

Cover Page

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1. Eligible Agency (State Board) Submitting Plan on Behalf of State:

Agency Name:

2. Lead individuals completing this plan:

Select the lead individuals completing the plan. If additional individuals without accounts will be completing the plan, return to the Submit Your Report page and click "Request Access" to submit a request for additional user accounts.

1. A. Please select the individual responsible for the **narrative descriptions** in this plan:

2. B. Please select the individual responsible for the **budget** in this plan:

3. C. Please select the **lead individual who may be contacted to answer questions** about this plan:

3. Type of Perkins V State Plan Submission: 1-Year Transition Plan (FY 2019 only) State Plan (FY 2019-2023)
4. Submission of Perkins V State Plan as part of a Workforce Innovation and Opportunities Act (WIOA) combined State Plan: Yes No
5. Governor's Joint Signatory Authority of the Perkins V State Plan:

Date Governor was sent State Plan
for signature:

If the Governor has provided a letter that he or she is jointly signing the State Plan for submission to the Department, please upload the letter.

Introduction

The Colorado State Board for Community Colleges and Occupational Education (SBCCOE) oversees all Career and Technical Education (CTE) programs for the State of Colorado. The Colorado Community College System (CCCS), staff for the SBCCOE, since its creation in 1967, has provided leadership in the overall governance of program quality and teacher effectiveness. The Board approves programs and disburses funding to programs at the secondary and postsecondary level. This unique oversight of both secondary and postsecondary level programs gives Colorado an integrated view of the CTE programs that school districts, technical colleges, and community colleges offer.

As a result of this dual role, CCCS has historically been proactive in partnership activities between secondary and postsecondary CTE programs, promoting student success and seamless transitions from secondary to postsecondary educational opportunities. As an example of this dual role, CCCS set program renewal standards that require articulation agreements between school districts and community colleges.

Additionally, the SBCCOE mandates use of a common course numbering system (CCCNS) (<http://www.cccs.edu/ccns/Home.html>), requiring system community colleges to have identical course prefixes, titles, descriptions, and content. This work allows easier student transfer from one community college to another. This project also enhanced the secondary to postsecondary CTE linkages by providing a framework for Advanced Credit Pathways (formerly known as Escrow Credit) and for concurrent enrollment.

During the transitional year, a comprehensive strategic planning process will be completed to allow development and implementation of the Four Year Plan. Colorado is excited by OCTAE's message to "Be Bold" and "Re-Think" how we best provide CTE opportunities for every learner. This transition plan tries to identify areas where significant work to develop the CTE and workforce system in our state has been done and to identify areas of specific focus for the transition period to Perkins V. In reality, Colorado is taking a close look at all elements of what makes a quality CTE program, how to ensure access and equity, make data driven decisions at every level, and how to best partner with other agencies and organizations in this work. This work will not be fully completed in the transition year, but the vision, plan, and a framework for moving forward will be established so that progress can be demonstrated over the life of the resulting four-year plan.

Narrative Elements

B. Program Administration and Implementation

2. Implementing Career and Technical Education Programs and Programs of Study

- a. Describe the career and technical education programs or programs of study that will be supported, developed, or improved at the State level, including descriptions of the programs of study to be developed at the State level and made available for adoption by eligible recipients. (Section 122(d)(4)(A) of Perkins V)
- b. Describe the process and criteria and process to be used for approving locally developed programs of study or career pathways (see Text Box 3 for the statutory definition of career pathways under section 3(8) of Perkins V), including how such programs address State workforce development and education needs and the criteria to assess the extent to which the local application under section 132 will –

- i. **Promote continuous improvement in academic achievement and technical skill attainment;**
 - ii. **Expand access to career and technical education for special populations; and**
 - iii. **Support the inclusion of employability skills in programs of study and career pathways. (Section 122(d)(4)(B) of Perkins V)**
 - c. **Describe how the eligible agency will –**
 - i. **Make information on approved programs of study and career pathways (including career exploration, work-based learning opportunities, early college high schools, and dual or concurrent enrollment program opportunities) and guidance and advisement resources, available to students (and parents, as appropriate), representatives of secondary and postsecondary education, and special populations, and to the extent practicable, provide that information and those resources in a language students, parents, and educators can understand;**
 - d. **Describe how the eligible agency, if it opts to do so, will include the opportunity for secondary school students to participate in dual or concurrent enrollment programs, early college high school, or competency-based education. (Section 122(d)(4)(D) of Perkins V)**
 - e. **Provide the eligible agency’s definition for “size, scope, and quality” that will be used to make funds available to eligible recipients pursuant to section 135(b) of Perkins V.**

In Colorado, the Career Clusters are organized within six CTE industry sectors:

- Agricultural, Natural Resources and Energy;
- STEM, Arts, Design and Information Technology;
- Skilled Trades and Technical Sciences;
- Health Science, Criminal Justice and Public Safety
- Hospitality, Human Services and Education; and
- Business, Marketing and Public Administration.

Specialized CTE Pathways include: Alternative Cooperative Education (ACE), Family and Consumer Sciences Secondary CORE, and World of Work.

CCCS has organized Colorado’s existing CTE programs within a Career Clusters framework including 17 Clusters and 82 Pathways. Program renewal in Colorado occurs every five years. CTE program approval is managed through a web-based application tool.

The Colorado CTE Programs of Study incorporate secondary education and postsecondary education elements including coherent and rigorous content aligned and integrated with challenging academic standards and relevant career and technical content in a coordinated, non-duplicative progression of courses that align secondary education with postsecondary education. The Programs of Study are designed to adequately prepare students to succeed in *in-demand, high-skill, or high-wage* occupations and in related postsecondary education.

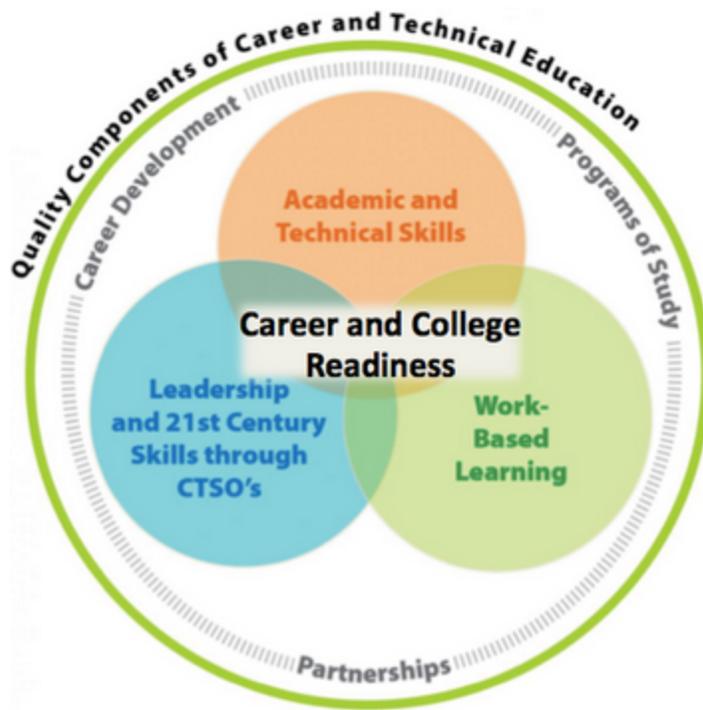
Currently, each CCCS approved CTE program must include a Program of Study. Programs of Study are locally developed.

Colorado is a large state with varied economies across its regions and with sometimes vast distances between educational institutions. It is critical that Programs of Study are localized so that students and parents can realize the educational and career opportunities of their region. Thus, the tools provided by the State assist with the implementation of the Programs of Study at a local level. The locally developed Programs of Study, based on the model templates developed in 2017, became an integral part of the CTE program approval process reviewed by CTE Program Directors. In the transition to Perkins V, Colorado is moving toward incorporating Programs of Study into its web based program approval application system.

Currently, new CTE programs must meet a set of standards for an initial five-year approval. One of the requirements for approval of a new program as well as for renewal of an established program is that the institution offering the program document the economic or workforce demand for the program to help ensure positive employment opportunities for learners. Each CTE program is further required to have an advisory board comprised of at least 51 percent business and industry representation. This helps ensure CTE programs address State workforce development, education, and training needs.

Established programs must meet additional standards for program renewal approval that document the program quality. At the secondary level, standards for CTE program approvals assure compliance with the Programs of Study criteria that include a coordinated, non-duplicative progression of courses that align secondary education with postsecondary education. Additionally, all secondary level CTE programs are required to provide at least one method by which students are given the opportunity to articulate to advanced level work. Such methods include articulation agreements, dual/concurrent enrollment, Early/Middle College or the Colorado Advanced Credit Pathway. Under Perkins IV, certain programs that are designed to teach general life skills and/or general employability skills, as opposed to specific career pathway skills, were encouraged to utilize these same articulation methods where practical, but were not required to do so. Postsecondary level CTE programs are encouraged to provide their students with the opportunity to articulate to advanced level work where practical. Relatively new state legislation allows community colleges to develop and provide Bachelors of Applied Sciences (BAS) degrees in career pathways that are not currently included in four-year college or university level studies.

Approved programs must include basic and advanced academic standards, specific technical standards and general employability standards, including leadership standards. These standards have been supported by many years of coordinated, extensive work of CCCS staff in developing CTE program crosswalks, aligning standards of the occupation to the CTE courses and the integration of leadership skills and concepts through career and technical student organization (CTSO) involvement. CTSO activities integrated in the classroom enhance the academic curriculum and employability skills of communications, teamwork, leadership, goal setting, and technology use. These standards align to Colorado P-12 Academic Standards (Common Core State Standards) and to The Postsecondary and Workforce Readiness indicators. The diagram below depicts the required elements of a CTE program in Colorado.



As part of the transition to Perkins V, Colorado has conducted a statewide strategic visioning and planning process for the future of CTE in conjunction with diverse stakeholder groups and feedback from a series of meetings and engagements across the state. That process identified a goal related to identifying and developing even more robust and quality CTE programs. During the transition year, CCCS will continue to engage stakeholders in discussions around and development of a process for further defining and assessing CTE program quality.

Under Perkins IV, the Perkins local plan required grant recipients to detail how Perkins funds would be used to promote continuous improvement, including in academic achievement and technical skill attainment. Additionally, the local plan required grant recipients to review any deficiencies and identify strategies to address any gaps by demographic, as applicable, to ensure access for special populations. Plans were reviewed by CCCS against a standard set of criteria including checks for reasonableness, completeness, and compliance. Where appropriate, grant recipients had to make revisions or provide additional information prior to approval of the plan and associated budget. As part of the transition process, Colorado will review its existing local plan and create a new local application for section 134 that includes criteria to assess the extent to which local recipients will promote continuous improvement in academic achievement and technical attainment, expand access to CTE for special populations as well as address any gaps that they may identify, and continue to support the inclusion of employability skills in programs of study and career pathways. Multiple stakeholders will be involved in the process and CCCS will continue to have a robust initial review against a standard set of criteria developed to evaluate local grant applications. Much of this work will intersect with implementation of Colorado’s strategic vision for CTE, which will also include components of transparency and communication with stakeholders.

CCCS already makes a variety of reports about CTE programs, locations, content of the program, and performance available to the public, including students, parents, and others. As

part of the transition to Perkins V, CCCS is exploring mechanisms for increased public information and reporting. At the local level, school districts and colleges frequently make information about their offerings available to the public in a variety of languages and formats. Further, the Colorado legislature passed a law in 2018 requiring school districts to provide students and parents with information about dual or concurrent enrollment program opportunities available through the school district.

For career counseling, work-based learning opportunities, and information about education and training providers, Colorado is in the process of developing a web-based tool named “My Colorado Journey”. This resource will provide job seekers, learners, parents, and others access to skill and interest assessments, career exploration and information about education and training opportunities at whatever point the user is at in their education or employment journey. As it is implemented, this tool will be one more mechanism to make information available.

In addition to the local school district notices about concurrent or dual enrollment noted above, Colorado already has numerous opportunities for students to participate in earning postsecondary credit. An increasing number of early colleges and P-tech schools or programs have appeared on the landscape as options for students as well. The state has taken steps to implement policies to award credit for both competency-based education as well as credit for prior learning assessment. Postsecondary credit options are an area of interest and focus for multiple partners across Colorado and are sought after by secondary students and parents. In the transition to Perkins V, CCCS will explore how Perkins funds might appropriately be leveraged in the current environment to provide even more access to postsecondary credit options for both secondary and adult learners, particularly in CTE program areas.

Each of the elements included in this section – elements of CTE programs, Programs of Study, local application review, communication about CTE offerings, and postsecondary credit options all point to a need for a definition of “size, scope, and quality” within CTE. Generally, a CTE program of sufficient “size, scope, and quality” has met the criteria established for program approval status in Colorado. For example, a non-duplicated sequence of courses that ensures sufficient student to instructor ratios, includes progressive and rigorous academic, technical and employability standards, provides work-based learning opportunities, postsecondary credit options, incorporates feedback from an advisory board, includes a program of study and incorporates leadership development opportunities for students are minimum elements would be a CTE program that met that definition. These components are reviewed in desktop monitoring activities that evaluate individual CTE program performance as part of the program renewal process.

During the transition year, with feedback from stakeholders, CCCS further define “size, scope, and quality”, including the assessment of those elements. While CCCS currently has definitions related to each of these terms, the passage of Perkins V provides a timely opportunity to reassess and determine whether the existing definitions are sufficient as well as sufficient to move the needle on the CTE opportunities provided to learners in Colorado. For purposes of the transition year, eligible recipients with at least one approved CTE program and Program of Study or districts that contract with an eligible recipient with an approved CTE program and Program of Study will have funds made available pursuant to section 135(b).

3. Meeting the Needs of Special Populations

- a. Describe the eligible agency's program strategies for special populations, including a description of how individuals who are members of special populations –
 - i. Will be provided with equal access to activities assisted under this Act;
 - ii. Will not be discriminated against on the basis of status as a member of a special population;
 - iv. Will be provided with appropriate accommodations;

In addition to the baseline expectation that grant recipients will have policies to ensure compliance with federal laws and regulations around civil rights, Colorado has taken steps under Perkins IV to encourage the adoption of strategies and policies to provide equitable access for each learner interested in CTE. These equity and inclusion efforts will continue under Perkins V. As Colorado goes through the transition year, past practices and strategies will be reviewed and those that have been successful in engaging every student interested in CTE will continue. Strategies identified as ineffective or that have had an unintended, but disparate impact, will be discontinued. Compliance checks will be incorporated into our systems and processes; however, minimum compliance is not considered sufficient to successfully serve every student who comes to our grant recipients' doors. Equity, access, and inclusion will be foundational to Colorado's approach to developing strategies and promising practices will be reviewed and shared as appropriate. Additionally, Colorado CTE staff will be provided training to equip instructors, counselors, student organization advisors, and others to have meaningful career conversations with every student in our state.

Through the comprehensive local needs assessment process required by Perkins V, Colorado plans to implement a regional local needs assessment methodology where a variety of data are reviewed, including where gaps may exist. As part of the needs assessment process, local partners and stakeholders will have to work collaboratively to identify and analyze gaps, such as those related to special populations, and to come up with solutions for addressing both gaps and opportunities. It is expected that in addition to the needs assessments occurring locally, that grant recipients will continue the dialogue with their partners and respond to questions in the local grant application indicating how funding may be used to help address some of the grants identified.

With the addition of the funds in Perkins V for the recruitment of special populations, creative strategies for recruitment and retention efforts not previously tried in Colorado will be tested as well.

Additionally, CCCS will supplement the local application descriptions with two more systems that monitor equitable access.

1. Each CCCS approved career and technical education program must be renewed for approval a minimum of once every five years. The five-year program renewal review includes analysis of student enrollment demographics including enrollment data of students with disabilities, gender and minorities.
2. CCCS has an Internal Audit division which conducts the Methods of Administration monitoring of local recipients' utilizing the federal Guidelines for Eliminating Discrimination and Denial of Services on the Basis of Race, Color National Origin, Sex and Handicap in

Vocational Education Programs - 34 CFR, Part 100, Appendix B (Guidelines), as required by Methods of Administration (MOA) with the United States Department of Education - Office for Civil Rights for compliance monitoring throughout Perkins IV. The review criteria have substantial emphasis on ensuring that sub-recipients take appropriate steps to ensure that individuals with protected status including individuals with disabilities will be provided with equal access to activities and services.

Finally, school districts ensure appropriate accommodations through the provision of Section 504 or individual education plans for eligible students. At the postsecondary level, student services have a coordinator who works with students to determine eligibility and appropriate accommodations as well. Employees such as CTE teachers, paraprofessionals, counselors and advisors are expected to be provided appropriate accommodations as stipulated by local, state, and federal policies as well.

C. Fiscal Responsibilities

- 3. Describe the criteria and process for how the eligible agency will approve eligible recipients for funds under this Act, including how –**
 - a. Each eligible recipient will promote academic achievement;**
 - b. Each eligible recipient will promote skill attainment, including skill attainment that leads to a recognized postsecondary credential; and**

In Colorado, eligible recipients are identified according to sections 2, 3, 131, and 132 of the Strengthening Career and Technical Education Students for the Twenty-First Century Act. Section 2 stipulates that the purpose of the law is to “develop more fully the academic knowledge and technical and employability skills of secondary education students and postsecondary education students who elect to enroll in career and technical education programs and programs of study”. Each eligible recipient that has a CTE program and program of study approved by the State Board for Community Colleges and Occupational Education may be approved to receive funds under this Act.

To have an approved CTE program or program of study in Colorado requires that the local educational agency has successfully completed the program approval process. Program approval requires completion of an approved program of study that leads to a recognized postsecondary credential, offering a sequence of CTE courses sufficient in size, scope, and quality to comprise a CTE program, offering work-based learning opportunities to students, leadership development such as through a CTE student organization, and the integration of applied academics. The program approval process also has elements of quality embedded such as the extent to which advisory committees comprised of business and industry representatives are included in program oversight.

As part of the transition to Perkins V, Colorado is exploring strategies for continuing to increase academic achievement within CTE programming as well as technical skill attainment, particularly that leading to a recognized postsecondary credential. This work will be focused around reviewing the existing CTE Program Approval process and development of a rubric for assessing program quality across the state.

- 4. Describe how funds received by the eligible agency through the allotment made under section 111 of the Act will be distributed –**
- a. Among career and technical education at the secondary level, or career and technical education at the postsecondary and adult level, or both, including how such distribution will most effectively provide students with the skills needed to succeed in the workplace; and**
 - b. Among any consortia that may be formed among secondary schools and eligible institutions, and how funds will be distributed among the members of the consortia, including the rationale for such distribution and how it will most effectively provide students with the skills needed to succeed in the workplace. (Section 122(d)(8) of Perkins V)**

In Colorado, allotments are calculated as follows:

State Administration: 5 percent of allotment

Colorado will set aside 5 percent of the Basic Grant award for State Administration. This amount is matched by the State of Colorado.

State Leadership: 10 percent of allotment

Colorado will set aside ten percent of the Basic Grant award for Leadership activities, including a minimum of .1 percent of the leadership funds for recruitment of special populations, \$60,000 for services that prepare individuals for nontraditional career fields and \$60,000 to serve individuals in state correctional institutions.

Total Local Funds Allocation:

Colorado will distribute 85 percent of the allotment to sub-recipients. To be eligible, the recipient must be an eligible public local educational agency and either have CCCS approved CTE program(s) or have a legal contract with an eligible recipient that does have CCCS approved CTE program(s.)

Non-Reserved Local Funds: 85 percent of Total Local Funds Allocation

Colorado will distribute 60 percent of the Total Non-Reserved local funds to eligible and participating postsecondary institutions and 40 percent of the Total Non-Reserved local funds to eligible and participating secondary school districts.

The Colorado Community College System will continue with its historical split of 60 percent of the Non-Reserved Local funds for postsecondary education and 40 percent for secondary education for the following reasons:

- All postsecondary area technical colleges (ATC) are included in the postsecondary percentage split.
- Average per pupil funding for secondary students is significantly higher than the College Opportunity Fund (COF) stipend per Student Full-time Equivalent (SFTE) for postsecondary students.

- Through the Colorado Technical Act state appropriations, secondary recipients receive approximately \$25 million to help offset the high cost of secondary CTE programs.

As part of the transition to Perkins V, this funding split has been revisited with CCCS leadership and CTE leadership across the state and will likely stay the same in the next State Plan. Outside of the transition process, the split will be revisited only if the aforementioned factors materially change.

Reserve: 15 percent of Total Local Funds Allocation (Per Section 112 (c))

During the transition year, Colorado will distribute 60 percent of the total Reserve funds to eligible and participating secondary school districts and 40 percent to eligible and participating postsecondary institutions.

Within each secondary and post-secondary allocation, these distributions will be further directed with 90 percent of the reserve funds distributed to recipients in rural areas [Section 112(c)(1)] as well as to those serving high percentages of CTE students [Section 112(c)(2)]. The remaining ten percent of the Reserve funds will be distributed equally to areas with high numbers of career & technical education students [Section 112(c)(3)]. For all eligible recipients, reserve funds must be used on the local uses of funds activities under Section 135 of Perkins.

As Colorado fully transitions to Perkins V, we expect to shift toward a more competitive distribution for the majority, if not all, of the reserve funds. The goal would be to spur more innovative thinking and projects that can be scaled in different regions of the state to better support CTE programs and learners at both the secondary and postsecondary levels. Changing the way reserve funds are used also supports activities that provide students with the skills needed to succeed in the workplace.

Under Perkins IV, Colorado did not have any eligible postsecondary institutions with allocations less than \$50,000 and eligible secondary school districts with allocations of less than \$15,000 were encouraged to form consortia with other eligible secondary school districts. Due to remote distances and the unique geographical terrain of the mountains, Colorado has allowed waivers to the consortia recommendation. During the transition to Perkins V, Colorado will evaluate its processes for granting waivers. Districts will be more strongly encouraged to consort where possible, in part to push resources regionally and to encourage rural grant recipients to consider how to bring critically needed CTE programs to their regions, even if it is not possible to bring the program to each individual school or district.

Consortia receive a total of each member school district's allocation as calculated following Section 131(a) of the Act. However, consortia are expected to fund projects, developed by membership consensus, that are mutually beneficial to all members. Consortia directors and each consortium member are informed of their total Perkins allocation estimate per year, rather than formula amounts per member district. Annual local applications and budgets are submitted to CCCS for review and approval, per consortium, rather than per member district of the consortium.

These distribution methods are expected to provide students with the skills needed to succeed in the workplace by ensuring resources are distributed broadly across the state and that supplemental resources targeting specific needs can be funded through reserve funds. As Colorado fully transitions to Perkins V, early results from stakeholders indicate that the secondary performance indicator around program quality that is most likely to be selected is the measure related to work-based learning. If selected, this measure would further drive how grant funds are used at the local level to support students in developing skills needed to succeed in the workplace, in academics, technical content and postsecondary workforce readiness skills.

- 5. For the upcoming program year, provide the specific dollar allocations made available by the eligible agency for career and technical education programs and programs of study under section 131(a)-(e) of the Act and describe how these allocations are distributed to local educational agencies, areas career and technical education schools and educational service agencies within the State. (Section 131(g) of Perkins V)**

In Colorado, the secondary local educational agency is defined as the secondary school district or Board of Cooperative Educational Services (BOCES) as authorized by the State Department of Education. Each district recipient, following the approval of its annual Perkins local application, determines how much of the allocation is awarded to each CTE program within the district/BOCES/consortium, including programs in district-recognized charter schools and district-recognized “Designated Career Technical Schools (DCTS.)” The “Designated Career Technical Schools” are secondary centers that house multiple secondary CTE programs (usually higher cost, lab-intensive programs) for the entire district. Any student in the school district can participate in these programs and these schools often contract with neighboring districts to provide access to additional students as well. The district may also have other approved CTE programs located in the district’s comprehensive high school(s). These programs also can receive the funding, again, based on the district’s local application and budget as submitted to and approved by the state annually.

The secondary school district’s allocations are calculated per Section 131 and per Section 112(c).

The allocations are distributed on a reimbursement basis, following approval of an annual local plan and budget application.

- 6. For the upcoming program year, provide the specific dollar allocations made available by the eligible agency for career and technical education programs and programs of study under section 132(a) of the Act and describe how these allocations are distributed to eligible institutions and consortia of eligible institutions within the State.**

In Colorado, postsecondary agencies eligible for Perkins funds are limited to all Colorado public community colleges and three postsecondary area technical colleges (ATCS), also called Technical Colleges and the funds are for approved CTE programs that award certificates or associate degrees. During the transition year, Colorado will explore the

possibility of using Perkins funds to fund community college Bachelor's of Applied Sciences Degrees.

[Note: A DCTS is a secondary area vocational school recognized by Secondary School Districts. Whereas, an ATC is a postsecondary area vocational school authorized by State legislation. These ATCs/Technical Colleges award postsecondary certificates but these agencies do not award postsecondary degrees. The Community College System has implemented a statewide articulation agreement that allows ATC graduates to transfer completed certificates of 45 credits or less to a community college and then complete an AAS degree in Applied Technology by completing an additional 15 – 18 credits of general education courses at the community college.]

The postsecondary agency's allocations are calculated per Section 132 and per Section 112(c) of Perkins V.

The allocations are distributed on a reimbursement basis, following approval of an annual local application and budget application.

7. Describe how the eligible agency will adjust the data used to make the allocations to reflect any changes in school district boundaries that may have occurred since the population and/or enrollment data was collected, and include local education agencies without geographical boundaries, such as charter schools and secondary schools funded by the Bureau of Indian Education. (Section 131(a)(3) of Perkins V)

In Colorado, charter schools are part of a school district and thus receive funds through their school district. However, those charter schools are not limited to a normal school district boundary. As such, Colorado determines the number of students residing in a district but attending a Charter School Institute (CSI) charter school. Based on that, CCCS adjusts the poverty population for each district (i.e., for students living in District A, subtract the number of students attending a CSI school from District A's SAIPE poverty count).

Based on each district's poverty rate, the rate is applied to the number of students living in each district but attending a CSI school to get a number of youth in poverty for CSI students from across all districts.

As a result, CSI then has fields filled into the allocation formula like any other district, having values for poverty and population, as well as unduplicated CTE enrollment figures.

CCCS revises formula populations, if a school district changes boundaries, splits or merges, at the earliest date that new population information is available. CCCS works with the Colorado Department of Education to obtain any population data changes, if school district boundaries change. Colorado does not have any districts operated by the Bureau of Indian Affairs.

8. **If the eligible agency will submit an application for a waiver to the secondary allocation formula described in section 131(a) –**
 - a. **Include a proposal for such an alternative formula; and**
 - b. **Describe how the waiver demonstrates that a proposed alternative formula more effectively targets funds on the basis of poverty (as defined by the Office of Management and Budget and revised annually in accordance with section 673(2) of the Community Services Block Grant Act (42 U.S.C. 9902(2)) to local educational agencies with the State. (Section 131(b) of Perkins V)**

Also indicate if this is a waiver request for which you received approval under the prior Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV).

No waiver is expected to be submitted for the secondary allocation formula described in section 131(a). Colorado will continue to distribute the secondary funds as follows: Non-Reserve funds for secondary recipients (40 percent of 85 percent of the Total Local Funds Allocation) are distributed per the following formula:

- Seventy percent is allocated to eligible secondary school districts in proportion to the number of individuals aged 5 through 17, inclusive, who reside in the school district and are from families below the poverty level for the preceding fiscal year as determined on the basis of the most recent satisfactory data used per the ESEA of 1965, compared to the total number of such individuals who reside in the school districts served by all school districts in the State for such preceding fiscal year.
- Thirty percent is allocated to eligible secondary school districts in proportion to the number of individuals aged 5 through 17, inclusive, who reside in the school district for the preceding fiscal year compared to the total number of such individuals who reside in the school districts served by all school districts in the State for such preceding fiscal year, as determined on the basis of the most recent satisfactory data provided to the Secretary by the Bureau of the Census for the purpose of determining eligibility under title I of the ESEA of 1965.

9. **If the eligible agency will submit an application for a waiver to the postsecondary allocation formula described in section 132(a) –**
 - a. **Include a proposal for such an alternative formula; and**
 - b. **Describe how the formula does not result in a distribution of funds to the eligible institutions or consortia with the State that have the highest number of economically disadvantaged individuals and that an alternative formula will result in such a distribution. (Section 132(b) of Perkins V)**

Also indicate if this is a waiver request for which you received approval under the prior Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV).

The State Board for Community Colleges and Occupational Education (SBCCOE) will submit a waiver to the postsecondary allocation formula described in section 132(a) as was approved under Perkins III and IV. Colorado created an alternative formula for postsecondary recipients to address a specific, unique situation. Emily Griffith Technical College (EGTC) has a significant

population of students that are economically disadvantaged but do not participate in federal financial aid programs. This college, in an attempt to better serve extremely low-income individuals, maintains very low tuition rates. Many EGTC students are resistant to completing grant applications and government forms. Therefore, Colorado uses an alternative formula for ten percent of the non-reserved (basic) postsecondary funds to include students who receive financial assistance from the Colorado Department of Human Services. This provides a more equitable distribution of funds to recipients that have high numbers of economically disadvantaged students, and more accurately captures the total population of economically disadvantaged students across the entire state. The request would be for approval to continue to distribute Non-Reserved funds for postsecondary recipients (60 percent of 85 percent of the Total Local Funds Allocation) per the following formula:

- Ninety percent of the non-reserved (basic) postsecondary share shall be allocated on the number of individuals who are Federal Pell Grant recipients enrolled in such institution in the preceding fiscal year.
- Ten percent of the non-reserved (basic) postsecondary share shall be allocated on the number of non-Pell grant recipients enrolled in such institution in the preceding fiscal year that receive financial assistance from the Colorado Department of Human Services.

10. Provide the State’s fiscal effort per student, or aggregate expenditures for the State, that will establish the baseline for the Secretary’s annual determination on whether the State has maintained its fiscal effort, and indicate whether the baseline is a continuing level or new level. If the baseline is new, please provide the fiscal effort per student, or aggregate expenditures for the State, for the preceding fiscal year. (Section 211(b)(1)(D) of Perkins V)

For the fiscal year ending June 30, 2018, which is the most recent year with complete data, Colorado’s aggregate expenditures for the State for CTE were \$26,814,481. This baseline is a continuing level. Colorado is not planning to reduce the maintenance of effort baseline level by 5% for federal program year 19 (7/1/19 to 6/30/20).

Note - this file includes only the preliminary allocations to eligible secondary institutions in Colorado. Further, the basic grant funds distributed include carryover funds from the prior year.

Perkins FY	Consortium	District Name	Non-rounded Basic (Non-Reserved) (19-20)
20	Stand-Alone Districts	ADAMS 1 - MAPLETON	\$47,124
20	Stand-Alone Districts	ADAMS 12 - NORTHGLENN/THORNTON	\$224,758
20	Stand-Alone Districts	ADAMS 14 - COMMERCE CITY	\$76,625
20	Stand-Alone Districts	ADAMS 27J - BRIGHTON	\$79,853
20	Stand-Alone Districts	ADAMS 50 - WESTMINSTER	\$97,232
20	Stand-Alone Districts	ARAPAHOE 1 - ENGLEWOOD	\$23,698
20	Stand-Alone Districts	ARAPAHOE 28J - AURORA	\$320,284
20	Stand-Alone Districts	ARAPAHOE 5 - CHERRY CREEK	\$228,595
20	Stand-Alone Districts	BOULDER RE-1J - ST. VRAIN VALLEY	\$164,819
20	Stand-Alone Districts	BOULDER RE-2 - BOULDER VALLEY	\$133,034
20	CAVOC Consortium	CAVOC Consortium Totals	\$53,104
20	Centennial BOCES Consortium	Centennial BOCES Consortium Totals	\$60,223
20	Exempt Standalone	CHAFFEE R-32J - SALIDA	\$7,386
20	Stand-Alone Districts	CHARTER SCHOOL INSTITUTE	\$113,044
20	Stand-Alone Districts	DELTA 50J - DELTA	\$43,594
20	Stand-Alone Districts	DENVER 1 - DENVER	\$786,561
20	Exempt Standalone	DOLORES RE-2J - DOLORES COUNTY	\$2,384
20	Stand-Alone Districts	DOUGLAS RE-1 - DOUGLAS COUNTY	\$188,693
20	East Central BOCES Consortium	East Central BOCES Consortium Totals	\$42,026
20	Stand-Alone Districts	EL PASO 11 - COLORADO SPRINGS	\$282,330
20	Stand-Alone Districts	EL PASO 2 - HARRISON	\$151,112
20	Stand-Alone Districts	EL PASO 20 - ACADEMY	\$93,023
20	Stand-Alone Districts	EL PASO 3 - WIDEFIELD	\$69,383
20	Stand-Alone Districts	EL PASO 49 - FALCON (PEYTON)	\$84,799
20	Stand-Alone Districts	EL PASO 8 - FOUNTAIN (FT.CARSON)	\$64,287
20	Exempt Standalone	ELBERT C-1 - ELIZABETH	\$11,019
20	Stand-Alone Districts	FREMONT RE-1 - CANON CITY	\$39,583
20	Exempt Standalone	FREMONT RE-2 - FLORENCE	\$15,469
20	Exempt Standalone	GUNNISON RE-1J - GUNNISON	\$12,268
20	High Country Consortium	High Country Consortium Totals	\$88,047
20	Stand-Alone Districts	JEFFERSON R1 - JEFFCO (LAKEWOOD)	\$402,484
20	Stand-Alone Districts	LA PLATA 9-R - DURANGO	\$29,272
20	Stand-Alone Districts	LARIMER R-1 - Poudre (FT.COLLNS)	\$143,074
20	Stand-Alone Districts	LARIMER R-2J - THOMPSON (LOVLND)	\$94,019
20	Exempt Standalone	LARIMER R-3 - PARK (ESTES PARK)	\$11,995
20	Littleton Consortium	Littleton Consortium Totals	\$70,838
20	Stand-Alone Districts	LOGAN RE-1 - VALLEY (STERLING)	\$20,493
20	Exempt Standalone	MESA 50 - PLATEAU VLY. (COLBRAN)	\$2,542
20	Stand-Alone Districts	MESA 51 - MESA CO.VLY. (GR.JCT.)	\$178,999
20	Stand-Alone Districts	MONTROSE RE-1J - MONTROSE	\$53,531
20	Stand-Alone Districts	MORGAN RE-3 - FORT MORGAN	\$23,629
20	Northeast BOCES Consortium	Northeast BOCES Consortium Totals	\$29,868

20	Northwest BOCES Consortium	Northwest BOCES Consortium Totals	\$46,226
20	Exempt Standalone	OURAY R-1 - OURAY	\$1,373
20	Exempt Standalone	PARK 1 - PLATTE CANYON (BAILEY)	\$7,467
20	Pikes Peak Consortium	Pikes Peak Community College Consortium Totals	\$95,661
20	Exempt Standalone	PROWERS RE-13 JT - WILEY	\$1,638
20	Stand-Alone Districts	PROWERS RE-2 - LAMAR	\$18,005
20	Stand-Alone Districts	PUEBLO 60 - CITY (PUEBLO)	\$226,878
20	Stand-Alone Districts	PUEBLO 70 - PUEBLO CO. (PUEBLO)	\$59,825
20	Rio Blanco BOCES Consortium	Rio Blanco BOCES Consortium Totals	\$6,804
20	San Juan BOCES Consortium	San Juan BOCES Consortium Totals	\$30,418
20	San Luis Valley Consortium	San Luis Valley Consortium Totals	\$98,900
20	Exempt Standalone	SAN MIGUEL R-1 - TELLURIDE	\$3,994
20	Exempt Standalone	SEDGWICK RE-1 - JULESBURG	\$2,281
20	South Weld Consortium	South Weld Consortium Totals	\$30,236
20	Southeast BOCES Consortium	Southeast BOCES Consortium Totals	\$14,875
20	Southwest Consortium	Southwest Consortium Totals	\$49,220
20	Stand-Alone Districts	SUMMIT RE-1 - SUMMIT CO.(FRISCO)	\$15,800
20	Trinidad State Junior College Consortium	Trinidad State Junior College Consortium Totals	\$37,696
20	Stand-Alone Districts	WELD 6 - GREELEY	\$177,852
20	Stand-Alone Districts	WELD RE-4 - WINDSOR	\$19,374
20	Stand-Alone Districts	WELD RE-8 - FORT LUPTON	\$19,178
		TOTAL ----->	11,257,611
		Unduplicated TOTAL ----->	5,628,805

POSTSECONDARY NAME	CTE PELL AND CTE AGS (excluded)	HUM. SVCS. MATCHES	17-18 TOTAL ENROLL	17-18 UNDUP. CTE ENR
FRONT RANGE COMMUNITY COLLEGE	1,320	625	28,134	4,692
PICKENS AREA TECHNICAL COLLEGE	343	156	1,286	1,286
COMMUNITY COLLEGE OF AURORA	359	177	11,777	1,227
ARAPAHOE COMMUNITY COLLEGE	527	283	19,142	3,089
TECHNICAL COLLEGE OF THE ROCKIES	64	71	315	315
EMILY GRIFFITH OPPORTUNITY SCHOOL	476	742	4,726	4,726
COMMUNITY COLLEGE OF DENVER	510	200	12,373	1,315
PIKES PEAK COMMUNITY COLLEGE	1,462	453	18,604	3,799
COLORADO MOUNTAIN COLLEGE	57	36	7,176	1,049
RED ROCKS COMMUNITY COLLEGE	581	389	11,854	3,409
TRINIDAD STATE JUNIOR COLLEGE	435	123	2,326	769
NORTHEASTERN JUNIOR COLLEGE	168	35	2,220	429
WESTERN COLORADO COMMUNITY COLLEGE	347	150	6,826	1,034
MORGAN COMMUNITY COLLEGE	185	68	1,789	437
OTERO JUNIOR COLLEGE	203	51	1,739	338
LAMAR COMMUNITY COLLEGE	143	35	1,015	302
PUEBLO COMMUNITY COLLEGE	931	825	9,906	2,923
COLO. NORTHWESTERN COMM. COLLEGE	106	54	1,796	373
AIMS COMMUNITY COLLEGE	487	229	8,436	1,784

*Note: These basic grant fund amounts include carryover dollars.

17-18 UNDUP. CTE ENR	19-20 ALLOC. BY FORMULA
16.68%	\$1,282,995
100.00%	\$332,217
10.42%	\$350,214
16.14%	\$518,324
100.00%	\$69,620
100.00%	\$556,771
10.63%	\$488,147
20.42%	\$1,377,432
14.62%	\$57,044
28.76%	\$585,463
33.06%	\$407,691
19.32%	\$155,175
15.15%	\$334,667
24.43%	\$176,244
19.44%	\$189,090
29.75%	\$133,033
29.51%	\$974,889
20.77%	\$103,723
21.15%	\$473,058

Statutory Assurances

The eligible agency assures that:

1. It made the State plan publicly available for public comment for a period of not less than 30 days, by electronic means and in an easily accessible format, prior to submission to the Secretary for approval and such public comments were taken into account in the development of this State plan. (Section 122(a)(4) of Perkins V)
2. It will use the funds to promote preparation for high-skill, high-wage, or in-demand industry sectors or occupations and non-traditional fields, as identified by the State. (Section 122(d)(13)(C) of Perkins V)
3. It will provide local educational agencies, area career and technical education schools, and eligible institutions in the State with technical assistance, including technical assistance on how to close gaps in student participation and performance in career and technical education programs. (Section 122(d)(13)(E) of Perkins V)
4. It will comply with the requirements of this Act and the provisions of the State plan, including the provision of a financial audit of funds received under this Act, which may be included as part of an audit of other Federal or State programs. (Section 122(d)(13)(A) of Perkins V)
5. None of the funds expended under this Act will be used to acquire equipment (including computer software) in any instance in which such acquisition results in a direct financial benefit to any organization representing the interests of the acquiring entity or the employees of the acquiring entity, or any affiliate of such an organization. (Section 122(d)(13)(B) of Perkins V)
6. It will use the funds provided under this Act to implement career and technical education programs and programs of study for individuals in State correctional institutions, including juvenile justice facilities. (Section 122(d)(13)(D) of Perkins V)

I understand that the use of the Personal Identification Number (PIN) supplied to me by the Department to certify and submit these assurances is the same as certifying and signing the document with a hand-written signature.

Signature of Authorized Individual (PIN):

Title/Agency:

Associate Vice Chancellor/§

Date:

5/24/2019 12:00:00 AM

EDGAR Certifications

By submitting a Perkins V State Plan, consistent with 34 CFR 76.104, the eligible agency certifies that:

1. It is eligible to submit the Perkins State plan.
2. It has authority under State law to perform the functions of the State under the Perkins program(s).
3. It legally may carry out each provision of the plan.
4. All provisions of the plan are consistent with State law.
5. A State officer, specified by title in Item C on the Cover Page, has authority under State law to receive, hold, and disburse Federal funds made available under the plan.
6. The State officer who submits the plan, specified by title in Item C on the Cover Page, has authority to submit the plan.
7. The entity has adopted or otherwise formally approved the plan.
8. The plan is the basis for State operation and administration of the Perkins program.

I understand that the use of the Personal Identification Number (PIN) supplied to me by the Department to certify and submit these assurances is the same as certifying and signing the document with a hand-written signature.

Signature of Authorized Individual (PIN):

Title/Agency:

Associate Vice Chancellor/§

Date:

5/24/2019 12:00:00 AM

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL <i>Janet Heath</i>	TITLE <i>State Director for CTE, Colorado</i>
APPLICANT ORGANIZATION <i>Colorado State Board for Community Colleges and Occupational Education</i>	DATE SUBMITTED <i>5-21-19</i>

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C.1352

OMB Number: 4040-0013
Expiration Date: 02/28/2022

1. * Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. * Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input checked="" type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. * Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> SubAwardee * Name: <u>Sarah Heath</u> * Street 1: <u>9101 E. Lowry Blvd</u> Street 2: _____ * City: <u>Denver</u> State: <u>Colorado</u> Zip: <u>80230</u> Congressional District, if known: _____		
5. If Reporting Entity in No.4 is Subawardee, Enter Name and Address of Prime: _____		
6. * Federal Department/Agency: <u>United States Dept. of Education</u> <u>OCTAE</u>	7. * Federal Program Name/Description: _____ CFDA Number, if applicable: _____	
8. Federal Action Number, if known: _____	9. Award Amount, if known: \$ <u>18,868,489</u>	
10. a. Name and Address of Lobbying Registrant: Prefix _____ * First Name _____ Middle Name _____ * Last Name _____ Suffix _____ * Street 1 _____ Street 2 _____ * City _____ State _____ Zip _____		
b. Individual Performing Services (including address if different from No. 10a) Prefix _____ * First Name _____ Middle Name _____ * Last Name _____ Suffix _____ * Street 1 _____ Street 2 _____ * City _____ State _____ Zip _____		
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when the transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. * Signature: <u>Sarah Heath</u> * Name: Prefix <u>Dr.</u> * First Name <u>Sarah</u> Middle Name <u>Marie</u> * Last Name <u>Heath</u> Suffix _____ Title: <u>State Director for CTE</u> Telephone No.: <u>720-717-2925</u> Date: <u>5-21-19</u>		
Federal Use Only:		Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

* APPLICANT'S ORGANIZATION

Colorado State Board for Community Colleges and Occupational Education

* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE

Prefix: Dr. * First Name: Sarah Middle Name: Marie

* Last Name: Heath Suffix:

* Title: State Director for CTE

* SIGNATURE: [Signature] * DATE: 5-21-19

Compliance with Section 427 of GEPA

Please find information related to the requirements of Section 427 of GEPA outlined particularly in the State Transition Plan narrative section in response to question B3.

2 State Administration

3 State Leadership \$ 1886848.00

4 \$ 60000.00

\$ 60000.00

0.00

\$ 0.00

60000.00

\$ 1889.00

16038216.00

\$ 2405732.00

1443439.00

\$ 962293.00

13632485.00

\$ 5452994.00

\$ 8179491.00

\$ 943424.00

State Plan Certification

I certify that to the best of my knowledge and belief, all information and data included in this State plan submission are true and correct.

I understand that the use of the Personal Identification Number (PIN) supplied to me by the Department to certify and submit these assurances is the same as certifying and signing the document with a hand-written signature.

Signature of Authorized Individual (PIN):

Title/Agency:

Associate Vice Chancellor/§

Date:

5/24/2019 12:00:00 AM