

Fund Family Summary

Plan Name:
Account #: TBD

Fund Family	Affected Tickers	Fund Family Note
NATIXS CLASS Y	GT'EYX	A 24-hour notice is required for account establishment. TD Ameritrade Trust Company will not bear responsibility for trade rejections that may occur due to notification failure. All trade type requests for the Natixs Funds, Class Y (except where noted) must be received by TD Ameritrade Trust Company by 10:00 p.m. ET.
NICHOLAS FUNDS CLASS I	NCLX NSEIX	All trade type requests for the Nicholas Applegate Funds, Class I (except where noted) must be received by TRUSTlynx by 10:00 p.m. ET.
OPPENHEIMER FUNDS CLASS I	ODVIX	Oppenheimer Class I will only accept investments from Fee-Based Advisors/RIAs. A 48-hour notice is required for account establishment. Failure to notify may result in a rejected trade. Advance notification on trade amounts in excess of \$1,000,000.00 is required. Please notify your Relationship Manager by close of business on the day prior to execution of a trade order that meets or exceeds the "large order" requirements. TD Ameritrade Trust Company will not bear responsibility for market losses resulting from notification failure. All trade type requests for the Oppenheimer Funds, Class I must be received by TDATC by 10:00 p.m. ET.
PIMCO INSTITUTIONAL CLASS	PAAX PCRIX PEBIX PIGLX	A 24-hour notice is required for account establishment, as well as the same notification requirement is necessary for any initial trades which are less than \$100,000. TD Ameritrade Trust Company will not bear responsibility for trade rejections that may occur due to notification failure. All trade type requests for the PIMCO Funds, Institutional Class (except where noted) must be received by TD Ameritrade Trust Company by 10:00 p.m. ET.
VANGUARD	VDIGX	Vanguard Fund's frequent trading policy states that Plan participants who exchange any amount out of a Vanguard fund must wait 60 calendar days before exchanging back into the same Vanguard fund. For purposes of the policy, "exchange out" means a transaction which proceeds from redemption of shares of a Vanguard Fund in a Plan are used to purchase another investment offered within the Plan. The frequent trading policy will not apply to the following: <ul style="list-style-type: none"> a) Certain Vanguard money market and short-term bond funds (exemption can be found in fund notes); b) Purchases of shares with Plan participant payroll or employer contributions of loan repayments; c) Distributions, loans, and in-service withdrawals from a Plan; d) Redemptions of shares as part of a Plan termination or at the direction of a Plan; e) Purchases of shares by reinvestment of dividends or capital gains distributions; f) Share or asset transfers or rollovers; g) Conversions from one share class to another in the same Vanguard fund. TPAs and Recordkeepers are obligated to enforce this frequent trading policy. Vanguard reserves the right to audit and restrict trading on accounts for which the frequent trading policy is violated. Vanguard requires large trade notification for all funds. The value of a "large trade" varies from fund to fund within the Vanguard family. Please see fund notes for specifics on each individual ticker. This is not a "minimum purchase requirement", but rather an advanced notice/approval requirement. Vanguard requires that new accounts must be established 24 hours in advance of initial purchases into any Vanguard fund. TPAs and Recordkeepers must contact their Relationship Manager one day prior to uploading initial purchases into any of the Vanguard Funds. This notification requirement does NOT apply to TPAs and Recordkeepers who already have a position in a Fund. Failure to notify of a large trade or initial purchase as stated above will result in a rejected trade request. TD Ameritrade Trust Company will not bear responsibility for market losses that result from trade rejections that occur due to notification failures. All trade type requests for the Vanguard Funds (except where noted) must be received by TD Ameritrade Trust Company by 10:00 p.m. ET.

Data provided is from multiple sources including, but not limited to, TD Ameritrade Trust Company, Morningstar, DTCC, and ISS, and is subject to change without notice. Data is for reference and education purposes only. TD Ameritrade Trust Company does not guarantee the accuracy of the information and data provided. For complete fund information please consult the fund prospectus.