plan to place business with us from another source, such as appointed representative, introducer or sub agent? If so, please provide details.

## Principal's Details

Full Name:

Date of Birth:

Private Address:

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Postcode:

Professional Qualifications:

## Personal Details

✓ / ✗ Have you, or any Director, Partner, Member, Proprietor or Controller ever had membership of any professional body, statutory or non-statutory regulator refused, revoked or terminated? If so, please give full details:

Have you or any Director, Partner, Proprietor or Controller ever:

- ✓ / ✗ Had any agency or similar facility/agreement with any Insurer refused, revoked or terminated?
- ✓ / ✗ Been subject to disciplinary proceedings instituted by any professional or regulatory body?
- ✓ / ✗ Been convicted of any criminal offences?
- ✓ / ✗ Been subject to a CCI or been adjudged bankrupt, subject to a receiving order, entered into an agreement with creditors or been involved in any business that has gone into liquidation or is any such matter pending?
- ✓ / ✗ Had credit facilities refused, revoked, curtailed or terminated?

If the answer to any of the above is 'Yes', please give full details:

## Financial Details

Name of your bankers:

Address of your bankers:

Please confirm you will hold premiums on our behalf on trust for until it is received by us or returned to the customer as appropriate.

Yes  No

Please provide account details of your business account

Account Name:

Sort Code: \_ \_ \_ \_ \_

Account Number:

Length of account held with these bankers:

Full name and address of your Accountants and/or Auditors:

If an existing business has been purchased or if the business is a new venture, please state how the venture had been financed:

Is there an ultimate holding company or any subsidiary/associated companies?

Yes  No

If Yes, please provide full details.

## Professional Indemnity Insurance

Do you hold current Professional Indemnity Insurance?

Yes, attached  No

If so, please provide full details and attach evidence of the following:

Name of Insurer -

Inception Date -

Policy Number -

Expiry Date -

Limit of Indemnity -

Excess Applicable -

✓ / ✗ Has any Insurer refused, declined, revoked or terminated or have any special terms been applied in respect of any Professional Indemnity Insurance policy held?

✓ / ✗ Has there ever been any incidents resulting in a claim being intimated against any Professional Indemnity insurance policy for negligence, errors or omissions by any Director, Partner, Member, Proprietor or Controller?

If yes to either of the above, please provide full details:

## Premises and Staff

Please provide the total number of staff employed excluding Directors or Partners

## Systems Details

Do you have a website? If so, please provide web address.

- ✓ / ✗ Does it have quotation capabilities?
- ✓ / ✗ Are prospective policy holders able to effect cover via the website?
- ✓ / ✗ Are you registered to use 'imarket'?

What percentage of your business is handled via the website?

Some of our communications and marketing to brokers including revisions to documentation are issued electronically. Please provide the following details for marketing and communications correspondence:

Contact Name:

Position:

Email Address:

## Continuation Sheet

Please provide any additional information here or on a separate sheet if necessary.


## Declaration

i I/we, the Director(s) apply to be appointed as an independent intermediary of Hagerty International Limited in respect of general insurance (non-marine) business on the basis of the Terms and Conditions forming part of the Company's Agency Appointment and the commission terms in force.

ii I/we understand that Hagerty International Ltd may take up references in connection with the application.

iii I/we understand that Agency facilities are not granted until an Hagerty International Limited Terms of Business Agreement has been issued and the acknowledgement form has been signed, dated and returned to us.

iv I/we confirm that I/we am/are authorised by those involved to provide personal details in connection with these individuals, for the purposes of this application.

## Data Protection Notification

Hagerty International Limited may use the personal details you give us or supplied by third parties to administer your agency facilities with us. We may search the files of Credit Reference Agencies, who may keep a record of the search, to support the development of our business by including your details in the Agency Surveys and for market research and compliance business reviews. We may also share your details with the appropriate regulatory authorities and other insurance companies.

We will store your details but will keep them no longer than is necessary. Under the terms of the Data Protection Act 1998, you are entitled to a copy of all the information we hold about you.

Details may be transferred to countries outside of the EU. They will at all times be held securely and handled with the utmost care in accordance with all principles of the UK law.

Authorised Signature  
Name

  

Date  
Designation