



UNITED STATES DEPARTMENT OF EDUCATION

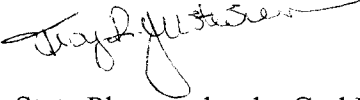
OFFICE OF VOCATIONAL AND ADULT EDUCATION

THE ASSISTANT SECRETARY

OCT 30 2007

**PROGRAM MEMORANDUM**

TO: State Directors of Career and Technical Education

FROM: Troy R. Justesen, Ed.D. 

SUBJECT: Submission of Five-Year State Plans under the Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV)

Section 122(a)(1) of the Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV or Act) requires each eligible agency desiring assistance under the Act to prepare and submit a six-year State plan to the Secretary. Section 122(a)(1) of the Act also gives States the option of submitting a one-year transition plan for the first program year covered by the Act (July 1, 2007 – June 30, 2008). Each eligible agency that submitted a one-year transition plan for the first program year must now submit a five-year State plan, covering the remaining program years, that meets all the requirements of Perkins IV, as explained in my March 12, 2006, program memorandum (*Transmittal of the Carl D. Perkins Career and Technical Education Act of 2006 State Plan Guide (OMB Approval Number: 1830-0029)*).

**Contents of State Plans**

The requirements for a State plan under Perkins IV are fully contained in the *Carl D. Perkins Career and Technical Education Act of 2006 Guide for the Submission of State Plans* (OMB Approval Number: 1830-0029) and the March 12, 2006, program memorandum. The guide and the memorandum are available on the OVAE Web site at:

<http://www.ed.gov/policy/sectech/guid/cte/perkinsiv/stateplan.doc>

<http://www.ed.gov/policy/sectech/guid/cte/perkinsiv/stateplanmemo.pdf>

The guide provides the required narrative and forms that must be included in your five-year State plan submission to implement the Act, beginning with the second program year (July 1, 2008 – June 30, 2009) and ending with the sixth program year (July 1, 2012 – June 30, 2013) authorized under the Act. Your State must submit a complete five-year State plan that addresses each item in the State plan guide.

A State may continue to, or for the first time, consolidate all or a portion of its Title II tech prep funds into its Title I basic grant (see section 202 of Perkins IV). A State also may submit all of its State plan or the postsecondary portion of its State plan as part of a unified plan under the Workforce Investment Act of 1998 (WIA), except that prior legislative approval is required for inclusion of the secondary portion of the State plan as

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part of a unified plan (see section 501(b)(1) of the WIA). A State that wishes to submit a unified plan must follow any instructions and submission requirements provided by the U. S. Department of Labor, Employment and Training Administration. For further information, please contact Janet Sten, the Federal Coordinator for Plan Review and Approval at [sten.janet@doleta.gov](mailto:sten.janet@doleta.gov) or Robin Fernkas at [fernkas.robin@doleta.gov](mailto:fernkas.robin@doleta.gov). Both can be reached by calling (202) 693-3054.

### **Negotiation on Perkins IV Performance Levels for the Core Indicators**

In the March 12, 2006, memorandum, the Department acknowledged that many States would need time to develop and implement valid and reliable measures and to change their State and local data systems before submitting new State plans under Perkins IV. In recognition of this challenge, the Secretary, using the transition authority in section 4 of the Act, permitted each State that submitted a one-year transition plan, and its eligible recipients, to submit baseline data and to reach agreement on performance levels for the first two program years only for the three core indicators (academic attainment in reading/language arts (1S1), academic attainment in mathematics (1S2), and graduation rates (4S1)) for which a State must use its State's standards, assessment, and graduation rates adopted under Title I of the Elementary and Secondary Education Act (ESEA), as amended by the No Child Left Behind (NCLB) Act. However, the Department also indicated that, when a State submitted its five-year State plan, it would need to submit baseline data and reach agreement on performance levels for program year two (July 1, 2008 – June 30, 2009) for the remaining core indicators. Therefore, each eligible agency must submit this information in its five-year State plan.

### **Submission Deadline and Instructions**

You must submit your five-year State plan, including the Cover Page, no later than close of business (5:00 pm EST) on Tuesday, April 1, 2008. State plans may be submitted in one of three ways: (1) e-mailed to [Perkins2008@ed.gov](mailto:Perkins2008@ed.gov); (2) entered into the electronic Perkins IV State Plan Database (further information on submitting plans through this database will be sent to State Directors under separate cover); or (3) mailed to the submission address below.

If you choose to transmit your plan electronically, you must submit in hard copy to the address below signed originals of any pages within the document that require a signature. This includes the Cover Page, EDGAR certifications, and other assurances.

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The Department may publish your State plan, in whole or in part, on our Web site or through other means available to us.

We look forward to reviewing your State plan and initiatives to implement the Act in the coming years. Staff of the Division of Academic and Technical Education (DATE), particularly your State Liaisons and Regional Accountability Specialists, are available at any time to answer questions that arise as you prepare your plan.