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Folder Title:
Meeting with National Skills Building Conference 11-2-93

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DOCUMENT NO. AND TYPE	SUBJECT/TITLE	DATE	RESTRICTION
001. form	re: Travel Order; [Personally Identifiable Information] [Partial] (1 page)	10/01/1993	b(6)

COLLECTION:

Clinton Presidential Records
National AIDS Policy Office
Kristine Gebbie
OA/Box Number: 3772

FOLDER TITLE:

Meeting with National Skills Buiding Conference 11-2-93

2018-0756-F

jp4896

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

- P1 National Security Classified Information [(a)(1) of the PRA]
- P2 Relating to the appointment to Federal office [(a)(2) of the PRA]
- P3 Release would violate a Federal statute [(a)(3) of the PRA]
- P4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
- P5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA]
- P6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Personal record misfile defined in accordance with 44 U.S.C. 2201(3).

RR. Document will be reviewed upon request.

Freedom of Information Act - [5 U.S.C. 552(b)]

- b(1) National security classified information [(b)(1) of the FOIA]
- b(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
- b(3) Release would violate a Federal statute [(b)(3) of the FOIA]
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- b(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
- b(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
- b(9) Release would disclose geological or geophysical information concerning wells [(b)(9) of the FOIA]

February 16, 1994

RECEIVED

FEB 22 1994

NATIONAL
**SKILLS
BUILDING**
CONFERENCE

Dear Colleague,

Please find enclosed a copy of the 1994 National Skills Building Conference (NSBC) *Final Report*. I'm sending you this report because you were either involved in the planning of the conference, quoted within or endorsed the conference; or, perhaps your photograph is included within the report.

Thank you for your support of NSBC and we hope to see you in Atlanta this fall for the '94 NSBC.

If you have any questions, please do not hesitate to call me at 202-546-6119. Thank you!

Sincerely,


Danny Linden
Conference Manager

SPONSORED BY:

AIDS

NATIONAL

INTERFAITH

NETWORK

REV. KENNETH T. SOUTH

EXECUTIVE DIRECTOR

NATIONAL

ASSOCIATION

OF PEOPLE

WITH AIDS

WILLIAM J. FREEMAN

EXECUTIVE DIRECTOR

NATIONAL

MINORITY

AIDS

COUNCIL

PAUL AKIO KAWATA

EXECUTIVE DIRECTOR

300 EYE ST., NE

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WASHINGTON

DC 20002-4389

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FAX 202-546-6418

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FINAL REPORT

STRENGTH

1993 NATIONAL SKILLS BUILDING CONFERENCE

SPONSORED BY

AIDS National Interfaith Network

110 Maryland Avenue, NE, Suite 504 Washington, DC 20002
202-546-0807 FAX 202-546-5103

National Association of People With AIDS

1413 K Street, NW Washington, DC 20005
202-898-0414 FAX 202-898-0435

National Minority AIDS Council

300 Eye Street, NE, Suite 400 Washington, DC 20002-4389
202-544-1076 FAX 202-544-0378

Action on AIDS -

Thanks & appreciation - individuals / organizations

Woes of the past

"12 years of inaction"

"No frank discussion"

"if middle aged members of Congress were 1st

Goals/President's goals - drive the research process to ~~know~~ knowledge / assure care /
Some honest appraisal is needed - Present further spread

passion alone doesn't make an education program happen

enthusiasm doesn't balance the books -

all the money in the world spent on the wrong questions won't yield the right answers.

"Ignore AIDS & it will bury the rest of you -

Care system - loss of resource

prevention system - lack of infrastructure

communities - fractured over access / education / young / inclusion

workplaces

international affairs

Making the practical happen -

Walking society through the end of denial into reality -

"Not me / not so"

anger & sorrow

constructive action -

new approach to research

more open discussion

film on sex education

Fed'l employees

- Not just Mother's Voices, but Father's Voices
- businesses that back
community funding
open education
- commitment / support for all affected groups -
understanding the overlapping fights -
racism / sexism / homophobia
substance abuse

Withdrawal/Redaction Marker

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TRAVEL ORDER

☒ Original ☐ Amendment No. _____ ☐ Cancellation
(See HHS Travel Manual, Part 3, for Detailed Instructions)

4. NAME AND POSITION OR RANK

Kristine M. Gebbie

(b)(6)

6. CONSTITUENT/BUREAU/DIVISION/REGION

DHHS/ PHS/ Office of Nat'l AIDS Policy Coordination

7. PRESENT OFFICIAL STATION

Washington, D.C.

10. ITINERARY AND PURPOSE OF TRAVEL (Show city, state or country, dates and reasons—use continuation sheet if necessary)

From Washington, D.C. to New Orleans, LA to Reading, PA to Philadelphia, PA and return to Washington, D.C.

CLINTON LIBRARY PHOTOCOPY

Purpose: New Orleans,—to attend the National Skills Building Conference
Reading, PA— to lecture at the Reading Hospital, and to tour ~~Merck~~ MERCK industries
Philadelphia— to attend symposium at the Franklin Institute and to meet with
faculty at the Univ. of Pennsylvania

Prepared by: GWirth: ONAPC: 202-690-5560: 10/29/93

NOTICE: TRAVELERS ARE RESPONSIBLE AND LIABLE FOR UNUSED GTR'S — TICKETS RECEIVED UNTIL THEY HAVE BEEN PROPERLY ACCOUNTED FOR ON A TRAVEL VOUCHER OR RETURNED TO THE AGENCY.

11. SPECIAL AUTHORITY	TRAVEL BY PRIVATELY OWNED AUTO IS AUTHORIZED ON MILEAGE BASIS RATE SPECIFIED BELOW FOR:			☐ EMPLOYEE AND/OR ☐ DEPENDENTS ☐ \$ PER MILE AS MORE ADVANTAGEOUS TO GOVT ☐ \$ PER MILE NOT TO EXCEED COMMON CARRIER COSTS ☐ \$ PER MILE NOT TO EXCEED COSTS BY GOVT-OWNED AUTO			11A. CHANGE OF STATION	TRANSPORTATION OF ☐ DEPENDENTS ☐ H/H GOODS & PERS. EFFECTS			13. FOREIGN TRAVEL						
	☐ GSA AUTO ☐ AUTO RENTAL UNDER GSA CONTR ☒ OTHER (Specify below) ☐ EXCESS BAGGAGE ☐ REGISTRATION FEE			☐ TEMPORARY QTRS ☐ RESIDENCE TRANSACTIONS ☐ TEMPORARY STORAGE ☐ HOUSE HUNTING TRIP ☐ MISC. EXP. ALLOWANCE ☐ OTHER (Specify) HHS 355: ☐ SIGNED ☐ NOT REQUIRED				TO BE PERFORMED FOR (DHHS, UN, etc.)									
12. TRAVEL & PER DIEM IS AUTHORIZED IN ACCORDANCE WITH DHHS POLICY AND:								EXPENSES TO BE PAID BY									
☒ FTRs ☐ JTR'S ☐ OTHER (Specify) PER DIEM: ☐ NONE ☒ IN U.S. ☐ OUTSIDE U.S. ☐ VARYING RATES PER ABOVE REGS. RATE \$ 51/26 Reading ☐ LODGINGS PLUS ☐ ACTUAL EXPENSE ☐ FIXED 89/34 Phila. (M & IE)								SECURITY APPROVAL GRANTED FOR TRAVEL OF ☐ 90 DAYS OR LESS ☐ OVER 90 DAYS DATE _____									
14. ACCOUNTING DATA (See HHS Acct'g Manual & Acct'g Code Book)								RESPONSIBLE FOR SECURITY CLEARANCE OF TRAVELER ASSUMED BY: <i>Sally a...</i>									
1	2-7	8-10	11-12	ORIGINAL OBLIGATION		OTHER DOCUMENTS		39-40	41-47	48-51	52-63	64	65-79	95-100	101-108	109	
RECORD TYPE	EFF. DATE	TRANSACTION CODE	REVERSE CODE MODIFIER	13-15 DOC. REF. CODE	16-25 DOCUMENT NO.	26-28 DOC. REF. CODE	29-38 DOCUMENT NO.	GEO. CODE FISCAL YEAR	COMMON ACCOUNTING NO.	OBJ. CLASS CODE	AMOUNT DOLLARS & CENTS	FED/NOV FED	VENDOR/CUSTOMER CODE (PRIMARY RECIPIENT)	PAYMENT COLLECTION DOC.	101-106 CATE-GORY	107-108 ACTIVITIES	CASE II
2	10/29/93			130	0017094043			4	A730170	21.25	403.00		(b)(6)				2
2																	
2																	
2																	

15. NAME AND TITLE OF OFFICER RECOMMENDING ABOVE TRAVEL

Arthur J. Lawrence, Ph.D., Acting Director, NAPO

AUTHORITY IS HEREBY GRANTED TO PERFORM TRAVEL AND TO INCUR SUCH EXPENSES AS MAY BE NECESSARY UNDER THE CONDITIONS SET FORTH ABOVE

AUTHORIZED BY Arthur J. Lawrence, PH.D.

TITLE: Acting Director, NAPO

DATE: 10/1/93

* To be completed by Office Initiating Travel Order; Other Accounting Data to be Completed by Fiscal/Accounting Office



**NATIONAL
ASSOCIATION
OF PEOPLE
WITH AIDS**

June 29, 1993

Via Courier

Kristine M. Gebbie
Special Assistant to the President
National AIDS Program Office
Hubert H. Humphrey Building
200 Independence Avenue
Room 73-8G
Washington, D.C. 20203

**Re: Invitation to Deliver Closing Plenary Address,
1993 National Skills Building Conference
November 2, 1993, New Orleans**

Dear Ms. Gebbie,

It was a pleasure to welcome you to Washington last week during President Clinton's announcement of your appointment as the government's principal AIDS coordinator. We all wish you the very best and we are committed to your success as you take on the challenges of coordinating the federal response to HIV in the United States.

I am writing to invite you to be Plenary Speaker at the Closing Luncheon of the third annual National Skills Building Conference, at 12:00 p.m. on Tuesday, November 2, 1993 in New Orleans.

The National Skills Building Conference, produced through a unique collaboration between The AIDS National Interfaith Network, The National Minority AIDS Council, and The National Association of People with AIDS, is designed to enhance the effectiveness of front-line, AIDS-service organizations responding to the prevention and service needs of the HIV community. In addition, the Conference seeks to develop and enhance effective collaborations between religious communities, communities of color, and individuals living with HIV disease.

The 1992 National Skills Building Conference, held in Washington, D.C., was an overwhelming success. More than 1,000 participants attended: representatives came from 578 community-based AIDS organizations in 189 cities and 46 states as well as Puerto Rico, Japan, the

Kristine M. Gebbie
June 29, 1993
Page 2

Dominican Republic, Ireland and Kenya. Participants included board members, executive directors, program managers, pastoral counselors, volunteers, state and local health department officers, corporate/foundation grantmakers, representatives from People with AIDS coalitions and individuals with HIV disease.

Attendees mirrored the "changing face of AIDS" and the communities involved in the efforts against the disease. Sixty percent of the 208 people who completed the final conference evaluation form were people of color: 31 percent were African-American, nine percent were Latino/a, nine percent were Asian/Pacific Islander, four percent were Native American and seven percent indicated "other" -- African, Irish, Caribbean and mixed descents.

An overwhelming majority of the participants in the 1992 National Skills Building Conference praised the content of the program, conference organization and their accommodations. Of the conference attendees who completed the final evaluation form, 75% of the respondents indicated that the overall learning experience gained at the conference was either "very satisfactory" or "excellent." Seventy-nine percent rated the usefulness of the workshop materials as "very satisfactory" or "excellent" and 99% of the participants said that they would recommend the conference to colleagues. Thirty percent of the conference attendees received financial assistance -- \$143,000 in scholarship aid was disbursed.

Building upon the success of last year's conference, the 1993 National Skills Building Conference will take place October 31st - November 3rd in New Orleans. We are anticipating 1,500 hundred attendees.

We are requesting that you address the participants at the closing plenary luncheon of the Conference. This unique gathering will provide you with an opportunity to address the largest annual gathering of HIV/AIDS service providers in the country. Your presence would demonstrate the government's support of the very people who are living with or responding to the challenges of HIV in the United States.

I am enclosing materials on last year's conference, including the final report. In addition, you will find advance materials on the 1993 National Skills Building Conference.

We are very hopeful that your schedule will permit you to address the Conference. Please feel free to contact me directly to discuss this invitation.

Kristine M. Gebbie
June 29, 1993
Page 3

We wish you every success as you embark on your new responsibilities.

Sincerely yours,

A handwritten signature in blue ink, appearing to read "WJF", is positioned above the typed name.

William J. Freeman
Executive Director

Enclosures

THE WHITE HOUSE
WASHINGTON

10/29/93

Kristine

This is subject of meeting on
Tuesday in New Orleans after
the closing plenary.

Critical issues are:

1) funding: CDC has up
to \$14.5 million, although
preferred amount would
be around \$11 million.

States want a portion of
this to go to program.

2) Maria's issue: who will
provide T/T under plan and
what will be purpose.

I'll be there too & will field
questions. — Carlos

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SUPPLEMENTAL GUIDANCE ON HIV PREVENTION COMMUNITY PLANNING FOR NONCOMPETING CONTINUATION OF COOPERATIVE AGREEMENTS FOR HIV PREVENTION PROJECTS

10/28/93

Comm Plan
T/A
Transference
Funding

INTRODUCTION

This guidance is offered to assist state and local health department HIV Prevention Cooperative Agreement Grantees (referred to in subsequent portions of this document as "Grantees") in the preparation of plans to undertake HIV Prevention Community Planning in fiscal year (FY) 1994. The Centers for Disease Control and Prevention (CDC) will award up to \$_____ for this purpose through the HIV Prevention Cooperative Agreements with state, territorial, and local health departments on January 1, 1994. These funds will be used to establish plans for the use of HIV prevention resources awarded under program announcement #300 (Cooperative Agreement for Human Immunodeficiency Virus {HIV}; Prevention Projects Program Announcement and Availability of Funds for Fiscal Year 1993).

A. ESSENTIAL COMPONENTS OF A COMPREHENSIVE HIV PREVENTION PROGRAM

Participatory community planning is an essential component of effective HIV prevention programs. This type of planning is evidence-based (i.e., based on HIV/AIDS epidemiologic surveillance and other data, ongoing program experience, program evaluation, and a comprehensive, objective needs assessment process) and incorporates the views and perspectives of the groups for whom the

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programs are intended, as well as the providers of the services. In addition to community planning, the other essential components of a comprehensive HIV prevention program are (also see program announcement #300):

1. Epidemiologic and behavioral surveillance and research and collection of other health and demographic data to monitor the HIV/AIDS epidemic and behaviors/practices that facilitate HIV transmission, and to project trends in the epidemic;
2. HIV counseling, testing, referral, and partner notification (CTRPN), to provide both anonymous and confidential client-centered opportunities for individuals to learn their serostatus and to receive prevention counseling and referral to other preventive, medical, and social services;
3. Individual level interventions (e.g., prevention case management) that provide ongoing health education and risk-reduction counseling, assist clients in making plans for individual behavior change and ongoing appraisals of their own behavior, facilitate linkages to services in both clinic and community settings in support of behaviors and practices which prevent transmission of HIV, and to make plans to obtain these services;

follow
state
law
Consistent w/ state
law

4. Health education and risk-reduction interventions for groups to provide peer education and support, as well as to promote and reinforce safer behaviors, and to provide interpersonal skills training in negotiating and sustaining appropriate behavior change;

5. Community level interventions for populations at risk for HIV that seek to reduce risk behaviors by changing attitudes, norms and practices through health communications, social (prevention) marketing, community mobilization/organization, and community-wide events;

6. Public information programs for the general public that seek to dispel myths about HIV transmission, support volunteerism for HIV prevention programs, reduce discrimination toward individuals with HIV/AIDS, and promote support for strategies and interventions that contribute to HIV prevention in the community;

7. Evaluation and research activities necessary to conduct formative, process, and outcome evaluations of HIV prevention programs and to assess the cost-effectiveness and cost-benefit studies of strategies and interventions; and

8. HIV prevention capacity building activities, such as

open

*ch governmental +
non-governmental.*

*7 in support of
HIV Prevention
Clinic +
comm level*

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4

73 strengthening public health infrastructure at both the
74 clinic and the community levels, implementing systems to
75 assure the quality of services delivered, and improving the
76 ability to assess the need for, and provide technical
77 assistance in all aspects of program planning and
78 operations.

79

80 B. DEFINITION OF HIV PREVENTION COMMUNITY PLANNING

81 HIV Prevention Community Planning refers to an ongoing process
82 whereby grantees share responsibilities for developing a
83 comprehensive HIV prevention plan with other state/local agencies,
84 nongovernmental organizations and representatives of communities
85 and groups at risk for, or infected with, HIV. Priority setting
86 accomplished through a participatory process will result in
87 programs that are responsive to high priority, community-validated
88 needs within defined populations. HIV prevention programs
89 developed without community collaboration may not be successful in
90 preventing the transmission of HIV or in garnering the necessary
91 public support for effective implementation. Persons at risk for
92 HIV infection and persons with HIV should play a key role in
93 identifying prevention needs not adequately being met by existing
94 programs and in planning for needed services. The necessary steps
95 of HIV Prevention Community Planning are:

- 96
- 97 1. Assessing the present and future extent, distribution, and

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5

98 impact of HIV/AIDS in defined populations in the community;

- 99
- 100 2. Assessing existing HIV prevention community resources to
- 101 determine the community's capability to respond to the
- 102 epidemic. These resources should include fiscal, personnel,
- 103 and program resources, as well as levels of support from
- 104 public (Federal, state, county, municipal) private, and
- 105 volunteer sources. This assessment should identify all HIV
- 106 prevention programs and activities by defined high risk
- 107 populations;
- 108
- 109 3. Identifying unmet HIV prevention needs within defined
- 110 populations;
- 111
- 112 4. Defining the potential impact of specific strategies and
- 113 interventions to prevent new HIV infections in defined
- 114 populations;
- 115
- 116 5. Prioritizing HIV prevention needs by defined high risk
- 117 populations and by specific strategies and interventions;
- 118
- 119 6. Developing a comprehensive HIV prevention plan consistent
- 120 with the high priority HIV prevention needs identified
- 121 through the HIV Prevention Community Planning process;
- 122

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6

7. Developing an application, by the grantee, for CDC FY 1995 (and beyond) funding based on the comprehensive HIV prevention plan; and

8. Evaluating the effectiveness of the planning process.

C. ELEMENTS OF A COMPREHENSIVE HIV PREVENTION PLAN

The necessary elements of a comprehensive HIV prevention plan include the following:

1. An HIV/AIDS epidemiologic profile (e.g., reported AIDS cases, projected AIDS cases, estimated HIV prevalence in defined populations, HIV incidence, HIV risk behaviors and other information such as, STDs, teen pregnancy, and drug use, needed to target and monitor HIV prevention efforts);

2. Goals and measurable objectives for HIV prevention in defined populations during the course of the project period;

3. A description of target populations to be reached by primary HIV prevention interventions (i.e., by exposure category, gender, age group, race/ethnicity, socioeconomic status, primary language, significant cultural factors, geographic area) and unmet needs and barriers in reaching populations;

*move
below 4*

*that are programmatically significant
5 yrs.*

148 4. A description of priority individual, group, and community
149 level strategies and interventions for defined target
150 populations whose serostatus is unknown, negative, or
151 positive. These strategies and interventions include HIV
152 counseling, testing, referral and partner notification;
153 prevention case management and other one-on-one risk
154 reduction prevention programs; peer education programs for
155 high-risk populations; school-based programs; community
156 mobilization; and health communications and social
157 (prevention) marketing approaches. Both existing and
158 proposed interventions should be described;

160 5. A description of how primary HIV prevention activities are
161 linked to secondary HIV prevention activities, i.e.,
162 activities to prevent or delay the onset of illness in
163 persons with HIV infection;

165 6. A description of other HIV prevention-related activities
166 (i.e., epidemiologic and behavioral surveillance, research,
167 and program evaluation activities), and how these are linked
168 to HIV and other prevention program strategies in the
169 geographic area for which the plan is developed;

171 7. A description of how public and nongovernmental agencies
172 will coordinate within the area for which the plan is

developed to provide HIV prevention services and programs;
and

8. An evaluation plan for the HIV prevention planning process
as delineated in Item 1j of Section D.

9. TA Bullet

D. PRINCIPLES OF HIV PREVENTION COMMUNITY PLANNING

State health agencies are responsible for the health of the
populations in their jurisdictions. States have a broad
responsibility in surveillance, prevention, overall planning,
coordination, administration, fiscal management, and provision of
essential public health services. States recognize, however, that
governmental agencies alone are limited in their scope and ability
to solve complex health, social, economic, and environmental
problems. Thus, in planning for prevention services, other state
and local government agencies (substance abuse, mental health,
education, and corrections), nongovernmental agencies, community
representatives, and academic institutions must play a key role in
identifying needs not adequately being met. Representatives of
communities at risk for HIV infection can provide invaluable
personal and population-specific perspectives on accessibility and
appropriateness of specific prevention interventions.

Although different approaches to community planning may be taken
in various communities, grantees will be required to address the

*in whole or
in part*

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following principles in all HIV Prevention Community Planning efforts supported by HIV Prevention Cooperative Agreement funds from CDC in FY 1995 and beyond:

1. HIV Prevention Community Planning represents an ongoing process involving the steps delineated in Section A.
2. HIV Prevention Community Planning reflects an open, candid, and participatory process, in which differences in background, perspective, and experience are essential and valued.
3. HIV Prevention Community Planning is characterized by shared priority-setting between organizations administering and awarding HIV prevention funds and the communities for whom the prevention services are intended.
4. Each project area requires at least one HIV Prevention Community Planning group which reflects in its composition, the characteristics of the current and projected epidemic in that jurisdiction (as evidenced in reported AIDS cases, HIV data, if available, and/or surrogate markers where relevant);
5. The recruitment process for membership in the HIV Prevention

*reflect
population
characteristics*

Community Planning process is proactive to ensure that all relevant groups are represented. Nominations for membership are identified through an open public process and candidates are selected based on criteria delineated in the application request for HIV community planning funds.

6. From the outset, all members of the HIV Prevention Community Planning group(s) understand the roles and responsibilities as outlined in this guidance and agree to the procedures and ground rules used in all deliberations and decision making.

7. The starting point for defining future HIV prevention needs begins with an accurate assessment of the present and future extent, distribution, and impact of HIV/AIDS in defined populations within the grantee's jurisdiction.

8. Identification, interpretation, and prioritization of HIV prevention needs reflect relevant information obtained from the communities to be served, particularly persons at risk for HIV infection and persons with HIV disease.

9. Assessment of HIV prevention needs is based on a variety of sources (both qualitative and quantitative), is collected using different assessment strategies (e.g., surveillance, survey, formative, process, and outcome evaluation of

programs and services, focus group(s), public meetings, etc.), and incorporates information from both providers and consumers of services.

10. Priority setting for specific HIV prevention strategies and interventions is based on the following criteria:
- (a) documented HIV prevention needs based on the current and projected impact of HIV/AIDS in defined populations in the grantee's jurisdiction;
 - (b) outcome effectiveness of proposed strategies and interventions (either demonstrated or probable);
 - (c) cost effectiveness of proposed strategies and interventions (either demonstrated or probable);
 - (d) sound scientific theory (e.g., behavior change, social change and social marketing theories);
 - (e) values, norms, and preferences of the communities for which the services are intended;
 - (f) availability of other governmental and nongovernmental resources (including the private sector for HIV prevention); and
 - (g) other state and local determining factors.
- Each criterion should be formally considered by the HIV Prevention Community Planning group(s) during priority-setting deliberations.
11. Resources are provided to support all steps in the community planning process as listed in Section B, including

*How to
weigh
criteria*

273 facilitating the participation of all participants in the
274 planning process, particularly persons at risk for HIV
275 infection and persons with HIV disease.

- 276
- 277 12. Specific policies and procedures for resolving conflict
278 identified by the grantee or the planning group(s) are
279 developed jointly by all parties. These policies and
280 procedures include conflict(s) of interest, conflict(s)
281 within the planning group, and differences between the
282 planning group and the health department in the
283 prioritization/implementation of programs/services. The
284 conflict resolution process includes a provision by which
285 all parties agree to submit issues that cannot be resolved
286 through the normal process to a neutral arbitrator and to
287 abide by the decision of the arbitrator.
- 288

- 289 13. HIV Prevention Community Planning process includes the
290 following evaluation components throughout the course of the
291 project period: (a) developing goals and measurable
292 objectives for the planning process; (b) monitoring the
293 objectives; (c) evaluating the operation of the process;
294 (d) evaluating the impact of the planning process; and, (e)
295 assessing the cost of the process.
- 296

297 These principles trace their origins to ongoing HIV prevention

⇒ not inconsistent
with the
principles of
this guidance

Great!

Conflict

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13

program assessments conducted by CDC staff, CDC's Planned Approach to Community Health (PATCH) program, CDC's Assessment Protocol for Excellence in Public Health (APEX/PH) project, the draft ASTHO/NASTAD/CSTE State Health Agency Vision for HIV Prevention, findings of CDC's 1993 HIV external review process, experience and recommendations of health departments and nongovernmental organizations, and the health promotion and community development literature.

E. LOGISTICS OF HIV PREVENTION COMMUNITY PLANNING

Beginning in FY 1994, application requirements for cooperative agreement funds under program announcement #300 will include adherence to the principles of HIV Prevention Community Planning outlined in this document. Each recipient of cooperative agreement funds under this announcement will be required to base its funding application for FY 1995 on the results of an HIV Prevention Community Planning process that will be undertaken and completed in FY 1994. Grantees are expected to base subsequent applications on an ongoing community planning process.

In FY 1994, a portion of cooperative agreement funds provided through this program announcement will be identified and specifically earmarked to support HIV Prevention Community Planning. These funds should be used to: (a) support the convening of planning groups, public meetings, and other means for obtaining

? 12-13 M

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community input; (b) support technical assistance/capacity development for community representatives and other members of planning groups to participate effectively in the process; (c) provide opportunities for technical assistance to health departments and community planning groups from outside experts; and (d) support community health planning infrastructure for the HIV community planning process; and (e) collect and/or analyze relevant data. The distribution of planning funds within these 5 categories should be determined jointly by the HIV Prevention Community Planning Group and the grantee (also see Section H).

All grantees directly receiving funds under cooperative agreement #300 will be required to conduct HIV Prevention Community Planning in FY 1994. Grantees will be required to determine how best to achieve and integrate statewide, regional, and community planning within their jurisdictions. Recipients must collaborate with local government, nongovernmental organizations, and affected communities to determine the most effective mechanisms for input into the HIV Prevention Community Planning process. Identification of these mechanisms should be based on a dialogue between the state and local health agencies and the community. The process must be structured in such a way that it incorporates and addresses needs and priorities identified at the community level (i.e., the level closest to where the problem is identified). Models for obtaining input include but are not limited to: a state-wide planning model;

great

a regional planning model; a Metropolitan Statistical Area planning model; and/or existing planning bodies.

Grantees will be responsible for developing criteria for selecting the individual members of the HIV Prevention Community Planning groups within their jurisdiction. State grantees should involve local health authorities and community leaders in developing such criteria; local grantees should similarly involve state health authorities and community leaders in developing such methods.

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The HIV Prevention Community Planning process should include representatives who reflect the population characteristics of the current and projected HIV/AIDS epidemic in that jurisdiction as reflected in reported AIDS cases, HIV data, if available, and/or surrogate markers where relevant in terms of age, gender, race/ethnicity, geographic distribution, sexual orientation, and HIV exposure category. Representation should also include (a) state and local health departments and other relevant governmental agencies (substance abuse, mental health, education, corrections); (b) experts in epidemiology, behavioral and social sciences, evaluation research and health planning; and (c) representatives of a reasonable sample of nongovernmental and governmental organizations providing HIV prevention and related services (e.g., STD, TB, substance abuse prevention and treatment,

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373 mental health services, HIV care and social services, etc.) to
374 persons at risk for or infected with HIV. The HIV Prevention
375 Community Planning process should attempt to accommodate a
376 reasonable number of representatives without becoming so large that
377 it cannot effectively discharge its functions. HIV Prevention
378 Community Planning group(s) are encouraged to seek additional
379 avenues for obtaining input on community HIV prevention needs and
380 priorities, such as holding well-publicized public meetings,
381 conducting focus groups, and convening ad hoc panels.

382
383 Planning Councils should be routinely informed about the status
384 of current knowledge related to HIV prevention from the behavioral
385 and social sciences, especially as it relates to the at-risk
386 population groups within a given geographic community. Planning
387 Council members should also be routinely updated about relevant new
388 findings generated from the behavioral and social sciences.

389
390 Every CDC grantee receiving funding under program announcement
391 #300 is responsible for identifying a health department co-chair
392 for each HIV planning group in the project area; the group, once
393 convened, selects the other co-chair.

394
395 The HIV Prevention Community Planning Group(s) should be
396 routinely informed of other relevant planning efforts, particularly
397 the process for allocating Titles I, II, and III of the Ryan White

who?

CDC +
grantee

put in
roles + responsibilities

398 Comprehensive AIDS Resources Emergency Act. Health departments
399 should consider the benefits of merging the HIV Prevention
400 Community Planning process with other planning bodies/processes
401 already in place. If such mergers are proposed, grantees must
402 adhere to the principles of HIV Prevention Community Planning, as
403 specified in Section D.
404

405 The HIV Prevention Community Planning process should result in a
406 comprehensive plan, jointly developed by the grantee and the HIV
407 Prevention Community Planning group(s) which includes specific,
408 high-priority HIV prevention strategies and interventions targeted
409 to defined populations to be supported with HIV prevention
410 cooperative agreement funds. Thus, each grantee's application for
411 FY 1995 funds (and beyond) should address the plan's high priority
412 elements in its application for funds under program announcement
413 #300. In those jurisdictions where CDC has direct cooperative
414 agreements with both state and local health departments, grantees
415 are expected to coordinate planning with one another prior to
416 finalizing their own HIV prevention plans.
417

418 Each grantee, in its FY 1995 application and beyond must include
419 a letter of concurrence or nonconcurrence from each HIV Prevention
420 Community Planning group convened within the grantee's
421 jurisdiction. Letters of concurrence would indicate the extent to
422 which the health department and the HIV Prevention Community

Planning group(s) have successfully collaborated in developing an integrated HIV community plan and agree upon the program priorities contained in the application. An HIV Community Planning group that disagrees with the program priorities identified in the grantee's application should cite specific reasons for nonconcurrence. In those instances where a grantee does not concur with the findings or recommendations of the HIV Prevention Community Planning group(s) and believes the public health would be better served by funding HIV prevention activities/services that are substantially different, it must submit a letter of justification in its application.

Grantees are responsible for operationalizing and implementing HIV prevention services/activities outlined in the comprehensive plan, including selecting the specific organizations/entities that should provide HIV prevention services/activities, awarding, and administering HIV prevention funds.

F. RESTRICTIONS

Funds for HIV Prevention Community Planning will be awarded through the HIV Prevention Cooperative Agreements with state, territorial, and local health departments on January 1, 1994, but planning funds will be restricted pending receipt and approval by CDC of a description of the grantees proposed HIV Prevention

Community Planning process. Upon receipt of plans describing the HIV Prevention Community Planning process, CDC will review each grantee's plan to ensure compliance with the Principles (Section D) and Logistics (Section E) delineated within this guidance. When approved, restrictions on the expenditure of planning funds will be removed.

Recipients are encouraged to submit a plan as soon as possible, but are required to submit one no later than February 28, 1994.

G. APPLICATION CONTENT

Applications for awards of planning funds under CDC program announcement #300 must include: (1) a description of the proposed HIV Prevention Community Planning process including a timetable for implementation; (2) Criteria for the proposed methods for nominating, recruiting, and selecting members of the HIV Prevention Community Planning group(s); (3) a budget justification for each of the allowable expenses under community planning funds as delineated in Paragraph 2, Section E; and (4) an outline describing proposed policies and procedures for deliberations, decision-making, and resolving conflict arising during the planning process.

H. ROLES AND RESPONSIBILITIES

473 **GRANTEES**

474 The role of grantees in the HIV Prevention Community Planning
475 process is as follows:

- 476
- 477 1. Administer and coordinate public funds from a variety of
478 sources, including Federal, state, and local, to prevent HIV
479 transmission and reduce associated morbidity and mortality;
480
 - 481 2. Administer HIV prevention funds awarded under the
482 cooperative agreement, ensuring that funds are awarded to
483 contractors in a timely manner, monitoring contractor
484 activities, and documenting contractor compliance;
485
 - 486 ~~3. Provide HIV prevention services;~~ *It is not in planning*
487
 - 488 4. Provide HIV/AIDS surveillance and other relevant data and
489 analyses of statewide, regional, and/or local data to assist
490 the HIV community planning process in establishing program
491 priorities based on the current and future extent,
492 distribution, and impact of the HIV/AIDS epidemic;
493
 - 494 5. Collaborate with state, local, and community partners to
495 determine the most effective means for implementing HIV
496 Prevention Community Planning in their jurisdiction (see
497 Section D);

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- 498 6. Ensure that specific policies are in place articulating
499 the roles and responsibilities of the various components of
500 the HIV Prevention Community Planning process.
501
- 502 7. Establish policies that address planning group composition,
503 selection and appointment, terms of office, in consultation
504 with health authorities and community leaders in that
505 jurisdiction; *consistent w/ existing state law.*
506
- 507 8. Ensure that all planning group(s) reflect the population
508 characteristics of the current epidemic in state and local
509 jurisdictions in terms of age, race/ethnicity, gender,
510 sexual orientation, geographic distribution, and HIV
511 exposure category;
512
- 513 9. Provide expertise and technical assistance, including
514 ongoing training on HIV prevention planning and the
515 interpretation of epidemiologic and evaluation data, to
516 ensure that the planning process is comprehensive and
517 scientifically valid;
518
- 519 10. Promote linkages between the local community HIV prevention
520 services providers, public health agencies and behavioral
521 and social scientists who are either in the local area or
522 who are familiar with local prevention needs, issues and at-

risk populations.

11. Develop an application for HIV prevention cooperative agreement funds based on the comprehensive HIV prevention plan(s) developed through the HIV Prevention Community Planning process;

- assurances statement*
12. Provide technical assistance to staff and contract agencies for program planning, intervention, and evaluation;

13. Allocate resources based on the comprehensive HIV prevention plan; and

- activities included in the plan provide for measurement of*
14. Ensure program effectiveness through specific evaluation activities, including conducting or contracting outcome evaluation studies, providing technical assistance in evaluation, or assuring the provision of evaluation technical assistance to funding recipients. *in accordance w/ the plan.*

HIV PREVENTION COMMUNITY PLANNING GROUP(S)

The role of the planning group(s) in the HIV Prevention Community Planning process is to:

1. Delineate technical assistance/capacity development needs for effective community participation in the planning

process;

2. Review available data and other information required to prioritize HIV prevention needs and collaborate with the health department on how best to obtain additional data and information;

3. Assess existing HIV prevention community resources to determine the community's capability to respond to the epidemic;

4. Identify unmet HIV prevention needs within defined populations;

5. Prioritize HIV prevention needs by target populations and by specific strategies and interventions;

6. Identify the technical assistance needs of community-based providers in the areas of program planning, intervention, and evaluation;

7. Consider how CTRPN, early intervention, primary care, and other HIV-related services, as well as STD, TB, substance abuse prevention and treatment, mental health services, and other public health needs are addressed within the

comprehensive HIV prevention plan; and

8. Evaluate the HIV Prevention Community Planning process and assess the responsiveness and effectiveness of the grantee's application in addressing the priorities identified in the comprehensive HIV prevention plan.

SHARED RESPONSIBILITY BETWEEN GRANTEES AND HIV PREVENTION COMMUNITY PLANNING GROUP(S)

1. Select co-chairs for HIV Prevention Community Planning Group(s):
Grantees select an employee of the health department as one co-chair and the community planning group selects the other;
2. Develop procedures that address (a) voting policies and provisions for proxy voting and non-attendance at meetings; (b) resolution of conflict identified in planning deliberations; and (c) grievance procedures, including the use of a neutral arbiter;
3. Determine the distribution of planning funds to (a) convene planning groups, public meetings, and other means for obtaining community input; (b) support technical assistance/capacity development for community representatives, and other members of the planning groups to participate effectively in the process;

(c) provide opportunities for technical assistance to health departments and community planning groups from outside experts; (d) support community health planning infrastructure for the HIV community planning process; and (e) collect and/or analyze relevant data;

4. Assess the present and future extent, distribution and impact of HIV/AIDS in defined populations in the community.

5. Conduct a needs assessment process to identify unmet HIV prevention needs within defined populations;

6. Develop goals and measurable objectives for HIV prevention strategies and interventions in defined target populations;

7. Foster integration of the HIV Prevention Community Planning process with other relevant planning efforts; and

8. Develop a comprehensive HIV prevention plan.

CENTERS FOR DISEASE CONTROL AND PREVENTION

The role of CDC in the HIV Prevention Community Planning process is to:

1. Provide funding to all grantees under Program Announcement #300 for the community planning process and for the implementation of HIV prevention activities;
2. Require that plans submitted by HIV Prevention Cooperative Agreement recipients for HIV Prevention Community Planning are in accordance with the principles in this guidance;
3. Require as a condition for award of cooperative agreement funds, that recipients' FY 1995 applications are in accordance with the comprehensive plan developed as a result of the HIV Prevention Community Planning process or include an acceptable letter of justification as delineated in Section D;
4. Collaborate with health departments, national organizations, federal agencies, and academic institutions in providing technical assistance and training for the HIV Prevention Community Planning process. Technical assistance could address subjects such as analysis and interpretation of data relevant to the needs assessment and prioritization process, planning models, conflict resolution, behavioral/social science and evaluation;
5. Identify the minimal program components of a comprehensive

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HIV prevention program;

6. Collaborate with grantees in evaluating HIV prevention programs; and

7. Collaborate with other federal agencies in promoting the transfer of new information and emerging prevention technologies (i.e., epidemiologic, biomedical, operational, behavioral, or evaluative) to health departments and other prevention partners, including nongovernmental organizations.

In addition to supplemental funds awarded for HIV Prevention Community Planning in FY 1994, state and local health departments will receive an increase in new funds awarded for HIV prevention when compared with FY 1993. Grantees are encouraged to delay the long-term commitment of part or all of these additional HIV prevention funds in order to implement unmet program needs, as identified by HIV Prevention Community Planning group(s), during FY 1994.

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