

Withdrawal/Redaction Sheet

Clinton Library

DOCUMENT NO. AND TYPE	SUBJECT/TITLE	DATE	RESTRICTION
001. fax	To Sandy Garrett from Dr. William A. Duncan (partial) (1 page)	05/03/96	P6/b(6)

COLLECTION:

Clinton Presidential Records
Domestic Policy Council
Michael Cohen (Subject Files)
OA/Box Number: 17160

FOLDER TITLE:

By Pass Implementation

2012-0160-S

ry1202

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

Freedom of Information Act - [5 U.S.C. 552(b)]

- P1 National Security Classified Information [(a)(1) of the PRA]
- P2 Relating to the appointment to Federal office [(a)(2) of the PRA]
- P3 Release would violate a Federal statute [(a)(3) of the PRA]
- P4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
- P5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA]
- P6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]

- b(1) National security classified information [(b)(1) of the FOIA]
- b(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
- b(3) Release would violate a Federal statute [(b)(3) of the FOIA]
- b(4) Release would disclose trade secrets or confidential or financial information [(b)(4) of the FOIA]
- b(6) Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
- b(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
- b(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
- b(9) Release would disclose geological or geophysical information concerning wells [(b)(9) of the FOIA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Personal record misfile defined in accordance with 44 U.S.C. 2201(3).

RR. Document will be reviewed upon request.

Withdrawal/Redaction Marker

Clinton Library

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05. 03. 96 04:10PM *REP ISTOOK

P01

ERNEST J. ISTOOK, JR.
5TH DISTRICT, OKLAHOMA

COMMITTEE
APPROPRIATIONS
SUBCOMMITTEE
TREASURY, POSTAL SERVICE AND
GENERAL GOVERNMENT
VICE CHAIRMAN,
LABOR, HHS, AND EDUCATION
NATIONAL SECURITY

AT LARGE WHIP
REPUBLICAN POLICY COMMITTEE

Congress of the United States
House of Representatives
Washington, DC 20515-3605

115 CANNON BUILDING
WASHINGTON, DC 20515-3605
(202) 225-2132
FAX (202) 225-1483
DISTRICT OFFICE
15400 N. GRAND BOULEVARD
SUITE 308
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(405) 942-8630
FAX (405) 942-8788
FIRST COURT PLACE
SUITE 308
BARTLESVILLE, OK 74003
(318) 330-3348
FAX (318) 330-6740
4TH & GRAND
PONCA CITY, OK 74601
(405) 785-0778
FAX (405) 782-7040
ISTOOK@HR.HOUSE.GOV

May 3, 1996

To:

Sandy Garrett
OK Supt of Public Instruction
2300 N. Lincoln
Oklahoma City, OK 73105
405 521 3301 Work
405 521 6205 Fax

Post-It Fax Note	7671	Date	5/3	# of pages	5
To	Mike Cohen	From	Sandy Garrett		
Co./Dept.		Co.	OKLA. SDE		
Phone #		Phone #	(405) 521-4885		
Fax #	(202) 401-0596	Fax #			

FROM: Dr. William A. Duncan
Appropriations Committee Associate
Education, Labor, Health & Human Services

Phone: (202) 225-2132
Personal Phone: (202) 226-1663
Fax: (202) 226-1663

[001]

No. of Pages (including cover) 5

Message: Letter from Rep. Istook

05. 03. 96 04:10PM *REP ISTOOK

P02

ERNEST J. ISTOOK, JR.
5TH DISTRICT, OKLAHOMA

COMMITTEE:
APPROPRIATIONS
SUBCOMMITTEE:
TREASURY, POSTAL SERVICE AND
GENERAL GOVERNMENT
VICE CHAIRMAN,
LABOR, HHS, AND EDUCATION
NATIONAL SECURITY

AT LARGE WHIP
REPUBLICAN POLICY COMMITTEE

Congress of the United States
House of Representatives
Washington, DC 20515-3605

May 2, 1996

Sandy Garrett
OK Supt of Public Instruction
2500 N. Lincoln
Oklahoma City, OK 73105

Dear Madam Superintendent:

You have a new opportunity to use Goals 2000 funds on something more important than bureaucracy. The final Fiscal Year 1996 Appropriations bill, signed into law on April 26th, 1996, P.L. 104-134, contains new language to give you far greater flexibility over the use of Goals 2000 funds. Schools in your state may now spend up to 100% of their Goals 2000 funds to purchase computers or other technology, so long as it promotes the school's education improvement goals.

The new language was inserted at my request during the final House-Senate conference on the bill. An attached letter from Bill Goodling (Chairman, House Committee on Economic & Educational Opportunities), John Porter (Chairman, House Appropriations Subcommittee on Labor, Health & Human Services, & Education), Randy "Duke" Cunningham (Chairman, Subcommittee on Early Childhood, Youth & Families), and me elaborates on this new opportunity.

I believe the \$350 million appropriated to Goals 2000 for FY96 can do far more good if used to put more computers in more classrooms, rather than perpetuate the controversial Goals 2000. Furthermore, because Goals 2000 may be terminated soon (the House voted to end all funding), it makes more sense to use the money for the capital expenses of computer technology, rather than for multi-year studies and education bureaucrats. This also makes far more money available right now for computers as the \$250 million which President Clinton has requested to be specially appropriated for Fiscal Year 1997.

I also attached a summary of the other Goals 2000 revisions which were also part of the bill, as requested by Senator Arlen Specter (R-PA), who chairs the Senate Appropriations Subcommittee on Labor, HHS, and Education. He and I worked together on all of these changes, and I am grateful for that cooperation.

I am sure that many Oklahomans will ask that you publicly answer a very simple question: "Will you apply all of your Goals 2000 money toward something we all agree upon -- putting computers in our classrooms -- or will you instead spend it on the same tired and bureaucratic programs with which the taxpayers are fed up?" I suspect they will think this is a crystal-clear and easy decision to make.

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FAX (918) 328-6740
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PONCA CITY, OK 74601
(405) 782-8778
FAX (405) 782-7049
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06. 03. 96 04:10PM *REP ISTOOK

P 03

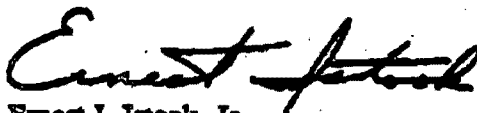
Representative Ernest J. Istook, Jr.

Goals 2000 Letter

Page 2

If you need further information, please call Dr. Bill Duncan at my office in Washington at (202) 225-2132.

Very truly yours,



Ernest J. Istook, Jr.
Member of Congress

EJI/wad

Attachments: Letter from Chairman Goodling, et. al.
Summary of Goals 2000 Changes

Summary of Goals 2000 Changes to Omnibus Fiscal Year 1996 Appropriations bill

1. Allows a school to spend up to 100% of its Goals 2000 funds to purchase technology, such as computers, when the purchase promotes the school's education improvement goals. (See attached letter from Chairman Goodling for an explanation.)
2. Permits school districts, in States that elect not to participate in the Goals 2000 program, prior to October 1995, (VA, NH, AL, MT) to apply directly to the Secretary of Education for Goals 2000 funding, but only if the State Education Agency approves.
3. Eliminates the requirement that States submit their improvement plans to the Secretary of Education for approval allowing the State instead to self-certify.
4. Deletes the requirement for the composition of State and local panels that develop State and local improvement plans.
5. Eliminates the National Standards and Improvement Council.
6. Removes the requirement that States are compelled to develop Opportunity-to-Learn standards.
7. Clarifies that no State, local education agency, or school shall be required, as a condition of receiving assistance under this title, to provide outcomes-based education, or school-based health clinics.
8. Clarifies that nothing in the Goals 2000 legislation will require or permit any State or Federal official to inspect a home, judge how parents raise their children, or remove children from their parents.

05. 03. 96 04:10PM *REP ISTOOK

P04

Congress of the United States
House of Representatives
Washington, DC 20515

May 2, 1996

The Honorable Richard Riley
Secretary
U.S. Department of Education
400 Maryland Avenue, S.W.
Washington, D.C. 20202

Dear Secretary Riley:

On April 25, President Clinton signed into law H.R. 3019 to provide funds for Federal programs for the remainder of FY 1997. This new law contains important changes to the Goals 2000 program.

The Goals 2000 education law (20 U.S.C. 5801 et seq.) has been changed to explicitly allow purchase of computers and computer-related technology to help bring America's classrooms into the information age. The language in PL 104-134 reads:

(11) For the purpose of expanding the use and availability of computers and computer technology, section 309(a)(6)(A)(ii) of such Act (20 U.S.C. 5889(a)(6)(A)(ii)) is amended by inserting after "new public schools" the following: "and the acquisition of technology and use of technology-enhanced curricula and instruction."

The public law now reads:

"(ii) in subsequent years, [LEAs] shall use sub-grant funds for any activities approved by the State educational agency which are reasonably related to carrying out the State or local improvement plans which may include the establishment of innovative new public schools and the acquisition of technology and use of technology-enhanced curricula and instruction, except that at least 85 percent of such funds shall be made available to individual schools to develop and implement comprehensive school improvement plans which are designed to meet the needs of their particular student population and help all students meet State content standards and State student performance standards."

By inserting the "acquisition of technology and use of technology-enhanced curricula and instruction" into the permanent Goals 2000 law, the purchase of computers is

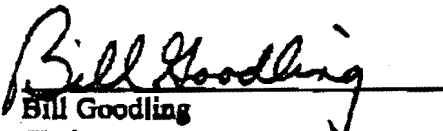
05. 03. 98 04:10PM *REP ISTOOK

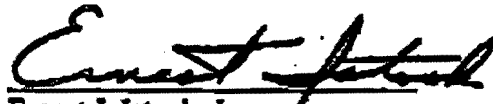
P05

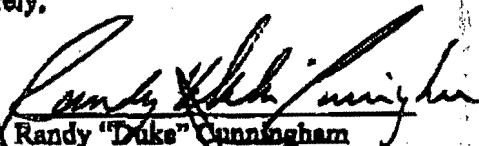
now a clearly permissible use of Goals 2000 funds. If a school wishes to use 100% of its funds for purchasing computers and computer technology, this new law permits that if the technology is related to carrying out the local improvement plan and the State Education Agency approves it. The law makes it explicitly clear that a school can use 15% of its funds for this purpose. In order to use the balance of its funds, (the other 85%) the school improvement plan must include the need for computers. For example, a school, in order to meet the State's math standards, could determine it needs a mathematics self-guided computer course. The school could choose the course, computers, network, and Internet connections for this course and include the equipment as part of its school improvement plan. Once purchased, the school could use the equipment for any other computer courses it wishes to pursue (in addition to the math course) that help students meet the State academic standards. One purpose of these changes is to give local school officials more flexibility in deciding how to use education funds to best meet the needs of their students.

We appreciate your cooperation in ensuring that this amendment will be implemented so that schools can use Goals 2000 funds to purchase technology in order to meet their local improvement plan.

Sincerely,


Bill Goodling
Chairman


Ernest J. Istook, Jr.
Member of Congress


Randy "Duke" Cunningham
Member of Congress


John Edward Porter
Chairman



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION

THE ASSISTANT SECRETARY

May 7, 1996

Honorable Nancy Keenan
Superintendent of Public Instruction
State Office of Public Instruction
106 State Capitol
Helena, Montana 59620

Dear Nancy:

Last week Secretary Riley wrote to inform you of a number of amendments to Goals 2000 that were enacted as part of the Omnibus Appropriations Act of 1996. I am writing now because one of the amendments has particular and immediate implications for Montana.

Local education agencies in states not participating in Goals 2000 as of October 20, 1995 may now apply directly to the U.S. Department of Education for a portion of the state's allotment of Goals 2000 funds, subject to the approval of the state education agency.

Because the Montana legislature prohibited the state's participation in Goals 2000 in 1995, this provision clearly applies to your state. Montana now faces two clear options with respect to Goals 2000:

- o **Continue to not participate in Goals 2000.** No state is required to participate in Goals 2000, and Montana may continue to exercise its right not to. As was the case last year, if the state does not apply for Year 2 funds by June 30, 1996 (or exercise the second option below), those funds will be reallocated to the other, participating states.
- o **Approve of local school district participation in Goals 2000, while the state continues not to participate.** Under this option, local school districts in Montana would apply directly to the U.S. Department of Education for a portion of the \$1,560,150 of Fiscal Year 1995 Goals 2000 funds now available to the state.

In general, local school districts receiving the funds would be required to use them for the development and/or implementation of a comprehensive education improvement plan that is focused on preparing students to meet state or local academic standards, and is consistent with the state's overall plan for education improvement.

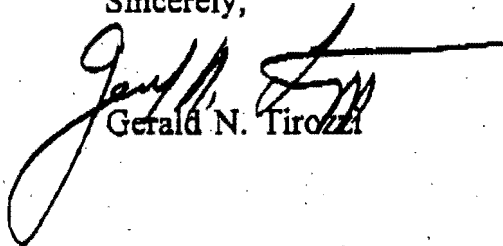
The Fiscal Year 1995 funds available for this program must be awarded to local school districts by September 30, 1996. In order to give local school districts adequate notice and time to prepare applications, and to provide adequate time for review of these proposals, this competition will have to begin immediately. **Therefore, if Montana intends to select this option, you will need to provide me, no later than May 17, 1996, with written notification of state education agency approval for local school districts to apply to the U.S. Department of Education for Goals 2000 funds.** This notification may be faxed to me at (202) 205-0303. While this is a very short time period for such an important decision, the late enactment of the appropriations bill leaves us with no alternative.

It is my hope that, as a result of the recent changes in Goals 2000, students and schools in Montana will be able to benefit from these funds that are available to help improve teaching and learning.

While this last option effectively provides resources to local education agencies to support education improvements aimed at challenging academic standards, I believe that state participation in Goals 2000 provides the most solid foundation for advancing statewide education improvement. This approach, consistent with the initial design of Goals 2000 better enables Goals 2000 resources to be used in concert with a state's approach to education reform and permits the state education agency to establish priorities for the use of these funds. The final choice among the two options, of course, rests with the state education agency. As you make this decision, please consider that Congress has already appropriated \$3,021,367 million for your state under the Goals 2000 Act, to support your state's school reform initiatives.

If you or your staff have any questions regarding this new provision in Goals 2000, please feel free to call Tom Fagan at (202) 401-0039.

Sincerely,



Gerald N. Tirozzi

cc: Governor Marc Racicot
Dr. Wayne Buchanan



**RECEIVED
ADMINISTRATIVE SERVICES**

NOV 29 1995

STATE DEPT. OF EDUCATION

**Frank Keating
Governor**

**State of Oklahoma
Office of the Governor**

November 21, 1995

**Ms. Sandy Garrett
Superintendent of Public Instruction
State Department of Education
2500 North Lincoln Boulevard
Oklahoma City, OK 73105-4599**

Dear Superintendent Garrett:

In response to your letter of October 3, 1995, Secretary of Education Coppedge has studied this matter and has met with me to discuss conditions under which Oklahoma can accept funds for planning and implementing its school improvement efforts using the federal funds provided under the Goals 2000: Educate America Act. It is my understanding that you, Secretary Coppedge and I are in agreement that we do not want to accept federal funds which are accompanied by undue regulations and which subject Oklahoma to federal controls which rightfully belong to the state.

I am interested in Oklahoma receiving its fair share of federal funds which can be used to implement programs that improve the quality of education available to children and adults. Specifically, I endorse programs which are designed to ensure that all capable students acquire reading, writing and mathematics skills at competency levels which allow them to function well in school and in the workplace. I also believe that the acquisition of these basic skills will make it possible for students to achieve in the study of foreign languages, science, social studies, arts and humanities, and vocational/technical programs.

Secretary Coppedge has assured me that the funds available to Oklahoma from Goals 2000 can be used by Oklahoma to improve the teaching and learning of mathematics, science, reading and writing. He has further assured me that Oklahoma will be able to assess its progress as we understand that our program will be designated, "Improving the Teaching and Learning of Mathematics, Science, Reading, and Writing." These assurances are important to me and I ask that you and Secretary Coppedge work together very closely to protect the integrity of the state's rights in providing for the education of our citizens.

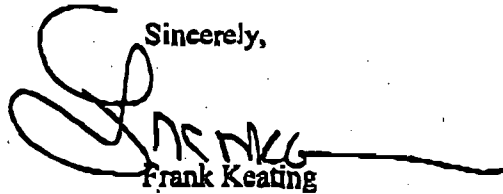
Superintendent Sandy Garrett

November 27, 1995

Page 2

I understand that the next step is that you and I jointly name an advisory panel to assist in the planning and initiation of this effort. I prefer to limit the panel to 20 members, 10 each named by you and me. In addition, I ask that Secretary Coppedge serve as an Ex Officio member of the panel. I will direct my staff to move expeditiously to name my appointees to this panel so that our efforts can get underway soon.

Sincerely,



Frank Keating

FAK/sds

cc: Dr. Floyd Coppedge
Kay Dudley



OFFICE OF GOVERNOR FRANK KEATING
STATE OF OKLAHOMA
State Capitol Building - Oklahoma City, OK 73105

NEWS RELEASE

FOR IMMEDIATE RELEASE
February 28, 1996

Contact: Dan Mahoney
405-523-4219

Statement of Dan Mahoney- Communications Director

GOALS 2000 is a complicated federal program and warrants more study by the Governor's office. Our concern, of course, is it will come with federal strings attached like so many federal programs do. If that is the case, and it means federal intrusion into Oklahoma's public schools, the Governor will not support it. We just need more time to review it. We are in consultation with other Governors, some who turned the money away, others who accepted it. We want to make sure that we keep federal hands off our education system and until we know the details, we aren't going to go rushing for this money. We don't want some sort of national education curriculum. We would rather focus on the Governor's education agenda for Oklahoma: 101 million dollars for common ed, a permanent fix to the mid term adjustment problem, shoring up the teachers retirement system and expanding graduation requirements.

DRAFT QUESTIONS AND ANSWERS ON THE SPECTOR AMENDMENTS

Additional Ed/Flex States

1. How many additional states are authorized?

Six

2. Are they grouped by size?

No. Unlike the first six, there is no requirement that three be small and three be large states.

3. Must states have approved plans in order to qualify for ed/flex?

Yes. The alternative procedure for state plan certification explained below will not qualify states to apply for ed/flex. States wishing to apply for ed/flex must submit their plans to the department for review.

4. How will states be selected?

As with the first six, the department will process applications on a first come, first served basis from eligible states. Note that in order to be eligible, states must have an approved Goals 2000 plan. *justify*

Alternative to Secretarial Approval of State Plans for Continued Eligibility for Goals 2000 Funds

5. If States choose to not send their plan to the department for review and approval, what must they submit in order to receive funds?

As an alternative, states may submit:

(1) an assurance from the governor and chief state school officer that the state has a plan that meets the requirements of the Goals 2000 Act, that the plan is widely available throughout the state, and that any amendments to the plan will likewise meet the requirements and be made widely available; and

*when??
unit timeline?*

(2) benchmarks of improved student performance and of progress in implementing the plan, and timelines against which progress will be measured.

We believe, on the basis of information given us by states that have undergone it, that the peer review process is helpful to the states and also to the reviewers. Its main purpose has been to give states feedback from a group of "critical friends" on their plans and implementation of them. Therefore, the department will

*need to put per review
this in context*

✓

offer to provide for states that choose the alternative procedure a similar peer review process that is not tied to Secretarial approval, but provides to those the same objective review and feedback for state use.

Direct Grants to Local Educational Agencies in States That Were Not Participating in Goals 2000 as of October 20, 1995

1. How will the department determine what states were not participating as of October 20, 1995?

There are three categories of states that meet the criterion:

(1) States that had never applied for Goals 2000 funds or were legally barred from participating as of the October 20th date;

(2) States that had formally informed the Secretary prior to October 20 that they no longer wished to participate and did not do so; and

(3) States that had applied for and received funds, but did not spend them.

2. How will grants be made?

- Timeline for local grant competition

- Criteria for judge

- ~~State~~ Purpose/Focus of Grants

(draw up & lead up to)

niko

State/\$	# locals	Prob of Op 2 ¹	Who's a friend	Next steps
VA - 4.6 M	141		Chief	
NH - 1.3 M	178	Low (probably #1)	Chief	
AL - 5.7 M	129			
CA - 39.2	1002	Low (probably #1)	Chief	
MT - 3.6 M	539	High	Chief, Gov.	
OK - 9.4 M	568		Chief	

We want the grants competition

To be a model of how a state could do its subgrant competition

old EAGAR

- Content/Criteria of Announcement:**

Process/Logistics

Fagan/staff
Marilyn Hall/Patsy
DORM

- Now
4/26

CDN
5/15 (45 days later)

Props In
7/1

Awds
9/30

- 9 Month
Contract

New

✓✓✓✓✓

Suggest 20 pg limit, outreach, etc such as did w/ urb/rural compet.

Logistics: mail ltr to all elig. dists citing *Fed Reg* and avail copies at CAC's.

Publish crit. & applic pkg in *Fed Reg*

6. Define paperwork clearance time req. (Dennis volunteered)

¹Options defined in Tirozzi letter to Chief in each of the six non-participating states: 1=join Goals 2000; 2=be a by-pass state; 3=none of the above, and we'll re-allocate your funds.

Same Categ./
All States

Dif. Categ. For Dif. States to Split Districts
Roughly Half/half

State	N	0	1-500	600+	Lower Half	Upper Half
AL	129	0	1	128	Bel 5k = 89	40
MT	539	12	478	49	Bel.150 = 337	190
NH	174	12	76	86	Bel 450 = 76	86
OK	593	15	339	239	Bel.450 = 339	239
VA	141	8	2	131	Bel 5k = 85	48

9/29/95
ME 17:07:08

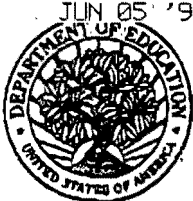
U.S. DEPARTMENT OF EDUCATION
GRANTS AND CONTRACTS MANAGEMENT SYSTEM
DETAILED REPORT WITH CANS AND CFDA

GCMR400
PAGE 2

PR/AWARD NO	APPLICANT RECIPIENT NAME	ST ACTION TYPE	COMMIT IND	RECOMMEND AMOUNT	COMMITTED AMOUNT	NEGOTIATED AMOUNT	DIFFERENCE AMOUNT	OBLIGATED AMOUNT
CAN: E004553								
CFDA: 312A	Urban and Local Reform Program							
S312A5001695	CHICAGO PUBLIC SCHOOLS	IL	NEW	0				605,093
S312A5001895	BOX EDLER PUBLIC SCHOOLS	MT	NEW	0				69,369
S312A5002095	JERSEY CITY PUBLIC SCHOOLS	NJ	NEW	0				200,965
S312A5002695	CHUGACH SCHOOL DISTRICT REAA #21	AK	NEW	0				226,881
S312A5002795	YONKERS CITY SCHOOL DISTRICT	NY	NEW	0				315,221
S312A5002895	WAYNE CTY REGIONAL ED'L SERV AGY	MI	NEW	0				121,239
S312A5003595	TODD COUNTY SCHOOL DISTRICT #66-1	SD	NEW	0				171,399
S312A5003795	COMMUNITY SCHOOL DISTRICT 4	NY	NEW	0				240,038
S312A5006895	COBRE CONSOLIDATED SCHOOLS	NM	NEW	0				171,237
S312A5009595	HEART BUTTE PUBLIC SCHOOLS	MT	NEW	0				84,308

SUBTOTAL FOR CFDA: 312A	Urban and Local Reform Program	PLANNED (GRANTS ONLY):	FOR TOTAL OF	10,500,000
		RECOMMENDED:	0 ACTIONS, FOR TOTAL OF	0
		COMMITTED:	0 ACTIONS, FOR TOTAL OF	0
		NEG/VER (GRANTS ONLY):	0 ACTIONS, FOR TOTAL OF	0
		DIFF (GRANTS ONLY):	0 ACTIONS, FOR TOTAL OF	0
		OBLIGATED:	10 ACTIONS, FOR TOTAL OF	2,205,750

SUBTOTAL FOR CAN: E004553	PLANNED (GRANTS ONLY):	FOR TOTAL OF	10,500,000
	RECOMMENDED:	0 ACTIONS, FOR TOTAL OF	0
	COMMITTED:	0 ACTIONS, FOR TOTAL OF	0
	NEG/VER (GRANTS ONLY):	0 ACTIONS, FOR TOTAL OF	0
	DIFF (GRANTS ONLY):	0 ACTIONS, FOR TOTAL OF	0
	OBLIGATED:	10 ACTIONS, FOR TOTAL OF	2,205,750



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION

600 Independence Avenue, S.W. - Portals
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Telephone: 202-401-0113 - Fax: 202-205-0303

FAX TRANSMITTAL

Date: 6-5-96Number of Pages Including Cover Sheet: 21Telephone Number of Receiving Fax Machine: 401-0596

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FROM:

JEAN MARCHOWSKY

Organization: _____

Telephone # : 401-0039

MESSAGE:

**Tuesday
May 28, 1996**

Part IV

**Department of
Education**

**Comprehensive Local Reform Assistance;
Notice Inviting Applications for New
Awards with Fiscal Year (FY) 1995
Funds; Notice**

DEPARTMENT OF EDUCATION

(CFDA No.: 84.317)

Comprehensive Local Reform Assistance; Notice Inviting Applications for New Awards With Fiscal Year (FY) 1995 Funds

Note to Applicants: This notice is a complete application package. Together with the statute authorizing the program and the Education Department General Administrative Regulations (EDGAR), the notice contains all of the information, application requirements, and instructions needed to apply for a grant under these competitions.

Purpose of Program: To assist local educational agencies (LEAs) in the development and implementation of comprehensive local improvement plans directed at enabling all children to reach challenging academic standards.

Eligible Applicants: LEAs in Montana and Oklahoma are eligible to apply for grants. The Secretary is especially interested in receiving applications from consortia of LEAs in these States.

Note: By statute, the Secretary may award direct grants to LEAs in a State that was not participating in Goals 2000 as of October 20, 1995, if the State educational agency (SEA) approves LEA participation in the program. Five States—Alabama, Montana, New Hampshire, Oklahoma, and Virginia—were not participating in Goals 2000 as of October 20, 1995. By the date this application notice was submitted to the Federal Register, the Montana and Oklahoma SEAs had approved LEA participation in this direct grant program. If timely approval is received from one or more of the other SEAs, the Secretary will publish in the Federal Register a notice inviting applications for FY 1995 funds from LEAs in the other State(s).

Deadline for Transmittal of Applications: July 15, 1996.

Deadline for Intergovernmental Review: August 1, 1996.

Available Funds: The amount of funds available to LEAs in Montana is \$1,560,150. The amount of funds available to LEAs in Oklahoma is \$4,396,613. These amounts are based on each State's FY 1995 Goals 2000 allotment.

In accordance with section 402 of the Department of Education Organization Act, 20 USC 3462, the Secretary may use up to 1% of the funds from each State's allotment to pay the expenses and fees of non-Federal experts necessary to review the applications submitted in response to this notice.

In the event that there are an insufficient number of funded applications from LEAs in either State to use all of the State's allotment, the Secretary may reallocate the remaining funds consistent with the Act.

The Secretary does not intend to conduct competitions for FY 1996 funds. Instead, pursuant to 34 CFR 75.253, the Secretary intends to make continuation awards from each State's FY 1996 allotment to successful applicants under this notice. The Secretary expects to conduct new competitions when FY 1997 funds become available.

Estimated Range of Awards: \$20,000–\$100,000 annually. (The Secretary estimates that both the initial FY 1995 awards and the continuation awards from the FY 1996 allotments will fall within this range.)

Estimated Average Size of Awards: \$40,000 annually. (The Secretary estimates that both the initial FY 1995 awards and the continuation awards from the FY 1996 allotments will average \$40,000.)

Estimated Numbers of Awards: The estimated number of awards to LEAs in Montana is 39. The estimated number of awards to LEAs in Oklahoma is 110.

(Note: These estimates are projections for the guidance of potential applicants. The Department of Education and applicants are not bound by any estimates in this notice.)

Project Period: Up to 24 months.

Applicable Regulations: The Education Department General Administrative Regulations (EDGAR) as follows:

- (1) 34 CFR Part 75 (Direct Grant Programs).
- (2) 34 CFR Part 77 (Definitions that Apply to Department Regulations).
- (3) 34 CFR Part 79 (Intergovernmental Review of Department of Education Programs and Activities).
- (4) 34 CFR Part 80 (Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments).
- (5) 34 CFR Part 81 (General Education Provisions Act—Enforcement).
- (6) 34 CFR Part 82 (New Restrictions on Lobbying).
- (7) 34 CFR Part 85 (Governmentwide Debarment and Suspension (Nonprocurement) and Governmentwide Requirements for Drug-Free Workplace (Grants)).

SUPPLEMENTARY INFORMATION:**(a) Background**

Section 304(e) of the Goals 2000: Educate America Act (Pub. L. 103–227) (20 USC 5801 et seq.) (the Act), which was added to the Act as part of the Omnibus Consolidated Rescissions and Appropriations Act of 1996, authorizes the Secretary to award direct grants to LEAs in States that were not participating in Goals 2000 as of October 20, 1995, if the applicable SEA

approves the LEAs' participation in the program. Alabama, Montana, New Hampshire, Oklahoma and Virginia were not participating in Goals 2000 as of that date. By the date this application notice was submitted to the Federal Register, the Montana and Oklahoma SEAs had approved LEA participation in this direct grant program.

Under Section 304(e), the Secretary may award grants for purposes consistent with the provisions of the Act. The Goals 2000 Act is designed to help States and communities develop and implement their own education reforms focused on challenging academic standards in order to increase student academic achievement. With broad-based, grassroots involvement, LEAs participating in Goals 2000 develop comprehensive strategies for helping all students reach challenging academic standards, such as through upgrading assessments and curriculum to reflect high standards, improving the quality of teaching, expanding the use of technology, strengthening accountability for teaching and learning, and building strong partnerships among schools and families, employers, and others in the community.

The Secretary has determined that grants awarded under Section 304(e) will be used to support the development and implementation of comprehensive local improvement plans designed to help all children reach challenging academic standards. In particular, the Secretary encourages LEAs to address in their applications how their reform strategies might include enhanced preservice teacher education and professional development activities of educators that are directly connected to challenging standards.

Where appropriate, LEAs should use funds awarded under this notice to build upon comprehensive reform strategies that have already been initiated. An LEA that has not yet developed or completed a comprehensive local improvement plan consistent with the statutory provisions referenced in this notice may seek FY 1995 funds to do so. An LEA that has already developed such a plan may seek funds to implement the plan.

LEAs are not required or expected to submit their local improvement plans to the U.S. Department of Education for review and approval, whether or not those plans are developed or implemented with funds awarded under this notice. Local plans that have been or are being developed pursuant to State requirements, and that also receive funding under Goals 2000, would only remain subject to any State review processes that may exist.

Pursuant to 34 CFR 75.253, the Secretary intends to make available to successful applicants continuation awards from their States' FY 1996 allotments.

Application Requirements: The authorizing statute—section 304(e) of the Act—permits the Secretary to fund LEA applications that are consistent with the provisions of Goals 2000. The Secretary has determined that grants under this competition must be used for the development or implementation of comprehensive local improvement plans to help all students reach challenging academic standards. In particular, a local improvement plan that is developed or implemented with funds awarded under section 304(e) must be consistent with the requirements in sections 309(a)(3)(B) through (E) of the Act. Adapted to this direct grant program, these requirements specify that local plans—

(1) Describe a process of broad-based community participation in the development, implementation, and evaluation of the local improvement plan;

(2) Address districtwide education improvement, directed at enabling all students to meet the State content standards and State student performance standards (or local standards, if there are no State standards), including specific goals and benchmarks; reflect the priority of the State improvement plan (if there is a comprehensive State improvement plan) and include a strategy for—

(a) Improving teaching and learning, with strategies such as enhanced professional development and preservice education activities aligned to the standards;

(b) Improving governance, management, and accountability for performance; and

(c) Generating, maintaining, and strengthening parental and community involvement.

(3) Promote the flexibility of local schools in developing plans that address the particular needs of their school and community and are consistent with the local improvement plan; and

(4) Describe how the LEA will encourage and assist schools to develop and implement comprehensive school improvement plans that focus on helping all students reach State (or local) content standards and student performance standards.

Selection Criteria: The Secretary will use the following selection criteria in 34 CFR 75.210 to evaluate applications under this competition. The maximum score for all of the criteria is 100 points. The maximum score for each criterion is

indicated in parenthesis with the criterion.

(1) *Meeting the purposes of the authorizing statute.* (30 points) The Secretary reviews each application to determine how well the project will meet the purposes of the authorizing statute, including consideration of:

(i) The objectives of the project; and

(ii) How the objectives of the project further the purposes of the authorizing statute.

(2) *Extent of need for the project.* (24 points) The Secretary reviews each application to determine the extent to which the project meets specific needs recognized in the statute that authorizes the program, including consideration of:

(i) The needs addressed by the project;

(ii) How the applicant identified those needs;

(iii) How those needs will be met by the project; and

(iv) The benefits to be gained by meeting those needs.

(3) *Plan of operation.* (18 points) The Secretary reviews each application to determine the quality of the plan of operation for the project, including:

(i) The quality of the design of the project;

(ii) The extent to which the plan of management is effective and ensures proper and efficient administration of the project;

(iii) How well the objectives of the project relate to the purpose of the program;

(iv) The quality of the applicant's plan to use its resources and personnel to achieve each objective; and

(v) How the applicant will ensure that project participants who are otherwise eligible to participate are selected without regard to race, color, national origin, gender, age, or disability.

(4) *Quality of key personnel.* (7 points)

(i) The Secretary reviews each application to determine the quality of key personnel the applicant plans to use on the project, including:

(A) The qualifications of the project director (if one is to be used);

(B) The qualifications of each of the other key personnel to be used in the project;

(C) The time that each person referred to in paragraphs (4)(i)(A) and (B) will commit to the project; and

(D) How the applicant, as part of its nondiscriminatory employment practices, will ensure that its personnel are selected for employment without regard to race, color, national origin, gender, age, or disability.

(ii) To determine personnel qualifications under paragraphs (4)(i)(A) and (B), the Secretary considers:

(A) Experience and training in fields related to the objectives of the project; and

(B) Any other qualifications that pertain to the quality of the project.

(5) *Budget and effectiveness.* (5 points) The Secretary reviews each application to determine the extent to which:

(i) The budget is adequate to support the project; and

(ii) Costs are reasonable in relation to the objectives of the project.

(6) *Evaluation plan.* (13 points) The Secretary reviews each application to determine the quality of the evaluation plan for the project, including the extent to which the applicant's methods of evaluation:

(i) Are appropriate to the project; and

(ii) To the extent possible, are objective and produce data that are quantifiable.

(7) *Adequacy of resources.* (3 points) The Secretary reviews each application to determine the adequacy of the resources that the applicant plans to devote to the project, including facilities, equipment, and supplies.

Intergovernmental Review of Federal Programs: This program is subject to the requirements of Executive Order 12372 (Intergovernmental Review of Federal Programs) and the regulations in 34 CFR Part 79. The objective of the Executive Order is to foster an intergovernmental partnership and to strengthen federalism by relying on State processes and on State, areawide, regional, and local coordination for review of proposed Federal financial assistance.

Montana and Oklahoma have not adopted State intergovernmental review processes. Therefore, State, areawide, regional, and local entities may submit comments directly to the Department.

Any comments submitted pursuant to the executive order must be mailed or hand-delivered by the date indicated in this notice to the following address: The Secretary, E.O. 12372—CFDA# 84.317, U.S. Department of Education, Room 6300, 600 Independence Avenue, S.W., Washington, D.C. 20202.

Proof of mailing will be determined on the same basis as applications (see 34 CFR 75.102). Recommendations or comments may be hand-delivered until 4:30 p.m. (Washington, D.C. time) on the date indicated in this notice.

Please note that the above address is not the same address as the one to which the applicant submits its completed application. *Do not send applications to the above address. Instructions for transmittal of applications:*

(a) If an applicant wants to apply for a grant, the applicant shall—

(1) Mail the original and two copies of the application on or before the deadline date to: U.S. Department of Education, Application Control Center, Attention: (CFDA # 84.317), Washington, D.C. 20202-4725, or

(2) Hand deliver the original and two copies of the application by 4:30 p.m. (Washington, D.C. time) on the deadline date to: U.S. Department of Education, Application Control Center, Attention: (CFDA# 84.317), Room #3633, Regional Office Building #3, 7th and D Streets, S.W., Washington, D.C.

(b) An applicant must show one of the following as proof of mailing: (1) A legibly dated U.S. Postal Service postmark.

(2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service.

(3) A dated shipping label, invoice, or receipt from a commercial carrier.

(4) Any other proof of mailing acceptable to the Secretary.

(c) If an application is mailed through the U.S. Postal Service, the Secretary does not accept either of the following as proof of mailing:

(1) A private metered postmark.
(2) A mail receipt that is not dated by the U.S. Postal Service.

Notes: (1) The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, an applicant should check with its local post office.

(2) The Application Control Center will mail a Grant Application Receipt Acknowledgment to each applicant. If an applicant fails to receive the notification of application receipt within 15 days from the date of mailing the application, the applicant should call the U.S. Department of Education Application Control Center at (202) 708-9494.

(3) The applicant *must* indicate on the envelope and in Item 10 of the Application

for Federal Assistance (Standard Form 424) the CFDA number of the competition under which the application is being submitted (CFDA# 84.317).

Application Instructions and Forms:

The appendix to this application is divided into three parts, plus a statement regarding estimated public reporting burden and various assurances and certifications. These parts and additional materials are organized in the same manner that the submitted application should be organized. The parts and additional materials are as follows:

Part I: Application for Federal Assistance (Standard Form 424 (Rev. 4-88)) and instructions.

Part II: Budget Information—Non-Construction Programs (Standard Form 524A) and instructions.

Part III: Application Narrative. Additional Materials: Estimated Public Reporting Burden; Assurances—Non-Construction Programs (Standard Form 424B); Certifications regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements (ED 80-0013).

Certification regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion: Lower Tier Covered Transactions (ED 80-0014, 9/90) and instructions. (NOTE: ED 80-0014 is intended for the use of grantees and should not be transmitted to the Department.)

Disclosure of Lobbying Activities (Standard Form LLL) (if applicable) and instructions. This document has been marked to reflect statutory changes. See the notice published by the Office of Management and Budget at 61 FR 1413 (January 19, 1996).

Notice to All Applicants

An applicant may submit information on a photostatic copy of the application and budget forms, the assurances, and the certifications. However, the application form, the assurances, and the certifications must each have an original signature. No grant may be awarded unless a completed application form has been received.

FOR FURTHER INFORMATION CONTACT:

Thomas Fagan, U.S. Department of Education, 600 Independence Avenue, S.W., Portals Building, Room 4000, Washington, D.C. 20202-2110. Telephone: (202) 401-0039, FAX: (202) 205-0303. Individuals who use a telecommunications device for the deaf (TDD) may call the Federal Information Relay Service (FIRS) at 1-800-877-8339 between 8 a.m. and 8 p.m., Eastern time.

Information about the Department's funding opportunities, including copies of application notices for discretionary grant competitions, can be viewed on the Department's electronic bulletin board (ED Board), telephone (202) 260-9950; or on the Internet Gopher Server at GOPHER.ED.GOV (under Announcements, Bulletins, and Press Releases). However, the official application notice for a discretionary grant competition is the notice published in the Federal Register.

Program Authority: Section 304(a) of the Goals 2000: Educate America Act, 20 U.S.C. 5884(b).

Dated: May 21, 1996.

Gerald N. Tirozzi,

Assistant Secretary Elementary and Secondary Education.

BILLING CODE 4000-01-P

Appendix

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0043

APPLICATION FOR FEDERAL ASSISTANCE		2. DATE SUBMITTED	Applicant Identifier
1. TYPE OF SUBMISSION: Application ☐ Construction ☒ Non-Construction ☐ Preapplication ☐ Construction ☐ Non-Construction		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
5. APPLICANT INFORMATION			
Legal Name:		Organizational Unit:	
Address (give city, county, state, and zip code):		Name and telephone number of the person to be contacted on matters involving this application (give area code):	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 12-34567890		7. TYPE OF APPLICANT: (enter appropriate letter in box) ☐ A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify):	
8. TYPE OF APPLICATION: ☐ New ☐ Continuation ☐ Revision If Revision, enter appropriate letter(s) in box(es): ☐ ☐ A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other (specify):		9. NAME OF FEDERAL AGENCY: U.S. Department of Education	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 84-317 TITLE: Comprehensive Local Reform Assistance		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:	
12. AREAS AFFECTED BY PROJECT (cities, counties, states, etc.):			
13. PROPOSED PROJECT:		14. CONGRESSIONAL DISTRICTS OF:	
Start Date	Ending Date	a. Applicant	b. Project
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal	\$.00	a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE	
b. Applicant	\$.00	b. NO. ☐ PROGRAM IS NOT COVERED BY E.O. 12372	
c. State	\$.00	☐ OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
d. Local	\$.00		
e. Other	\$.00		
f. Program Income	\$.00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?	
g. TOTAL	\$.00	☐ Yes If "Yes," attach an explanation. ☐ No	
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN ONLY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED			
a. Typed Name of Authorized Representative		b. Title	c. Telephone number
d. Signature of Authorized Representative		e. Date Signed	

Original Editing Not Usable

Standard Form 424 (REV. 3-88)
Prescribed by GSA Circular A-102

Authorized for Local Reproduction

INSTRUCTIONS FOR THE SF 424

This is a standard form used by applicants as a required facesheet for preapplications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

- | Item: | Entry: | Item: | Entry: |
|-------|--|-------|--|
| 1. | Self-explanatory. | 12. | List only the largest political entities affected (e.g., State, counties, cities). |
| 2. | Date application submitted to Federal agency (or State if applicable) & applicant's control number (if applicable). | 13. | Self-explanatory. |
| 3. | State use only (if applicable). | 14. | List the applicant's Congressional District and any District(s) affected by the program or project. |
| 4. | If this application is to continue or revise an existing award, enter present Federal identifier number. If for a new project, leave blank. | 15. | Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate <u>only</u> the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15. |
| 5. | Legal name of applicant, name of primary organizational unit which will undertake the assistance activity, complete address of the applicant, and name and telephone number of the person to contact on matters related to this application. | 16. | Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process. |
| 6. | Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service. | 17. | This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes. |
| 7. | Enter the appropriate letter in the space provided. | 18. | To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.) |
| 8. | Check appropriate box and enter appropriate letter(s) in the space(s) provided:
— "New" means a new assistance award.
— "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date.
— "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. | | |
| 9. | Name of Federal agency from which assistance is being requested with this application. | | |
| 10. | Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested. | | |
| 11. | Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project. | | |

**U.S. DEPARTMENT OF EDUCATION****BUDGET INFORMATION****NON-CONSTRUCTION PROGRAMS**

OMB Control No. 1875-0102

Expiration Date: 9/30/96

Name of Institution/Organization

Applicants requesting funding for only one year should complete the column under "Project Year 1." Applicants requesting funding for multi-year grants should complete all applicable columns. Please read all instructions before completing form.

**SECTION A - BUDGET SUMMARY
U.S. DEPARTMENT OF EDUCATION FUNDS**

Budget Categories	Project Year 1 (a)	Project Year 2 (b)	Project Year 3 (c)	Project Year 4 (d)	Project Year 5 (e)	Total (f)
1. Personnel						
2. Fringe Benefits						
3. Travel						
4. Equipment						
5. Supplies						
6. Contractual						
7. Construction						
8. Other						
9. Total Direct Costs (lines 1-8)						
10. Indirect Costs						
11. Training Stipends						
12. Total Costs (lines 9-11)						

ED FORM NO. 524

Name of Institution/Organization	Applicants requesting funding for only one year should complete the column under "Project Year 1." Applicants requesting funding for multi-year grants should complete all applicable columns. Please read all instructions before completing form.					
SECTION B - BUDGET SUMMARY NON-FEDERAL FUNDS						
Budget Categories	Project Year 1 (a)	Project Year 2 (b)	Project Year 3 (c)	Project Year 4 (d)	Project Year 5 (e)	Total (f)
1. Personnel						
2. Fringe Benefits						
3. Travel						
4. Equipment						
5. Supplies						
6. Contractual						
7. Construction						
8. Other						
9. Total Direct Costs (lines 1-8)						
10. Indirect Costs						
11. Training Stipends						
12. Total Costs (lines 9-11)						
SECTION C - OTHER BUDGET INFORMATION (see instructions)						

Public reporting burden for this collection of information is estimated to vary from 13 to 22 hours per response, with an average of 17.5 hours, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Education, Information Management and Compliance Division, Washington, D.C. 20202-4651; and the Office of Management and Budget, Paperwork Reduction Project 1875-0102, Washington, D.C. 20503.

INSTRUCTIONS FOR ED FORM NO. 524

General Instructions

This form is used to apply to individual U.S. Department of Education discretionary grant programs. Unless directed otherwise, provide the same budget information for each year of the multi-year funding request. Pay attention to applicable program specific instructions, if attached.

Section A - Budget Summary U.S. Department of Education Funds

All applicants must complete Section A and provide a breakdown by the applicable budget categories shown in lines 1-11.

Lines 1-11, columns (a)-(e):

For each project year for which funding is requested, show the total amount requested for each applicable budget category.

Lines 1-11, column (f):

Show the multi-year total for each budget category. If funding is requested for only one project year, leave this column blank.

Line 12, columns (a)-(e):

Show the total budget request for each project year for which funding is requested.

Line 12, column (f):

Show the total amount requested for all project years. If funding is requested for only one year, leave this space blank.

Instructions for ED Form 524 (cont.)**Section B - Budget Summary**
Non-Federal Funds

If you are required to provide or volunteer to provide matching funds or other non-Federal resources to the project, these should be shown for each applicable budget category on lines 1-11 of Section B.

Lines 1-11, columns (a)-(e):

For each project year for which matching funds or other contributions are provided, show the total contribution for each applicable budget category.

Lines 1-11, column (f):

Show the multi-year total for each budget category. If non-Federal contributions are provided for only one year, leave this column blank.

Line 12, columns (a)-(e):

Show the total matching or other contribution for each project year.

Line 12, column (f):

Show the total amount to be contributed for all years of the multi-year project. If non-Federal contributions are provided for only one year, leave this space blank.

Section C - Other Budget Information

Pay attention to applicable program specific instructions, if attached.

1. Provide an itemized budget breakdown, by project year, for each budget category listed in Sections A and B.
2. If applicable to this program, enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period. In addition, enter the estimated amount of the base to which the rate is applied, and the total indirect expense.
3. If applicable to this program, provide the rate and base on which fringe benefits are calculated.
4. Provide other explanations or comments you deem necessary.

Instructions for Part III Application Narrative

Before preparing the Application Narrative an applicant should read the information in this notice, including the selection criteria the Secretary uses to evaluate applications.

The narrative should encompass each function or activity for which funds are being requested and should—

1. Begin with an Abstract; that is, a summary of your proposal;
2. Describe the proposal in light of each of the selection criteria in the order in which the criteria are listed in this application; and
3. Include any other pertinent information that might assist the Secretary in reviewing the application.

The Secretary strongly requests the applicant to limit the Application Narrative to no more than 20 double-spaced, typed pages (on one side only), although the Secretary will consider applications of greater length. The Department has found that successful applications for similar programs generally meet this page limit.

Instructions for Estimated Public Reporting Burden

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1810-0594 (Expiration date: August 31, 1996). The time required to complete this information collection is estimated to average 50 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. *If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4651. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Thomas Fagan, U.S. Department of Education, 600 Independence Avenue, S.W., Portals Building, Room 4000, Washington, D.C. 20202-2110.*

BILLING CODE 4000-01-P

ASSURANCES — NON-CONSTRUCTION PROGRAMS

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§ 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290dd-3 and 290ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 3601 et. seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 276a to 276a-7), the Copeland Act (40 U.S.C. § 276c and 18 U.S.C. §§ 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333), regarding labor standards for federally assisted construction subagreements.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE	
APPLICANT ORGANIZATION		DATE SUBMITTED

CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 34 CFR Part 82, "New Restrictions on Lobbying," and 34 CFR Part 85, "Government-wide Debarment and Suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Education determines to award the covered transaction, grant, or cooperative agreement.

1. LOBBYING

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 34 CFR Part 82, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 34 CFR Part 82, Sections 82.105 and 82.110, the applicant certifies that:

- (a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;
- (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions;
- (c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

As required by Executive Order 12549, Debarment and Suspension, and implemented at 34 CFR Part 85, for prospective participants in primary covered transactions, as defined at 34 CFR Part 85, Sections 85.105 and 85.110 -

A. The applicant certifies that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

- (d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610 -

A. The applicant certifies that it will or will continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

- (b) Establishing an on-going drug-free awareness program to inform employees about-

- (1) The dangers of drug abuse in the workplace;
- (2) The grantee's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will-

- (1) Abide by the terms of the statement; and
- (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

- (e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: Director, Grants and Contracts Service, U.S. Department of Education, 400 Maryland Avenue, S.W. (Room 3124, CSA Regional Office

Building No. 3), Washington, DC 20202-4571. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted—

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check ☐ if there are workplaces on file that are not identified here.

DRUG-FREE WORKPLACE (GRANTEES WHO ARE INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 CFR Part 85, Subpart F for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610—

A. As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant; and

B. If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to: Director, Grants and Contracts Service, U.S. Department of Education, 400 Maryland Avenue, S.W. (Room 3124, GSA Regional Office Building No. 3), Washington, DC 20202-4571. Notice shall include the identification number(s) of each affected grant.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.

NAME OF APPLICANT	PR/AWARD NUMBER AND/OR PROJECT NAME
PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE	
SIGNATURE	DATE

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse for public burden disclosure.)

Approved by OMB
0346-0046

1. Type of Federal Action: ☐ a. contract ☐ b. grant ☐ c. cooperative agreement ☐ d. loan ☐ e. loan guarantee ☐ f. loan insurance		2. Status of Federal Action: ☐ a. bid/offer/application ☐ b. initial award ☐ c. post-award		3. Report Type: ☐ a. initial filing ☐ b. material change For Material Change Only: year _____ quarter _____ date of last report _____	
4. Name and Address of Reporting Entity: ☐ Prime ☐ Subawardee Tier _____, if known: Congressional District, if known: _____			5. If Reporting Entity is No. 4 is Subawardee. Enter Name and Address of Prime: Congressional District, if known: _____		
6. Federal Department/Agency:			7. Federal Program Name/Description: CFDA Number, if applicable: _____		
8. Federal Action Number, if known:			9. Award Amount, if known: \$ _____		
10. a. Name and Address of Lobbying Entity Registrant (if individual, last name, first name, MI):			b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):		
11. Amount of Payment (check all that apply): \$ _____ ☐ actual ☐ planned			12. Type of Payment (check all that apply): ☐ a. retainer ☐ b. one-time fee ☐ c. commission ☐ d. contingent fee ☐ e. deferred ☐ f. other specify: _____		
12. Form of Payment (check all that apply): ☐ a. cash ☐ b. in-kind; specify: nature _____ value: _____					
14. Brief Description of Services Performed or to be Performed and Date(s) of Service, including officer(s), employee(s), or Member(s) contacted, for Payment Indicated in Item 11.					
15. Continuation Sheet(s) SF-441-A attached: ☐ Yes ☐ No					
16. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.			Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____		
Federal Use Only.			Authorized for Local Reproduction Standard Form - L.L.		

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transactions

This certification is required by the Department of Education regulations implementing Executive Order 12549, Debarment and Suspension, 34 CFR Part 85, for all lower tier transactions meeting the threshold and tier requirements stated at Section 85.110.

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion—Lower Tier Covered Transactions," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

NAME OF APPLICANT	PR/AWARD NUMBER AND/OR PROJECT NAME
PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE	
SIGNATURE	DATE

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. ~~Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.~~

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLL-A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503

Notice to All Applicants

Thank you for your interest in this program. The purpose of this enclosure is to inform you about a new provision in the Department of Education's General Education Provisions Act (GEPA) that applies to applicants for new grant awards under Department programs. This provision is section 427 of GEPA, enacted as part of the Improving America's Schools Act of 1994 (Pub. L. 103-382).

To Whom Does This Provision Apply?

Section 427 of GEPA affects applicants for new discretionary grant awards under this program. **ALL APPLICANTS FOR NEW AWARDS MUST INCLUDE INFORMATION IN THEIR APPLICATIONS TO ADDRESS THIS NEW PROVISION IN ORDER TO RECEIVE FUNDING UNDER THIS PROGRAM.**

What Does This Provision Require?

Section 427 requires each applicant for funds (other than an individual person) to include in its application a description of the steps the applicant proposes to take to ensure equitable access to, and participation in, its federally-assisted program for students, teachers, and other program beneficiaries with special needs.

This section allows applicants discretion in developing the required description. The statute highlights six types of barriers that can impede equitable access or participation that you may address: gender, race, national origin, color, disability, or age. Based on local circumstances, you can determine whether these or other barriers may

prevent your students, teachers, etc. from equitable access or participation. Your description need not be lengthy; you may provide a clear and succinct description of how you plan to address those barriers that are applicable to your circumstances. In addition, the information may be provided in a single narrative, or, if appropriate, may be discussed in connection with related topics in the application.

Section 427 is not intended to duplicate the requirements of civil rights statutes, but rather to ensure that, in designing their projects, applicants for Federal funds address equity concerns that may affect the ability of certain potential beneficiaries to fully participate in the project and to achieve to high standards. Consistent with program requirements and its approved application, an applicant may use the Federal funds awarded to it to eliminate barriers it identifies.

What Are Examples of How an Applicant Might Satisfy the Requirement of This Provision?

The following examples may help illustrate how an applicant may comply with section 427.

(1) An applicant that proposes to carry out an adult literacy project serving, among others, adults with limited English proficiency, might describe in its application how it intends to distribute a brochure about the proposed project to such potential participants in their native language.

(2) An applicant that proposes to develop instructional materials for classroom use might describe how it will make the materials available on

audio tape or in braille for students who are blind.

(3) An applicant that proposes to carry out a model science program for secondary students and is concerned that girls may be less likely than boys to enroll in the course, might indicate how it tends to conduct "outreach" efforts to girls, to encourage their enrollment.

We recognize that many applicants may already be implementing effective steps to ensure equity of access and participation in their grant programs, and we appreciate your cooperation in responding to the requirements of this provision.

Estimated Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1801-0004 (Exp. 8/31/98). The time required to complete this information collection is estimated to vary from 1 to 3 hours per response, with an average of 1.5 hours, including the time to review instructions, search existing data resources, gather and maintain the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, DC 20202-4651.

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