

# Encapsulate: Automated Biochips for Personalized Cancer Screening in Microgravity

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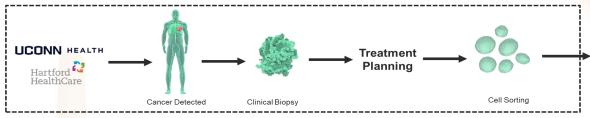
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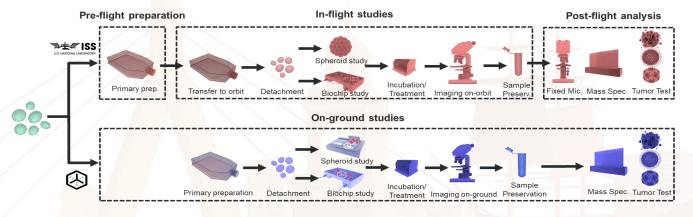


















# The conventional process of decisionmaking has deficiencies

Majority of chemotherapy cycles are both ineffective and toxic.



#### Ineffective chemotherapy cycles

On average ~6 cycles, 15 months, and \$150K-500K in chemotherapy-related costs 1,2



#### **Progressively lower** chances for treatment

Ineffective treatment cycles = increased risks of drug resistance metastasis 1 cancer progression 1 3,4



#### Unsuccessful treatment costs are unbearable

With unsuccessful round. cancer patients are 147% more likely to require emergency, 75% more to re-hospitalization and **3X** more costs <sup>5</sup>



#### 25% fatality rate due to chemotherapy toxicity

Only due to side effects of chemotherapy, and not cancer progression 6.



Multiple cycles = increased mortality, poor outcomes.

Treatment planning is an iterative, trial and error, and sequential process



& poor outcomes

This could be anticipated with a functional assay



2024 Technical



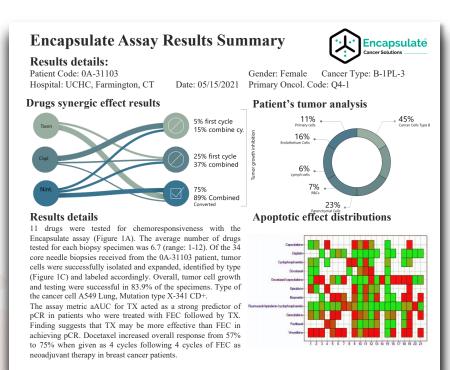
# Solution: **Encapsulate**

We create **therapeutic response profiles** of patients for oncologists to administer life-saving treatments



The biochip grows patients' cancer cells as microtumors outside the body.

The **report** provides analytical insights **to oncologists** on patients' response profile to potential treatments.



Purpose

Transformative precision oncology systems to determine the most effective cancer treatment plan for each patient

Products
Application
Readiness Level

nCapsule
Best Treatment
Clinically Proved

**nWell**High throughput Data
Clinically Proved

nDoser Best Dose In Clinical Tests

With our multigel technology, cancer cells are embedded among the natural stromal cells. All microtumors have hypoxic cores that retains resistant cells, and predefined boundaries provides a clear baseline for tumor invasion measurements.

**nVasive** Ultimate tumor fate InSPA/NASA nCapsulizer Fully Automated InSPA/NASA

A Unique
Technology with
significant Value
Propositions

Tumor-on-a-chip with multi-gel design

Heterogeneous Spheroids with hypoxic core

Bioreactor with cyclic flow, controlled CO<sub>2</sub> & humidity

Pre-defined shape, size, x-y-z coordination, & automated

Markets

**Clinical Tool** 

**Pharmaceutical Tool** 

**Medical Device** 

**Research Tool** 

Simple regulatory process with CMS/CLIA clearance | CPT Codes are established Significant social and financial impact in the nation | \$19B+ market | Primary focus: Colon & Pancreas

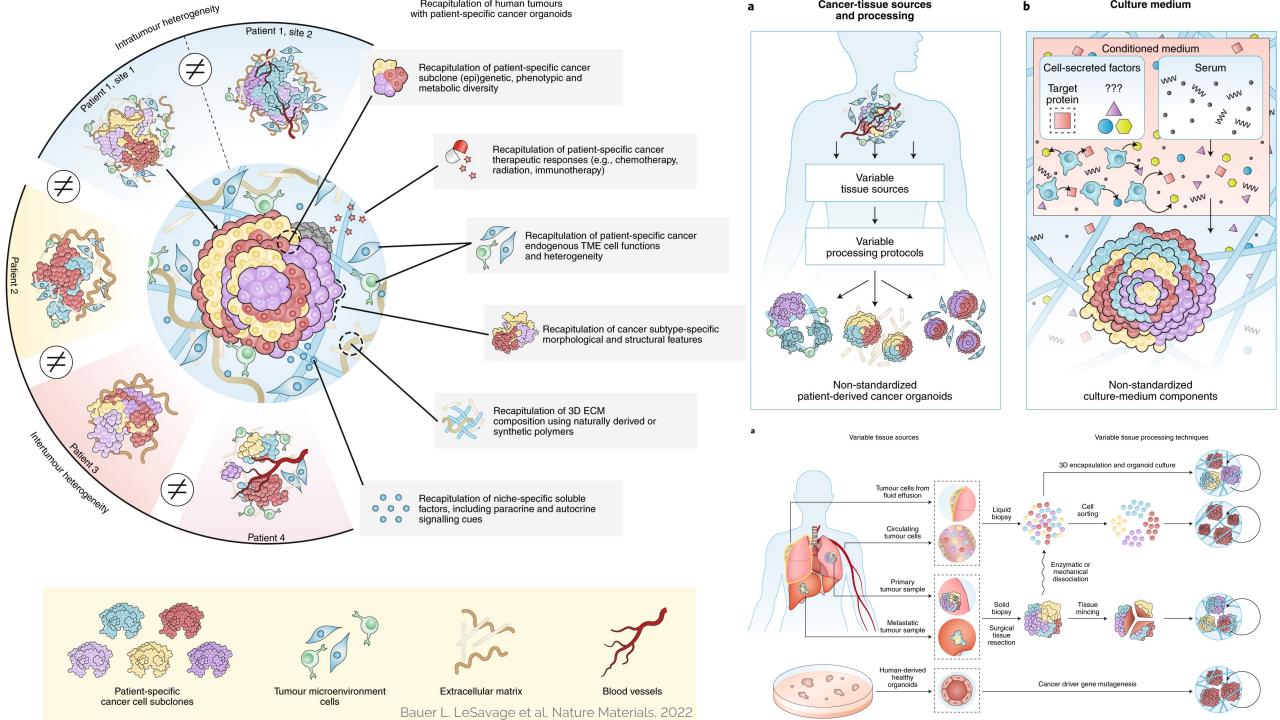
Encapsulate owns a comprehensive biobank is ready for clinical trial predictions No regulatory is required | Faster revenue generation path | \$78+ market

Class II FDA Approval required

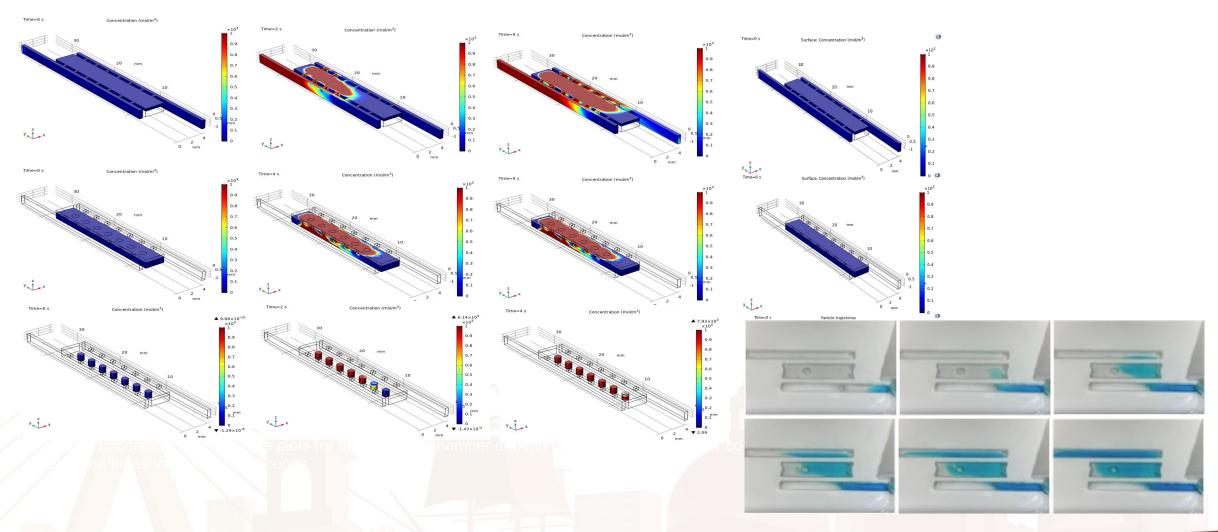
Larger market with a nationwide and international expansion through partnership with chain labs

Sales as a research tool, through licensing/direct sales, Space cancer research | Academic laboratories | Private biotech companies

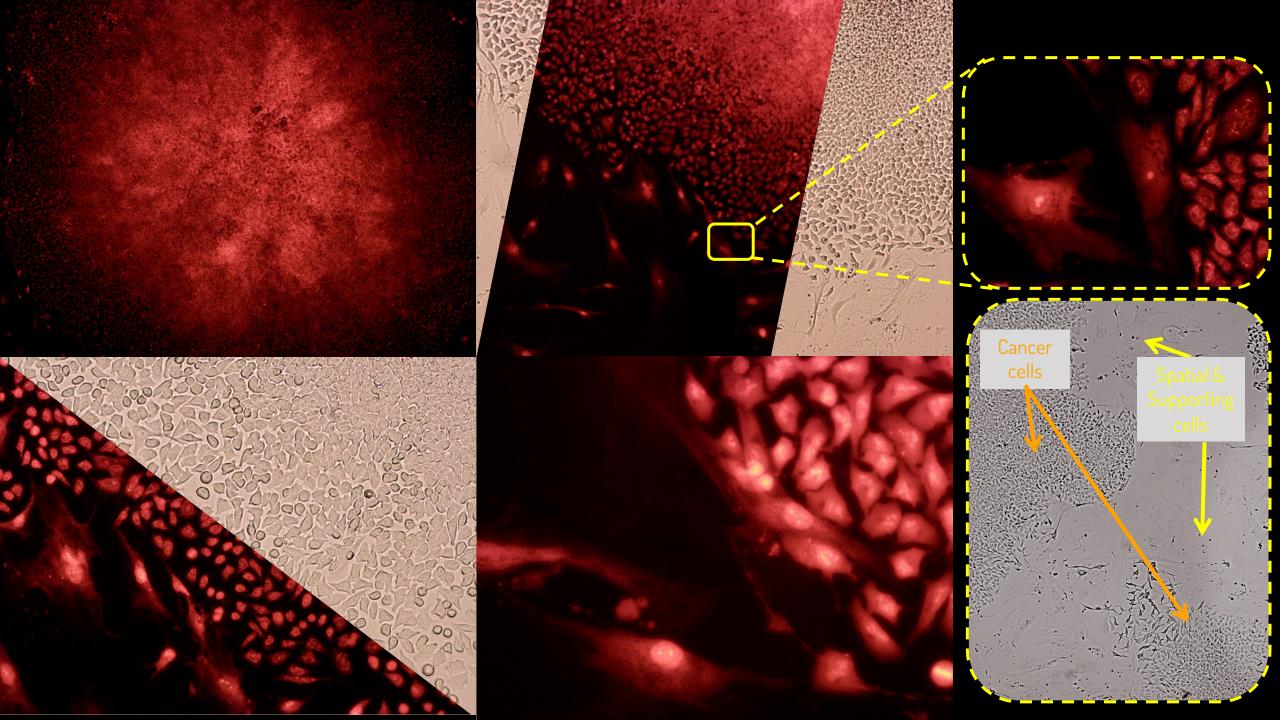




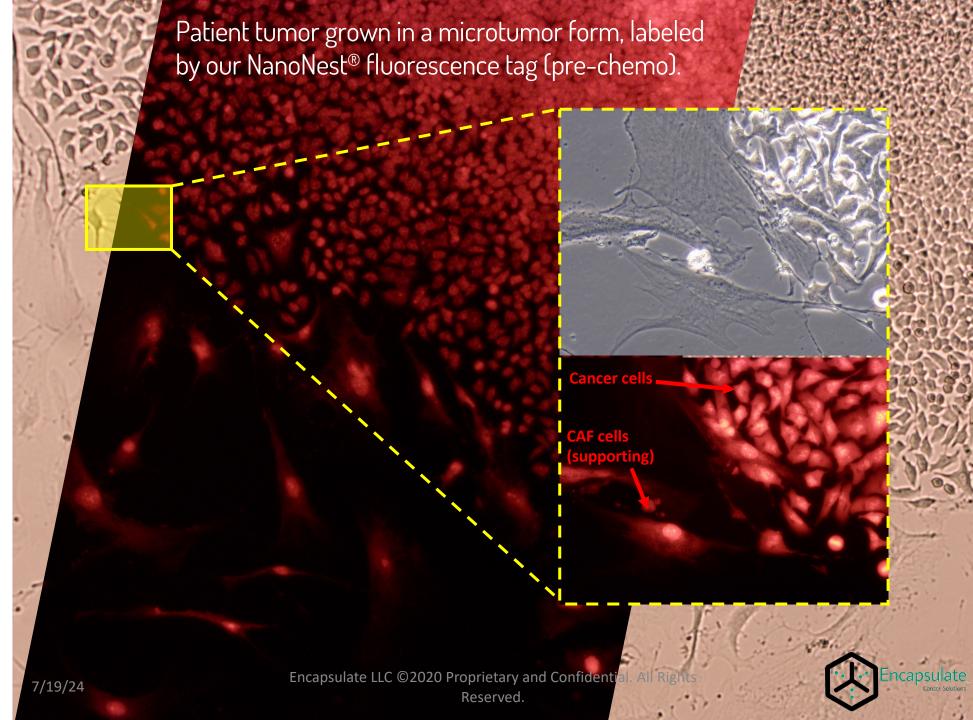
# Mass Transfer and Diffusion Simulations







- ➤ Our optimized protocol for tumor dissociation, along with our optimized enzymatic cocktail enable us to preserve high cell viability and grow patients' specimens in their innate biological status.
- In long-term studies (50 days+), the cells can create their own natural tumors in our system.
- Heterogeneous tumor cells reorient themselves with a cancerous core surrounded by supporting stromal-like cells.



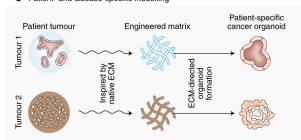
# Bacteria Spheroids for antibiotic evaluation Medium Output Description Descript

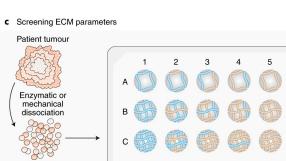
 Enhances control of nutrient transport to organoids
 Enables standardization of

Organoid-on-a-chip and microwell culture

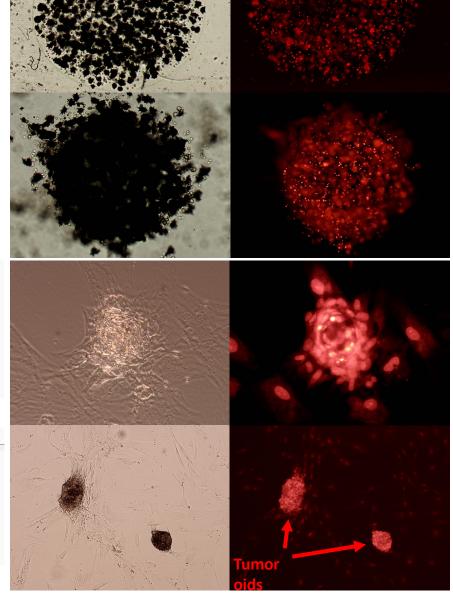
- Enables standardization of organoid size
- Enables modular assay and cell-type integration

**b** Patient- and disease-specific modelling



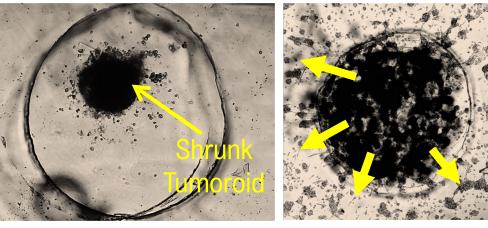


Next-generation cancer organoids, Bauer L. LeSavage et al, Nature Materials, 2022



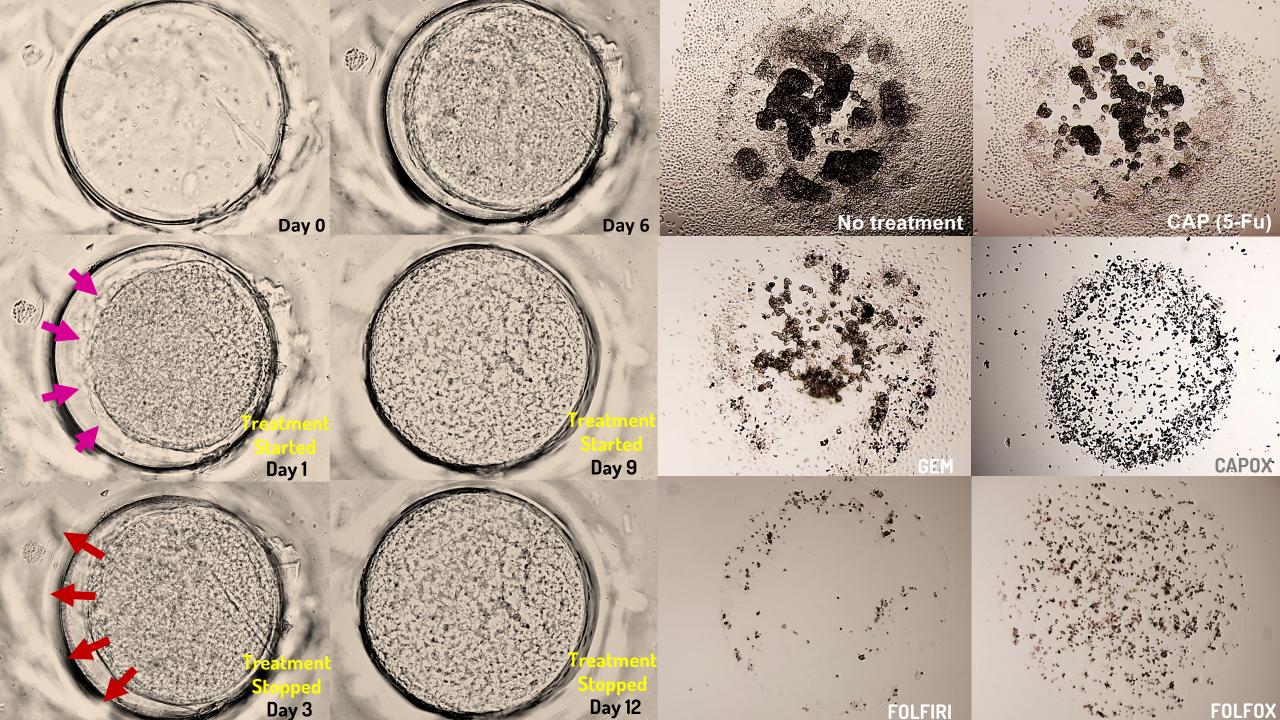


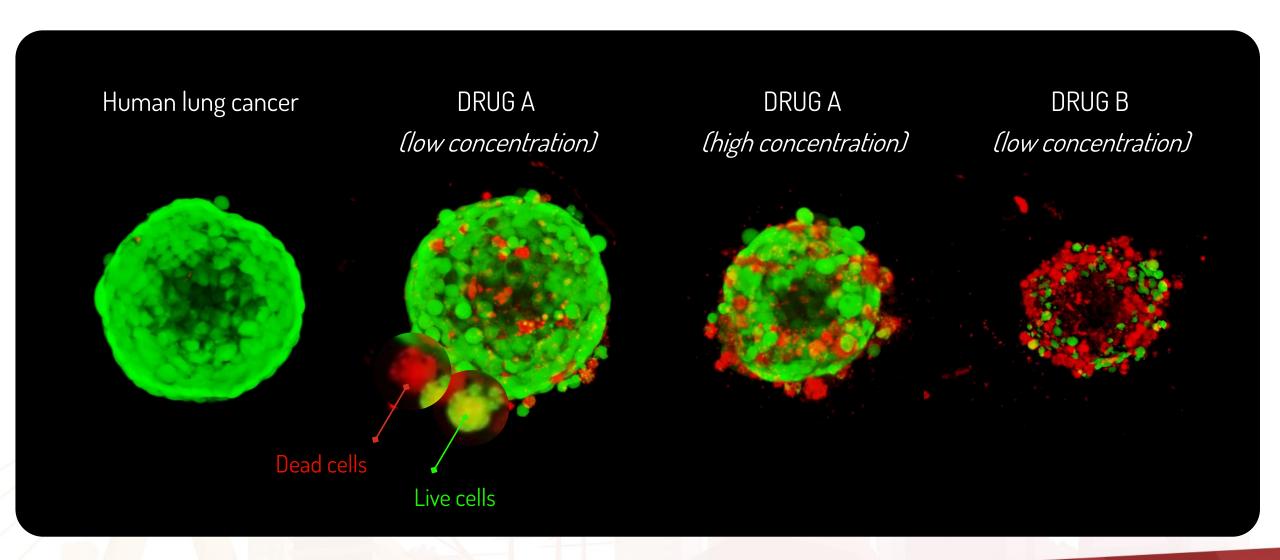
Shrinking Tumoroids



Aggressive tumoroids

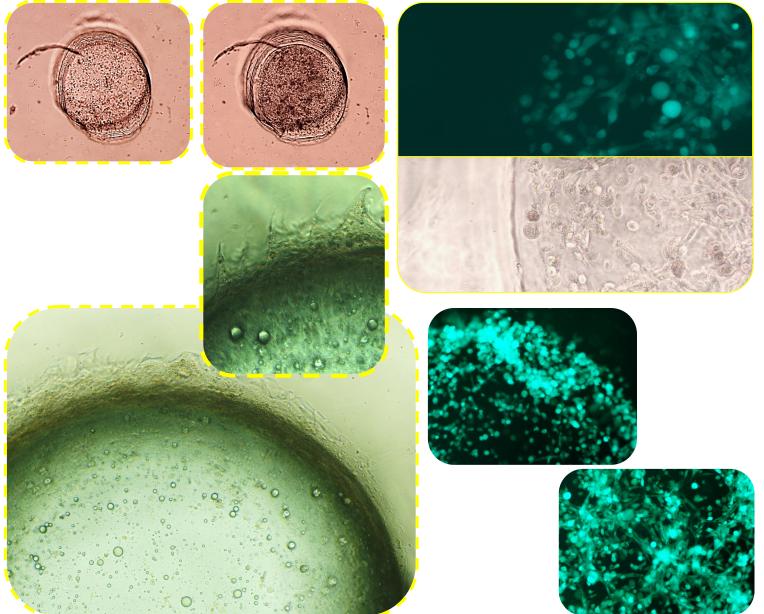


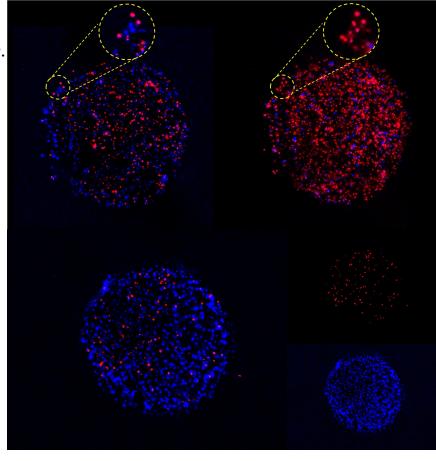


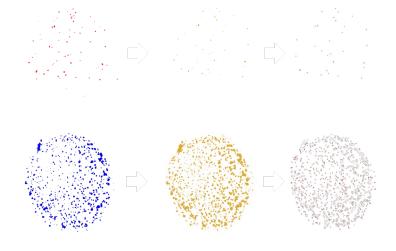




- > nCapsule microtumors can be treated in 2 hours and start to proliferate within 24 hours.
- The size, the shape, and the density of these tumors are reproducible and easily modifiable.
- Tumor invasion can take place in 7 days post microtumor fabrication.



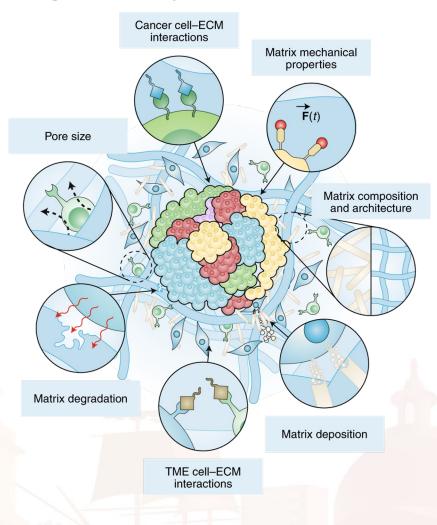




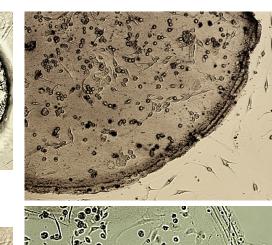
# Tumoroids Heterogeneity and Growth

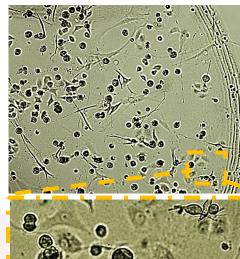


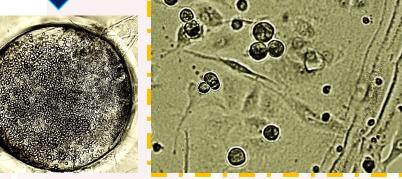














# First round response:

Complete: 8

Partial: 7

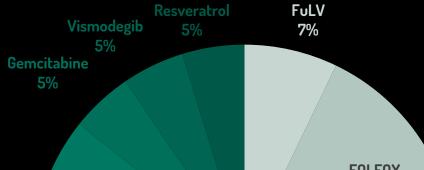
Non-responder: 15

Whole treatment success (5-year

survival): Failed: 19

Success: 11

Number of responders in the first 30 patients



II: 4 III: 8 IV: 18

30 Bio-banked patient samples 21 Cell lines

Total of 74 rounds CR: 28 PR: 15 SD: 6 PD: 25

**FOLFOX** 19% **FOLFIRI** 9% Capecetabine 10%

5-Fu

9%

**Oxaliplatin** 

14%

20 White African-**American** 4 Latino 1 Asian

14 Women / 16 Men

Biopsies from: Colon, Rectum, Sigmoid, Lung, & Ovary

Total of 30 Prospecti ve 30 Retrospe ctive

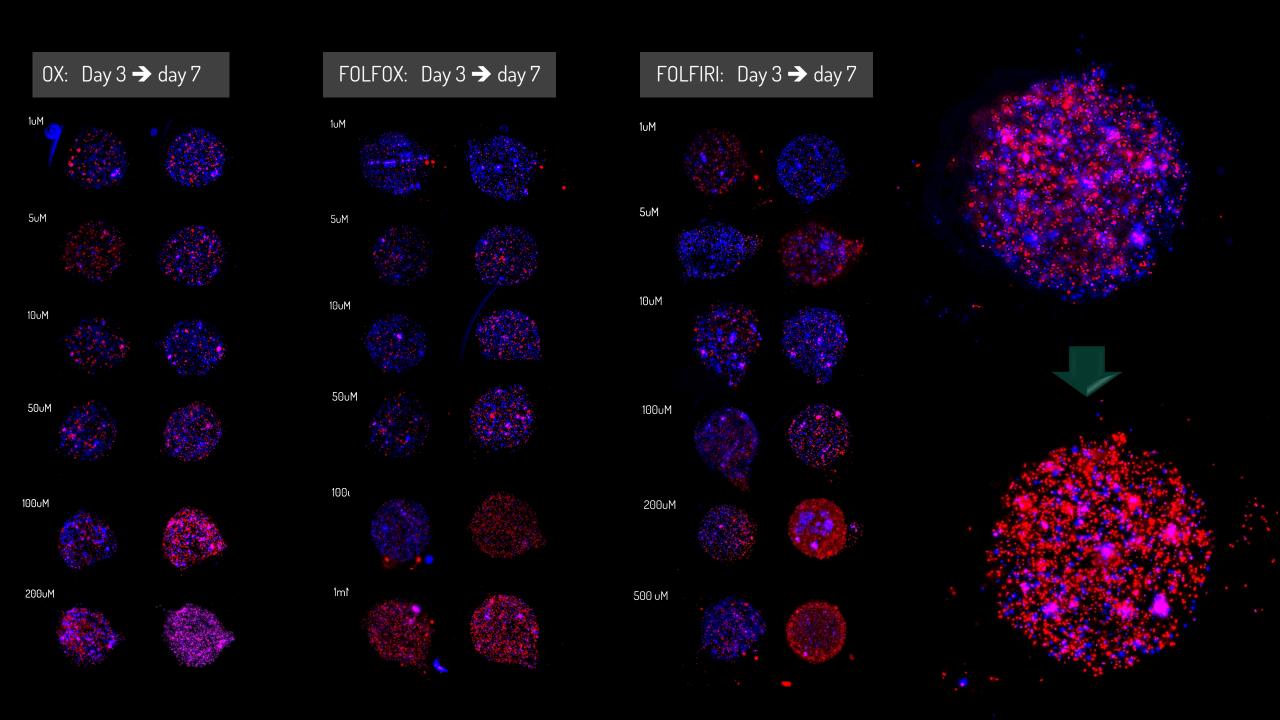
More than 7000 μTumors to date

Ages: 38-82

Smoker **Previous** Smoker 21 Nonsmoker

1 Current

BC#	FOLFOX	5-Fu	Oxaliplatin	FOLFIRI	XELOX	Other	Final Fate	BC#	ABL1, AKT, ALK	APC (may be NR: 5FU)	BDAE (Chark Victor)	CDHI	CDKN2A	CSF1R	CTNNB1	ERBB2 (R: Ner, Lap, Tr)	ERBB4	ZH2	FBXW / (NR: Ox, Tr)	FGFK1,2,3 FIT3	GNA11,GNA	GNAS	HNFIA	IDH1. 2	JAK2	JAK3	KDR	KRAS (NR: CET/ R: Ox)	MET	NOTCH1, MPL, NPM1	NRAS	PUGFRA PIK3CA (NR: Cet. X. I)	LEN	PTPN11	<u></u>	RET	SMARCR1	NO	SRC	K11	TP53	뉘	dMMR: PMS2
P2						G/A	P2	P2	A	<u> </u>	<u>د</u>   م		5	ၓ	<u>5  }</u>					<u>τ</u>	<u>ত</u>	ច	王 :		JA	4	<b>▼</b>  2	K K	Σ	ž	Z	7 4	<u> </u>	Ы	RBI	₹ [	ה ל	2 S	S	S	Ë	>	ਰ
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✓ Tumor metastatic response

✓ Minimal effective dose

✓ Pre- & post- treatment mutations

✓ Resistance development

- ✓ RNA/DNA seq
- ✓ Genetic mutations after treatment
- ✓ Proteomics and metabolomics

✓ Microbiome alterations

✓ Drug diffusion model within ECM

**Proteomics** 

Metabolomics

Direct Toxicity

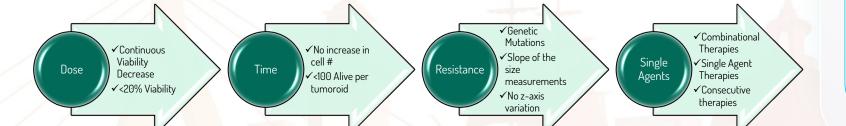
Follow-up mutations











#### A translational tool to screen compounds

# of cell lines

Cancer types

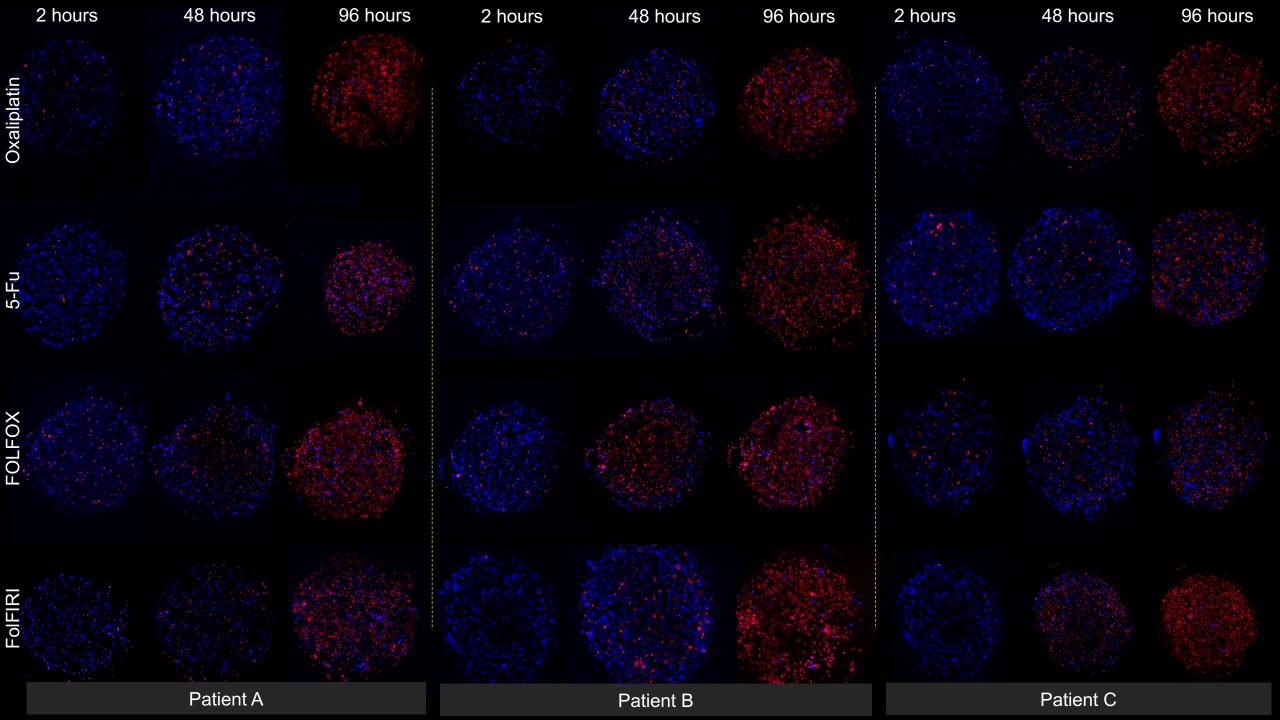
available 25

# of patient samples 45

#### Patients can be sorted by:

- Demography (gender, age, ethnicity, etc.)
- Cancer stage (II to IVB)
- Metastatic vs. Non-Metastatic
- Chemo-sensitive vs. Resistant
- Pre-op chemo vs. naïve
- Genetic mutations
  - We have a 50-gene mutation panel including APC, BRAF, CDKN2A, EGFR, ERBBs, FGFR, GNAS, JAKS, KDR, KRAS, PIK3CA, RBI, PTEN, SMAD4, TP53, dMMR, ...





	Clinical Results														
# of rounds	F05LF0X	5-FU	Oxaliplatin	FOLFIRI	XELOX	Other	Final Fate								
2															
3						Bev									
3															
3															
7						CET									
7						Avastatin									
3															
3															
3															
3															
3															
4		?													
3						MVASI									

Avastatin

Zaltrap

Stivarga

MVASI

MVASI

3

5

3

4

3

BC5

BC6

BC9

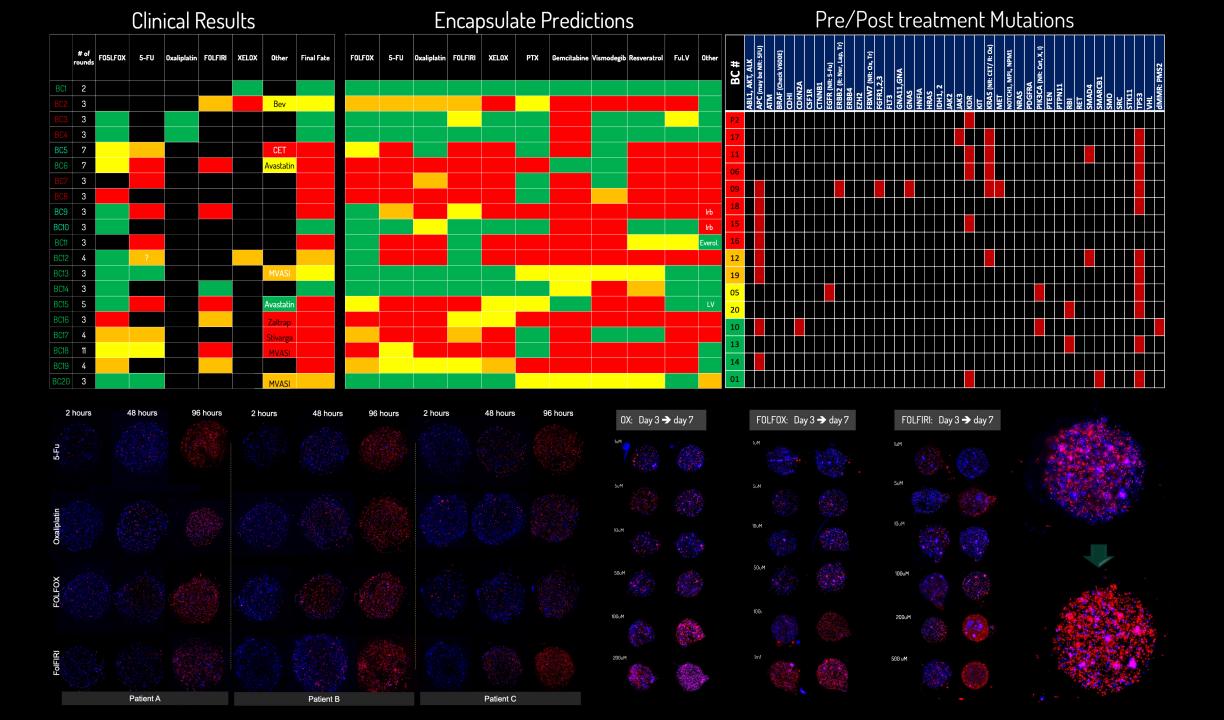
BC10

BC11

BC14

# **Encapsulate Predictions**

FOLFOX	5-FU	Oxaliplatin	FOLFIRI	XELOX	PTX	Gemcitabine	Vismodegib	Resveratrol	FuLV	Other
										lrb 
										Irb Everol.
										Everoi.
										LV



# Completed two rounds of clinical validation (colon and pancreatic)

#### **Clinical Outcomes**

In **2022-2023** Encapsulate performed retrospective clinical studies on colorectal and pancreatic cases.

**94 out of 98** RECIST outcome predictions precisely matched the clinical outcome. **100**% of survival predictions were accurate. The technology was effective in forming tumoroid for all the cancer patients tested.

#### **Assays included**

Proteomics Metabolomics Mutations Toxicity









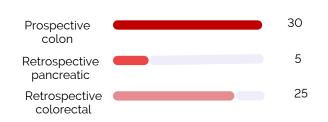
development

We **proved the accuracy** of our platform for predicting:

- Effective treatment(s) Resistance
- Drug elimination
- Minimal effective dose

### Successfully tested

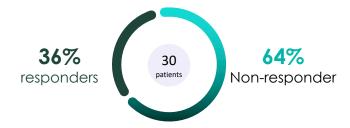
30+30 samples in different conditions, stages, and tumor locations have been tested.



Patients with tumors at stage II, III, and IV, Naïve or pre-exposed to chemo/radiation, and resected from primary tumor location or secondary sites such as abdomen, lung, ovary, and liver, have been tested successfully and shown to be predicted precisely.



#### Response rate in clinical samples



#### **Accuracy of clinical predictions**



#### **Tumoroid formation success rate**

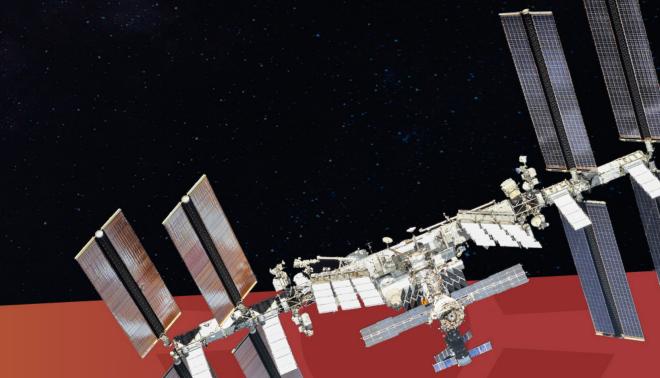


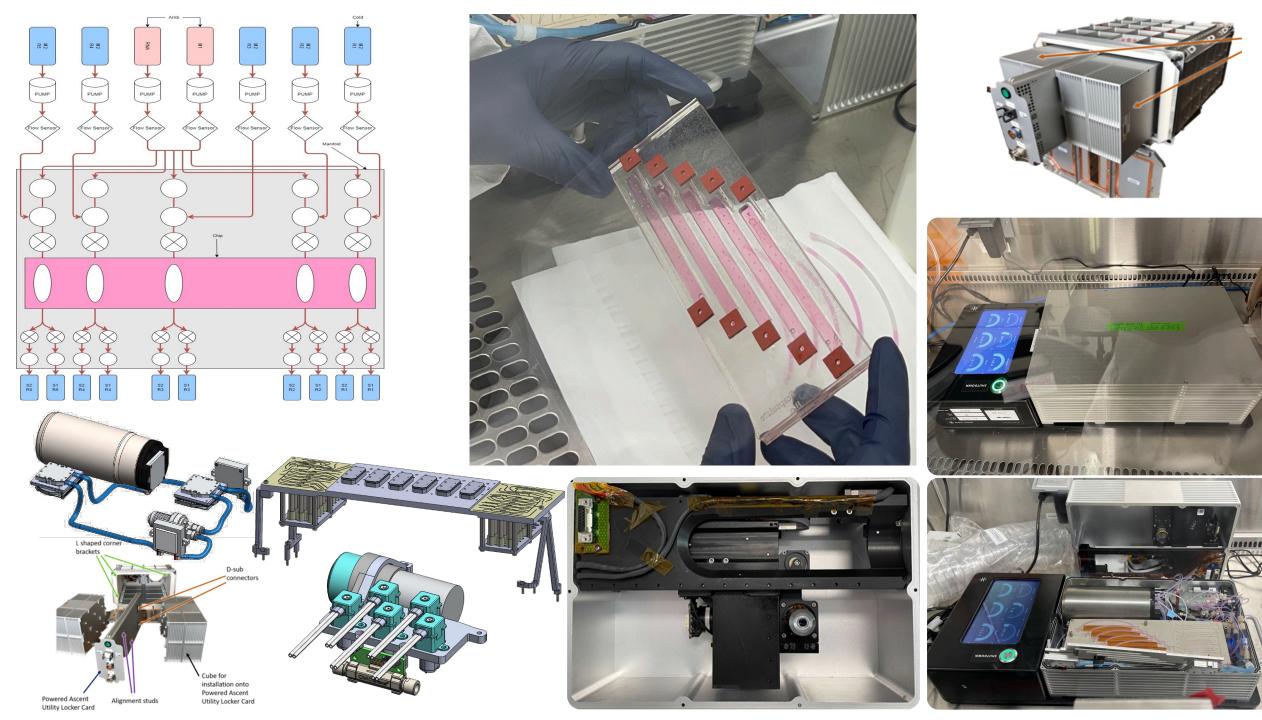


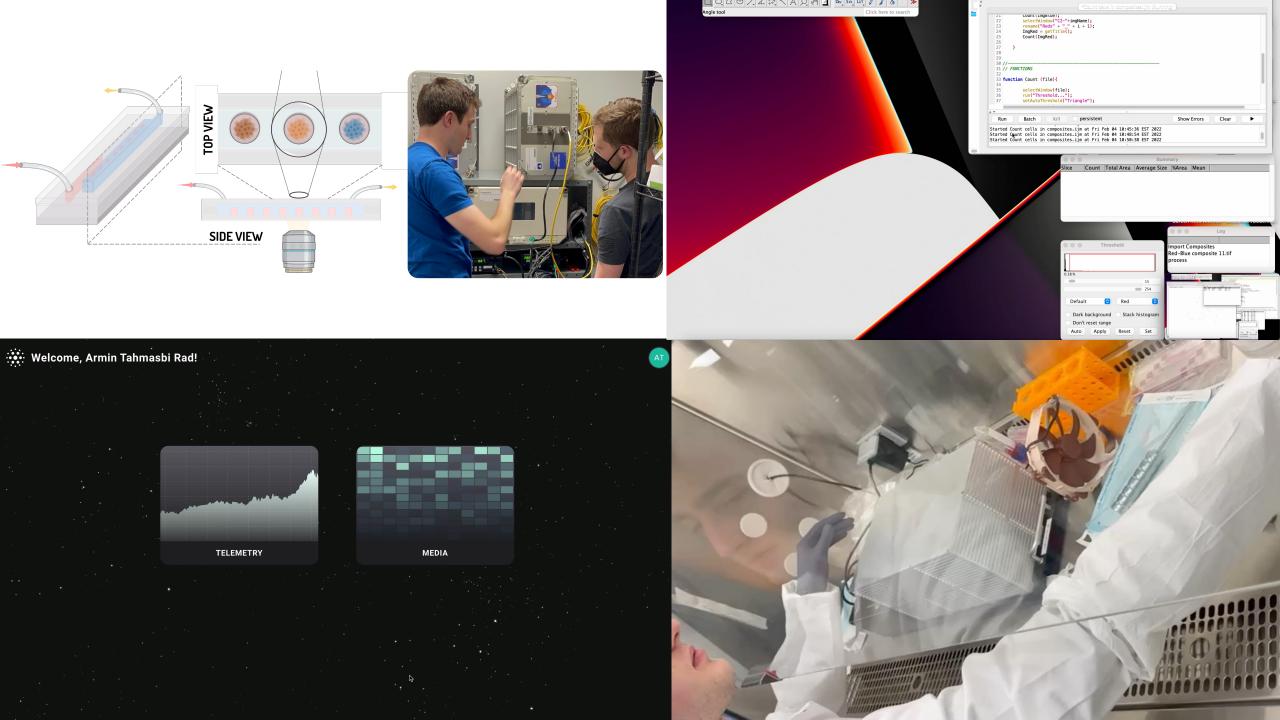


**SpaceX CRS 30 Flight Results** 



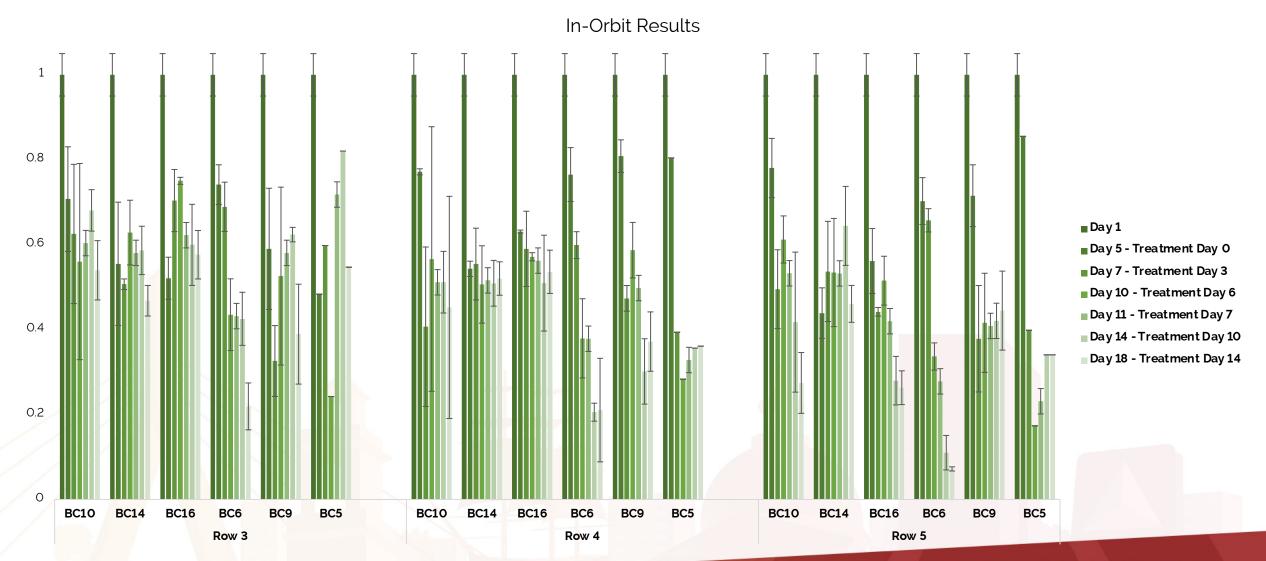




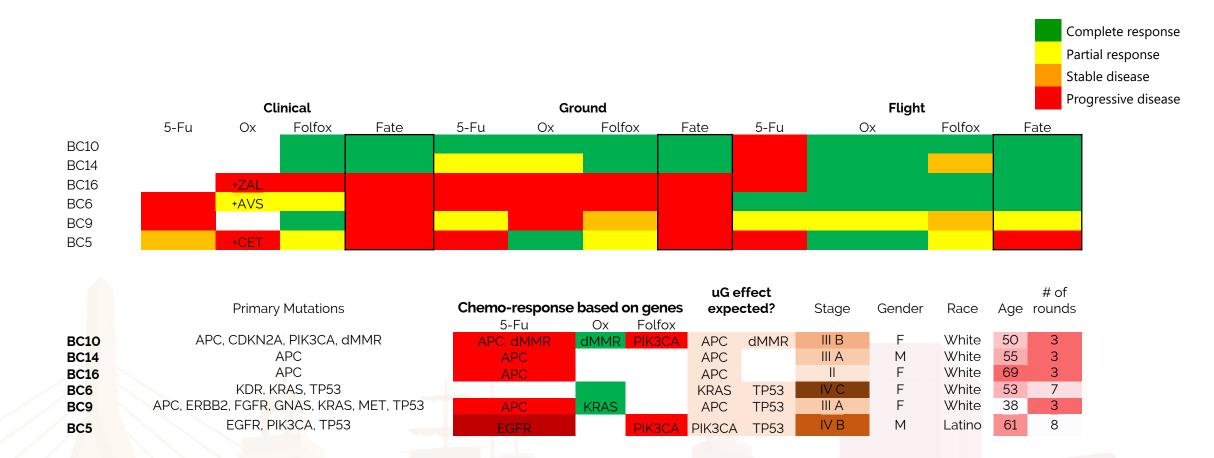




## **In-Orbit Studies**











# **CASE STUDY**

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KRAS mutation is a predictor of oxaliplatin sensitivity by the mechanism of ERCC1 downregulation

EGFR contributes 5-FU resistance in colon cancer cells through autophagy induction KRAS and BRAF Mutations in Advanced Colorectal Cancer Are Associated With Poor Prognosis but Do Not Preclude Benefit From Oxaliplatin or Irinotecan

No correlation

Supposed not to respond to Oxaliplatin (KRAS negative), and respond to 5-Fu (EGFR negative)

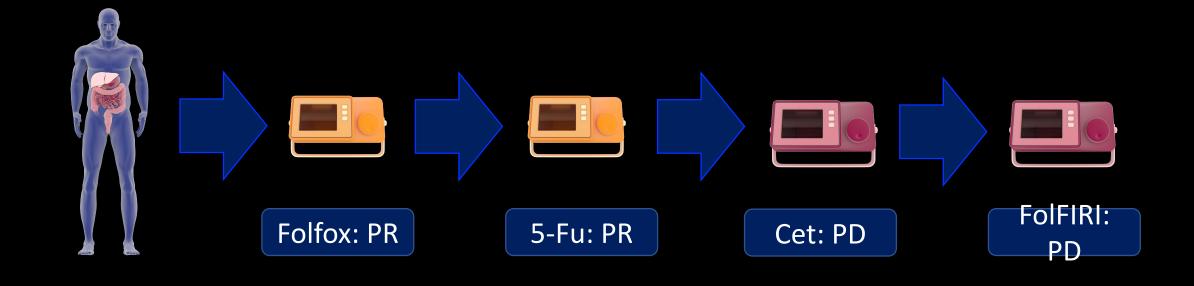
DNA							
Mismatch	KRAS	EGFR	BRAF	HER2	ROS1	ALK	
Repair	Mutation	Mutation	mutations	Mutations	rearrangement	rearangmen	Code
Intact							BC1
Intact							BC2
Intact							вс3
Intact							BC4
Intact	Negative	Negative			Negative	Negative	BC5
Intact	Positive		Negative	Negative			BC6
Intact							BC7
Intact	Negative						BC8

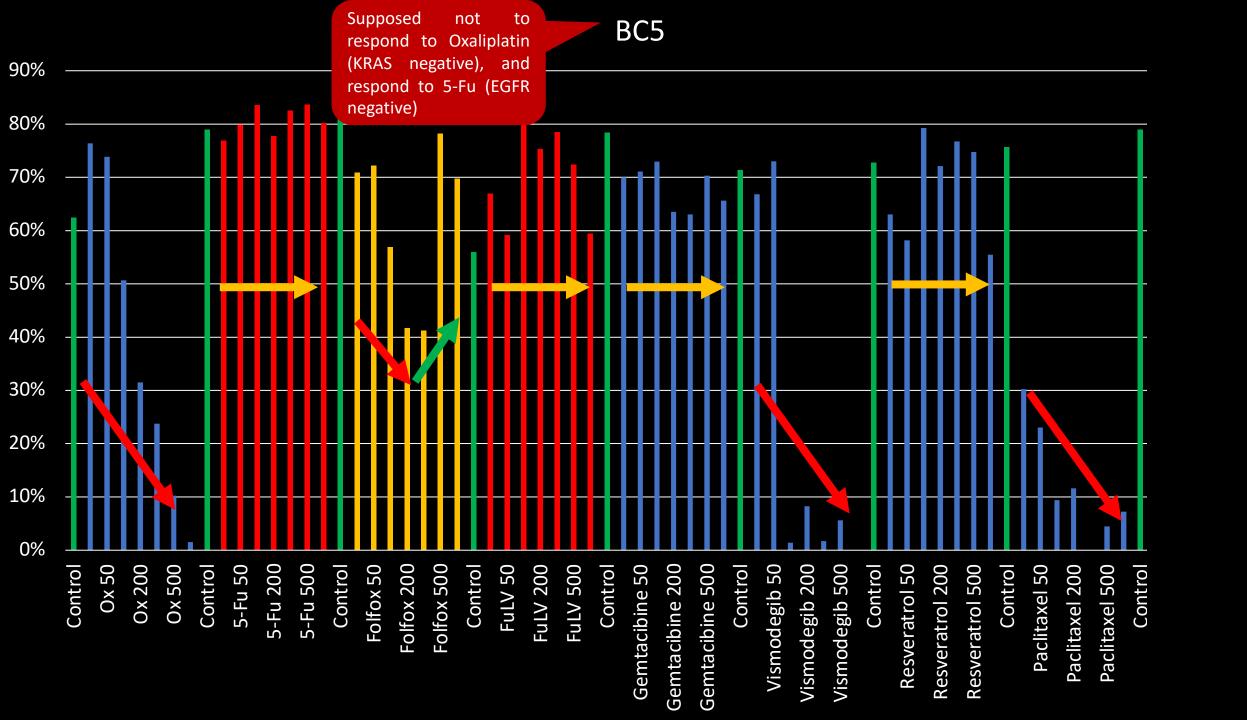
https://www.ncbi.nlm.nih.gov/pmc/articles/PMC6977699/ https://pubmed.ncbi.nlm.nih.gov/23209813/ https://jamanetwork.com/journals/jamaoncology/fullarticle/2481020 Expected to respond to Oxaliplatin (KRAS positive),

Expected not to respond to Oxaliplatin (KRAS negative),

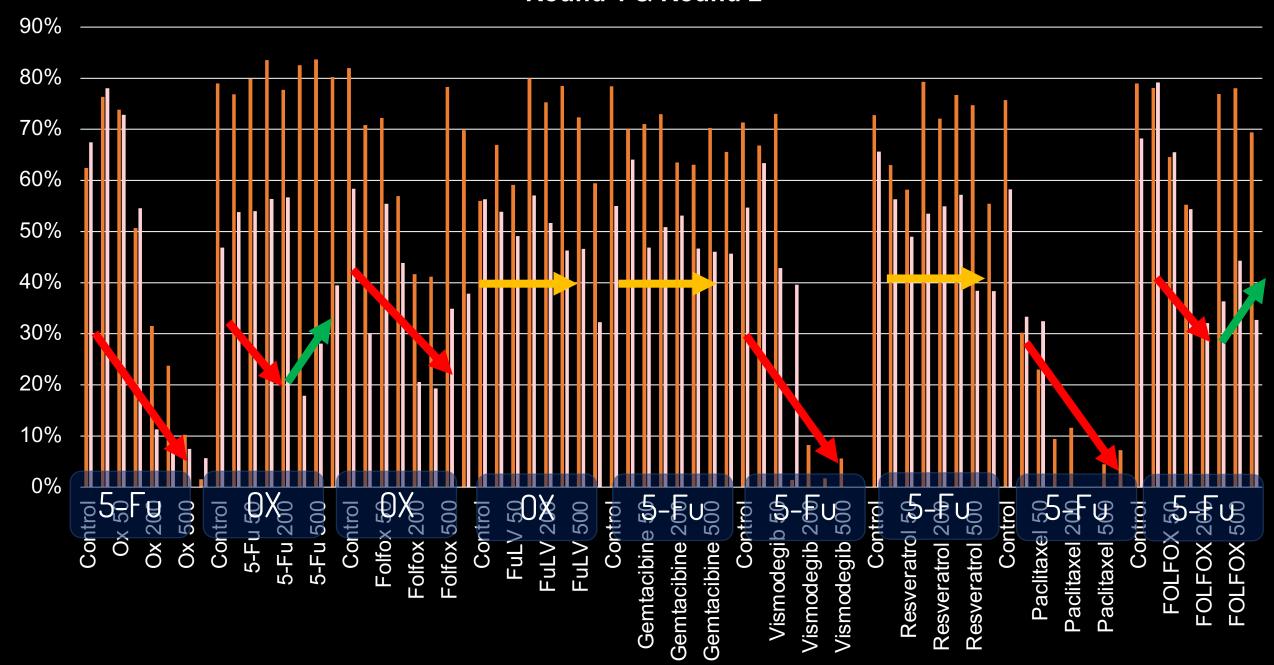
	Unique Aliquot								Specimen	Spec		Specimen	Specimen	Patient Birth	Patient Age at	Smoking	Chemo Tissue	
<b>FWID</b>	ID	Gender	Ethnicity	Race	Diagnosis	TN	М	Stage	Type	Considered	Site	age in years	<b>Collection Year</b>	Year	Collection	History	Exposure	Rad Tissue Exposure
1062			Spanish;		Colon	N	2 M									Previous		
03	164152	Male	Hispanic	Latino	Cancer	T3 a	1	IVB	LC Malignant	Primary	Colon	3	2018	1958	61	smoker	No	No

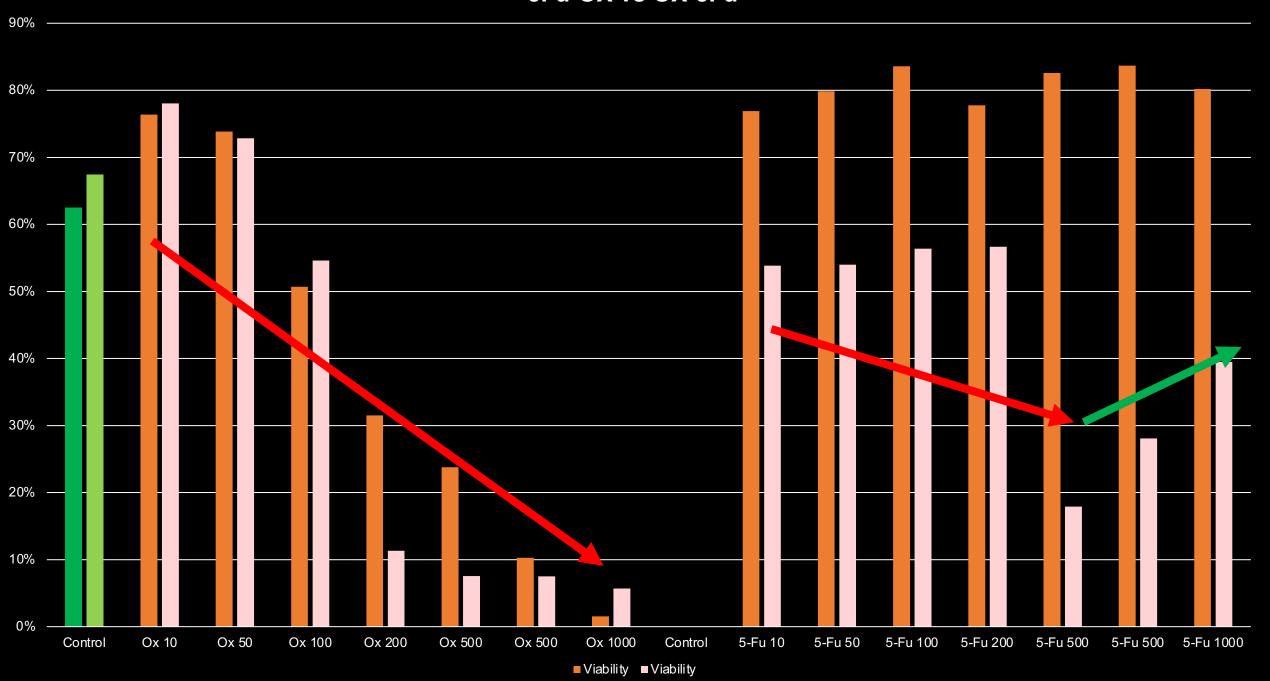
Course 1	Course 1 Start		Response to Chemo Course 1		Course 2 Start	Course 2 Chemo	Response to Course 2	Course 3	Course 3 Start	Course 3 Chemo	Response to Course 3		Course 4 Chemo Start	Course 4 Considered	Response to Course 4
Folfox			PR - Partial	Adrucil/Fluor			PR - Partial	Erbitux/Cetuxim	1		PD - Progressive				PD - Progressive
Modified	11/2018	Palliative	Response	ouracil	5/2019	Palliative	Response	ab	10/2019	Palliative	Disease	Folfiri	10/2019	Palliative	Disease



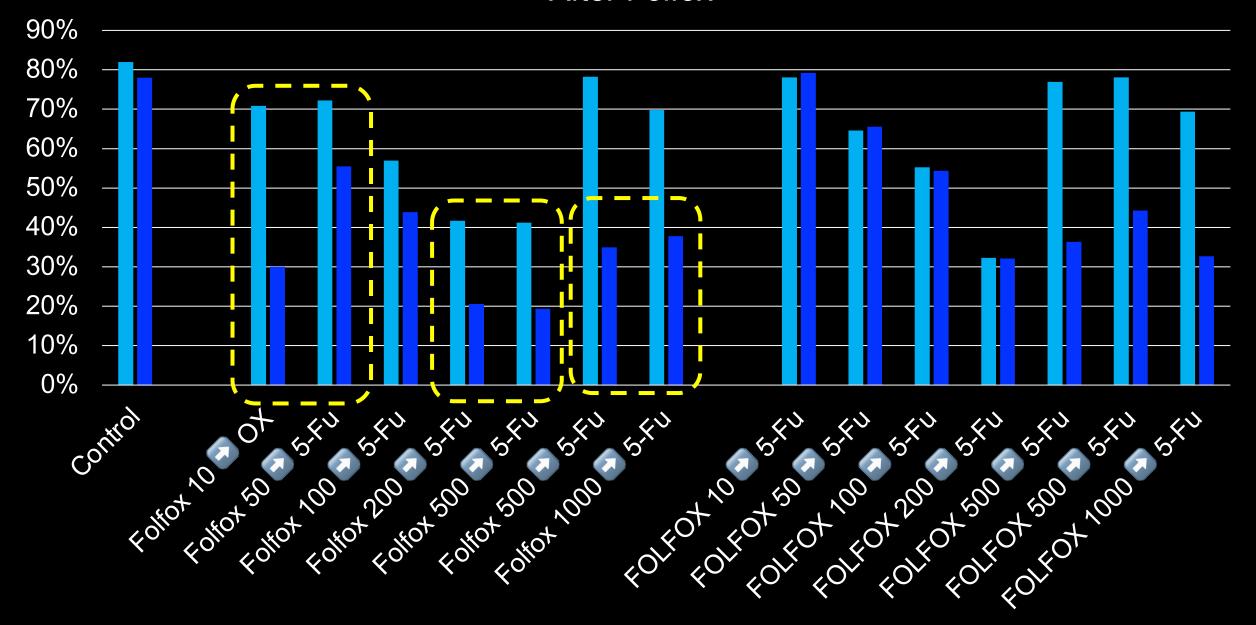


#### Round 1 & Round 2

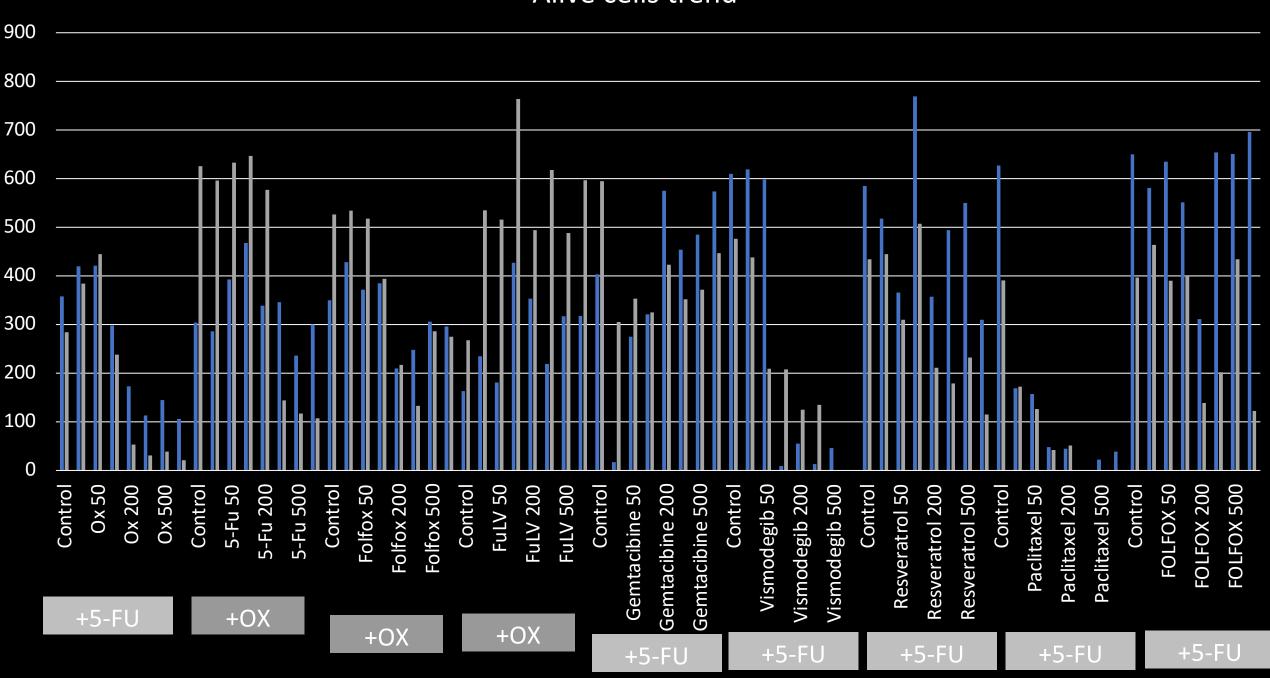




#### After Folfox



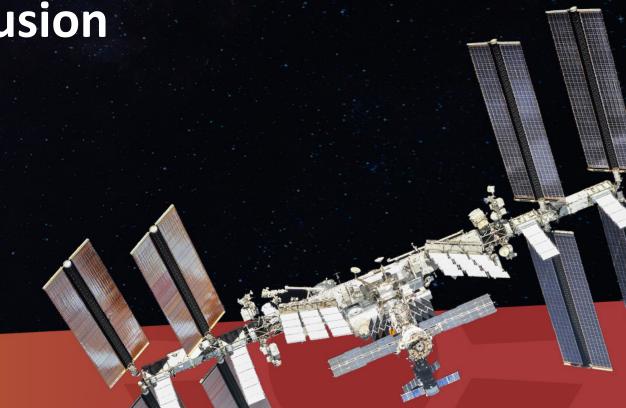
#### Alive cells trend

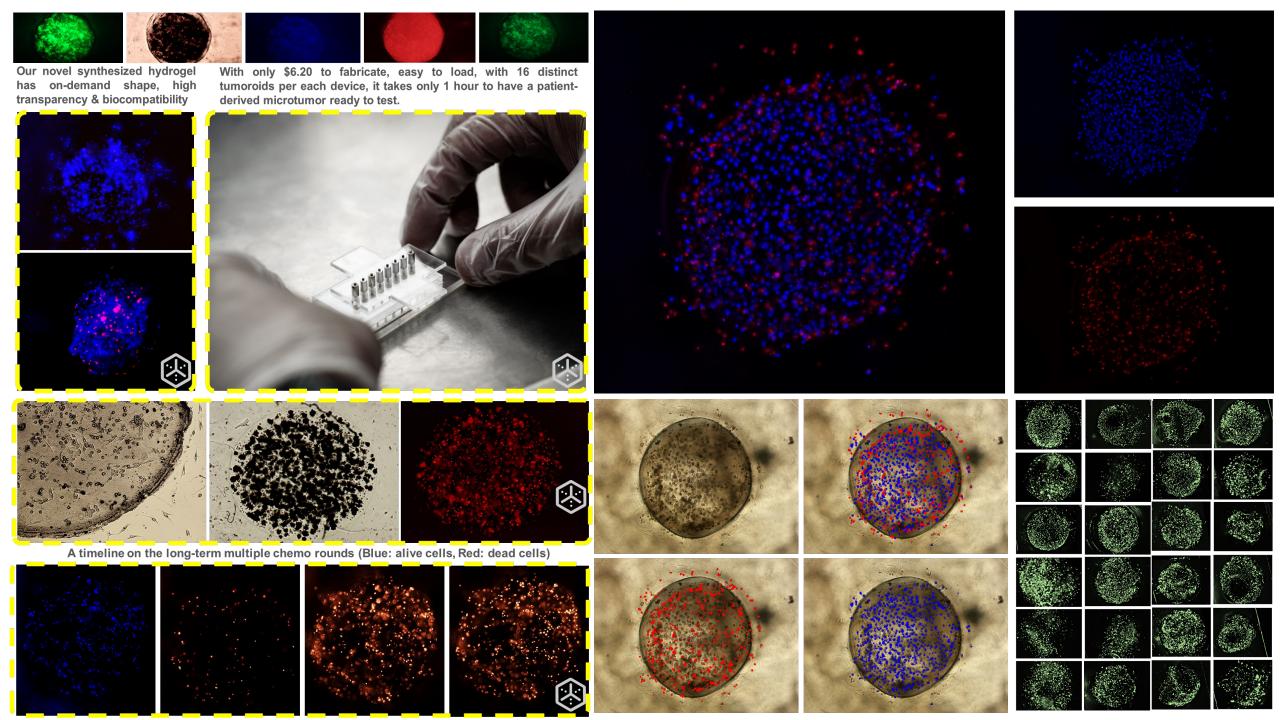




# Conclusion

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# A patient tumor-specific treatment has potential to save lives

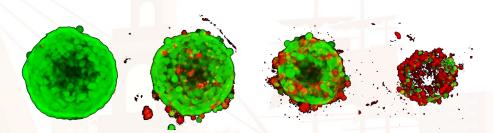
**OUR SOLUTION** 

Rapidly create hundreds of identical, miniature **patient-derived microtumors** from biopsies

Perform Functional screening of all drug candidates at different combinations and doses

Assess outcomes and deliver insights and recommendations

in seven days



#### THE OUTCOME

Treatment time reduced by **10+ months** (avg time = 15 months)

Chemotherapy-related treatment costs reduced by **\$100K+ per patient** in addition to chemotherapy-related comorbidities

**Saving lives** 



Cancer Solutions	Cell Line	Primary Cell	Spheroid	Organoid	OTSC	OOAC	Syngeneic Model	GEMM	Chemicals  Carcinogen Model	PDX/CDX	hPBMC hesc Humanized Mouse	Encapsular
Relative Cost	\$	\$	\$	\$\$	\$	\$\$	\$\$\$	\$\$\$	\$\$\$	\$\$\$	\$\$\$	\$
Preparation Time	$\odot$	0	0	0	0	$\odot$	00	000	00	00	000	0
Ease of establishing	~	~	~	~	~						~	~
Ease of long-term maintenance	~	<b>~</b>	~	~	×	×	~	~	~	~	~	~
Ease of use	~	~	~	~							~	~
Ease of passage	~	~	×	~	×	×	×	~	×	~	~	~
Ease of genetic modification	~		~	~			~	~		×	×	~
Success rate of establishment	×	~	~	~	~	~	~	~	~	~	~	~
Physiologic representation	×				~		~	~	~		~	~
Similarity to original tumor	×	×	×	~	~	<b>V</b>	×	×	×	~	~	~
Vascularization	×	×	×		~		~	~	~	~	~	~
Cell diversity	×	~	×	~	~	<b>V</b>	~	~	~	~	~	~
Preservation of heterogeneity	×	×	×		~		X	×	×		<b>*</b>	~
Preservation of tumor morphology	×	×	×	~	~	×	×	×	×	~	~	~
Preservation of microenvironment	×	×	X	×	~		~	~	~		~	~
Scalability	~	~	~	~	~	<b>~</b>	~	~	~	~	~	~
Clinical response prediction				~	~	~	×	×	X		<b>V</b>	~
High-throughput drug screening	~	~	×	~	~	~	×	×	×	~	~	~
Genome-wide screening	~		~	~	×	×	~	×	X	×	X	~
Biobanking	~	~	×	~	×	×	×	×	×	~	×	~

# We are grateful for the supports by:

**ISS National Lab** 

**Boeig Space** 

Space Tango team

Jennifer Read

**Bret Schipper** 

**John Catechis** 

Alain Berinstain

Ben Lumpp

Isabel Moore

Shelby Giza

Hartford Healthcare

John Dempsey Hospital

**UConn Health** 

MassChallenge

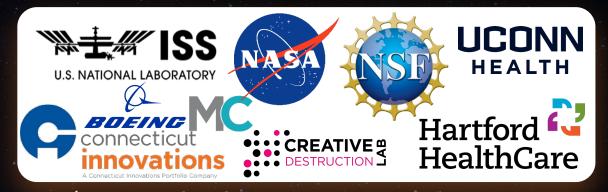
**National Science Foundation** 

And all our team members at **Encapsulate** 

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