

## Bidder's Conference:

# Providing High-Quality Career and Technical Education Programs for Underserved, High-Need Youth through Technical Assistance on Pay For Success Models

*Office of Career, Technical, and Adult Education  
U. S. Department of Education*

*August 2, 2016*

*3:30 pm – 4:30 pm*

# Presenters

- \* **Johan Uvin**, Deputy Assistant Secretary, Delegated the Duties as Assistant Secretary for Career, Technical, and Adult Education (OCTAE)
- \* **Sharon Lee Miller**, Director, Division of Academic and Technical Education, OCTAE
- \* **Len Lintner**, Education Program Specialist, Division of Academic and Technical Education, OCTAE

# Topics for Discussion

- Background on PFS
- PFS Phases
- Purpose of the Competition
- Eligible Applicants
- Award Information
- Timeline
- Absolute Priority
- Application Requirements
- Selection Criteria
- Performance Measures

# Background on PFS

- \* Innovative contracting and financing model
- \* Paying for achievement of concrete, measurable outcomes for individuals
- \* Partnerships among multiple stakeholders—payors, service providers, investors, independent evaluators
- \* Growing body of high-quality CTE models

# PFS Phases

- \* Feasibility Study
- \* Transaction Structuring
- \* Contract Implementation

# Feasibility Study

- \* Identification of outcomes sought
- \* Assessment of needs, assets, and capacity
- \* Identification of challenge or barrier
- \* Identification of interventions
- \* Projections of public value
- \* Determination of willingness and capacity
- \* Development of rigorous evaluation methodology

# Transaction Structuring

- \* Coordination and support
- \* Raising capital and developing capital structure
- \* Mediating agreements between parties
- \* Aligning project design and evaluation
- \* Tracking impacts
- \* Preparing for contract implementation

# Contract Implementation

- \* Delivery of intervention
- \* Evaluation conducted
- \* Performance monitored
- \* Outcomes payments made



# Purpose of the Competition

- \* Award grant to intermediary organization
- \* Implement new, or scale up existing, high-quality CTE programs for underserved, high-need youth
- \* Focus on first two phases
- \* Four local CTE sites in feasibility study
- \* Up to three local CTE sites move to transaction structuring

# High-Quality CTE Programs

- Career academies
- Early college high schools
- CTE-focused high schools
- Linked learning
- Smaller learning communities

# Eligible Applicants

- Nonprofit organizations as defined in 2 CFR 200.70
- Public or private institutions of higher education as defined in section 101 of the Higher Education Act of 1965
- States, Local Governments, and Tribal Governments
- Consortia of the above entities
- Partnerships/consortia of the above entities and a for-profit organization

# Award Information

- \* Award amount: \$2,000,000
- \* Number of awards: One
- \* Project period: 48 month period

# Timeline

- Notice inviting applications was published on Tuesday, July 26, 2016
- Deadline for submitting applications is Thursday, August 25, 2016, at 4:30 pm Eastern Standard Time

# Absolute Priority

- Cash or In-Kind Matching: applicant must provide a 10 percent cash or in-kind match of the total grant amount
- Applicants must verify that they will provide the requisite match by submitting:
  - A letter of intent with their application; and
  - A letter of commitment that must be received no later than 4:30:00 p.m., Washington, DC time September 15, 2016.

*We consider only applications that meet this absolute priority.*

# Application Requirements

- Theory of action
- Statement of organizational capacity
- Preliminary plan to select local CTE sites to receive TA
- Preliminary work plan for conducting TA for the Feasibility Analysis and Transaction Structuring phases
- Budget and budget narrative for each of the two phases

# Selection Criteria


- Need for project (20 points)
- Quality of proposed local CTE selection (30 points)
- Quality of workplan (30 points)
- Adequacy of resources (10 points)
- Organization capacity and experience (70 points)



# Performance Measures

To determine the overall effectiveness of projects funded under this competition, the Grantee must be prepared to measure and report on the following measures of effectiveness:

- Number and percent of local CTE sites having complete feasibility studies
- Number and percent of feasibility studies that conclude a PFS approach is viable
- Number and percent of successfully completed structured transactions



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in today's Webinar!**