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## FACT SHEET FOR MINNEAPOLIS, MINNESOTA SITE VISIT

|                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                 |       |        |                                    |        |        |                  |         |       |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |
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| <b>AIDS CASE REPORTING</b><br><br>0.6% of all U.S. AIDS cases were reported by the Minnesota Department of Health | <b>CUMULATIVE AIDS CASES AS OF DECEMBER, 1992</b><br><br><table><tr><td>MINNESOTA:</td><td>1,565</td><td>100.0%</td></tr><tr><td>MINNEAPOLIS/ST. PAUL:</td><td>1,231</td><td>78.7%</td></tr><tr><td>ALL OTHER AREAS:</td><td>334</td><td>21.3%</td></tr></table><br>See Attachment 1 for additional information.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | MINNESOTA:                      | 1,565 | 100.0% | MINNEAPOLIS/ST. PAUL:              | 1,231  | 78.7%  | ALL OTHER AREAS: | 334     | 21.3% |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |
| MINNESOTA:                                                                                                        | 1,565                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 100.0%                          |       |        |                                    |        |        |                  |         |       |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |
| MINNEAPOLIS/ST. PAUL:                                                                                             | 1,231                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 78.7%                           |       |        |                                    |        |        |                  |         |       |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |
| ALL OTHER AREAS:                                                                                                  | 334                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 21.3%                           |       |        |                                    |        |        |                  |         |       |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |
| <b>SELECTED DATA REGARDING HIV SEROPREVALENCE</b>                                                                 | <p>In 1992, the state reported selected seroprevalence rates in their HIV Prevention Cooperative Agreement application. These rates were reported for the TWIN CITIES (Minneapolis/St. Paul) area.:</p> <p style="text-align: right;">1991-1992<br/><u>No. positive/No. tested (%)</u></p> <table><tr><td colspan="3">Patients Attending STD Clinics:</td></tr><tr><td>Men Who Have Sex With Men (MWHWSM)</td><td>16/122</td><td>(13.1)</td></tr><tr><td>Heterosexual Men</td><td>8/1,570</td><td>(0.5)</td></tr><tr><td>Women</td><td>1/992</td><td>(0.1)</td></tr><tr><td>Overall</td><td>25/2,685</td><td>(0.9)</td></tr><tr><td>Patient Attending TB Clinics</td><td>2/243</td><td>(0.5)</td></tr><tr><td>Patients at Women's Clinics</td><td>0/2094</td><td>(0.0)</td></tr><tr><td>Patients at Drug Treatment Centers</td><td>0/119</td><td>(0.0)</td></tr></table> <p>SOURCES: 1992 HIV Prevention Cooperative Agreement Application; "HIV Seroprevalence Surveys in Minnesota: 1991-92", <u>Minnesota Department of Health Disease Control Newsletter</u>, Vol. 20, No. 6, June/July 1992, pp. 45-48.</p> | Patients Attending STD Clinics: |       |        | Men Who Have Sex With Men (MWHWSM) | 16/122 | (13.1) | Heterosexual Men | 8/1,570 | (0.5) | Women | 1/992 | (0.1) | Overall | 25/2,685 | (0.9) | Patient Attending TB Clinics | 2/243 | (0.5) | Patients at Women's Clinics | 0/2094 | (0.0) | Patients at Drug Treatment Centers | 0/119 | (0.0) |
| Patients Attending STD Clinics:                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                 |       |        |                                    |        |        |                  |         |       |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |
| Men Who Have Sex With Men (MWHWSM)                                                                                | 16/122                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | (13.1)                          |       |        |                                    |        |        |                  |         |       |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |
| Heterosexual Men                                                                                                  | 8/1,570                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | (0.5)                           |       |        |                                    |        |        |                  |         |       |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |
| Women                                                                                                             | 1/992                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | (0.1)                           |       |        |                                    |        |        |                  |         |       |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |
| Overall                                                                                                           | 25/2,685                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | (0.9)                           |       |        |                                    |        |        |                  |         |       |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |
| Patient Attending TB Clinics                                                                                      | 2/243                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | (0.5)                           |       |        |                                    |        |        |                  |         |       |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |
| Patients at Women's Clinics                                                                                       | 0/2094                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | (0.0)                           |       |        |                                    |        |        |                  |         |       |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |
| Patients at Drug Treatment Centers                                                                                | 0/119                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | (0.0)                           |       |        |                                    |        |        |                  |         |       |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |
| <b>LOCAL HEALTH DEPARTMENTS</b>                                                                                   | <p>Forty-nine (49) Community Health Services (CHS) agencies function as county health departments to plan, coordinate, and deliver public health and disease prevention services in Minnesota. The Minnesota Department of Health (MDH) provides CHS agencies on-going support in HIV/STD program planning, evaluation, and consultations.</p> <p>SOURCE: 1992 HIV Prevention Cooperative Agreement Application, page 36.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                 |       |        |                                    |        |        |                  |         |       |       |       |       |         |          |       |                              |       |       |                             |        |       |                                    |       |       |

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| STATE FUNDING OF NGOs                  | <p>In 1992, the Minnesota Department of Health's HIV program reported objectives that include NGOs in HIV prevention activities.</p> <p>In 1992, the state requested CDC funding to support HIV prevention programs targeting persons at increased risk of HIV infection. Three (3) NGOs were targeted for funding (The City, Chicanos Latinos Unidos En Servicio, and Minneapolis Urban League). Total state funding using CDC Cooperative Agreement funds of NGOs for HE/RR activities: \$253,725.</p> <p>The state uses funds from the CDC to support contracts with NGOs (women's health clinics, drug treatment programs) for counseling and testing services (CTS).</p> <p>The state uses its own funds to support approximately 18 other contracts with NGOs, including operation of the Minnesota AIDSLine (by the Minnesota AIDS Project).</p> <p>SOURCE: 1992 HIV Prevention Cooperative Agreement Application.</p> |
| CDC DIRECT FUNDING OF CBOs:            | <p>No areas of Minnesota, including the Minneapolis/St. Paul MSA, were eligible areas for direct funding of CBOs in FY 1992 under Program Announcement 202. Minnesota NGOs meeting the criteria for eligibility under Program Announcement 303 may apply for funds in FY 1993.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| CDC NMO ACTIVITIES:                    | <p>The American Indian Health Care Association's AIDS Education and Prevention Project is funded under CDC's National/Regional Minority Organization program initiative. The project has the goal of improving AIDS knowledge levels in the Native American population. The project conducts regional trainings, management development seminars, and an annual conference on HIV prevention. AIHCA also develops and produces materials for use by Native Americans and a quarterly newsletter (AIDS BRIEFS) for distribution to Indian organizations.</p>                                                                                                                                                                                                                                                                                                                                                                   |
| ESTIMATED NUMBER OF NGOs IN THE STATE: | <p>Approximately 201 NGOs involved in HIV prevention are located in Minnesota. This number excludes public universities/hospitals, clinical trials units, medical societies, and hospice programs. It includes Indian/Native American community groups, hemophilia service programs, and local chapters of national organizations such as the American Red Cross.</p> <p>SOURCES: CDC National AIDS Clearinghouse, Minnesota 1992 HIV Cooperative Agreement Application.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                  |

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| SELECTED<br>DEMOGRAPHICS:                | <p>Attachment 2 summarizes Census data concerning race/ethnicity.</p> <p>Five Largest Counties</p> <table><tr><td>Hennepin</td><td>1,032,431</td><td>( 34.58%)</td></tr><tr><td>Ramsey</td><td>485,765</td><td>( 16.27%)</td></tr><tr><td>Dakota</td><td>275,227</td><td>( 9.21%)</td></tr><tr><td>Anoka</td><td>243,641</td><td>( 8.16%)</td></tr><tr><td>St. Louis</td><td>198,213</td><td>( 6.63%)</td></tr></table> <p>SOURCE: 1990 Census, Minnesota.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Hennepin  | 1,032,431 | ( 34.58%) | Ramsey | 485,765 | ( 16.27%) | Dakota | 275,227 | ( 9.21%) | Anoka | 243,641 | ( 8.16%) | St. Louis | 198,213 | ( 6.63%) |
| Hennepin                                 | 1,032,431                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | ( 34.58%) |           |           |        |         |           |        |         |          |       |         |          |           |         |          |
| Ramsey                                   | 485,765                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | ( 16.27%) |           |           |        |         |           |        |         |          |       |         |          |           |         |          |
| Dakota                                   | 275,227                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | ( 9.21%)  |           |           |        |         |           |        |         |          |       |         |          |           |         |          |
| Anoka                                    | 243,641                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | ( 8.16%)  |           |           |        |         |           |        |         |          |       |         |          |           |         |          |
| St. Louis                                | 198,213                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | ( 6.63%)  |           |           |        |         |           |        |         |          |       |         |          |           |         |          |
| HEALTH<br>DEPARTMENT<br>CHARACTERISTICS: | <p>All community-based HIV prevention activities are housed within the Prevention Programs Unit of the MDH AIDS/STD Prevention Services Section. Programs designed to reach all persons at risk of HIV/STD infection are consolidated into a single funding, technical assistance and training process (e.g., an integrated HIV/STD program).</p> <p>The MDH develops and distributes a monthly HIV/STD prevention newsletter to all funded agencies. MDH also maintains an HIV/STD Resource Center which houses brochures, literature, journals, policy manuals, curricula, videos, slides and reference materials for loan to funded agencies.</p> <p>The MDH plans to readminister the Minnesota Gay and Bisexual Men's Survey in 1992 to support the development of prevention programs targeting MWHWSM. The original survey was conducted in 1989-1990 and included fifty (50) census tracts in the Minneapolis/St. Paul area.</p> <p>SOURCE: 1992 HIV Prevention Cooperative Agreement Application.</p> |           |           |           |        |         |           |        |         |          |       |         |          |           |         |          |

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| OTHER RELEVANT INFORMATION: | <p>Minnesota is considered to be one of the project areas which has an integrated HIV/STD program.</p> <p>The Minnesota Department of Education (MDE) supports HIV prevention activities in the areas of school-related policy development, instruction, counseling/support, and community education. MDH staff collaborate with MDE.</p> <p>The Minnesota Department of Corrections (DOC) works with MDH in the areas of HIV/STD surveillance, disease intervention, prevention programs and services to persons with HIV/AIDS, both in correctional facilities and upon release. MDH provides funding to DOC to support prevention activities.</p> <p>The Minnesota Department of Human Services collaborates with MDH in the operation of HIV prevention and client services, in the development of an HIV Services Planning Council, and in operation of the AIDS Substance Abuse Partnership (ASAP). ASAP was convened by the Department of Human Services' Chemical Dependency Program Division to coordinate HIV prevention, education and outreach activities to substance abusers.</p> <p>SOURCE: 1992 HIV Prevention Cooperative Agreement Application.</p> |
| ATTACHMENTS:                | <ol style="list-style-type: none"> <li>1. Tables: Selected Data on AIDS Cases in Minnesota</li> <li>2. 1990 Census Data - Selected Information</li> <li>3. Minnesota: STD/HIV Prevention Profile</li> <li>4. Selected Information from 1992 HIV Prevention Cooperative Agreement Application: "AIDS/STD Prevention Services Section Internal Mechanisms for Community Input," AIDS/STD Prevention Services Staff Involvement in Community Committees/Activities;" "HIV Seroprevalence Surveys in Minnesota: 1991-92", <u>Minnesota Department of Health Disease Control Newsletter</u>, Vol. 20, No. 6, June/July 1992, pp. 45-48.</li> </ol>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |

# PORTLAND, OREGON SITE VISIT FACT SHEET

|                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                  |          |          |                                              |          |          |                                 |            |         |                                                                  |        |          |                                     |          |         |
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| <b>AIDS CASE REPORTING<sup>1</sup></b><br><br>0.6% of all U.S. AIDS cases were reported by the Oregon Division of Health. | <b>CUMULATIVE AIDS CASES AS OF DECEMBER, 1992</b><br><br><table><tr><td>OREGON:</td><td>1,565</td><td>100.0%</td></tr><tr><td>PORTLAND MSA:</td><td>1,231</td><td>78.7%</td></tr><tr><td>ALL OTHER AREAS:</td><td>334</td><td>21.3%</td></tr></table><br>See Attachment 1 for additional information.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | OREGON:                          | 1,565    | 100.0%   | PORTLAND MSA:                                | 1,231    | 78.7%    | ALL OTHER AREAS:                | 334        | 21.3%   |                                                                  |        |          |                                     |          |         |
| OREGON:                                                                                                                   | 1,565                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 100.0%                           |          |          |                                              |          |          |                                 |            |         |                                                                  |        |          |                                     |          |         |
| PORTLAND MSA:                                                                                                             | 1,231                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 78.7%                            |          |          |                                              |          |          |                                 |            |         |                                                                  |        |          |                                     |          |         |
| ALL OTHER AREAS:                                                                                                          | 334                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 21.3%                            |          |          |                                              |          |          |                                 |            |         |                                                                  |        |          |                                     |          |         |
| <b>SELECTED DATA REGARDING HIV SEROPREVALENCE:<sup>2</sup></b>                                                            | <table><tr><td>STD Clinic Patients (7/91-6/92):</td><td>44/2,879</td><td>( 1.53%)</td></tr><tr><td>Correctional Facility Residents (9/90-6/92):</td><td>29/2,888</td><td>( 1.00%)</td></tr><tr><td>Childbearing Women (4/89-6/92):</td><td>53/145,123</td><td>( .04%)</td></tr><tr><td>Clients Entering Drug Treatment in Multnomah County (6/90-6/92):</td><td>17/728</td><td>( 2.34%)</td></tr><tr><td>Private Laboratories (10/92-12/92):</td><td>29/6,332</td><td>( .46%)</td></tr></table>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | STD Clinic Patients (7/91-6/92): | 44/2,879 | ( 1.53%) | Correctional Facility Residents (9/90-6/92): | 29/2,888 | ( 1.00%) | Childbearing Women (4/89-6/92): | 53/145,123 | ( .04%) | Clients Entering Drug Treatment in Multnomah County (6/90-6/92): | 17/728 | ( 2.34%) | Private Laboratories (10/92-12/92): | 29/6,332 | ( .46%) |
| STD Clinic Patients (7/91-6/92):                                                                                          | 44/2,879                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | ( 1.53%)                         |          |          |                                              |          |          |                                 |            |         |                                                                  |        |          |                                     |          |         |
| Correctional Facility Residents (9/90-6/92):                                                                              | 29/2,888                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | ( 1.00%)                         |          |          |                                              |          |          |                                 |            |         |                                                                  |        |          |                                     |          |         |
| Childbearing Women (4/89-6/92):                                                                                           | 53/145,123                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | ( .04%)                          |          |          |                                              |          |          |                                 |            |         |                                                                  |        |          |                                     |          |         |
| Clients Entering Drug Treatment in Multnomah County (6/90-6/92):                                                          | 17/728                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | ( 2.34%)                         |          |          |                                              |          |          |                                 |            |         |                                                                  |        |          |                                     |          |         |
| Private Laboratories (10/92-12/92):                                                                                       | 29/6,332                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | ( .46%)                          |          |          |                                              |          |          |                                 |            |         |                                                                  |        |          |                                     |          |         |
| <b>STATE FUNDING OF NGOs:<sup>3</sup></b>                                                                                 | <p>NGOs may receive CDC funding through state funding of local county health departments activities. In 1992, the state reported that general state funds are used to supplement CDC funding for HIV prevention activities.</p> <p>In FY 1992, the state funded four (4) community-based organizations through two county health departments (Lane and Multnomah). Programs funded were Cascade AIDS Project, Oregon Minority AIDS Coalition, Oregon Hispanic AIDS Project, and Shanti/Willamette AIDS Council (now known as HIV/AIDS Resources, Inc.). Total funding using CDC Cooperative Agreement funds: \$180,640. In FY 1992, the state proposed to again fund these four (4) CBOs through local health departments using CDC Cooperative Agreement funds. Estimated funds to be awarded for HE/RR, Minority Initiative, and HIV Prevention for Drug Users activities: \$180,640.</p> <p>Oregon also uses some fund from the HIV Prevention Cooperative Agreement to support other HIV prevention services (e.g., counseling and testing services, public information, etc.).</p> |                                  |          |          |                                              |          |          |                                 |            |         |                                                                  |        |          |                                     |          |         |
| <b>CDC DIRECT FUNDING OF CBOs:</b>                                                                                        | No areas of Oregon, including the Portland MSA, were eligible areas for direct funding of CBOs in FY 1992. Some Oregon CBOs may be eligible for funding under Program Announcement 303.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                  |          |          |                                              |          |          |                                 |            |         |                                                                  |        |          |                                     |          |         |
| <b>CDC NMO ACTIVITIES:</b>                                                                                                | The Northwest Portland Area Indian Health Board (NPAIHB) and the Alaska Native Health Board (ANHB) have been working since 1988, to provide community and School HIV/AIDS education to Native American and Alaska Native people in rural settings of Oregon, Washington, Idaho and Alaska. All programs are developed with village and reservation residents to ensure local control and responsibility. HIV/AIDS programs are coordinated with state HIV programs, Native regional health corporations/village councils, tribal representatives, and Indian Health Service programs.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                  |          |          |                                              |          |          |                                 |            |         |                                                                  |        |          |                                     |          |         |
| <b>ESTIMATED NUMBER OF NGOs IN THE AREA:<sup>4</sup></b>                                                                  | Approximately 79 nongovernmental organizations report some involvement with HIV/AIDS prevention. This estimate excludes public universities/hospitals, clinical trials units, medical societies, and hospice programs. This estimate does include affiliates of national organizations involved in HIV prevention.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                  |          |          |                                              |          |          |                                 |            |         |                                                                  |        |          |                                     |          |         |

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| SELECTED HEALTH DEPARTMENT INFORMATION: <sup>5</sup>                       | <p>Oregon Division of Health activities include support and/or technical assistance for the following:</p> <ul style="list-style-type: none"> <li>• Sparkplug, a statewide organization of CBOs and individuals involved in HIV prevention and care;</li> <li>• Seropositive Wellness Program, an early intervention program targeting newly-diagnosed persons with HIV infection (secondary HIV prevention);</li> <li>• Oregon Council of Local Health Officials, an organization which represents all local health departments; and</li> <li>• Coalition for AIDS Education, which was founded to enhance comprehensive HIV education efforts.</li> </ul> <p>The Oregon Bench Marks program includes HIV prevention as an element for priority in the funding of public health activities.</p> <p>Oregon will complete the preparation of a five year plan for HIV prevention in 1993. This plan is developed in cooperation with local NGOs and the Oregon Council of Local Health Officials (CLHO).</p> <p>The Health Division also maintains collaborative activities with:</p> <ul style="list-style-type: none"> <li>• The Oregon Department of Education, which administers HIV/AIDS school health programs;</li> <li>• The Oregon Office of Alcohol and Drug Abuse Program, which administers substance abuse prevention and treatment activities.</li> </ul> <p>There are thirty-four (34) county health departments in the state. The Multnomah County Health Division administers most HIV-related activities in the Portland MSA.</p> |                                            |             |                                    |            |                                               |            |                                                   |            |                                                           |            |
| OTHER RELEVANT INFORMATION: <sup>6</sup>                                   | <p>Portland is the site of these HIV/AIDS prevention activities:</p> <ul style="list-style-type: none"> <li>• Outside In, a legal needle/syringe exchange program; and</li> <li>• Project Action, a social marketing effort to promote condom utilization among teenagers at risk.</li> </ul> <p>Oregon also receives Federal funding for other HIV prevention programs, including services for hemophiliacs (CDC); substance abusers (OTI); and adolescents/youth (CDC). Currently, the CDC/NCPS Behavioral Research Prevention Branch supports a Perinatal HIV Prevention demonstration project targeting sexually active women in the Portland area (Multnomah Health Department). In addition, Oregon receives funds from other PHS agencies to support research, evaluation, and/or demonstration projects targeting substance abusers and their sex/needle sharing partners.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                            |             |                                    |            |                                               |            |                                                   |            |                                                           |            |
| OTHER CDC SUPPORT FOR STD/HIV PREVENTION ACTIVITIES (FY 1992) <sup>7</sup> | <table> <tr> <td>HIV Prevention Cooperative Agreement Award</td><td>\$1,463,760</td></tr> <tr> <td>STD (318c) Categorical Grant Award</td><td>\$ 507,698</td></tr> <tr> <td>318(b) Research and Demonstration Grant Award</td><td>\$ 343,015</td></tr> <tr> <td>Multnomah County Perinatal HIV Prevention Project</td><td>\$ 462,520</td></tr> <tr> <td>Hemophilia HIV Behavioral Intervention Evaluation Project</td><td>\$ 195,507</td></tr> </table>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | HIV Prevention Cooperative Agreement Award | \$1,463,760 | STD (318c) Categorical Grant Award | \$ 507,698 | 318(b) Research and Demonstration Grant Award | \$ 343,015 | Multnomah County Perinatal HIV Prevention Project | \$ 462,520 | Hemophilia HIV Behavioral Intervention Evaluation Project | \$ 195,507 |
| HIV Prevention Cooperative Agreement Award                                 | \$1,463,760                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                            |             |                                    |            |                                               |            |                                                   |            |                                                           |            |
| STD (318c) Categorical Grant Award                                         | \$ 507,698                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                            |             |                                    |            |                                               |            |                                                   |            |                                                           |            |
| 318(b) Research and Demonstration Grant Award                              | \$ 343,015                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                            |             |                                    |            |                                               |            |                                                   |            |                                                           |            |
| Multnomah County Perinatal HIV Prevention Project                          | \$ 462,520                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                            |             |                                    |            |                                               |            |                                                   |            |                                                           |            |
| Hemophilia HIV Behavioral Intervention Evaluation Project                  | \$ 195,507                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                            |             |                                    |            |                                               |            |                                                   |            |                                                           |            |

|                                              |                                                                               |           |           |
|----------------------------------------------|-------------------------------------------------------------------------------|-----------|-----------|
| SELECTED<br>DEMOGRAPHIC<br>DATA <sup>7</sup> | 1990 Census data for Oregon:                                                  |           |           |
|                                              | Population by race/ethnicity (Attachment 3 presents information on Portland): |           |           |
|                                              | White, Not Hispanic:                                                          | 2,666,098 | ( 93.8%)  |
|                                              | Black, Not Hispanic:                                                          | 45,477    | ( 1.6%)   |
|                                              | Indian, Eskimo, Aleut:                                                        | 28,423    | ( 1.0%)   |
|                                              | Asian/Pacific Islander:                                                       | 56,846    | ( 2.0%)   |
|                                              | Hispanic:                                                                     | 88,112    | ( 3.1%)   |
|                                              | Other:                                                                        | 45,477    | ( 1.6%)   |
|                                              | Total:                                                                        | 2,842,321 | (100.0%)  |
|                                              | Counties with largest population:                                             |           |           |
| ATTACHMENTS:                                 | Multnomah*                                                                    | 583,887   | ( 20.54%) |
|                                              | Washington*                                                                   | 311,554   | ( 10.96%) |
|                                              | Lane                                                                          | 282,912   | ( 9.95%)  |
|                                              | Clackamas*                                                                    | 278,850   | ( 9.81%)  |
|                                              | Marion                                                                        | 228,483   | ( 8.03%)  |
|                                              | * This county is included in the the Portland MSA.                            |           |           |
|                                              | 1. Tables: Selected Data on AIDS Cases in Oregon.                             |           |           |
|                                              | 2. 1990 Census Data - Selected Information: Portland, Oregon                  |           |           |
|                                              | 3. Partial List of Oregon NGOs                                                |           |           |

#### SOURCES FOR FACT SHEET INFORMATION:

1. Division of HIV/AIDS, National Center for Infectious Diseases, CDC. AIDS Cases Reported to CDC through December, 1992. CDC Public Use Dataset.
2. Oregon HIV Prevention Cooperative Agreement Application (1992); HIV Program, Oregon Health Division.  
Oregon HIV/AIDS Surveillance Report, Quarter Ending December 31, 1992. HIV Program, Oregon Health Division.
3. Oregon HIV Prevention Cooperative Agreement Application (1992); HIV Program, Oregon Health Division.
4. CDC National AIDS Information Clearinghouse, Rockville, Maryland.
5. Oregon HIV Prevention Cooperative Agreement Application (1992); HIV Program, Oregon Health Division.
6. Oregon HIV Prevention Cooperative Agreement Application (1992); HIV Program, Oregon Health Division.  
Unpublished Report, Needle/Syringe Exchange Research Project, UCSF (5/7/93)
7. STD/HIV Prevention Profiles: Oregon. Division of STD/HIV Prevention, National Center for Prevention Services, CDC. Undated.
8. 1990 Census, U.S. Department of Census

# **SAN DIEGO SITE VISIT FACT SHEET**

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|                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
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| <b>AIDS CASE REPORTING</b><br><br>18.5% of all U.S. AIDS cases were reported by the California Department of Health Services. | <b>CUMULATIVE AIDS CASES AS OF DECEMBER, 1992</b><br><br>CALIFORNIA: 46,818 100.0%<br>San Diego MSA: 3,633 7.8%<br>All OTHER AREAS: 43,185 92.2%<br><br>See Attachment 1 for additional information.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>SELECTED DATA REGARDING HIV SEROPREVALENCE:</b>                                                                            | <b>CALIFORNIA:</b><br>Anonymous Test Sites (1/92-9/92): 2,638/150,369 1.75%<br>Alcohol/Drug Abuse Programs (1/92-9/92) 111/9404 1.18%<br><br><b>SAN DIEGO COUNTY:</b><br>Anonymous Test Sites (1/92-9/92): 277/14,826 1.87%<br>Alcohol/Drug Abuse Programs (1/92-9/92): 4/496 0.81%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <b>STATE FUNDING OF NGOs:</b>                                                                                                 | <p>NGOs may receive CDC funding through the State's HIV Prevention Cooperative Agreement. The state also uses general funds to support HIV prevention services, including counseling and testing services (CTS) as well as local assistance block grants to 61 local health departments.</p> <p>In FY 1993, approximately one million dollars will be used to support Minority CBO AIDS projects in California. These funds will be contracted to NGOs throughout the state. The Office of AIDS reported their intent to fund six (6) San Diego NGOs to provide HIV prevention services. (The list of contractors and award are not available at this time.)</p> <p>The Office of AIDS also provides contracts to NGOs to operate anonymous and confidential CTS programs. These NGOs include family planning clinics, drug treatment programs and primary care programs.</p> |
| <b>CDC DIRECT FUNDING OF CBOs:</b>                                                                                            | <p>The San Diego MSA was eligible area for CDC direct funding of CBOs beginning in 1989. Three San Diego programs were funded under Program Announcement 202 (AIDS Foundation San Diego, San Diego Youth and Community Services, Inc., and San Diego American Indian Health Center). Total direct funding of CBOs in the San Diego MSA by CDC (FY 1992): \$606,987.</p> <p>In FY 1993, San Diego NGOs meeting eligibility criteria under Announcement 303 may be considered for direct CDC CBO funding.</p>                                                                                                                                                                                                                                                                                                                                                                   |

|                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                      |            |           |                      |           |          |        |           |           |        |            |           |
|---------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|------------|-----------|----------------------|-----------|----------|--------|-----------|-----------|--------|------------|-----------|
| CDC NMO ACTIVITIES:                   | <p>Logan Heights Family Health Center is the lead agency for the Interstate Consortium for the Prevention on AIDS. The Consortium also includes the El Rio Health Center (Tucson, AZ), Presbyterian Medical Services (Farmington, NM) and Waianae Coast Comprehensive Health Center (Waianae, HA). Through its work with the Consortium, Logan Heights provides HIV prevention services to Latinos throughout the state.</p> <p>National Medical Association/Comprehensive Health Center operates the Western Regional AIDS Education Project. The goal of the project is to establish a cadre of African-American trainers to provide HIV prevention activities in California, Colorado, Arizona, Oregon, Nevada and Washington.</p> |                      |            |           |                      |           |          |        |           |           |        |            |           |
| ESTIMATED NUMBER OF NGOs IN THE AREA: | Approximately 290 nongovernmental organizations involved in HIV prevention are located in the San Diego/Southern California area. This excludes public universities/hospitals, clinical trials units, medical societies, and hospice programs.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                      |            |           |                      |           |          |        |           |           |        |            |           |
| SELECTED DEMOGRAPHICS:                | <p>1990 Census data for California:</p> <p>Population by race/ethnicity (Attachment 3 presents information on San Diego):</p> <table><tr><td>White, Not Hispanic:</td><td>24,175,519</td><td>( 81.46%)</td></tr><tr><td>Black, Not Hispanic:</td><td>2,290,279</td><td>( 7.70%)</td></tr><tr><td>Other:</td><td>3,228,319</td><td>( 10.85%)</td></tr><tr><td>Total:</td><td>29,760,021</td><td>(100.00%)</td></tr></table>                                                                                                                                                                                                                                                                                                            | White, Not Hispanic: | 24,175,519 | ( 81.46%) | Black, Not Hispanic: | 2,290,279 | ( 7.70%) | Other: | 3,228,319 | ( 10.85%) | Total: | 29,760,021 | (100.00%) |
| White, Not Hispanic:                  | 24,175,519                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | ( 81.46%)            |            |           |                      |           |          |        |           |           |        |            |           |
| Black, Not Hispanic:                  | 2,290,279                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | ( 7.70%)             |            |           |                      |           |          |        |           |           |        |            |           |
| Other:                                | 3,228,319                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | ( 10.85%)            |            |           |                      |           |          |        |           |           |        |            |           |
| Total:                                | 29,760,021                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | (100.00%)            |            |           |                      |           |          |        |           |           |        |            |           |
| HEALTH DEPARTMENT CHARACTERISTICS:    | <p>There are sixty-one (61) county health departments in the state.</p> <p>Three HIV Prevention Cooperative Agreements were awarded to California governments. Two areas of California (San Francisco and Los Angeles) receive separate funding for HIV under the CDC Cooperative Agreement. The State of California Office of AIDS administers a separate cooperative agreement to support programs in all other areas of the state.</p>                                                                                                                                                                                                                                                                                             |                      |            |           |                      |           |          |        |           |           |        |            |           |

## OTHER RELEVANT INFORMATION:

The State of California contributes more than 80% of financial support for HIV prevention services. CDC/NCPHS support in FY 1992 for STD/HIV Prevention activities:

|                                                    |               |
|----------------------------------------------------|---------------|
| HIV Cooperative Agreement Award                    | \$ 6,685,910* |
| STD (318-c) Categorical Grant Awards               | 5,712,189*    |
| 318(B) STD Research and Demonstration Grants       | 1,556,755     |
| Long Beach HIV Community Demonstration Project     | 1,232,920     |
| Alameda County HIV Perinatal Demonstration Project | 491,524       |
| Huntington Hospital HIV Hemophilia Project         | 123,872       |
| Direct Funded CBOs (Continuation and New Awards)   | 2,008,234     |

\* Includes Direct Assistance

The California Office of AIDS administers several programs providing assistance to NGOs, including:

- Minority AIDS Resource Center, which offers technical assistance services to programs serving ethnic/racial minorities.
- UCLA's Drug Abuse Research Group, which provides data management and policy development support to the Office of AIDS.
- HIV Testing Education and Outreach Program, a public information activity to enhance HIV counseling sessions targeting groups most at affected by HIV
- California AIDS Clearinghouse, which provides HIV/AIDS information and resources to California HIV service providers.
- Multicultural Liaison Board which is comprised of the four major ethnic/racial groups representing CBO throughout the state.

The state also supports contracts to provide consultation, technical assistance and support to contracted NGOs to assist them with program development, implementation and evaluation.

San Diego is one of the sites participating in the Office of AIDS HIV Counseling and Testing in Alcohol and Drug Treatment Centers Program. This program seeks to promote risk reduction among substance abusers in and out of treatment. In addition, participating agencies are able to obtain training and technical assistance.

The San Diego Needle Exchange Team/Act-Up San Diego operates one of the nine needle/syringe exchange (NSE) program in the state. (None receive state or federal funding.) All of these NSE programs are considered to be illegal.

|              |                                                                                                                                                                                                                                                                                                                             |
|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ATTACHMENTS: | <ol style="list-style-type: none"><li>1. Cumulative AIDS Cases in Selected Cities Reported Through December 1992.</li><li>2. Tables: Selected Data on AIDS Cases in California and San Diego.</li><li>3. 1990 Census Data - Selected Information</li><li>4. Partial List of NCOs in San Diego/Southern California</li></ol> |
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CHICAGO, ILLINOIS SITE VISIT  
FACT SHEET

|                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |            |        |
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| <b>AIDS CASE REPORTING<sup>1</sup></b>                                          | <b>CUMULATIVE AIDS CASES AS OF DECEMBER, 1992</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |            |        |
| 3.2% of all U.S. AIDS cases were reported by the Illinois Department of Health. | Chicago MSA:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 6,885      | 83.7%  |
|                                                                                 | Lake County:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 142        | 1.7%   |
|                                                                                 | All OTHER AREAS:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 1,202      | 14.6%  |
|                                                                                 | TOTAL:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 8,229      | 100.0% |
|                                                                                 | See Attachment 1 for additional information.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |            |        |
| <b>SELECTED DATA REGARDING HIV SEROPREVALENCE:<sup>2</sup></b>                  | <b>REPORTED BY STATE OF ILLINOIS:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |            |        |
|                                                                                 | Drug Treatment Entrants (Chicago)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |            |        |
|                                                                                 | IDUs (8/88-2/92)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 491/3,177  | 15.45% |
|                                                                                 | Non-IDUs (2/90-3/92)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 34/1,640   | 2.07%  |
|                                                                                 | Homeless - Chicago (3/91-3/92)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 33/408     | 8.09%  |
|                                                                                 | Correctional Facilities                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |            |        |
|                                                                                 | Adult Males (4/91 - 5/91)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 31/989     | 4.15%  |
|                                                                                 | Adult Females (3/91 - 12/91)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 50/880     | 5.65%  |
|                                                                                 | Adolescent Males (3/91 - 2/92)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 1/989      | 0.10%  |
|                                                                                 | Childbearing Women (3/91 - 6/91)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 47/46,654  | 0.10%  |
|                                                                                 | STD Blinded Study (7/89-2/92)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |            |        |
|                                                                                 | Collar Clinic - Chicago                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 72/8,124   | 0.89%  |
|                                                                                 | Women's Health Clinic (9/88 - 2/92)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |            |        |
|                                                                                 | Suburban Cook County                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 11/9,258   | 0.12%  |
|                                                                                 | <b>REPORTED BY CITY OF CHICAGO</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |            |        |
|                                                                                 | STD Clinics (10/88 - 6/92)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |            |        |
|                                                                                 | Males                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 584/9,593  | 6.1%   |
|                                                                                 | Females                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 69/4,612   | 1.5%   |
|                                                                                 | TB Clinics (1989-1992)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 40/880     | 4.5%   |
|                                                                                 | Women's Health (1/89 - 6/92)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 10/4,505   | 0.2%   |
|                                                                                 | STD Clinics (10/88 - 6/92)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 654/14,225 | 4.6%   |
|                                                                                 | Mobile Van Program (1/92 - 6/92)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 12/200     | 6.0%   |
|                                                                                 | Cook County Hospital (1991)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 445/2,763  | 16.1%  |
| <b>CDC DIRECT FUNDING OF CBOs:</b>                                              | Only the Chicago MSA was eligible for direct funding of CBOs prior to this year. Some Illinois CBOs may be eligible for funding under Program Announcement 303.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |            |        |
| <b>CDC DIRECT FUNDING OF NMOs:</b>                                              | <p>The Midwest Hispanic AIDS Coalition (MHAC) serves Hispanic organizations in Illinois and, through its affiliates, organizations in Indiana, Michigan, Minnesota, Ohio and Wisconsin. MHAC provides technical assistance and training workshops; prepares and distributes a newsletter; and conducts community education and outreach in collaboration with its affiliates. MHAC is a membership organization consisting of Hispanic and non-Hispanic persons and institutions. Currently, the membership is approximately 104 paid individual and institutional members.</p> <p>The United Migrant Opportunity Council's Midwest Regional Migrant Farworker AIDS Education and Prevention project includes services of an affiliate organization in Chicago, Community Health Partnership of Illinois (CHPI). CHPI provides health education programs through the operation of migrant clinics and migrant health outreach activities.</p> |            |        |

|                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|----------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| STATE FUNDING OF NGOs: <sup>3</sup>                | <p>NGOs may receive CDC funding indirectly through HIV Prevention Cooperative Agreements with the State Department of Health or the Chicago Department of Health.</p> <p>In FY 1993, the state plans to fund three community-based organizations using CDC Cooperative Agreement funds for HIV prevention. In addition, the state plans to fund other CBOs in the Chicago area through the Chicago Department of Health, the Cook County Department of Health, and the Illinois Department of Corrections.</p> <p>The State of Illinois funds HIV education services using general funds. Among the services funded are the state's media campaign, the Illinois AIDS Hotline, and the AIDS Resource, Information and Education Service (ARIES).</p> <p>The State of Illinois and the City of Chicago Health Departments use some funding from the HIV Prevention Cooperative Agreement to support other HIV prevention services (e.g., counseling and testing services, public information, etc.). Also, the Chicago Health Department provides technical assistance to CBOs; funds 3 CBOs to deliver HIV prevention services and operates; and supports a number of collaborative activities with CBOs using local and Federal funding.</p> |
| ESTIMATED NUMBER OF NGOs IN THE AREA: <sup>4</sup> | <p>Approximately 298 nongovernmental organizations in Illinois report some involvement with HIV/AIDS prevention. This estimate excludes public universities/hospitals, clinical trials units, medical societies, and hospice programs. This estimate does include affiliates of national organizations involved in HIV prevention.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| HEALTH DEPARTMENT INFORMATION:                     | <p>HIV Prevention Cooperative Agreements in two project areas. The City of Chicago's Department of Health receives funding to support programs in the city. The Illinois Department of Health receives funding to support programs in other areas of the state.</p> <p>There are 74 local health departments in the state.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |

# GARY, INDIANA SITE VISIT FACT SHEET

|                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                            |              |                                    |              |     |       |            |     |       |                 |       |        |
|-----------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|--------------|------------------------------------|--------------|-----|-------|------------|-----|-------|-----------------|-------|--------|
| <b>AIDS CASE REPORTING<sup>1</sup></b><br><br>0.7% of all U.S. AIDS cases were reported by Indiana. | <b>CUMULATIVE AIDS CASES AS OF DECEMBER, 1992</b><br><br><table><tr><td>Gary</td><td>173</td><td>9.9%</td></tr><tr><td>Indianapolis</td><td>790</td><td>45.3%</td></tr><tr><td>All Others</td><td>781</td><td>44.8%</td></tr><tr><td>TOTAL - INDIANA</td><td>1,744</td><td>100.0%</td></tr></table><br>See Attachment 1 for additional information.                                                                                                                                                                                                                                                                                                                                                     | Gary                                       | 173          | 9.9%                               | Indianapolis | 790 | 45.3% | All Others | 781 | 44.8% | TOTAL - INDIANA | 1,744 | 100.0% |
| Gary                                                                                                | 173                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 9.9%                                       |              |                                    |              |     |       |            |     |       |                 |       |        |
| Indianapolis                                                                                        | 790                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 45.3%                                      |              |                                    |              |     |       |            |     |       |                 |       |        |
| All Others                                                                                          | 781                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 44.8%                                      |              |                                    |              |     |       |            |     |       |                 |       |        |
| TOTAL - INDIANA                                                                                     | 1,744                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 100.0%                                     |              |                                    |              |     |       |            |     |       |                 |       |        |
| <b>STATE FUNDING OF NGOs:<sup>2</sup></b>                                                           | NGOs may receive CDC funding indirectly through HIV Prevention Cooperative Agreements with the State Department of Health. In FY 1992, the State funded 15 CBOs to provide HIV prevention services to persons at increased risk.                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                            |              |                                    |              |     |       |            |     |       |                 |       |        |
| <b>CDC DIRECT FUNDING OF CBOs:</b>                                                                  | No organizations in Indiana were eligible for direct funding by CDC. Some Indiana CBOs may be eligible for funding under Program Announcement 303.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                            |              |                                    |              |     |       |            |     |       |                 |       |        |
| <b>CDC NMO ACTIVITIES:</b>                                                                          | No NMOs are located in Indiana. However, some NMOs conduct activities targeting programs in the state (Midwest Hispanic AIDS Coalition).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                            |              |                                    |              |     |       |            |     |       |                 |       |        |
| <b>ESTIMATED NUMBER OF NGOs IN THE AREA:<sup>3</sup></b>                                            | Approximately 50 nongovernmental organizations in Indiana report some involvement with HIV/AIDS prevention. This estimate excludes public universities/hospitals, clinical trials units, medical societies, and hospice programs. This estimate does include affiliates of national organizations involved in HIV prevention.                                                                                                                                                                                                                                                                                                                                                                           |                                            |              |                                    |              |     |       |            |     |       |                 |       |        |
| <b>SELECTED HEALTH DEPARTMENT INFORMATION:<sup>4</sup></b>                                          | There are 92 local health departments in Indiana. All are involved in HIV prevention. Most are represented in HIV services planning and coalitions.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                            |              |                                    |              |     |       |            |     |       |                 |       |        |
| <b>OTHER RELEVANT INFORMATION</b>                                                                   | <p>The State Department of Health reports these program highlights:</p> <ul style="list-style-type: none"><li>o Collaborated with community substance abuse centers to provide HIV counseling and testing services.</li><li>o Developed a cross training program for STD, HIV, TB and substance abuse staff to provide combined STD/HIV/TB services.</li><li>o Collaborated with the Department of corrections to enhance HIV/STD prevention activities for current and former residents of criminal justice facilities.</li><li>o Collaborated with Community Action Groups (CAGs) to provide HIV prevention services. These CAGs receive basic funding from the State Department of Health.</li></ul> |                                            |              |                                    |              |     |       |            |     |       |                 |       |        |
| <b>CDC SUPPORT FOR STD/HIV PREVENTION ACTIVITIES (FY 1992)<sup>5</sup></b>                          | <table><tr><td>HIV Prevention Cooperative Agreement Award</td><td>\$1,455,875*</td></tr><tr><td>STD (318c) Categorical Grant Award</td><td>\$1,079,102*</td></tr></table><br>* Includes direct assistance                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | HIV Prevention Cooperative Agreement Award | \$1,455,875* | STD (318c) Categorical Grant Award | \$1,079,102* |     |       |            |     |       |                 |       |        |
| HIV Prevention Cooperative Agreement Award                                                          | \$1,455,875*                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                            |              |                                    |              |     |       |            |     |       |                 |       |        |
| STD (318c) Categorical Grant Award                                                                  | \$1,079,102*                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                            |              |                                    |              |     |       |            |     |       |                 |       |        |

|                                           |                                                                                                                                                    |           |           |
|-------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|
| SELECTED<br>DEMOGRAPHIC DATA <sup>6</sup> | 1990 Census data for Illinois:                                                                                                                     |           |           |
|                                           | Population by race/ethnicity (Attachment 3 presents information on Chicago):                                                                       |           |           |
|                                           | White, Not Hispanic:                                                                                                                               | 5,058,717 | ( 91.24%) |
|                                           | Black, Not Hispanic:                                                                                                                               | 434,307   | ( 7.83%)  |
|                                           | All Other:                                                                                                                                         | 51,135    | ( 0.92%)  |
|                                           | Total:                                                                                                                                             | 5,544,159 | ( 100.0%) |
|                                           | Counties with largest population:                                                                                                                  |           |           |
|                                           | Marion                                                                                                                                             | 797,159   | ( 25.13%) |
|                                           | Lake                                                                                                                                               | 475,594   | ( 14.99%) |
|                                           | Allen                                                                                                                                              | 300,836   | ( 9.48%)  |
|                                           |                                                                                                                                                    |           |           |
| ATTACHMENTS:                              | 1. Tables: Selected Data on AIDS Cases in Indiana.<br>2. 1990 Census Data - Selected Information: Gary, Indiana<br>3. Partial List of Indiana NGOs |           |           |

#### SOURCES FOR FACT SHEET INFORMATION:

1. Division of HIV/AIDS, National Center for Infectious Diseases, CDC. AIDS Cases Reported to CDC through December, 1992. CDC Public Use Dataset.
2. Indiana HIV Prevention Cooperative Agreement Application (1992); HIV Program, Indiana Health Division.
3. CDC National AIDS Information Clearinghouse, Rockville, Maryland.
4. Indiana HIV Prevention Cooperative Agreement Application (1992); HIV Program, Indiana Health Division.
5. STD/HIV Prevention Profiles: Indiana. Division of STD/HIV Prevention, National Center for Prevention Services, CDC. Undated.
6. 1990 Census, U.S. Department of Census

**NEWARK, NEW JERSEY SITE VISIT  
FACT SHEET**

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|                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                      |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|----------|-------|----------------------------------|--------|-------|-------------------------------|-------|------|---------------------------------|----------|------|---------------------------------|--------------|--------------|------------|-------|-------|---------------------------|---------------|---------------|
| <b>AIDS CASE REPORTING</b><br><br>5.8% of all U.S. AIDS cases were reported by the New Jersey State Department of Health. | <b>CUMULATIVE AIDS CASES AS OF DECEMBER, 1992</b><br><br><table><tr><td>Bergen/Passaic</td><td>1,865</td><td>12.7%</td></tr><tr><td>Jersey City</td><td>2,467</td><td>16.8%</td></tr><tr><td>Middlesex</td><td>1,239</td><td>8.4%</td></tr><tr><td>Monmouth/Ocean</td><td>965</td><td>6.6%</td></tr><tr><td><b>Newark</b></td><td><b>6,073</b></td><td><b>41.3%</b></td></tr><tr><td>All Others</td><td>2,093</td><td>14.2%</td></tr><tr><td><b>TOTAL - NEW JERSEY</b></td><td><b>14,702</b></td><td><b>100.0%</b></td></tr></table><br>See Attachment 1 for additional information.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Bergen/Passaic       | 1,865    | 12.7% | Jersey City                      | 2,467  | 16.8% | Middlesex                     | 1,239 | 8.4% | Monmouth/Ocean                  | 965      | 6.6% | <b>Newark</b>                   | <b>6,073</b> | <b>41.3%</b> | All Others | 2,093 | 14.2% | <b>TOTAL - NEW JERSEY</b> | <b>14,702</b> | <b>100.0%</b> |
| Bergen/Passaic                                                                                                            | 1,865                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 12.7%                |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
| Jersey City                                                                                                               | 2,467                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 16.8%                |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
| Middlesex                                                                                                                 | 1,239                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 8.4%                 |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
| Monmouth/Ocean                                                                                                            | 965                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 6.6%                 |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
| <b>Newark</b>                                                                                                             | <b>6,073</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <b>41.3%</b>         |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
| All Others                                                                                                                | 2,093                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 14.2%                |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
| <b>TOTAL - NEW JERSEY</b>                                                                                                 | <b>14,702</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <b>100.0%</b>        |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
| <b>SELECTED DATA REGARDING HIV SEROPREVALENCE:</b>                                                                        | <b>Selected rates are shown below for the period January - March 1993:</b><br><br><table><tr><td>STD Clinic Patients:</td><td>97/3,269</td><td>3.0%</td></tr><tr><td>Correctional Facility Residents:</td><td>14/275</td><td>5.1%</td></tr><tr><td>Tuberculosis Clinic Patients:</td><td>8/177</td><td>4.5%</td></tr><tr><td>Women's Health Clinic Patients:</td><td>28/3,961</td><td>0.6%</td></tr><tr><td>Drug Treatment Program Clients:</td><td>85/1,486</td><td>5.7%</td></tr></table>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | STD Clinic Patients: | 97/3,269 | 3.0%  | Correctional Facility Residents: | 14/275 | 5.1%  | Tuberculosis Clinic Patients: | 8/177 | 4.5% | Women's Health Clinic Patients: | 28/3,961 | 0.6% | Drug Treatment Program Clients: | 85/1,486     | 5.7%         |            |       |       |                           |               |               |
| STD Clinic Patients:                                                                                                      | 97/3,269                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 3.0%                 |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
| Correctional Facility Residents:                                                                                          | 14/275                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 5.1%                 |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
| Tuberculosis Clinic Patients:                                                                                             | 8/177                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 4.5%                 |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
| Women's Health Clinic Patients:                                                                                           | 28/3,961                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 0.6%                 |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
| Drug Treatment Program Clients:                                                                                           | 85/1,486                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 5.7%                 |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
| <b>STATE FUNDING OF NGOs</b>                                                                                              | <p>NGOs may receive funds from the CDC HIV Prevention Cooperative Agreement with the Division of AIDS Prevention and Control (DOAPC), New Jersey State Department of Health (NJSDOH).</p> <p>Under the current CDC HIV Cooperative Agreement, the DOAPC administers HIV Prevention contracts with approximately thirteen (13) NGOs targeting racial/ethnic minorities, one (1) NGO providing public information services and four (4) NGOs providing HIV counseling and testing services. Other NGOs funded under the CDC Cooperative Agreement include drug treatment services providing HIV counseling, testing and other services to Injecting Drug Users. Contract awards under the Cooperative Agreement exceed \$1,473,850 for NGOs providing HIV Prevention Services (excluding funding for HIV Counseling and Testing and funding to drug treatment centers).</p> <p>NGOs funded by the NJSDOH under the CDC HIV Prevention Cooperative Agreement funds include, but are not limited to: Boys and Girls Club of Newark, Caribbean Haitian Council, Casa Prac, Check-Mate, Hispanic Association of Ocean County, Martin Luther King Academy, Morris County Urban League, Nigeria-America Institute, Planned Parenthood of Mercer, Puerto Rican Association for Community Organization, Urban League of Metropolitan Trenton, and Spanish Community Center.</p> |                      |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |
| <b>CDC DIRECT FUNDING OF CBOs:</b>                                                                                        | NGOs in several New Jersey MSAs were eligible to receive direct funding through CDC HIV Prevention Cooperative agreements. No NGOs in New Jersey currently receive direct funding through Program Announcement 202. However, at least eight NGOs have received awards under previous Program Announcements for direct funding of minority and other CBOs.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                      |          |       |                                  |        |       |                               |       |      |                                 |          |      |                                 |              |              |            |       |       |                           |               |               |

|                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                      |           |          |                      |           |          |        |         |         |        |           |          |
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| CDC NMO ACTIVITIES:         | <p>Puerto Rican Organization for Community Education and Economic Opportunity, Inc. (PROCEED) serves as lead agency for the Northeast Hispanic AIDS Consortium (NeHAC), a network of seven community-based organizations founded to create a regional response to HIV infection rates among Hispanic/Latinos. NeHAC serves communities in Connecticut, New York, New Jersey, Massachusetts, Puerto Rico. The affiliates of NeHAC completed HIV/AIDS needs assessments of their respective communities and developed culturally-sensitive and appropriate HIV/AIDS prevention models targeting men who have sex with men, injecting drug users and Latina women, and a training and resource manual.</p> <p>PROCEED is located in Elizabeth, New Jersey.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                      |           |          |                      |           |          |        |         |         |        |           |          |
| ESTIMATED NUMBER OF NGOs:   | Approximately 80 nongovernmental organizations are located in New Jersey. This estimate excludes public universities/hospitals, clinical trials units, medical societies, and hospice programs.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                      |           |          |                      |           |          |        |         |         |        |           |          |
| SELECTED DEMOGRAPHICS:      | <p>1990 Census data for New Jersey: Population by race/ethnicity (Attachment 3 presents information on Newark):</p> <table><tr><td>White, Not Hispanic:</td><td>6,370,411</td><td>( 82.4%)</td></tr><tr><td>Black, Not Hispanic:</td><td>1,067,086</td><td>( 13.8%)</td></tr><tr><td>Other:</td><td>292,691</td><td>( 3.8%)</td></tr><tr><td>Total:</td><td>7,730,188</td><td>(100.0%)</td></tr></table>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | White, Not Hispanic: | 6,370,411 | ( 82.4%) | Black, Not Hispanic: | 1,067,086 | ( 13.8%) | Other: | 292,691 | ( 3.8%) | Total: | 7,730,188 | (100.0%) |
| White, Not Hispanic:        | 6,370,411                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | ( 82.4%)             |           |          |                      |           |          |        |         |         |        |           |          |
| Black, Not Hispanic:        | 1,067,086                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | ( 13.8%)             |           |          |                      |           |          |        |         |         |        |           |          |
| Other:                      | 292,691                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | ( 3.8%)              |           |          |                      |           |          |        |         |         |        |           |          |
| Total:                      | 7,730,188                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | (100.0%)             |           |          |                      |           |          |        |         |         |        |           |          |
| OTHER RELEVANT INFORMATION: | <p>The NJSDOH administers funds from the HIV Prevention Cooperative Agreement to support a number of Consortia to support HE/RR, Minority and Drug User Intervention Services. Lead agencies for the Consortias include: Camden Area Health Education Center, City of Trenton, Family Planning Program of Ocean County, and Paterson Counseling Center.</p> <p>The NJSDOH supports 25 free-standing HIV Counseling and Testing Sites. San Diego is the site of Project Action, a social marketing effort to promote condom utilization among teenagers at risk.</p> <p>The NJSDOH also collaborates with other Federally funded agencies and other organizations. This includes the N. J. Collegiate Consortium for Health in Higher Education (CDC), the New Jersey State Department of Education (CDC), the Regional AIDS Education Center at the University of Medicine and Dentistry of New Jersey (HRSA), and the National Pediatric HIV Resource Center at Children's Hospital, Newark (HRSA). The Division of AIDS Prevention and Control provides over 1.2 million dollars to drug treatment centers throughout the state to support HIV/AIDS prevention services.</p> <p>SOURCES: New Jersey HIV Prevention Cooperative Agreement Application (1992); New Jersey Quarterly Narrative (June 14, 1993).</p> |                      |           |          |                      |           |          |        |         |         |        |           |          |
| ATTACHMENTS:                | <ol style="list-style-type: none"><li>1. Selected Data on AIDS in New Jersey.</li><li>2. 1990 Census Data - Selected Information</li><li>3. Partial Listing of Non-Governmental organizations involved in HIV prevention.</li></ol>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                      |           |          |                      |           |          |        |         |         |        |           |          |

**MEMPHIS, TENNESSEE SITE VISIT  
FACT SHEET**

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|------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|---------------|
| <b>AIDS CASE REPORTING</b>                                                   | <b>CUMULATIVE AIDS CASES AS OF DECEMBER, 1992</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |              |               |
| 0.7% of all U.S. AIDS cases were reported by Tennessee Department of Health. | Knoxville                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 175          | 9.4%          |
|                                                                              | <b>Memphis</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <b>623</b>   | <b>33.4%</b>  |
|                                                                              | Nashville                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 616          | 33.0%         |
|                                                                              | All Others                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 450          | 24.2%         |
|                                                                              | <b>TOTAL - TENNESSEE</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | <b>1,864</b> | <b>100.0%</b> |
|                                                                              | See Attachment 1 for additional information.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |              |               |
| <b>SELECTED DATA REGARDING HIV SEROPREVALENCE:</b>                           | Selected rates are shown below for the period <b>January - March 1992:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |               |
|                                                                              | HIV Counseling and Testing Sites:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 156/6,045    | 2.6%          |
|                                                                              | STD Clinic Patients:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 25/1,767     | 1.4%          |
|                                                                              | Correctional Facility Residents:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 6/60         | 1.0%          |
|                                                                              | Tuberculosis Clinic Patients:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 0/34         | 0.0%          |
|                                                                              | Women's Health Clinic Patients:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 0/223        | 0.0%          |
|                                                                              | Drug Treatment Program Clients:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 0/12         | 0.0%          |
| <b>STATE FUNDING OF NGOs</b>                                                 | <p>NGOs may receive funds from the CDC HIV Prevention Cooperative Agreement with the Tennessee Department of Health's (TDOH) AIDS Program.</p> <p>Under the current CDC HIV Cooperative Agreement, the AIDS Program administers HIV Prevention contracts with approximately seven (9) NGOs to provide risk reduction and outreach services. One (1) other contract with a NGO supports HIV Counseling and Testing services in addition to education and outreach activities. Contract awards to NGOs under the TSDOH Cooperative Agreement for FY1993 is estimated to be \$525,000 (Includes NGO funding for HIV Counseling and Testing).</p> <p>NGOs funded under the CDC HIV Prevention Cooperative Agreement include, but are not limited to: Nashville CARES, Midtown Mental Health Center, Chattanooga CARES, Friends for Life, Tri-Cities AIDS Project, a.i.d.s. Response Knoxville (aRK), Cumberland Chapter of the National Hemophilia Foundation, Christian Counseling Services, Payne Chapel AME Church, and Tennessee Opportunity Program. Contracts were also awarded by the TDOH to the Vanderbilt AIDS Project and Meharry Medical College, private non-profit university programs in Nashville.</p> |              |               |
| <b>CDC DIRECT FUNDING OF CBOs:</b>                                           | No areas of Tennessee were eligible for direct funding of CBOs in prior to 1993. Tennessee CBOs meeting eligibility criteria under Program Announcement 303 may apply for direct funding of HIV prevention services.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |              |               |
| <b>CDC NMO ACTIVITIES:</b>                                                   | No NMOs have offices in the Memphis area. However, affiliates of NMOs are found in the city and the state (e.g., affiliates or chapters of Black and White Men Together, National Urban League).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |              |               |
| <b>ESTIMATED NUMBER OF NGOs:</b>                                             | Approximately 78 nongovernmental organizations are located in Tennessee. This estimate excludes public universities/hospitals, clinical trials units, medical societies, and hospice programs.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |              |               |

|                           |                                                              |           |           |
|---------------------------|--------------------------------------------------------------|-----------|-----------|
| SELECTED<br>DEMOGRAPHICS: | 1990 Census data for Tennessee: Population by race/ethnicity |           |           |
|                           | White, Not Hispanic:                                         | 4,055,737 | ( 83.16%) |
|                           | Black, Not Hispanic:                                         | 779,205   | ( 15.98%) |
|                           | Other:                                                       | 42,243    | ( 0.87%)  |
|                           | Total:                                                       | 4,877,185 | (100.00%) |

Testimony of the New Jersey Association on Correction  
before the  
Federal Advisory Committee on the Prevention of HIV Infection  
July 7, 1993

Good morning. My name is Jim Hemm and I am the Executive Director of NJAC, the New Jersey Association on Correction. NJAC, incorporated in 1961, is a private not for profit agency which provides residential and/or support services to survivors of domestic violence and sexual assault, offenders and exoffenders, homeless people living with HIV/AIDS and women at risk of separation from their children due to abuse and/or neglect. You will also be hearing from the supervisor of one of our residential programs, a program for homeless people who are living with HIV/AIDS, who will share her thoughts with you about the provision of housing and other support services. I would like to talk about the desperate need for coordinated and targeted educational and support services and minimum guidelines for the care of offenders and others under the authority of the criminal justice system.

Because of the nature of the AIDS epidemic in New Jersey, inmates, parolees, and individuals on probation are at increased risk for HIV infection due to the high rate of substance use among these populations coupled with a lack of education and outreach. The CDC must promote partnerships between state and local departments of health, corrections and community based organizations to encourage the provision of comprehensive education and support services. NJAC was recently awarded a grant from the Robert Wood Johnson Foundation to support a corrections AIDS project. Programs of this kind need to be supported on a local, state and federal level.

Funding for corrections is channeled into capital needs and security with little remaining for education and health care. Institutions are grossly understaffed in social services, health and educational departments. Many community based organizations are interested and willing to provide education, pre-release planning, referrals and case management for exoffenders but meet with resistance from local and state correctional agencies and have limited resources for additional programs.

Correctional staff fear education will result in an increased need for medical and support services and testing. They lack the financial resources and trained personnel necessary to respond appropriately to inmates with HIV/AIDS. The state and county systems are separate and each county operates independently. There is no uniform system of care or policies regarding confidentiality, testing and the provision of support services.

We hear little about education and support services from other areas of the criminal justice system. Although there are more people on probation and

parole than are incarcerated there has been little discussion about how HIV/AIDS education and support services can be integrated into staff training and service provision under these departments.

The following are basic recommendations for prevention in criminal justice programs in New Jersey, some of which have been implemented in other parts of the country with great success:

1) Targeted staff training - Institutional staff (including correctional officers and administrative staff) need ongoing basic education which covers at a minimum: HIV transmission and prevention; sensitivity training; universal precautions; community based services available to them and to inmates. Training programs for probation and parole staff is also critical. Because these agencies function in the community it is easier to involve community based agencies in the training and program development.

2) Training for community based agencies - Administrative and direct services staff need encouragement to provide services for exoffenders and others under supervision and to inmates and staff at local correctional facilities. The training must include at a minimum information about the "culture" in and operation of correctional facilities, the needs and concerns of inmates and correctional staff and guidelines for providing education and support services in an institutional setting.

3) Development of peer education programs - Local and state correctional facilities must adopt the models which have had the greatest success in impacting behavior and attitudes. Peer education and support programs which are developed by the inmates with the assistance of community based agency staff have been successful in many different areas of the country. The models vary but the success of each can be attributed to the involvement of inmates in the development and implementation of the programs. Similar programs can be implemented in the community for probationers and parolees.

4) Pre-release planning - Inmates returning to the community need increased information and support services. We already know it is difficult for many exoffenders to ask for help once they return to the community and when they do they are often turned away. If we are to prevent the behavior which we know puts them and others at risk we need to ensure that they have the education and support they need. Establishing networks which will assist them in accessing health care, support services and housing prior to their release will increase the success we have in helping them stay healthy and remain in the community as productive citizens. Involving more community based agencies and local and state authorities in this process is critical.

5) The establishment of policies which require strict confidentiality are absolutely vital to protect inmates and others in the criminal justice system from discrimination and abuse. Confidentiality is critical to the success of any prevention program. Individuals at risk or already infected with HIV will not come forward if they fear that they will not be protected from the psychological and sometimes physical injury inflicted by correctional staff and inmates because of a positive HIV status. Anonymous testing could be implemented for those who are interested with the same methods which exist in the community. For those inmates who reveal their HIV status or whose status is discovered while they are incarcerated, correctional, medical and court staff must be mandated to protect confidentiality.

6) Minimum uniform standards of care must be established for all correctional facilities. Local and state facilities operate differently and the standards of care vary. It is essential that inmates receive adequate care which includes prophylaxis and regular blood and other necessary testing. In addition, they need to have access to regular clinical trials.

7) Prevention must include substance use treatment inside correctional institutions and in the community. Diversion programs which mandate people to drug/alcohol treatment programs instead of incarceration would reduce the number of people incarcerated and minimize the transmission of HIV in the institutions and in the community. We already know that people in treatment are less likely to contract or transmit HIV. Additionally, if we reduce the number of people dependent on drugs and alcohol we will directly impact the crime rate.

8) The distribution of condoms in institutions is necessary if we are to prevent transmission of HIV. Correctional administrators in general feel that condom distribution condones sexual activity in the institutions. They must be encouraged to adopt condom distribution in the interest of public health concerns.

Thank you for providing this opportunity to share with you some basic recommendations concerning HIV prevention and the criminal justice community. NJAC would be pleased to provide you with further information and or participate in program and policy development in these areas. We do not really know the extent of the HIV/AIDS epidemic in our correctional institutions. We do know that incarcerated people and their families are at increased risk without appropriate and intensive prevention and intervention.

**CDC Advisory Committee on the Prevention of HIV Infection**  
**November 17-18, 1993**  
**AGENDA**

| <b><u>DAY 1</u></b> | <b><u>Chair</u></b>                                                                                                                                 | <b><u>Mark Smith</u></b>            |
|---------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|
| 8:30                | Welcome                                                                                                                                             | James Curran/<br>Mark Smith/Other   |
| 8:45                | External review - outline of process to be followed during review of group reports and recommendations and formulation of committee recommendations | James Curran/<br>Mark Smith         |
| 9:15                | Improving Public Understanding of the HIV Epidemic                                                                                                  | Norma Lopez/<br>Vicky Freimuth      |
| 10:30               | BREAK                                                                                                                                               |                                     |
| 10:45               | Monitoring the Epidemic                                                                                                                             | Julie Gerberding/<br>Mike Osterholm |
| 12:00               | LUNCH                                                                                                                                               |                                     |
| 1:00                | Promoting Knowledge of Serostatus                                                                                                                   | Neil Schram/<br>Jeff Kelly          |
| 2:15                | Developing Partnerships for HIV Prevention                                                                                                          | Mark Magenheim/<br>Belinda Rochelle |
| 3:30                | BREAK                                                                                                                                               |                                     |
| 3:45                | Preventing Risk Behaviors Among School Students                                                                                                     | Katherine Fraser                    |
| 5:00                | ADJOURN                                                                                                                                             |                                     |

**DAY 2****Chair****Mark Smith**

|       |                                                        |                |
|-------|--------------------------------------------------------|----------------|
| 8:30  | Needle/Syringe Exchange and Other IDU - Related Issues | Steve Jones    |
| 9:00  | Prevention Marketing                                   | Fred Kroger    |
| 9:30  | Community Planning Process                             | Ron Valdiserri |
| 10:00 | BREAK                                                  |                |
| 10:15 | General discussion of reports and recommendations      |                |
| 12:00 | LUNCH                                                  |                |
| 1:00  | Continue discussion of reports and recommendations     |                |
| 5:00  | ADJOURN                                                |                |

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## SUPPLEMENTAL GUIDANCE ON HIV PREVENTION COMMUNITY PLANNING FOR NONCOMPETING CONTINUATION OF COOPERATIVE AGREEMENTS FOR HIV PREVENTION PROJECTS

11/01/93

### INTRODUCTION

This guidance is offered to assist state and local health department HIV Prevention Cooperative Agreement Grantees (referred to in subsequent portions of this document as "Grantees") in the preparation of plans to undertake HIV Prevention Community Planning in fiscal year (FY) 1994. The Centers for Disease Control and Prevention (CDC) will award up to \$\_\_\_\_\_ for this purpose through the HIV Prevention Cooperative Agreements with state, territorial, and local health departments on January 1, 1994. These funds will be used to establish plans for the use of HIV prevention resources awarded under program announcement #300 (Cooperative Agreement for Human Immunodeficiency Virus (HIV); Prevention Projects Program Announcement and Availability of Funds for Fiscal Year 1993).

### A. ESSENTIAL COMPONENTS OF A COMPREHENSIVE HIV PREVENTION PROGRAM

Participatory community planning is an essential component of effective HIV prevention programs. This type of planning is evidence-based (i.e., based on HIV/AIDS epidemiologic surveillance and other data, ongoing program experience, program evaluation, and a comprehensive, objective needs assessment process) and incorporates the views and perspectives of the groups for whom the programs are intended, as well as the providers of the services. In addition to community planning, the other essential components of a comprehensive HIV prevention program are (also see program announcement #300):

1. Epidemiologic and behavioral surveillance and research and collection of other health and demographic data to monitor the HIV/AIDS epidemic and behaviors/practices that facilitate HIV transmission, and to project trends in the epidemic;
2. HIV counseling, testing, referral, and partner notification (CTRPN), to provide, consistent with state laws, both anonymous and confidential client-centered opportunities for individuals to learn their serostatus and to receive prevention counseling and referral to other preventive, medical, and social services;

3. Individual level interventions (e.g., prevention case management) that provide ongoing health education and risk-reduction counseling, assist clients in making plans for individual behavior change and ongoing appraisals of their own behavior, facilitate linkages to services in both clinic and community settings in support of behaviors and practices which prevent transmission of HIV, and to make plans to obtain these services;
4. Health education and risk-reduction interventions for groups to provide peer education and support, as well as to promote and reinforce safer behaviors, and to provide interpersonal skills training in negotiating and sustaining appropriate behavior change;
5. Community level interventions for populations at risk for HIV that seek to reduce risk behaviors by changing attitudes, norms and practices through health communications, social (prevention) marketing, community mobilization/organization, and community-wide events;
6. Public information programs for the general public that seek to dispel myths about HIV transmission, support volunteerism for HIV prevention programs, reduce discrimination toward individuals with HIV/AIDS, and promote support for strategies and interventions that contribute to HIV prevention in the community;
7. Evaluation and research activities necessary to conduct formative, process, and outcome evaluations of HIV prevention programs and to assess the cost-effectiveness and cost-benefit studies of strategies and interventions; and
8. HIV prevention capacity building activities, such as strengthening governmental and nongovernmental public health infrastructure in support of HIV prevention, implementing systems to assure the quality of services delivered, and improving the ability to assess the need for, and provide technical assistance in all aspects of program planning and operations.

#### B. DEFINITION OF HIV PREVENTION COMMUNITY PLANNING

HIV Prevention Community Planning refers to an ongoing process whereby grantees share responsibilities for developing a comprehensive HIV prevention plan with other state/local agencies, nongovernmental organizations and representatives of communities and groups at risk for, or infected with, HIV. Priority setting accomplished through a participatory process will result in

programs that are responsive to high priority, community-validated needs within defined populations. HIV prevention programs developed without community collaboration may not be successful in preventing the transmission of HIV infection or in garnering the necessary public support for effective implementation. Persons at risk for HIV infection and persons with HIV infection should play a key role in identifying prevention needs not adequately being met by existing programs and in planning for needed services. The necessary steps of HIV Prevention Community Planning are:

1. Assessing the present and future extent, distribution, and impact of HIV/AIDS in defined populations in the community;
2. Assessing existing community resources for HIV prevention to determine the community's capability to respond to the epidemic. These resources should include fiscal, personnel, and program resources, as well as levels of support from public (Federal, state, county, municipal) private, and volunteer sources. This assessment should identify all HIV prevention programs and activities by defined high risk populations;
3. Identifying unmet HIV prevention needs within defined populations;
4. Defining the potential impact of specific strategies and interventions to prevent new HIV infections in defined populations;
5. Prioritizing HIV prevention needs by defined high risk populations and by specific strategies and interventions;
6. Developing a Comprehensive HIV Prevention Plan consistent with the high priority HIV prevention needs identified through the HIV Prevention Community Planning process;
7. Evaluating the effectiveness of the planning process.

The grantee will develop an application for CDC FY 1995 (and beyond) funding based on the Comprehensive HIV Prevention Plan.

#### C. ELEMENTS OF A COMPREHENSIVE HIV PREVENTION PLAN

The necessary elements of a comprehensive HIV prevention plan include the following:

1. An HIV/AIDS epidemiologic profile (e.g., reported AIDS cases, projected AIDS cases, estimated HIV prevalence in defined populations, HIV incidence, HIV risk behaviors and

other information such as, STDs, teen pregnancy, and drug use, needed to target and monitor HIV prevention efforts);

2. A description of target populations to be reached by primary HIV prevention interventions (i.e., by exposure category, gender, age group, race/ethnicity, socioeconomic status, primary language, significant cultural factors, geographic area) and unmet needs and barriers in reaching populations;
3. A description of priority individual, group, and community level strategies and interventions for defined target populations whose serostatus is unknown, negative, or positive. These strategies and interventions include HIV counseling, testing, referral and partner notification; prevention case management and other one-on-one risk reduction prevention programs; peer education programs for high-risk populations; school-based programs; community mobilization; and health communications and social (prevention) marketing approaches. Both existing and proposed interventions should be described;
4. A description of how primary HIV prevention activities are linked to secondary HIV prevention activities, i.e., activities to prevent or delay the onset of illness in persons with HIV infection;
5. Goals and measurable objectives that are programmatically meaningful for HIV prevention in defined populations. These goals and objectives should be developed for both the short-term (budget period) and for the long-term (project period);
6. A description of other HIV prevention-related activities (i.e., epidemiologic and behavioral surveillance, research, and program evaluation activities), and how these are linked to HIV and other prevention program strategies in the geographic area for which the plan is developed;
7. A description of how public and nongovernmental agencies will coordinate within the area for which the plan is developed to provide HIV prevention services and programs;
8. An HIV prevention technical assistance plan identifying needs of grantees and community-based providers in the areas of program planning intervention and evaluation; and
9. An evaluation plan for the HIV prevention planning process as delineated in Item 13 of Section D.

## D. PRINCIPLES OF HIV PREVENTION COMMUNITY PLANNING

State health departments are responsible for the health of the populations in their jurisdictions. States have a broad responsibility in surveillance, prevention, overall planning, coordination, administration, fiscal management, and provision of essential public health services. States recognize, however, that governmental agencies alone are limited in their scope and ability to solve complex health, social, economic, and environmental problems. Thus, in planning for prevention services, other state and local government agencies (substance abuse, mental health, education, and corrections), nongovernmental agencies, community representatives, and academic institutions must play a key role in identifying unmet needs. Representatives of communities at risk for HIV infection can provide invaluable personal and population-specific perspectives on accessibility and appropriateness of specific prevention interventions.

Although different approaches to community planning may be taken in various communities, grantees will be required to address the following principles in all HIV Prevention Community Planning efforts supported by HIV Prevention Cooperative Agreement funds from CDC in FY 1995 and beyond:

1. HIV Prevention Community Planning represents an ongoing process involving the steps delineated in Section B;
2. HIV Prevention Community Planning reflects an open, candid, and participatory process, in which differences in background, perspective, and experience are essential and valued;
3. HIV Prevention Community Planning is characterized by shared priority-setting between organizations administering and awarding HIV prevention funds and the communities for whom the prevention services are intended;
4. Each grantee requires at least one HIV Prevention Community Planning group which reflects in its composition, the characteristics of the current and projected epidemic in that jurisdiction (as evidenced in reported AIDS cases, HIV data, if available, and/or surrogate markers where relevant);
5. Nominations for membership are identified through an open process and candidates are selected based on criteria delineated in the application request for HIV community planning funds. The recruitment process for membership in the HIV Prevention Community Planning process is proactive to ensure that all relevant groups are represented;

- 244 6. From the outset, all members of the HIV Prevention Community  
245 Planning group(s) understand the roles and responsibilities  
246 as outlined in this guidance and agree to the procedures and  
247 ground rules used in all deliberations and decision making;  
248
- 249 7. The starting point for defining future HIV prevention needs  
250 begins with an accurate assessment of the present and future  
251 extent, distribution, and impact of HIV/AIDS in defined  
252 populations within the grantee's jurisdiction;  
253
- 254 8. Identification, interpretation, and prioritization of HIV  
255 prevention needs reflect relevant information obtained from  
256 the communities to be served, particularly persons at risk  
257 for HIV infection and persons with HIV disease;  
258
- 259 9. Assessment of HIV prevention needs is based on a variety of  
260 sources (both qualitative and quantitative), is collected  
261 using different assessment strategies (e.g., surveillance,  
262 survey, formative, process, and outcome evaluation of  
263 programs and services, focus group(s), public meetings,  
264 etc.), and incorporates information from both providers and  
265 consumers of services;  
266
- 267 10. Priority setting for specific HIV prevention strategies and  
268 interventions is based on the following criteria: (a)  
269 documented HIV prevention needs based on the current and  
270 projected impact of HIV/AIDS in defined populations in the  
271 grantee's jurisdiction; (b) outcome effectiveness of  
272 proposed strategies and interventions (either demonstrated  
273 or probable); (c) cost effectiveness of proposed strategies  
274 and interventions (either demonstrated or probable); (d)  
275 sound scientific theory (e.g., behavior change, social  
276 change and social marketing theories); (e) values, norms,  
277 and consumer preferences of the communities for whom the  
278 services are intended; (f) availability of other  
279 governmental and nongovernmental resources (including the  
280 private sector for HIV prevention); and (g) other state and  
281 local determining factors. Each criterion should be  
282 formally considered by the HIV Prevention Community Planning  
283 group(s) during priority-setting deliberations;  
284
- 285 11. Resources are provided to support all steps in the community  
286 planning process as listed in Section B, including  
287 facilitating the participation of all participants in the  
288 planning process, particularly persons at risk for HIV  
289 infection and persons with HIV disease;  
290
- 291 12. Specific policies and procedures for resolving conflict  
292 identified by the grantee or the planning group(s) are  
293 consistent with the principals of this guidance, and are

developed jointly by all parties. These policies and procedures include conflict(s) of interest, conflict(s) within and among planning group(s), and differences between the planning group and the grantee in the prioritization and implementation of programs/services. The conflict resolution process includes a provision by which all parties agree to a process whereby all issues that cannot be resolved by the parties themselves are submitted to an arbitrator and to abide by the decision of the arbitrator; and

13. HIV Prevention Community Planning process includes the following evaluation components throughout the course of the project period: (a) developing goals and measurable objectives for the planning process; (b) monitoring the objectives; (c) evaluating the operation of the process; (d) evaluating the impact of the planning process; and (e) assessing the cost of the process.

These principles trace their origins to ongoing HIV prevention program assessments conducted by CDC staff, CDC's Planned Approach to Community Health (PATCH) program, CDC's Assessment Protocol for Excellence in Public Health (APEX/PH) project, the draft ASTHO/NASTAD/CSTE State Health Agency Vision for HIV Prevention, findings of CDC's 1993 HIV external review process, experience and recommendations of health departments and nongovernmental organizations, the health promotion, community development and behavioral and social sciences literature.

#### E. LOGISTICS OF HIV PREVENTION COMMUNITY PLANNING

Beginning in FY 1994, application requirements for cooperative agreement funds under program announcement #300 will include adherence to the principles of HIV Prevention Community Planning outlined in this document. Each recipient of cooperative agreement funds under this announcement will be required to base its funding application for FY 1995 on the results of an HIV Prevention Community Planning process that will be undertaken and completed in FY 1994. Grantees are expected to base subsequent applications on an ongoing community planning process.

In FY 1994, a portion of cooperative agreement funds provided through this program announcement will be identified and specifically earmarked to support HIV Prevention Community Planning. These funds should be used to: (a) support the convening of planning groups, public meetings, and other means for obtaining community input; (b) support technical assistance/capacity development for community representatives and other members of planning groups to participate effectively in the process;

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(c) provide opportunities for technical assistance to health departments and community planning groups from outside experts; (d) support planning infrastructure for the HIV community planning process; and (e) collect and/or analyze relevant data. The distribution of planning funds within these 5 categories should be determined jointly by the HIV Prevention Community Planning Group and the grantee (also see Section H).

All grantees directly receiving funds under cooperative agreement #300 will be required to conduct HIV Prevention Community Planning in FY 1994. Grantees will be required to determine how best to achieve and integrate statewide, regional, and community planning within their jurisdictions. Recipients must collaborate with governmental and nongovernmental organizations, and affected communities to determine the most effective mechanisms for input into the HIV Prevention Community Planning process. Identification of these mechanisms should be based on a dialogue between the state and local health agencies and the community. The process must be structured in such a way that it incorporates and addresses needs and priorities identified at the community level (i.e., the level closest to where the problem is identified). Models for obtaining input include but are not limited to: a state-wide planning model; a regional planning model; a Metropolitan Statistical Area planning model; and/or existing planning bodies.

Grantees will be responsible for developing criteria for selecting the individual members of the HIV Prevention Community Planning groups within their jurisdiction. State grantees should involve local health authorities and community leaders in developing such criteria; local grantees should similarly involve state health authorities and community leaders in developing such methods.

The HIV Prevention Community Planning process should include representatives who reflect the population characteristics of the current and projected HIV/AIDS epidemic in that jurisdiction as reflected in reported AIDS cases, HIV data, if available, and/or surrogate markers where relevant in terms of age, gender, race/ethnicity, geographic distribution, sexual orientation, and HIV exposure category. Representation should also include (a) state and local health departments, state and local education agencies and other relevant governmental agencies (substance abuse, mental health, corrections); (b) experts in epidemiology, behavioral and social sciences, evaluation research and health planning; and (c) representatives of a reasonable sample of nongovernmental and governmental organizations providing HIV prevention and related services (e.g., STD, TB, substance abuse prevention and treatment, mental health services, HIV care and social services, etc.) to persons at risk for or infected with HIV. The HIV Prevention Community Planning process should attempt to

394 accommodate a reasonable number of representatives without becoming  
395 so large that it cannot effectively discharge its functions. HIV  
396 Prevention Community Planning group(s) are encouraged to seek  
397 additional avenues for obtaining input on community HIV prevention  
398 needs and priorities, such as holding well-publicized public  
399 meetings, conducting focus groups, and convening ad hoc panels.  
400

401 HIV Prevention Community Planning group(s) should have access to  
402 current knowledge related to HIV prevention from the behavioral and  
403 social sciences, especially as it relates to the at-risk population  
404 groups within a given geographic community. Planning Council  
405 members should also be routinely updated about relevant new  
406 findings generated from the behavioral and social sciences.  
407

408 Every CDC grantee receiving funding under program announcement  
409 #300 is responsible for identifying a health department co-chair  
410 for each HIV planning group in the project area; the group, once  
411 convened, selects the other co-chair.  
412

413 The HIV Prevention Community Planning Group(s) should be  
414 routinely informed by the grantee of other relevant planning  
415 efforts, particularly the process for allocating Titles I, II, and  
416 IIIB of the Ryan White Comprehensive AIDS Resources Emergency Act.  
417 Health departments should consider the benefits of merging the HIV  
418 Prevention Community Planning process with other planning  
419 bodies/processes already in place. If such mergers are proposed,  
420 grantees must adhere to the principles of HIV Prevention Community  
421 Planning, as specified in Section D.  
422

423 The HIV Prevention Community Planning process should result in a  
424 Comprehensive HIV Prevention Plan, jointly developed by the grantee  
425 and the HIV Prevention Community Planning group(s) which includes  
426 specific, high-priority HIV prevention strategies and interventions  
427 targeted to defined populations to be supported with HIV prevention  
428 cooperative agreement funds. Thus, each grantee's application for  
429 FY 1995 funds (and beyond) should address the plan's high priority  
430 elements in its application for funds under program announcement  
431 #300. In those jurisdictions where CDC has direct cooperative  
432 agreements with both state and local health departments, grantees  
433 are expected to coordinate planning with one another prior to  
434 finalizing their own HIV prevention plans.  
435

436 Each grantee, in its FY 1995 application and beyond, must  
437 include a letter of concurrence or nonconcurrence from each HIV  
438 Prevention Community Planning group convened within the grantee's  
439 jurisdiction. Letters of concurrence would indicate the extent to  
440 which the grantee and the HIV Prevention Community Planning  
441 group(s) have successfully collaborated in developing an integrated  
442 HIV community plan and agree upon the program priorities contained  
443 in the application. An HIV Community Planning group that disagrees

with the program priorities identified in the grantee's application should cite specific reasons for nonconcurrency. In those instances where a grantee does not concur with the findings or recommendations of the HIV Prevention Community Planning group(s) and believes the public health would be better served by funding HIV prevention activities/services that are substantially different, it must submit a letter of justification in its application.

Grantees are responsible for operationalizing and implementing HIV prevention services/activities outlined in the comprehensive plan, including selecting the specific organizations/entities that should provide HIV prevention services/activities, awarding, and administering HIV prevention funds.

#### F. RESTRICTIONS

Funds for HIV Prevention Community Planning will be awarded through the HIV Prevention Cooperative Agreements with state, territorial, and local health departments on January 1, 1994, but planning funds will be restricted pending receipt and approval by CDC of a description of the grantee's proposed HIV Prevention Community Planning process. Upon receipt of plans describing the HIV Prevention Community Planning process, CDC will review each grantee's plan to ensure compliance with the Principles (Section D) and Logistics (Section E) delineated within this guidance. When approved, restrictions on the expenditure of planning funds will be removed.

#### G. APPLICATION CONTENT

Applications for awards of planning funds under CDC program announcement #300 must include: (1) a description of the proposed configuration of the HIV Prevention Community Planning process, including a timetable for implementation and a strategy, when multiple community planning groups exist, for integrating these local plans into a project-wide Comprehensive HIV Prevention Plan; (2) criteria for the proposed methods for nominating, recruiting, and selecting members of the HIV Prevention Community Planning group(s); (3) a budget justification for each of the allowable expenses under community planning funds as delineated in Paragraph 2, Section E; and (4) an outline describing proposed policies and procedures for deliberations, decision-making, and resolving conflict arising during the planning process.

Recipients are encouraged to submit a plan as soon as possible, but are required to submit one no later than February 28, 1994.

## H. ROLES AND RESPONSIBILITIES

GRANTEES

The role of grantees in the HIV Prevention Community Planning process is as follows:

1. Administer and coordinate public funds from a variety of sources, including Federal, state, and local, to prevent HIV transmission and reduce associated morbidity and mortality;
2. Administer HIV prevention funds awarded under the cooperative agreement, ensuring that funds are awarded to contractors in a timely manner, monitoring contractor activities, and documenting contractor compliance;
3. Provide HIV/AIDS surveillance and other relevant data and analyses of statewide, regional, and/or local data to assist the HIV community planning process in establishing program priorities based on the current and future extent, distribution, and impact of the HIV/AIDS epidemic;
4. Collaborate with state, local, and community partners to determine the most effective means for implementing HIV Prevention Community Planning in their jurisdiction (see Section D);
5. Ensure that specific policies are in place articulating the roles and responsibilities of the various components of the HIV Prevention Community Planning process;
6. Establish policies that address planning group composition, selection and appointment, terms of office, in consultation with health authorities and community leaders in that jurisdiction;
7. Ensure that all planning group(s) reflect the population characteristics of the current epidemic in state and local jurisdictions in terms of age, race/ethnicity, gender, sexual orientation, geographic distribution, and HIV exposure category;
8. Provide expertise and technical assistance, including ongoing training on HIV prevention planning and the interpretation of epidemiologic and evaluation data, to ensure that the planning process is comprehensive and scientifically valid;
9. Promote linkages between the local community HIV prevention services providers, public health agencies and behavioral

and social scientists who are either in the local area or who are familiar with local prevention needs, issues and at-risk populations;

10. Develop an application for HIV prevention cooperative agreement funds based on the comprehensive HIV prevention plan(s) developed through the HIV Prevention Community Planning process;
11. Assure that technical assistance is provided to meet the needs of grantees and community-based providers in the areas of program planning, intervention, and evaluation as identified in the HIV prevention plan. Grantees should meet these needs by drawing on expertise from a variety of sources (e.g., health departments, academia, professional and other national organizations, and nongovernmental organizations);
12. Allocate resources based on the Comprehensive HIV Prevention Plan; and
13. Ensure program effectiveness through specific evaluation activities, including conducting or contracting outcome evaluation studies, providing technical assistance in evaluation, or assuring the provision of evaluation technical assistance to funding recipients.

#### HIV PREVENTION COMMUNITY PLANNING GROUP(S)

The role of the planning group(s) in the HIV Prevention Community Planning process is to:

1. Delineate technical assistance/capacity development needs for effective community participation in the planning process;
2. Review available data and other information required to prioritize HIV prevention needs and collaborate with the health department on how best to obtain additional data and information;
3. Assess existing HIV prevention community resources to determine the community's capability to respond to the epidemic;
4. Identify unmet HIV prevention needs within defined populations;

5. Prioritize HIV prevention needs by target populations and by specific strategies and interventions;
6. Identify the technical assistance needs of community-based providers in the areas of program planning, intervention, and evaluation;
7. Consider how CTRPN, early intervention, primary care, and other HIV-related services, as well as STD, TB, substance abuse prevention and treatment, mental health services, and other public health needs are addressed within the Comprehensive HIV Prevention Plan; and
8. Evaluate the HIV Prevention Community Planning process and assess the responsiveness and effectiveness of the grantee's application in addressing the priorities identified in the comprehensive HIV prevention plan.

SHARED RESPONSIBILITY BETWEEN GRANTEES AND HIV PREVENTION COMMUNITY PLANNING GROUP(S)

1. Select co-chairs for HIV Prevention Community Planning Group(s): Grantees select an employee of the health department as one co-chair and the community planning group selects the other;
2. Develop procedures that address (a) policies and provisions for reaching decisions and policies on attendance at meetings; (b) resolution of conflict identified in planning deliberations; and (c) grievance procedures, including the use of an arbitrator;
3. Determine the distribution of planning funds to (a) convene planning groups, public meetings, and other means for obtaining community input; (b) support technical assistance/capacity development for community representatives, and other members of the planning groups to participate effectively in the process; (c) provide opportunities for technical assistance to health departments and community planning groups from outside experts; (d) support community health planning infrastructure for the HIV community planning process; and (e) collect and/or analyze relevant data;
4. Assess the present and future extent, distribution and impact of HIV/AIDS in defined populations in the community;

5. Conduct a needs assessment process to identify unmet HIV prevention needs within defined populations;
6. Develop goals and measurable objectives for HIV prevention strategies and interventions in defined target populations;
7. Integrate multiple HIV community prevention plans into a project-wide Comprehensive HIV Prevention Plan and foster integration of the HIV Prevention Community Planning process with other relevant planning efforts; and
8. Develop a comprehensive HIV prevention plan including the provision of technical assistance to meet the needs of grantees and community-based providers in the areas of program planning, intervention and evaluation.

#### CENTERS FOR DISEASE CONTROL AND PREVENTION

The role of CDC in the HIV Prevention Community Planning process is to:

1. Provide funding to all grantees under Program Announcement #300 for the community planning process and for the implementation of HIV prevention activities;
2. Require that plans submitted by HIV Prevention Cooperative Agreement recipients for HIV Prevention Community Planning are in accordance with the principles in this guidance;
3. Require as a condition for award of cooperative agreement funds, that recipients' FY 1995 applications are in accordance with the comprehensive plan developed as a result of the HIV Prevention Community Planning process or include an acceptable letter of justification as delineated in Section D;
4. Collaborate with health departments, national organizations, federal agencies, and academic institutions in assuring the provision of technical assistance and training for the HIV Prevention Community Planning process. Technical assistance could address subjects such as analysis and interpretation of data relevant to the needs assessment and prioritization process, planning models, conflict resolution, behavioral and social sciences and evaluation;
5. Identify the minimal program components of a comprehensive HIV prevention program;

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6. Collaborate with grantees in evaluating HIV prevention programs; and
7. Collaborate with other federal agencies in promoting the transfer of new information and emerging prevention technologies (i.e., epidemiologic, biomedical, operational, behavioral, or evaluative) to health departments and other prevention partners, including nongovernmental organizations.

In addition to supplemental funds awarded for HIV Prevention Community Planning in FY 1994, state and local health departments will receive an increase in new funds awarded for HIV prevention when compared with FY 1993. Grantees are encouraged to delay the long-term commitment of part or all of these additional HIV prevention funds in order to implement unmet program needs, as identified by HIV Prevention Community Planning group(s), during FY 1994.

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**CDC**  
Centers for Disease Control  
and Prevention

FINAL

November 19, 1993 / Vol. 42 / No. 45

**MMWR**
**MORBIDITY AND MORTALITY WEEKLY REPORT**

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### World AIDS Day — December 1, 1993

"Time to Act" is the theme selected by the World Health Organization (WHO) for the sixth annual World AIDS Day, December 1, 1993. This year's theme focuses attention on the need for action against the pandemic of human immunodeficiency virus (HIV) infection and acquired immunodeficiency syndrome (AIDS). WHO estimates that 13 million men, women, and children worldwide have been infected with HIV. Each day, an estimated 5000 persons are newly infected. By the year 2000, 40 million persons could be infected.

Additional information about HIV infection, AIDS, and World AIDS Day is available from the CDC National AIDS Hotline (NAH) and the CDC National AIDS Clearinghouse (NAC). NAH provides information about HIV/AIDS, refers callers to services in their community, and places orders for HIV/AIDS publications; NAC distributes materials and maintains data bases on AIDS service organizations, educational materials, funding sources, and drug trials. The telephone numbers for NAH are (800) 342-2437; Spanish, (800) 344-7432; or TTY/TDD, (800) 243-7889. The telephone number for NAC is (800) 458-5231.\*

\*Single copies of this issue of *MMWR* will be available free until November 18, 1994, from NAC, P.O. Box 6003, Rockville, MD 20849-6003.

### Current Trends

#### **Update: Mortality Attributable to HIV Infection Among Persons Aged 25–44 Years — United States, 1991 and 1992**

During the 1980s, human immunodeficiency virus (HIV) infection emerged as a leading cause of death in the United States (1). In 1992, HIV infection became the number one cause of death among men aged 25–44 years. This report updates national trends in deaths caused by HIV infection for 1991 and 1992.

Data are from the National Vital Statistics System and were obtained from death certificates filed in all 50 states and the District of Columbia. Cause of death was reported by attending physicians, medical examiners, and coroners; demographic characteristics were recorded by funeral directors. Data for 1992 are provisional

**Mortality Attributable to HIV Infection — Continued**

estimates based on a 10% sample of death certificates (2); 1991 is the latest year for which final mortality data are available (3).

In 1992, an estimated 33,590 U.S. residents died from HIV infection; of these, 2% were aged <25 years; 73%, 25–44 years; and 25%, ≥45 years. During 1992, HIV infection became the eighth leading cause of death overall (up from ninth in 1991), accounting for 1.5% of all deaths, and the second leading cause of death among persons aged 25–44 years (up from third in 1991) (16.2% of deaths). In 1992, HIV infection became the leading cause of death for men aged 25–44 years (up from second in 1991) and the fourth leading cause of death for women in this age group (up from fifth in 1991) (19.9% and 7.3% of deaths, respectively) (Table 1).

Stratified by race, HIV infection was the leading cause of death for black men aged 25–44 years during 1991 and 1992 (21.4% and 25.3% of deaths, respectively) and the second leading cause of death (preceded by unintentional injuries) for white men in that age group (17.8% in 1991 and 18.5% in 1992). HIV infection was the second leading cause of death for black women aged 25–44 years (up from third in 1991) in 1992 (12.1% in 1991 and 16.5% in 1992) and the sixth leading cause of death for white women aged 25–44 years in 1991 and 1992 (3.4% in 1991 and 3.8% in 1992). The death rate from HIV infection in 1992 for persons aged 25–44 years was three times as high for black men (136.0 per 100,000) as for white men (42.1 per 100,000) and 12 times as high for black women (38.0 per 100,000) as for white women (3.3 per 100,000).

In 1991 (the most recent year for which mortality data are available for Hispanic ethnicity and for other races), HIV infection was the leading cause of death among Hispanic men aged 25–44 years (24.1% of deaths) and the third leading cause of death among Hispanic women in this age group (12.4% of deaths).<sup>\*</sup> Among Asians/Pacific Islanders, HIV infection was the sixth leading cause of death for men aged 25–44 years (8.8% of deaths) and the ninth leading cause of death for women in this age group (1.1% of deaths). Among American Indians/Alaskan Natives, HIV infection was the

<sup>\*</sup>These data exclude deaths in New Hampshire and Oklahoma, which did not include an item to identify Hispanic ethnicity on their death certificates. The data differ from data for Hispanics published by CDC's National Center for Health Statistics for 1991, which also exclude deaths in New York City (3).

**TABLE 1. Percentage of deaths caused by HIV infection, rank of HIV infection among all causes of death<sup>\*</sup>, and death rate from HIV infection for persons aged 25–44 years, by sex and race<sup>†</sup> — United States, 1992<sup>‡</sup>**

| Race              | Men          |            |                  |      |                          | Women        |            |        |      |            |
|-------------------|--------------|------------|------------------|------|--------------------------|--------------|------------|--------|------|------------|
|                   | Total deaths | HIV deaths | (%) <sup>§</sup> | Rank | Death rate <sup>**</sup> | Total deaths | HIV deaths | (%)    | Rank | Death rate |
| White             | 78,310       | 14,480     | (18.5)           | 2    | 42.1                     | 29,580       | 1,120      | (3.8)  | 6    | 3.3        |
| Black             | 25,680       | 6,490      | (25.3)           | 1    | 136.0                    | 12,500       | 2,060      | (16.5) | 2    | 38.0       |
| All <sup>††</sup> | 106,690      | 21,210     | (19.9)           | 1    | 52.8                     | 43,610       | 3,200      | (7.3)  | 4    | 7.8        |

<sup>\*</sup>Based on the proportion of deaths from each of the cause categories used by CDC's National Center for Health Statistics to rank the 15 leading causes of death.

<sup>†</sup>Persons of Hispanic ethnicity are included among whites and blacks. Provisional data for 1992 were unavailable for other races.

<sup>‡</sup>Provisional data.

<sup>§</sup>Percentage of deaths caused by HIV infection among total deaths in the age, sex, and racial group.

<sup>\*\*</sup>Per 100,000 population.

<sup>††</sup>Includes Asians/Pacific Islanders and American Indians/Alaskan Natives.

**Mortality Attributable to HIV Infection — Continued**

sixth leading cause of death for men aged 25–44 years (4.5% of deaths) and the seventh leading cause of death for women in this age group (1.9% of deaths).

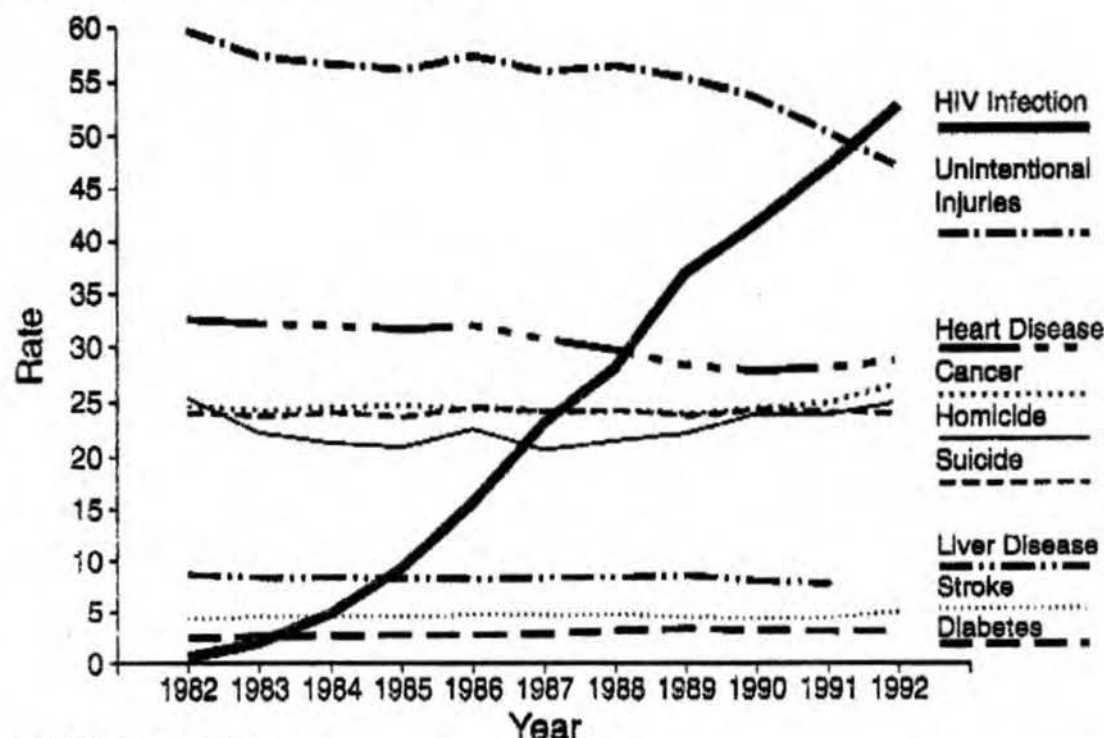
The death rate from HIV infection for persons aged 25–44 years has steadily and dramatically increased during the past 10 years, compared with death rates from most other leading causes of death (Figures 1 and 2). From 1982 to 1992, the rate increased from 0.6 per 100,000 to 52.8 per 100,000 for men aged 25–44 years and from 0.1 per 100,000 to 7.8 per 100,000 for women in this age group.

*Reported by: Surveillance Br, Div of HIV/AIDS, National Center for Infectious Diseases; Mortality Statistics Br, Div of Vital Statistics, National Center for Health Statistics, CDC.*

**Editorial Note:** Although these findings underscore the increasing impact of HIV infection on mortality in the United States, particularly among persons aged 25–44 years, the magnitude is greater than indicated in this report. This analysis was based on the underlying cause of death recorded on death certificates; previous studies suggest that deaths for which HIV infection is designated as the underlying cause represent approximately two thirds to three fourths of all HIV-related deaths (4,5).

HIV infection has more severely affected mortality among blacks and Hispanics than other racial/ethnic groups. These differences probably reflect social, economic, behavioral, or other factors rather than race/ethnicity directly (6). The social and cultural context of HIV infection must be addressed through prevention efforts designed to meet the needs of specific communities.

**FIGURE 1. Death rates\* from leading causes of death among men aged 25–44 years, by year — United States, 1982–1992†**



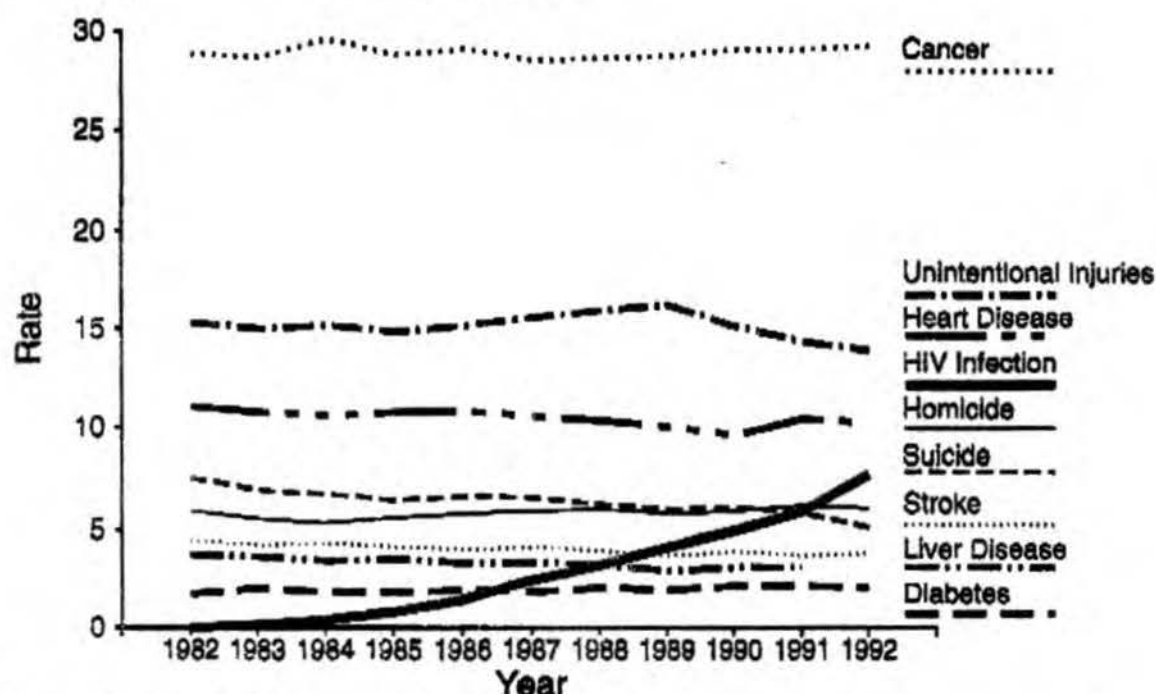
\*Per 100,000 population.

†National vital statistics based on underlying cause of death, using final data for 1982–1991 and provisional data for 1992. Data for liver disease in 1992 are unavailable.

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*Mortality Attributable to HIV Infection — Continued***FIGURE 2. Death rates\* from leading causes of death among women aged 25–44 years, by year — United States, 1982–1992†**

\*Per 100,000 population.

†National vital statistics based on underlying cause of death, using final data for 1982–1991 and provisional data for 1992. Data for liver disease in 1992 are unavailable.

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### Current Trends

#### **Assessment of Street Outreach for HIV Prevention — Selected Sites, 1991–1993**

Street outreach programs for human immunodeficiency virus (HIV) prevention are designed to deliver HIV prevention messages, materials, and referral services to high-risk persons outside of traditional health-care and drug-treatment clinics. The Acquired Immunodeficiency Syndrome (AIDS) Evaluation of Street Outreach Projects (AESOP) is an eight-site\* study designed by CDC in collaboration with researchers in each of the sites to better understand client characteristics, service delivery, and the impact of street outreach programs on the risk behaviors of high-risk populations. The populations studied are injecting-drug users (IDUs) in five of the eight sites and youth in high-risk situations (YHRS) (i.e., youths aged 12–23 years who are homeless or runaway or who support themselves through the "street economy" of drugs, prostitution, panhandling, and crime) in three sites. This report summarizes information collected during the first 2 years of the project.

During the first year of AESOP, from September 1991 through October 1992, each site conducted a community assessment process (CAP), a qualitative, ethnographically based series of individual interviews with IDUs, YHRS, and others involved with the community (e.g., outreach workers, social workers, agency directors, law enforcement personnel, drug-treatment workers, and neighborhood shopkeepers). A total of 618 of these open-ended interviews were conducted in the eight sites; 350 (57%) of the interviews were with IDUs and YHRS.

During CAP, three common components of outreach programs were determined: 1) distribution of condoms, bleach kits, and HIV risk-reduction materials and messages; 2) delivery of these HIV-prevention services in outdoor "street" locations or at fixed sites (e.g., homeless shelters, drop-in centers, and soup kitchens); and 3) the provision of other services or activities (e.g., referral for treatment, case management, and mobile health vans). Estimates obtained by AESOP investigators of the size of the high-risk populations ranged from 1000–2000 YHRS in San Francisco to 240,000–250,000 IDUs in New York City. However, outreach programs were not equipped to reach all members of these populations.

During the second year of AESOP, from January through August 1993, a baseline quantitative survey was conducted at each of the eight sites. In these surveys, IDUs and YHRS were sampled systematically to be as representative as possible of the high-risk populations. Interviews were conducted at locations such as drop-in centers, food lines, meal programs, outdoor congregating areas, drug buying areas, and shooting galleries.

Results from the initial round of closed-ended interviews indicated that 17%–65% of IDUs and 23%–46% of YHRS reported that they had talked with an outreach worker (Table 1, page 879). In addition, 14%–58% of IDUs and 11%–26% of YHRS had received HIV-prevention literature; 16%–58% of IDUs and 22%–39% of YHRS had received free supplies of condoms; and 13%–55% of IDUs and 7%–10% of YHRS had received bleach kits from outreach workers. Among IDUs who reported contact with outreach workers, 15%–43% reported that they had never received any form of drug treatment.

\*The eight projects are located in six cities: Atlanta, Chicago, Los Angeles, New York, Philadelphia, and San Francisco.

**Street Outreach for HIV Prevention — Continued**

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**Editorial Note:** The findings in this report indicate that IDUs and YHRS can be identified and reached through outreach programs; will talk with outreach workers about HIV prevention; and will accept HIV-prevention literature, materials, and referral services from outreach workers. In addition, survey findings indicated that a substantial proportion of IDUs and YHRS had been in contact with outreach workers.

Street outreach programs may be an effective means for delivering HIV-prevention services to persons at risk for HIV who do not receive such services from more conventional sources. However, to be most effective, public health workers must foster trust and have a basic understanding of the daily lives and needs of their clients. Formative research, such as that conducted during CAP, assisted in identifying the groups, the locations where they could be reached, and their particular needs for services.

Outreach efforts are conducted outside of institutional or clinical settings and involve personal interactions between an outreach worker and a client. These critical aspects of outreach present specific challenges both in the delivery of services and in the assessment of the impact of such services. Assessment is particularly difficult because persons engaging in high-risk behaviors targeted by outreach programs cannot be surveyed by the household or telephone-based sampling methods conventionally used to develop representative samples of populations. Although outreach workers may have easier access to persons with high-risk behaviors, other persons may be difficult to reach because of timing and movement into public places. In addition,

**TABLE 1. Percentage of respondents who have talked with or received literature, condoms, or bleach from street outreach workers — selected sites, AIDS Evaluation of Street Outreach Projects, 1991–1993\***

| Site                                 | No. | Talked with<br>outreach worker |                       | Received<br>literature |             | Received<br>condoms |             | Received bleach |             |
|--------------------------------------|-----|--------------------------------|-----------------------|------------------------|-------------|---------------------|-------------|-----------------|-------------|
|                                      |     | %                              | (95% CI) <sup>†</sup> | %                      | (95% CI)    | %                   | (95% CI)    | %               | (95% CI)    |
| <b>Injecting-drug<br/>user sites</b> |     |                                |                       |                        |             |                     |             |                 |             |
| Chicago                              | 417 | 17.3                           | (13.6–20.9)           | 14.3                   | (10.9–17.6) | 18.3                | (12.7–19.9) | 13.5            | (10.2–16.8) |
| Atlanta                              | 428 | 63.3                           | (58.7–67.9)           | 58.2                   | (53.4–63.0) | 57.9                | (53.2–62.7) | 28.6            | (24.2–33.0) |
| Los Angeles<br>County                | 403 | 44.9                           | (40.1–49.8)           | 36.3                   | (31.5–41.1) | 39.8                | (34.9–44.7) | 39.4            | (34.5–44.3) |
| Philadelphia                         | 270 | 65.2                           | (59.5–70.9)           | 54.0                   | (47.6–60.4) | 55.7                | (49.4–62.1) | 50.0            | (43.6–56.4) |
| New York<br>ADAPT <sup>‡</sup>       | 396 | 59.6                           | (54.8–64.5)           | 51.8                   | (46.8–56.8) | 57.4                | (52.4–62.3) | 54.7            | (49.7–59.6) |
| <b>Youth sites</b>                   |     |                                |                       |                        |             |                     |             |                 |             |
| Los Angeles<br>Childrens Hospital    | 400 | 41.0                           | (36.2–45.8)           | 25.9                   | (21.6–30.1) | 31.8                | (27.2–36.3) | 10.3            | ( 7.4–13.3) |
| San Francisco                        | 215 | 23.3                           | (17.6–28.5)           | 10.5                   | ( 6.7–14.8) | 22.0                | (16.5–27.4) | 7.2             | ( 3.8–10.6) |
| New York Victims<br>Services         | 195 | 46.2                           | (39.2–53.2)           | 21.7                   | (15.6–27.8) | 38.5                | (31.6–45.3) | 6.7             | ( 3.2–10.2) |

\* Baseline surveys.

<sup>†</sup> Confidence interval.

<sup>‡</sup> New York Association for Drug Abuse Prevention and Treatment.

***Street Outreach for HIV Prevention — Continued***

outreach efforts are often conducted in public places where highly sensitive subjects might be discussed, further constraining the collection of information.

Outreach programs designed to serve high-risk populations are an important component of CDC's HIV-prevention strategy (1-4). CDC supports, directly or indirectly, more than 700 community-based organizations that provide information, materials, and referrals to those at risk for HIV infection. The programs included in the AESOP evaluation are typical of those attempting to reach and influence persons engaging in high-risk behaviors through a variety of outreach strategies. Further work on the AESOP project involves thoroughly assessing the impact of different types of street outreach interventions on risk behavior.

Each AESOP site has developed enhancements to its existing street outreach program. The impact of these enhancements on the risk behaviors of IDUs and YHRS is being evaluated through preenhancement and postenhancement population-based surveys that measure outreach interactions and extent of behavior change in an enhancement area and in a comparison area. Results from this quasi-experimental study should suggest specific recommendations for improving street outreach programs.

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**Current Trends****Distribution of STD Clinic Patients  
Along a Stages-of-Behavioral-Change Continuum —  
Selected Sites, 1993**

Human immunodeficiency virus (HIV) counseling and testing are important components of the public health effort to contain the acquired immunodeficiency syndrome (AIDS) epidemic. Project RESPECT is a multicenter randomized trial being conducted by CDC at five sexually transmitted disease (STD) clinics to evaluate the efficacy of HIV counseling interventions in preventing HIV and other STDs. Because consistent and proper use of latex condoms is one method to substantially reduce the risk for transmitting HIV and other STDs (1), the HIV prevention interventions being evaluated focus primarily on increasing consistent condom use with primary and other sex partners during vaginal and anal sex. Before initiating the randomized trial, pilot studies were conducted to better characterize the population and to develop an operationally feasible enhanced intervention. This report describes the results of one pilot study.

During April and May 1993, patients who came to one of four STD clinics (Denver Metro Health Clinic, City of Long Beach [California] STD Clinic, Newark [New Jersey]

**STD Clinic Patients — Continued**

STD Clinic, and San Francisco City Clinic) with a new symptom were offered \$10 to participate in a pilot study interview. Of 1005 approached, 694 (69%) agreed to participate. Patients were asked about frequency of vaginal and anal sex with their primary and other sex partners, consistency of condom use during vaginal and anal sex, and both long-range ("sometime within the next six months") and short-range ("sometime within the next two weeks") intentions to always use condoms for vaginal and anal sex with their primary and other partners. Denominators vary because not all persons answered every question.

Self-reported data were used to classify patients into one of five stages-of-change (SOC) categories: "precontemplation" (i.e., those who never or almost never used condoms and who reported no intention to always use condoms); "contemplation" (i.e., those who never or almost never used condoms but who intended to start always using condoms at some point in time, but usually not in the near future); "ready for action" (i.e., those who sometimes or almost always used condoms and who intended to start always using condoms in the near future); "action" (i.e., those who reported always using condoms but had been doing so for less than 6 months); and "maintenance" (i.e., those who reported always using condoms and had been doing so for 6 months or longer) (2).

Two hundred sixty-eight (65%) men and 233 (82%) women reported having an opposite-sex partner they considered to be their primary sex partner (Table 1). Nearly all (97% [443/455]) reported having had vaginal sex with their primary partner during the past 30 days. Twenty-one percent (50/238) of men and 14% (26/193) of women reported always using condoms; 64% (124/193) of women and 55% (130/238) of men reported never using condoms for vaginal sex with their primary partner during this time ( $p=0.07$ ).

Two hundred (49%) men and 82 (29%) women reported having had sex with someone they did not consider to be a primary partner during the past 30 days. Nearly all (98% [273/279]) reported having had vaginal sex with other partners during the past 30 days. Nearly one third (31% [84/269]) of both men and women reported always using condoms; 40% (77/191) of men and 50% (39/78) of women reported never using

**TABLE 1. Percentage of men and women with a primary sex partner and other sex partner(s), by sexual practice and condom use — Project RESPECT pilot study, 1993**

| Sex partner status                       | Men (n=410) | Women (n=284) |
|------------------------------------------|-------------|---------------|
| <b>Had a primary sex partner*</b>        |             |               |
| Had same partner >6 months               | 64%         | 68%           |
| Had vaginal sex during preceding 30 days | 97%         | 98%           |
| Always used condoms†                     | 21%         | 14%           |
| Never used condoms†                      | 55%         | 64%           |
| Had anal sex during preceding 30 days    | 5%          | 4%            |
| <b>Total</b>                             | <b>65%</b>  | <b>82%</b>    |
| <b>Had other sex partner(s)*</b>         |             |               |
| Had vaginal sex during preceding 30 days | 97%         | 100%          |
| Always used condoms†                     | 32%         | 29%           |
| Never used condoms†                      | 40%         | 50%           |
| Had anal sex during preceding 30 days    | 2%          | 5%            |
| <b>Total</b>                             | <b>49%</b>  | <b>29%</b>    |

\*106 (26%) men and 49 (17%) women had both a primary and other sex partner(s) and are included in both categories.

†Condom use is a calculated rate of the number of times condoms were used divided by the number of times participated in vaginal sex; always used condoms=100%; never used condoms=0.

**STD Clinic Patients — Continued**

condoms for vaginal sex with other partners during the past 30 days ( $p=0.29$ ). Both men and women used condoms significantly more often with other partners than with primary partners ( $p=0.007$ ).

Distributions across the SOC categories for consistent condom use differed significantly by both sex and behavior (Figure 1). For vaginal sex with a primary partner, women were closer to adopting consistent condom use than were men ( $p<0.01$ ). Men were closer to adopting consistent condom use for vaginal sex with other partners than with their primary partner ( $p<0.001$ ).

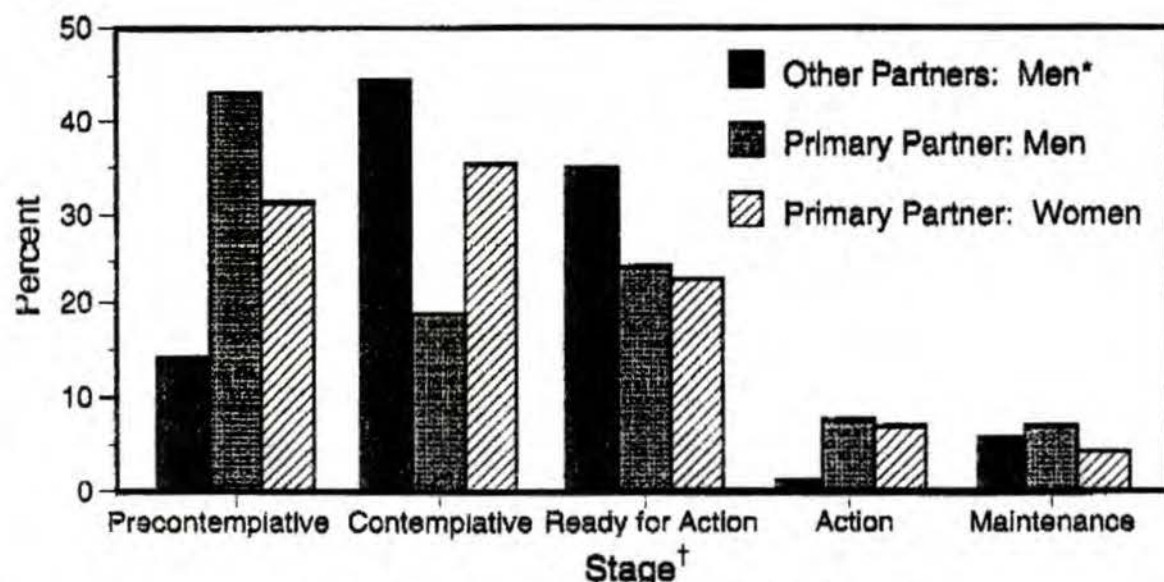
For vaginal sex with a primary partner, men and women were equally likely to be in the ready-for-action, action, and maintenance stages ( $p=0.74$ ). However, men were more likely than women to be precontemplative than contemplative ( $p=0.02$ ).

Forty-three percent (97/225) of men with primary partners were precontemplative about always using condoms with their primary partners; 14% (15/106) of men with other partners were precontemplative about always using condoms with other partners ( $p<0.001$ ).

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**Editorial Note:** The findings in this report indicate that an SOC model can help identify

**FIGURE 1. Stages of consistent condom use with primary and other sex partner(s) among sexually transmitted disease clinic patients — Project RESPECT pilot study, 1993**



\*Data from women with other partners were too few for meaningful analysis.

†Precontemplative—those who never or almost never used condoms and who reported no intention of always using condoms; contemplative—those who never or almost never used condoms but who intended to start always using condoms at some point in time, but usually not in the near future; ready for action—those who sometimes or almost always used condoms and who intended to start always using condoms in the near future; action—those who reported always using condoms but had been doing so for less than 6 months; maintenance—those who reported always using condoms and had been doing so for 6 months or longer.

*STD Clinic Patients — Continued*

differences in the adoption of consistent condom use that are often overlooked when only frequency of condom use is considered. For example, although men and women did not differ significantly in the frequency with which they used condoms with their primary partners, women were significantly more likely to consider adopting consistent condom use than were men. In addition, most STD clinic patients were in the precontemplative or contemplative stage with respect to consistent condom use—i.e., although many patients attending the STD clinics never used condoms and had no intention of using them consistently, other patients who had never used condoms had formed long-range or short-range intentions to begin using condoms consistently. Therefore, even among patients who have never used condoms, counseling sessions must have different foci.

Interventions that do not consider a person's location on an SOC continuum are likely to be ineffective (2). Changing behaviors is complex and requires knowledge of the factors underlying a specific behavior. Therefore, behavior-change interventions should address those underlying factors (e.g., attitudes, perceived norms, and self-efficacy) that can influence the likelihood that a person will move from one stage to the next.

Previous studies suggest that among persons who are HIV seronegative, counseling and testing alone—particularly in clinic settings—has little or no effect on reducing high-risk behaviors (3,4). Because many of these studies do not clearly describe the counseling intervention, it is unclear whether they have evaluated the efficacy of risk-reduction counseling or whether they have evaluated only the efficacy of receiving a nonstandardized, relatively unstructured educational message. Behavioral science theory suggests that educational messages about a disease and how it is transmitted will have little impact on behavior change (5).

The pilot study was conducted in STD clinics where participants had been evaluated and treated for an STD. Thus, these persons were at higher risk than the general population and were less likely to be correct and consistent condom users. Project RESPECT will evaluate the effectiveness of a theory-based counseling intervention by assessing 1) changes in underlying psychosocial variables (e.g., intentions, attitudes, perceived norms, self-efficacy), 2) movement along an SOC continuum, and 3) changes in incident STDs. Because most clinic patients are in the precontemplative or contemplative SOC, interventions targeted to these stages may produce measurable changes in condom-use intentions and behavior.

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SUMMARY, CONCLUSIONS,  
AND RECOMMENDATIONS



SCHOOL OF PUBLIC HEALTH  
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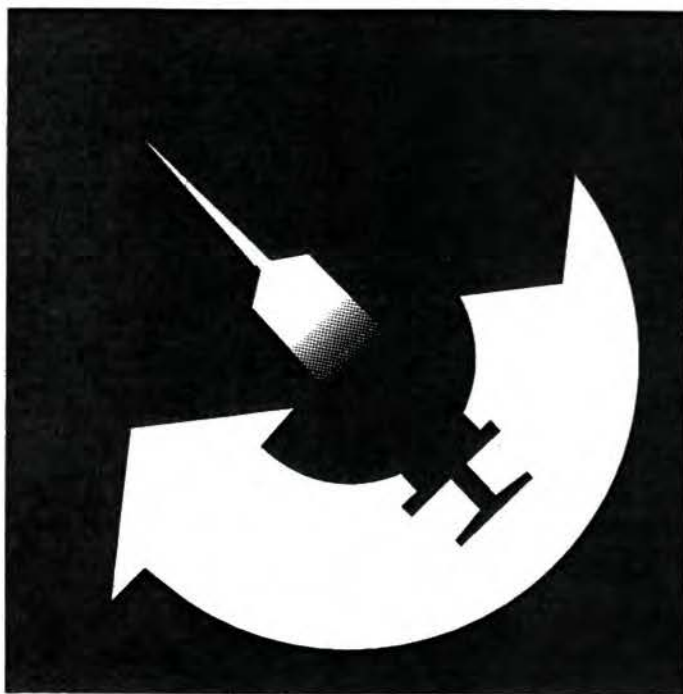
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