

DRAFT

PROVISIONAL GUIDANCE

ON THE

IMPLEMENTATION

OF THE 1997 STANDARDS FOR

FEDERAL DATA ON RACE AND ETHNICITY

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Data on Race and Ethnicity

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Procedural Implementation of the New Standards for Data on Race and Ethnicity -- Phase I Report

An interagency committee was established to develop guidelines that will assist Federal agencies in their implementation of the Standards for Maintaining, Collecting, and Presenting Federal Data on Race and Ethnicity issued on October 30, 1997. The procedural implementation guidelines address three areas: (1) wording and format of questions that ask for self-reported race and Hispanic or Latino origin; (2) wording and format of instructions and forms that collect aggregate race and Hispanic or Latino origin data; and (3) instructions and training procedures for field interviewers and administrative personnel who will be using these questions and forms.

Members of the committee represent the Departments of Health and Human Services, Commerce, Education, Labor, and Veterans Affairs, and the General Accounting Office. An OMB Clearance Package was approved in March 1998 which authorized the pretesting of different questions and forms. This report describes the study objectives of the three areas, the research design, and the progress to date for Phase I. A second phase will focus on additional issues not resolved in Phase I.

Development and Testing of Self-Reported Race and Hispanic or Latino Origin Questions

A goal of this research is to provide guidance on the wording and format of self-reported race and Hispanic or Latino origin questions used in a variety of data collection efforts. Following are three of the most significant changes to the ways in which race and Hispanic or Latino origin questions are to be asked by Federal agencies.

- Self-report or self-identification using two separate questions is the preferred method for collecting data on race and ethnicity. When race and ethnicity are collected separately, ethnicity shall be collected first.

- Respondents shall be offered the option of selecting one or more racial designations.
- Native Hawaiian or Other Pacific Islander is to be treated as a distinct category from Asian.

The committee's primary objective is to develop and test a series of questions that agencies can use to guide the design of future data collection instruments. To design the test questions, the committee reviewed current survey practice, prior research on measuring race and ethnicity, and the survey literature on questionnaire design. This led to the identification of three factors which influenced the general design, format, and wording of race and ethnicity test questions. First, questions needed to be as similar as possible to those that were subjected to extensive testing prior to the issuance of the revised standards. In particular, questions used in previous research from the Current Population Survey, the National Content Survey, and the Race and Ethnic Targeted Test (see Federal Register Notice July 1997 for discussion of the results of those tests) were considered. Second, questions needed to be tested in both face-to-face interviews as well as telephone interviews.² And third, both short and long versions of questions needed to be developed--short in that the question should seek to collect the minimum information specified in the revised standards and long in that the question should collect subgroup information.

The minimum level of detail for race questions is the five revised categories--American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, and White. Long versions of the race question provide for reporting of subgroups such as Chinese, Japanese, Samoan, and so forth. For Hispanic or Latino origin questions, the minimum level of detail is a *Yes* or *No* response indicating Hispanic or Latino origin background. Long versions of the question provide for reporting of subgroups such as Puerto Rican, Cuban, and so forth.

At this time, there are no plans to assess comparability of responses across modes; that is, the final report will offer guidelines on ways to ask a question when using a particular mode rather than

²Mode can also include whether the question administration is done using a computer; at this time, there is no plan for testing computer-administered instruments.

provide an analysis of the effects of response distributions when using a particular mode. It will be incumbent upon individual agencies to make final determinations on the exact wording and format of questions and the potential measurement error that may be associated with a given design should be assessed.

1.1 Research Design

Qualitative research using cognitive pretesting methods are being used to test race and Hispanic or Latino origin questions. The research plan includes two phases. Phase I is still in progress; most of it has been completed and took place during 1998 in the Washington, D.C. metropolitan area. Eventually, Phase I will include approximately 50 laboratory interviews conducted face-to-face or by telephone. Phase II will be similar in scope to Phase I, will begin in 1999, and will be conducted in selected geographic sites outside of the Washington, D.C. metropolitan area.

Phase I does not include tests of self-administered race and ethnicity questions since the Census Bureau has already conducted considerable research in preparation for Census 2000. The Census Bureau conducted cognitive research as well as large scale field interviews as part of the Census Bureau's National Content Survey, Race and Ethnicity Targeted Test, and Census 2000 Dress Rehearsal test. Therefore, the self-administered format options contained in Section 1.3.2 of this report are based mostly on the research accomplished by the Census Bureau.

Phase I cognitive testing is conducted as part of a 10-20 minute survey which asks general household information (such as who lives in the household, the age, gender, education level, marital status, and income level of household members) followed by Hispanic or Latino origin and race questions. After the survey is completed, the subject is debriefed by a cognitive interviewer to discuss the meaning of the words and phrases in the race and Hispanic or Latino origin questions. Attachment A contains the instrument used for testing.

It is important to remember that only a few questions have been selected for testing; other variations of ethnicity and race questions could certainly have been tested and may, in fact, work

just as well or better in a particular survey. For example, all of the Hispanic or Latino origin test questions include the word *Spanish* in the question; that is, the test question asks *Are you Spanish, Hispanic, or Latino?* rather than *Are you Hispanic or Latino?* It is relevant, then, to note that the options on the following pages reflect what worked well among the different questions tested, not what is the best way to ask a race or Hispanic or Latino origin question. Phase I test results and the examples presented should not imply limitations or constraints to other question designs that comply with the revised standards.

The research design for Phase I has been modified over the past six months and currently has six experimental conditions. Two conditions test the questions by telephone and four conditions test the questions face-to-face. Optimally, each test condition will include at least 8 subjects, 1 whose parents are both American Indian or Alaska Native, 1 whose parents are both Asian, 1 whose parents are both Black or African American, 1 whose parents are both Native Hawaiian or Other Pacific Islander, 1 whose parents are both White, 1 whose parents are both Hispanic or Latino (regardless of race), and 2 whose parents are different races (regardless of the particular race combinations).³ Participants are recruited mostly by newspaper advertisements and flyers. Some additional recruitment efforts may be directed at community centers or other organizations in order to reach individuals who are Native Hawaiian or Other Pacific Islander, American Indian or Alaska Native, and individuals who are more than one race. Subjects are paid \$25 for one hour, and interviews are audio-taped or video-taped, depending on the interview site. Attachment C shows the progress to date by test condition.

1.2 Results

Thirty-two cognitive interviews (25 face-to-face and 7 telephone) have been completed.

³Participants are not asked to report their race or ethnicity during the telephone screening interview. Rather, they are asked to report the race and ethnicity of their mother and their father, along with a few other demographic questions about each of their parents. The reported race of their parents are used to assign subjects to a particular test condition. Also, it is compared with the race(s) individuals report themselves to be in order to provide further information on the process of self-identification of race and Hispanic or Latino origin.

Generally, subjects were able to provide answers to both long and short versions of race and Hispanic or Latino origin questions. As expected, subjects who were interviewed face-to-face seemed to use and rely on the flashcards to select a response. Subjects interviewed by telephone had a bit more difficulty answering the race questions since they had to listen to a relatively long list of response options.

1.2.1 Testing Hispanic or Latino Origin Questions

Two subjects answered “*Yes*” to the Hispanic or Latino origin question and 30 answered “*No*.” During debriefings, all subjects were asked their impressions of the other Hispanic or Latino test questions and were shown various versions of the Hispanic or Latino flashcard. Subjects were generally familiar with Hispanic or Latino origin questions, regardless of the particular test condition. As found in previous research, subjects define Hispanic and Latino differently but they are comfortable with both terms used in the same question. Since the test questions also included the term Spanish (which is allowed by the revised standards), subjects were asked their opinion about including the word Spanish; most stated they thought that the word Spanish was important to include. Subjects commonly defined Hispanic as indicating geographic location or Spanish origin, Spanish as indicating European origin or coming from the country of Spain, and Latino as a cultural concept associated with Latin American cooking, dress, and language.

Face-to-face interviews: All of the 25 subjects interviewed face-to-face seemed to find the Hispanic or Latino origin flashcards useful. Two flashcard versions were tested; Flashcard 7A and Flashcard 7B each list the detailed Hispanic or Latino origin subgroups but in different ways. When shown both flashcards, subjects preferred Flashcard 7A which lists the subgroups under the main category “Yes, Spanish, Hispanic, Latino.”

Flashcard 7A

No **Not Spanish, Hispanic, Latino**

Yes **Spanish, Hispanic, Latino**
Includes Mexican, Mexican American,
Chicano, Puerto Rican, Cuban, or
other Spanish, Hispanic, Latino

Flashcard 7B

No **Not Spanish, Hispanic, Latino**

Yes **Spanish, Hispanic, Latino**
Mexican, Mexican American, Chicano
Puerto Rican
Cuban
Other Spanish, Hispanic, Latino

Telephone interviews: For the 7 subjects interviewed by telephone, 4 were asked a short Hispanic or Latino question and 3 were asked a long version, both shown below.

Short *Are you Spanish, Hispanic, or Latino?*

Long *Are you Spanish, Hispanic, or Latino?*

If Yes and no further information is provided, ask

Which one of the following are you? Are you Mexican, Mexican American, Chicano, Puerto Rican, Cuban, or of another Spanish, Hispanic, or Latino group?

Regardless of version, all of the telephone subjects were able to answer the first part of the question without difficulty. The second part of the long version has not been tested with enough Hispanic or Latino subjects, since one needs to answer “Yes” to the first part in order to test the second part. However, interviewers expressed concern that the long version may present some response problems since respondents will have to recall six possible categories without use of a flashcard or other visual aid.

1.2.2 Testing Race Questions

Among the 32 subjects interviewed, 13 reported their race as Black, 3 reported Asian, 2 reported Native Hawaiian, 4 reported more than one race, and 10 reported White, of which 2 also reported Hispanic or Latino origin. No American Indians or Alaska Natives were interviewed in Phase I. Two of the 4 subjects who reported more than one race for themselves reported their parents as both being the same race. These two subjects based their multiple race reports on the backgrounds of grandparents or great-grandparents which is consistent with prior research. Of the four subjects who reported more than one race, three reported combinations of Native Hawaiian, White, and either Japanese and/or Chinese.⁴ The fourth subject to report more than one race replied *White and Asian*.

Face-to-face interviews: Subjects who were interviewed face-to-face heard the question read and were handed a flashcard containing the response options. Several subjects indicated initial surprise at not seeing a Hispanic or Latino category or its equivalent. For example, one subject said “*Given the choices here, I don’t see what I should put down. I guess I have to say White, but that’s not right.*” When asked the meaning of certain race terms, some subjects referred to geographic origin, some mentioned facial or skin color characteristics, and others mentioned a particular culture or heritage.

⁴Several subjects were specially recruited through a Native Hawaiian source which accounts for the frequency of Native Hawaiian responses.

Among the three flashcards tested, subjects preferred Flashcard 9 or Flashcard 10 (see below). In one case, a Filipino subject responded differently depending on the flashcard used. She was first shown a long version (Flashcard 10) and responded “*Filipino, I guess under Asian.*” In the debriefing, she was then shown a short version (Flashcard 9) and again was asked her race. She responded “*Other Pacific Islander because the Philippines are a Pacific Island. So I guess my answer would be different depending on the list used.*”

Flashcard 9

White
Black or African American
American Indian or Alaska Native
Asian
Native Hawaiian or Other Pacific Islander

Flashcard 10

White
Black or African American
American Indian or Alaska Native
Asian
Asian Indian Japanese
Chinese Korean
Filipino Vietnamese
Other Asian
Native Hawaiian or Other Pacific Islander
Native Hawaiian
Guamanian or Chamorro
Samoan
Other Pacific Islander

Telephone interviews: Subjects interviewed by telephone were only asked a short version of the race question as shown below.

Short	<i>I'm going to read a list of racial categories. Please select one or more to best describe your race. Are you White, Black or African American, American Indian or Alaska Native, Asian, or Native Hawaiian or Other Pacific Islander?</i>
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There was some indication that hearing a list with alternative terms representing one category (i.e., *Black or African American* is one category, not two) may result in confusion. Specifically, two subjects thought the interviewer asked them to choose between *Black* or *African American* and commented that they did not like having to make a choice. This problem can be addressed through interviewer training that teaches the interviewer to pause longer after saying each category term or phrase; that is, if the interviewer is reading a list of "...*White, Black or African American, Asian, ...*" she/he should pause between the words *White* and *Black*, not pause between *Black* or *African American*, and pause again between *African American* and *Asian*. This should help the telephone respondent hear that *Black or African American* is one choice, not two. There was some evidence that the instruction to "...*select one or more...*" was misunderstood on the telephone to mean that the subject had to select more than one race. Interviewers will need to be trained to perceive and correct for this.

1.2.3 Concepts of Race and Ethnicity

As has been noted elsewhere in the literature, respondents often do not make clear distinctions between the terms used in describing race, ethnicity, nationality, and ancestry. In the cognitive interviews, understanding of the intent of a race or Hispanic origin question was shared but individual differences in the interpretation and meaning of terms used was found, as was confusion regarding the separation of Hispanic or Latino origin from race. The following examples from the cognitive interviews illustrate these findings.

- *It means ethnic background. Not the country. I think people tend to cross quickly between using the terms race and country. When I say "Yes, I am Hawaiian" I mean that in my bloodstream I have Hawaii. My blood inheritance.*

- *Race I guess means the color somebody is. Or, their cultural heritage.*
- *The word race means the biological heritage from which you descend.*
- *Race means the culture that someone is from.*
- *The way I think of race, I think of it as a negative, probably because of what we've read about in the 60's--race riots, etc. It always seems to have a negative connotation. I prefer to use ethnicity.*
- *I answer differently sometimes, depending on what's beneficial to my family or me.*
- *Sometimes you see Hispanic as a choice for race. If Hispanic had been offered as a race then I would have chosen that.*
- *The race question is difficult because it doesn't have enough categories, it's too restrictive. With only five categories, there are two that are too specific--American Indian and Native Hawaiian--and there's a list of countries for the Asians. It doesn't specify anything about Central or South American descent. Everybody comes from different backgrounds; even White Americans can probably check off Irish, etc.*

1.3 Guidelines for the Design of Race and Ethnicity Questions

As has been discussed earlier in this chapter, the Standards for Maintaining, Collecting, and Presenting Federal Data on Race and Ethnicity issued on October 30, 1997 set forth principles that should be followed when collecting race and ethnicity data for Federal reporting purposes. These principles and the guidelines below should serve to assist in the design and format of race and ethnicity questions contained in Federal data collection instruments. In addition, there is a rich literature on questionnaire design and data collection methods as well as the measurement of race and ethnicity. Readers are strongly encouraged to consult the literature and are referred to a suggested reference list contained at the end of this chapter. By no means comprehensive, this list should provide at least a starting point for those seeking further guidance. Following the guidelines below are examples of questions to illustrate specific formats and wording depending on the mode of data collection.

Guideline 1: Communicate clearly an instruction that allows multiple responses to the race question. The revised standards are clear that the format and wording used in a race question must communicate to the respondent an instruction that multiple responses are acceptable. Based on research findings, the recommended forms for this instruction are *Mark one or more* or *Select one or more*. The committee supports these recommendations but recognizes that other possible instructions may be preferred, especially when integrating a race question within an existing data collection instrument. For example, some mail instruments do not word questions in a personal way; that is, rather than *What is your age?* an instrument may simply have *Age* with a line for an entry. Taking this case further, if one has an item simply worded as *Race* with a line for an entry, then an instruction must be included to communicate that multiple race responses are acceptable. Variations could include *Race - enter one or more*. Regardless of exact wording, the instruction must be evident to the respondent.

Guideline 2: Consider using an instruction to answer both the Hispanic or Latino question and the race question. This has particular relevance for mail surveys or questionnaires that are self-administered since there is no interviewer interaction. An instruction such as the following may improve potential item non-response, especially among Hispanic respondents.

NOTE: Please answer BOTH Questions 1 and 2 (Hispanic or Latino and Race)⁵

Guideline 3: For data collection efforts requiring detailed Hispanic or Latino origin or race information, consider options to collect further information through write-in entries or follow-up questions asked by the interviewer. Write-in entries or follow-up questions would be most commonly used for ‘other’ responses such as Other Spanish, Hispanic, or Latino, Other Pacific Islander, or Other Asian. Also, write-in or follow-up information may be desired to obtain the name of the enrolled or principles tribe for American Indian or Alaska Native responses. Questions shown in section 1.3.2 includes examples for write-in responses.

⁵Modified version as shown in the Census 2000 Dress Rehearsal forms

Guideline 4: Take mode carefully into account when designing questions and instructions. This guideline may seem obvious but it is often the case that surveys are conducted using a mixed mode (i.e., the initial interview attempt may be personal visit but a telephone interview is permissible). Since the questions should be designed with the mode in mind, there may need to be different versions of questions, depending on the mode of administration. Below is a brief discussion of some additional issues to consider depending on mode.

For surveys conducted face-to-face by an interviewer, use of a flashcard is very helpful to the respondent. The wording of the question has to incorporate the instruction to look at the flashcard. Further, the design of the flashcard is important; it should clearly and neatly contain all available response categories. Similarly, the design, layout, and visual appearance of a self-administered questionnaire is very important and should be carefully considered.

For telephone surveys, questions generally are shorter with fewer response categories. This presents a problem with questions that need to collect detailed information (see Guideline 3 discussed above). One solution may be to allow a follow-up question similar to the example shown in Section 1.3.4 that was tested to collect detailed Hispanic or Latino information. Using the race question as another example, if the respondent is read, *Please select one or more to best describe your race. Are you White, Black or African American, American Indian or Alaska Native, Asian, or Native Hawaiian or Other Pacific Islander?* and responds *I am Asian*, a follow-up question such as *Which one of the following are you? Are you Asian Indian, Chinese, Filipino, Japanese, Korean, Vietnamese or from another Asian group?* could be asked.

Guideline 5: Provide definitions to the minimum race categories when possible. This guideline is particularly relevant when the short version (only the five minimum categories) of a race question is used. Individual interpretation of the five categories could lead to response error, especially for respondents unsure of the definitions of Asian and Native Hawaiian and Other Pacific Islander. For self-administered forms, providing the definition of the category should be considered if space and formatting limitations can be overcome. For interviewer-administered questions, the definitions

should be readily available to the interviewer (usually in a manual that provides question-by-question specifications) to assist the respondent if needed.

Guideline 6: Adhere to the specific terminology as stated in the October 30, 1997 revised standards. The revised standards address the words and terms to use, and also indicates other terms that can be considered. For example, the name of the Black category should be Black or African American and additional terms such as Haitian or Negro can be used if desired. In another example, American Indian should be used and Native American should not be substituted for American Indian. Reviewing the terms specified in the revised standards is strongly encouraged before designing questions on race and Hispanic or Latino origin.

1.3.2 Examples of Hispanic or Latino Origin and Race Test Questions -- Self-Administration⁶

① Are you Spanish/Hispanic/Latino?

- Yes
- No

② Are you Spanish/Hispanic/Latino? Mark • the “No” box if not Spanish/Hispanic/Latino.

- No, not Spanish/Hispanic/Latino
- Yes, Mexican, Mexican American, Chicano
- Yes, Puerto Rican
- Yes, Cuban
- Yes, other Spanish/Hispanic/Latino - *Print group*



⁶Questions 2 and 5 are similar to the Census 2000 Dress Rehearsal Long Form. Question 3 and 6 are similar to the Census 2000 Dress Rehearsal Short Form. Write-in entries are presented in these questions since they will appear on Census 2000 Forms.

③ Are you Spanish/Hispanic/Latino? Mark • the “No” box if not Spanish/Hispanic/Latino.

- No, not Spanish/Hispanic/Latino
- Yes, Mexican, Mexican Am, Chicano
- Yes, other Spanish/Hispanic/Latino - *Print group*
- Yes, Puerto Rican
- Yes, Cuban



④ What is your race? Mark • one or more racesto indicate what you consider yourself to be.

- White
- Black or African American
- American Indian or Alaska Native
- Asian
- Native Hawaiian or Other Pacific Islander

⑤ What is your race? Mark • one or more racesto indicate what you consider yourself to be.

- White
- Black or African American
- American Indian or Alaska Native — *Print name of enrolled or principal tribe*



- Asian Indian
- Chinese
- Filipino
- Japanese
- Korean
- Vietnamese
- Native Hawaiian
- Guamanian or Chamorro
- Samoan
- Other Pacific Islander — *Print race*



- Other Asian - *Print race*



⑥ **What is your race? Mark • one or more races to indicate what you consider yourself to be.**

- White
- Black or African American
- American Indian or Alaska Native - Print name of enrolled or principal tribe



- | | | |
|-----------------|--------------|----------------------------|
| • Asian Indian | • Japanese | • Native Hawaiian |
| • Chinese | • Korean | • Guamanian or Chamorro |
| • Filipino | • Vietnamese | • Samoan |
| • Other Asian — | | • Other Pacific Islander — |

Print race



Print race



1.3.3 Examples of Hispanic or Latino Origin and Race Test Questions - Face-to Face Administration

⑦ Interviewer hands respondent Flashcard 7 and asks

Are you Spanish, Hispanic, or Latino?

FLASHCARD 7

No Not Spanish, Hispanic, Latino

Yes Spanish, Hispanic, Latino
Includes Mexican, Mexican American, Chicano
Puerto Rican, Cuban, or other Spanish, Hispanic,
Latino

- ⑧ Interview hands respondent Flashcard 7 (above) and asks

Are you Spanish, Hispanic, or Latino?

If “Yes”, and respondent does not state detailed background, ask

Which one of these groups are you?

If respondent hesitates or does not answer, ask

Are you Mexican, Mexican American, Chicano, Puerto Rican, Cuban or of another Spanish, Hispanic, or Latino group?

NOTE: For Question 7, the objective is for interviewer to record Yes or No only.
For Question 8, the objective is for interviewer to record detailed Hispanic or Latino background for all respondents who answer Yes, of Hispanic or Latino origin.

- ⑨ Interviewer hands respondent Flashcard 9 and says

Please select one or more of the following categories to best describe your race.

FLASHCARD 9

White
Black or African American
American Indian or Alaska Native
Asian
Native Hawaiian or Other Pacific Islander

- ⑩ Interviewer hands respondent Flashcard 10 and says

Please select one or more of the following categories to best describe your race.

FLASHCARD 10

White

Black or African American

American Indian or Alaska Native

Asian

Asian Indian

Japanese

Chinese

Korean

Filipino

Vietnamese

Other Asian

Native Hawaiian or Pacific Islander

Native Hawaiian

Guamanian or Chamorro

Samoan

Other Pacific Islander

**1.3.4 Examples of Hispanic or Latino Origin and Race Test Questions -
Telephone Administration**

- ⑪ **Are you Spanish, Hispanic, or Latino?**

- ⑫ **Are you Spanish, Hispanic, or Latino?**

If “Yes”, ask

Which one of the following are you? Are you Mexican, Mexican American, Chicano, Puerto Rican, Cuban, or of another Spanish, Hispanic, or Latino group?

- ⑬ **I’m going to read a list of racial categories. Please select one or more to best describe your race. Are you White, Black or African American, American Indian or Alaska Native, Asian, or Native Hawaiian or Other Pacific Islander?**

1.4 Continuing Research on Self-Reported Race and Ethnicity Questions

Phase I will be completed by April 1, 1999. Phase II research will begin in Spring 1999 and conclude by July 31, 1999. Phase II will follow the same research design as Phase I but will be expanded geographically and will focus on testing with individuals who are Hispanic or Latino, American Indian or Alaska Native, Asian, Native Hawaiian and other Pacific Islander, and individuals with multiple racial heritage. In addition to research conducted by the committee, other studies could be initiated by agencies or interested groups. The committee expects to continue the review and modification of these guidelines as implementation occurs, feedback from agencies is received, and new research findings become available.

2. Development and Testing of Aggregate Reporting Forms

A second goal of this research is to provide guidance on the design of reporting forms that will be used by administrative personnel to aggregate race and Hispanic or Latino origin data for a given population (e.g., reporting race and ethnicity for a school population, a jail population, etc). Implementing the revised standards will cause some fundamental changes to the ways in which race and Hispanic or Latino origin data have previously been aggregated and reported.

In the past, agencies were required to report, at a minimum, the number of individuals who marked one of the four race categories, as well as the number of individuals who reported either Hispanic or Latino origin or not of Hispanic or Latino origin. A standard or prototype reporting form was not provided to Federal agencies. Rather, agencies developed their own forms depending on the characteristics of a given program and the data collection effort.

The October 30, 1997 revised standards specify that, at a minimum, the number of individuals who marked one of the five race categories and the number who marked more than one race category are to be reported and that the race of those indicating Hispanic or Latino origin be reported if available. In many cases, greater detail about the combinations of specific multiple race responses

will be needed. The following are some of the decisions issued in the revised standards that impact the design of aggregate reporting forms.

- When self-identification is not feasible or appropriate, a combined question can be used and should include a separate Hispanic or Latino category co-equal with the other categories.
- When the combined format is used, an attempt should be made to record ethnicity and race but the option to indicate only one category (i.e., Hispanic or Latino, with no race designation) is acceptable.
- When data are collected in a combined format and data on multiple responses are collapsed, the total number of respondents reporting 'Hispanic or Latino and one or more races' and the total number of respondents reporting 'more than one race' (regardless of ethnicity) shall be provided.
- When data on race and ethnicity are collected separately, provision shall be made to report the number of respondents in each racial category who are Hispanic or Latino.
- In addition to providing the number of people who marked one of the five racial categories, data producers are strongly encouraged to provide the detailed distributions of multiple responses. At a minimum, the total number of respondents reporting 'more than one race' shall be made available.

The committee's goal is to test different forms in order to offer guidelines to Federal agencies.

These guidelines should serve as a reference tool for agencies as they develop their own version of aggregate reporting forms based on agency data needs and program characteristics.

2.1 Research Design

The development of test forms has been a collaborative effort among the committee members, experts in questionnaire design and survey research, and policy and statistical analysts from the federal government who have been involved in the revision of standards for race and ethnicity data. In developing test forms, a decision was made to only use the minimum race categories specified in the revised standards. Thus, the forms only aggregate the numbers of American Indians or Alaska Natives, Asians, Blacks or African Americans, Native Hawaiians or Other Pacific Islanders, and Whites and do not aggregate subgroups such as Chinese, Japanese, Samoan, and so forth. However, any form could easily be extended in order to capture other subgroup data, and it is expected that agencies will develop forms that meet their specific data needs.

Phase I is still in progress. Twenty cognitive interviews, 10 in cognitive laboratories and 10 on-site at establishments and agencies, are planned for this phase of the research. To test the forms, the subjects need to be familiar with reporting aggregate data for a given population (e.g., total numbers of students by demographic characteristics) but not necessarily familiar with the revised standards. For Phase I, participants are recruited mostly through committee contacts with representatives in various Federal, state and local agencies as well as those in the private sector.

Three different forms have been developed for testing purposes. The committee recognized from the outset that many organizations collect and maintain data at the individual level that includes Hispanic or Latino co-equal with other race categories. However, the design of the forms was an attempt to see how subjects would approach the task of aggregating separate Hispanic or Latino counts with the expectation that in the future, agencies will gradually modify the ways in which individual race and Hispanic or Latino origin data are collected. A brief description of each form follows.

- Form RH-1 is designed to collect the specific reports of race and record these by the Hispanic or Latino origin responses. There are 31 reporting lines representing every combination of both single and multiple race responses for the five minimum race categories. Total numbers for each race group are then entered under one of three Hispanic or Latino origin status columns: Yes, of Hispanic or Latino origin; No, not of Hispanic or Latino origin; No Hispanic or Latino origin information provided. This form conceptualizes what an automated data collection format would include. It can easily be expanded or reduced depending on the specific race combinations listed.
- Form RH-2 has two parts. First, it asks for the aggregate number of individuals who reported each single race, the number of individuals who reported more than one race, and the number of individuals for whom race information is missing. Second, for records of individuals who reported more than one race, the form then asks for a count of the number of times each race was included in a multiple race response. These numbers are reported in one of three columns: Hispanic or Latinos, non-Hispanic or Latinos, or separate Hispanic or Latino origin question but with no answer given.
- Form RH-3 has two parts and is similar conceptually to RH-2. However, it is designed to report aggregated race data crosstabulated with other variables. RH-3A asks for the aggregate number of individuals who reported each single race and the aggregate number of individuals who reported more than one race crosstabulated by Hispanic or Latino origin and gender. RH-3B is completed only for records reporting more than one race. The number of times each race was indicated is then crosstabulated by Hispanic or Latino origin and gender.

2.2 Results

Expert panel: A panel comprised of questionnaire design specialists and experts well-versed in aggregate reporting by establishments was convened in July 1998 to discuss draft forms for testing. Results indicated that the test forms were too complicated and should be redesigned so that they

would be easy to complete with little or no instructions. There were many reformatting suggestions, such as trying to follow the step-by-step narrative approach used by Internal Revenue Service tax forms that guide a respondent in calculating and entering a numeric report. Also, several of the experts thought that a reporting form should be developed that allowed for the aggregation of Hispanic or Latino origin individuals co-equal with individuals reporting race information; this suggestion was based on the knowledge that current practice among many institutions is to collect individual race and ethnicity data using a combined format.

The feedback from the expert panel led to three significant changes in the test forms. First, one form was redesigned to allow for the aggregate reporting of every combination of multiple race responses (among the five minimum race categories). A second form was redesigned to capture single race responses, the total count of multiple race responses, and the number of times a racial group was reported within multiple race combinations. Using Asian reports as an example, the second form was designed to aggregate the total number of students who reported only Asian and the total number of students who reported Asian plus one or more other races. Third, a form was redesigned to provide a template for crosstabulating race reports with other demographic data.

Cognitive interviews: Fourteen interviews have been accomplished thus far, 7 in cognitive laboratories and 7 on-site. Of the 14 respondents interviewed, 5 were Federal government personnel, 6 worked in private industry, 2 worked in local correctional facilities, and 1 worked in a school. For the laboratory testing, subjects were given 'dummy' records of applications that contained multiple race responses as well as combined Hispanic or Latino origin and race questions. Dummy records were used in order to see how subjects would complete the forms based on different kinds of source data. Examples of the questions used in the dummy records are below followed by the results of testing each of the three forms. For testing conducted on-site, actual agency records were used. Attachment B contains the general interview protocol. Attachment D shows the progress to date by test condition.

Example 1 - Combined format used on dummy records

Race: Mark one or more

- | | |
|--------------------------------|--|
| 01 • White | 04 • American Indian or Alaska Native |
| 02 • Black or African American | 05 • Asian |
| 03 • Hispanic or Latino | 06 • Native Hawaiian or other Pacific Islander |

Example 2 - Two question format used on dummy records

- | | |
|--------------------------|--|
| 9. Are you | 10. Race: Mark one or more |
| Spanish/Hispanic/Latino? | 01 • White |
| 01 • Yes | 02 • Black or African American |
| 02 • No | 03 • American Indian or Alaska Native |
| | 04 • Asian |
| | 05 • Native Hawaiian or other Pacific Islander |

2.2.1 Form RH-1

This form has been tested with four subjects. There were no appreciable differences between the laboratory and on-site interviews other than the fact that the agency data used on-site was substantially different than the data elements for Form RH-1. While Form RH-1 is the easiest of the three forms to complete, the subjects demonstrated some difficulty grasping the concept of multiple race responses and said the form appeared complex when they first looked at it. Several subjects stated that a separate set of instructions on how to complete the form is needed. One subject reviewed the form and did not think it provided all the needed reporting categories because Hispanic was not listed as a race. Even though the subject noticed that there was an individual column for Hispanic individuals to be reported, he was confused because Hispanic was not listed among the rows with the other race groups.

Once subjects began to complete the form, they were able to adapt to its format and report numbers accurately in the correct rows. However, entering the correct number in the appropriate Hispanic column remained a problem. One subject stated “*Everything was pretty straightforward and I really didn’t have any difficulty filling out my employees...but why are there three Hispanic columns? Why is the focus there? It seems sort of arbitrary.*”

In particular, subjects seemed to have the most difficulty knowing where to report Hispanic individuals with no race information.

RH-1 form and instructions will be revised prior to further testing. The revised form will only have two Hispanic columns (Yes, of Hispanic or Latino origin; No, not of Hispanic or Latino origin) because subjects had a lot of difficulty discriminating between the column *Individuals who marked NO, Hispanic origin* and the column *Individuals who did not provide Hispanic origin information*.⁷ The revised RH-1 will also attempt to make clearer where to record individuals for whom no race information is available. Last, an improved set of instructions will be developed and tested. Following is a sample of part of the form that was tested followed by the test instructions.

⁷ RH-1 as well as RH-2 and RH-3 used Hispanic origin rather than Hispanic or Latino origin. This was an oversight that will be corrected in future testing.

FORM RH-1		Individuals who marked YES, Hispanic Origin	Individuals who marked NO, Hispanic Origin	Individuals who did NOT provide Hispanic Origin information
Individuals who marked <u>ONLY</u> <u>ONE</u> race	1 White			
	2 Black/African American			
	3 Asian			
	4 American Indian/Alaska Native			
	5 Native Hawaiian/Other Pacific Islander			
Individuals who marked <u>TWO</u> races	6 White + Black/African Am.			
	7 White + Asian			
	8 White + Am Indian/Alaska Nat.			
	9 White + Nat Hawaiian/OPI			
	10 Black/African Am + Asian			
	11 Black/African Am + Am Indian/Alaska			
	12 Black/African Am + Nat Hawaiian/OPI			
	13 Asian + Am Indian/Alaska Nat.			
	14 Asian + Nat Hawaiian/OPI			
Race missing	32 Individuals who DID NOT provide race information			
Total	33 Total population Sum of rows 1 through 32			

NOTE: Form RH-1 contains rows 15-31 which are rows for individuals who marked three, four, and five race groups. For space reasons, only the first third of the form is shown above.

RH-1 INSTRUCTIONS

When completing this form, please note:

1. We are requesting separate counts for individuals who mark only one race and for those who mark more than one race-- one race, two races, three races, etc.
2. For the purposes of this form, 'Hispanic' is an ethnic group and is not a race.
3. If you are entering information for individuals of Hispanic origin for whom no race data are available, please enter these individuals in your count on Line 32, 'Individuals who DID NOT provide race information' and Column (1) 'Individuals who marked YES, Hispanic origin'.
4. If you do not have any racial/ethnic information for individuals, or the information your organization has does not fit a racial/ethnic category, then please enter these individuals in your count in Row 32 'Individuals who DID NOT provide race information' and Column (3) 'Individuals who did not provide Hispanic Origin information'.

2.2.2 Form RH-2

Form RH-2 has been tested with eight subjects and has undergone several revisions. As found in Form RH-1, participants interviewed on-site as well as the laboratory subjects using a combined race/ethnicity dummy record were the most confused because the test form separates counts of Hispanics from counts of race groups which is not currently done at their agency or organization. With one exception, the subjects interviewed both in the laboratory and on-site were not experienced in manually aggregating data from individual source documents. Rather, they were familiar working with data already aggregated and contained in automated files, most of which include Hispanic as one of the race/ethnicity reporting categories. For example, one subject stated *"The only one I got confused and stumped on wasunder the multi-race count...It was hard for me not to treat Hispanic as a race category. I guess I've been trained and indoctrinated."* A second subject said *"It's basically asking how Hispanics were separated into groups of races. I think the part that confuses me is that our Hispanics do not view themselves as another race. And*

so that is kind of what threw me off... it's asking for Hispanics who had marked 'White,' but they don't. They would have checked Hispanic."

Whether in the laboratory or on-site, all subjects were confused at first by the second half of the form which requires the reporting of the number of times a race was marked among the multiple race responses. Below is a modified portion of the form that asks for these counts. As indicated in the RH-1 discussion, Form RH-2 will be revised prior to further testing.

FORM RH-2 REPORTING MULTIPLE RACES			
Count of TIMES each race was marked for individuals who marked MORE THAN 1 race	Hispanics	NON Hispanics	Separate Hispanic Origin Question with no answer given
Number of times WHITE was marked			
Number of times BLACK/AFRICAN AMERICAN was marked			
Number of times ASIAN was marked			
Number of times AMERICAN INDIAN/ ALASKA NATIVE was marked			
Number of times NATIVE HAWAIIAN / OTHER PACIFIC ISLANDER was marked			

2.2.3 Form RH-3

Two interviews were completed with Form RH-3. Neither subject completed the form accurately or seemed to understand its intent. This form allows for race information to be crosstabulated by other demographic information. The top portion of the form is shown below. The two subjects interviewed only had experience working with automated data and therefore, had no experience or knowledge of the tasks involved in manually aggregating responses. One subject, a Federal government EEO officer, stated that Hispanic is considered a race and she demonstrated difficulty in not knowing where to report Hispanic individuals as well as what to do for Hispanic or Latino individuals who also mark one race (*Should I count this as a multiple race count?*). The other subject did not understand the form at all and was only familiar with producing aggregate reports from automated data systems. Form RH-3 needs some additional testing before revisions can be made.

FORM RH-3A AGGREGATE REPORTING OF POPULATION BY RACE, HISPANIC ORIGIN AND GENDER									
Hispanic and Gender Characteristics		Individuals Who Marked Only ONE Race					Individuals Who Marked MORE THAN ONE Race	Individuals Who Did NOT Report Race	Total Pop
		White	Black/ African American	Asian	American Indian/ Alaska Native	Native Hawaiian/ Other Pacific			
Total Population									
Hispanic	Male								
	Female								
	Total								
Not Hispanic	Male								
	Female								
	Total								
No Hispanic Information	Male								
	Female								
	Total								

2.3 Methodological Problems

Based on the laboratory interviews, on-site visits, and discussions with many state and local government personnel and personnel working in private industry, several methodological problems regarding the development and testing of aggregate reporting forms were identified.

Differences between the format of the individual (source) data and the format of the aggregate form: One of the problems in trying to test a prototype form that would assist agencies in developing aggregate reporting methods is that the format of the individual data varies across programs, agencies, and organizations. To develop an aggregate reporting form, general questionnaire design principles would call for using the same or similar categories as those used for the individual data. For example, if the individual data uses a combined race/ethnicity question in which Hispanic or Latino is one of the response options, then one would expect to design an aggregate form that follows the source data convention. Through interviews and discussions with a variety of data reporters, members of the committee found that a combined race/ethnicity question has been used often and that a variety of terms and words are used to represent a race category. Thus, subjects have difficulty complying with the testing task because they are essentially being asked to reformat and redefine their data in order to complete the test form.

Regardless of whether an agency is using a combined question or whether an agency is using the terms set forth in the revised standards, the point here is that data reporters expect an aggregate form to be similar conceptually to individual records. Since the test forms were developed independent of what the individual records contain, the test forms were perceived as unsuitable for reporting agency race and ethnicity data.

To illustrate this problem, at one corrections center, the racial identification is made by the arresting officer and includes the categories: (1) Black, (2) White, (3) Oriental, (4) Indian, (5) Black Hispanic, (6) White Hispanic, (7) Oriental Hispanic, (8) Indian Hispanic, and (9) unknown. The information is made by observation, and it is unclear to what extent Hispanic information is assessed accurately. At a different corrections center in the same state, race and ethnicity data are automated

and keyed using two separate fields as follows: (B) Black, (A) Asian/Pacific Islander/Oriental, (I) American Indian/Alaska Native, (C) Caucasian, and (U) Unknown; in a separate field either (H) Hispanic is entered or the field is left blank. The data are obtained from a police officer who records it on an intake form which is then keyed at the time of entrance to the facility. The database at this facility allows for missing/unknown race information which the subject said accounts for roughly 10% of the facility population.

Neither one of these subjects worked easily with the test form because it was so different from their agency's individual source data and aggregate reports they have completed in the past.

Difficulties in performing a complicated manual task. A second testing problem was that only one subject was familiar with manual aggregating and reporting of race and ethnicity data. One of the committee's underlying assumptions was that if manual reporting forms were developed and tested, they could then easily be adapted to automated reporters. While this may be true, the testing process itself was strained because the individuals interviewed had considerable difficulty applying their data reporting process to manual completion of the test forms. Improving the instructions will partially reduce this problem but redesigning the forms is necessary too.

Visual appearance of the forms: The committee recognized that the forms look complicated. While it was thought that draft forms would suffice for testing purposes, the importance of the appearance and layout of the forms were underestimated. Prior to further testing, the forms will be redesigned to look more professional and reduce the initial perception of complexity.

Mix of laboratory and on-site tests: Conducting both laboratory and on-site visits is methodologically much more complicated than had been foreseen. Simply put, testing in the laboratory using dummy records is not similar enough to a like task at an agency level. This is because the laboratory subjects actually performed the task of categorizing and manually aggregating data in order to fill out the test form. On-site, however, the data the subjects worked with for testing were already aggregated and therefore, the task was substantially different and subjects could not simply disaggregate the data as needed to fill out the form. This problem can be partially remedied by developing different protocols for laboratory and on-site tests as well as

ensuring that the interview is conducted with a staff member who has access to the individual source data.

2.4 Guidelines for Aggregate Reporting of Race and Ethnicity Data

As referred to previously, the Standards for Maintaining, Collecting, and Presenting Federal Data on Race and Ethnicity issued on October 30, 1997 set forth principles that should be followed when aggregating race and ethnicity data for Federal reporting purposes. The committee tested three different reporting forms with the hopes of providing guidelines to agencies for the development of new reporting forms. None of the three forms as tested are recommended for use. However, results of the fourteen interviews suggest that minor revisions and improved formatting of Form RH-1 may work for agencies that collect each multiple race combination reported. The Phase II revision of Form RH-1, along with improved instructions, may also serve to help develop computer specifications for those who will be developing automated reporting systems.

For agencies that need a total number of multiple race responses followed by the number of times each race was reported, the concepts underlying Forms RH-2 and RH-3 will provide the data needed and may be worth pursuing. However, the current forms need substantial revision and, more importantly, considerable attention still needs to be paid to developing instructions that are easy to understand and will lead to accurate completion of the forms. A remaining problem that can only be overcome in time is the need for agencies to change the way individual data are collected. Redesign of the forms will not address the disconnect between the format of the individual data and the format of aggregate forms that meet the revised standards. A few general guidelines, though, can be offered at this point and should be considered by agencies as they move forward with implementing the revised standards.

Guideline 1: If possible, allow for the reporting of every combination of multiple race responses. A system that collects every multiple race combination along with Hispanic or Latino origin information will allow the maximum flexibility for an agency in further reporting and analysis. It would be expected that only automated systems could achieve this, unless the population sizes for a given agency or organization are small enough to allow manual record keeping and tabulations. Most of interviews thus far confirmed that for agencies that automate individual records, new combinations of multiple races could be incorporated into their current systems.⁸ Practically, though, modifying a reporting system to accept numerous combinations of race and ethnicity reports has several difficulties, most notably (1) the burden associated with reporting at least 62⁹ unique combinations of race and Hispanic or Latino origin crossed by other variables and (2) the issue of data suppression due to confidentiality and privacy concerns.

Guideline 2: Professionally design the form and include clear instructions.

Taking care to professionally design a reporting form may seem obvious but the need for this is heightened when the form is complex and appears difficult to complete. Many future respondents reporting race and ethnicity data will be working with new terms and concepts and therefore, may be more prone to error if instructions are not clear and completion of forms are not self-evident. In particular, instructions must address what the reporter should do if the individual data has been collected using a combined format.

Guideline 3: Provide definitions that assist in understanding the concepts of single race reports and multiple race reports as well as the distinction between ethnicity and race. These definitions might be able to be integrated into the instructions accompanying the form or on the form itself. Another option is to develop an information sheet that explains these and other relevant definitions.

⁸Contacts at establishments have stated that the costs of modifying their current automated systems may be high and that accommodating a reporting change would require a decision at senior management levels.

⁹The figure of 62 is based upon the possible combinations of 5 race categories and 2 ethnicity categories (Yes or No regarding Hispanic or Latino origin). The figure could be substantially higher if subgroups (e.g., Japanese, Samoan, Cuban, Puerto Rican, etc.) are used when collecting race and ethnicity data as well as combinations that account for missing race data and missing Hispanic or Latino origin data.

Guideline 4: Explain how the respondent should treat different kinds of missing data. One clear problem that emerged in the cognitive testing was that respondents were unsure how to handle missing data. Missing data can take a variety of forms (i.e, Hispanic is reported but race data is missing; race is reported but Hispanic information is missing) and each type should be addressed to avoid reporting errors.

2.5 Continuing Research on Aggregate Reporting of Race and Ethnicity

Phase I will be completed by April 1, 1999. Phase II will begin in the Spring 1999 and be completed by July 31, 1999. Its research design is currently being revised and may include further testing and refinement of forms and instructions. It may also include a more focused effort to conduct on-site visits with various agencies to better understand the reporting problems posed by aggregate reporting of race and ethnicity data. It is relevant to note that many of the problems identified in this research are not new and have been known and documented in the past. Phase II will concentrate on developing guidelines that will inform the reporting process, improve data quality, and assist data reporters in aggregating data containing multiple race responses.

3.0 Field Instructions and Training Procedures

Work to develop interview instructions and interviewer training procedures will begin in the Spring of 1999 and conclude on July 31, 1999. Different training modules and interviewer instructions depending on the mode of administration and the type of data collection will be developed and hopefully tested by organizations involved in data collection operations.

Work on field instruction and training will, in all likelihood, not address new issues or problems. For example, some household interviewers have for years been using flashcards for race questions and are experienced in helping a respondent understand response categories and so forth. However, since the revised standards do encompass several distinct changes, it seems timely to address in a more systematic way issues in the fielding of the questions, and ways that interviewers can be trained to improve data quality. Specific procedures on how to ask the questions and, in some

cases, how to instruct the respondent to use the flashcard will be developed as well as suggested interviewer probes, definitions, and statements that can be used to answer respondent questions. It is known from past surveys that at a minimum, guidance should be provided regarding the following:

- What should the interviewer say if the response is multiracial, biracial, or some other term or phrase without a specific race combination mentioned?
- What should the interviewer say if the response is Hispanic, Latino, or some other term indicating Hispanic or Latino origin?
- What are the general probes and/or definitions that interviewers should use for responses such as American, Swedish, Jewish, and so forth?
- What is the interviewer response to a refusal or a response of “other?”

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ATTACHMENT A Questionnaire and Cognitive Interview Protocol

Date: _____

Start time: _____

Interviewer: _____

From Scott's telephone screening, subject's race is _____ and Hispanic or Latino origin status is _____

This interview is for the condition marked below:

___	CONDITION 1	Hisp Short + Race Short	Telephone interview
___	CONDITION 2	Hisp Long + Race Short	Telephone interview
___	CONDITION 3	Hisp Short + Race Short	Face-to-face (Flashcards 1 and 3)
___	CONDITION 4	Hisp Long + Race Short	Face-to-face (Flashcards 2 and 3)
___	CONDITION 5	Hisp Long + Race Long/2 banks	Face-to-face (Flashcards 2 and 4)
___	CONDITION 6	Hisp Long + Race Long/3 banks	Face-to-face (Flashcards 2 and 5)

Before we begin, do you have any questions to ask of me?

(If yes, answer as neutrally as possible. If specific to questionnaire, tell respondent we will talk about this later).

Begin Interview - Modify wording as necessary if interview is conducted on telephone.

Okay, let's begin. Pretend you are at home and I've knocked on the door/telephoned you and asked you to participate in an interview. You agree and I begin the interview.

Q1. What are the names of all persons living here (in this house/apartment)? Start with the name of a person living here who owns or rents this house/apartment.

Person 1 _____	Person 4 _____
Person 2 _____	Person 5 _____
Person 3 _____	Person 6 _____

Q2. What is *(use name)* person #2's relationship to *(use name)* person #1?
What is *(use name)* person #3's relationship to *(use name)* person #1?, etc.
Enter relationship above next to name.

Q3. What is (your/ _____'s) date of birth? Ask for all household members.

Person 1 _____

Person 4 _____

Person 2 _____

Person 5 _____

Person 3 _____

Person 6 _____

Q4. What is (your/ _____'s) age in years? Ask for all household members.

Person 1 _____

Person 4 _____

Person 2 _____

Person 5 _____

Person 3 _____

Person 6 _____

Q5. Are you (is _____) now married, widowed, divorced, separated, or never married?
Only ask for subject and remaining adults in household.

Person 1 _____

Person 4 _____

Person 2 _____

Person 5 _____

Person 3 _____

Person 6 _____

PROBE: What does separated mean to you?

PROBE: Do you consider divorced and separated the same thing or different things?

Q6. What is (your/ _____'s) sex? Ask for all household members and mark M or F above in Q5.

Q7. What is the highest level of school (you/ _____) (have/has) completed or the highest degree (you/ _____) (have/has) received?

Person 1 _____

Person 4 _____

Person 2 _____

Person 5 _____

Person 3 _____

Person 6 _____

PROBE: Can you tell me what this question is asking?

PROBE: What does completed mean to you?

Q8. *Interviewer hands respondent Hispanic/Latino Flashcard.*
Are you Spanish, Hispanic, or Latino?

Be sure to record the verbatim response. Ask the probes after getting Hispanic origin for all household members.

Person 1 _____

Person 4 _____

Person 2 _____

Person 5 _____

Person 3 _____

Person 6 _____

PROBE: Can you tell me what this question is asking?

PROBE: What does Spanish, Hispanic, Latino mean to you?

PROBE: Do all three words mean the same thing or do they mean something different?

PROBE: When you looked at the flashcard, what did you think your answer was suppose to be?

PROBE: What does Puerto Rican mean to you? What does Cuban mean? Etc.

Q9. *Interviewer hands respondent Race Flashcard*

Please select one or more of the following categories to best describe your race.

Be sure to record the verbatim response in the order that the race(s) are named. Ask the probes after getting race for all household members.

Person 1 _____

Person 4 _____

Person 2 _____

Person 5 _____

Person 3 _____

Person 6 _____

PROBE: Can you tell me what this question is asking?

PROBE: What does the word race mean to you?

PROBE: Does Black or African American mean the same thing or do they mean something different? What do they mean to you?

PROBE: Does American Indian or Alaska Native mean the same thing or do they mean something different? What do they mean to you?

PROBE: What does Native Hawaiian and other Pacific Islander mean to you? Do they mean the same thing or do they mean something different?

PROBE: Do you notice anything unusual or different about the flashcard? Was the card easy

or hard to read?

PROBE: Show the subject the other two flashcards. Ask the subject what is the difference between each flashcard. Also ask whether the subject has a preference for one flashcard over another.

PROBE: Is there anything missing from the flashcard that you would have expected to see or were looking for?

DEBRIEFING QUESTIONS

1. You told me that you are (or other person is) _____(RACE). But are there any other races in your family background that might apply to you (other person)?
2. (If yes) What are those other races?
3. When you've completed forms or interviews which asked for (your /other person's) race, have you always answered with the same race, or has your answer been different?
4. If yes, Hispanic.....have you ever reported your race as Hispanic or Latino? Do you find race questions confusing or easy to answer?
5. Were there any questions in this interview that you think some people might find difficult? If so, which ones? Why?
6. Were there any questions in this interview that you think some people might find sensitive? If so, which ones? Why?
7. Is there anything in these questions that you think we should change?
What are those changes?

_____ Starting Time
_____ Interviewer

INTRODUCTION

Hello, my name is _____, and I work for _____. Today, we are asking for your help in testing a new form which asks for some general information about the people who work in your agency (organization, firm). We have found that the best way to design these forms is to try them out with a variety of people to see how easy or hard they are to complete.

What I would like you to do is first look at the form and tell me what you think it is asking you to do. There are no right or wrong answers but your first impression will help us understand how other people will interpret the purpose of the form. Then, I'd like you to try to fill it out without asking me to help you. After completing the form, I will ask you some questions about your answers, and you can also tell me more about what you like and don't like about the form.

Before we begin, do you have any questions to ask of me?

(If yes, answer as neutrally as possible. If specific to questionnaire, tell respondent we will talk about this later).

Okay. Here's the form. Please take a minute to look at it and then I'll ask you some questions.

1. Can you tell me in your own words what this form is asking you to do?
2. What is your general reaction to the form?
3. How easy or difficult is it to understand? Are you pretty sure you know what to do or are you confused?

Now before you try to fill it out, I have a task for you to do. After you are done with the task, you might have a better idea of how to complete the form.

NOTE: On-site establishment interviews are conducted using the organization's personnel data. Lab interviews are conducted by giving participants 100 "dummy" records.

Pretend that your school/organization gained 100 new students/employees during the year. You are responsible for reporting the race and Hispanic or Latino origin of those 100 students/employees to your boss. For example, your boss wants to know how many white were students/employees, how many black or African American students/employees, etc.

Now, I would like to let you know about some recent changes in OMB reporting requirements.

First of all, it is specified that respondents may select more than one race.

Second, the category Asian/Pacific Islander has been broken out into two categories: Asian and Native Hawaiian.

You may want to use these blank sheets as worksheets to extract the information from these records of your 100 new students/employees.

Now use these numbers to fill out the form. If you aren't sure what to do, try to guess rather than ask me a question. (make a note if you have a question) We can talk afterwards about what you are unsure of.

DEBRIEFING QUESTIONS

1. Now that you have worked with the form, can you tell me in your own words what the form asked you to do?
2. What question or questions does your agency ask to obtain race/ethnicity data from its students (clients)?
3. How well would this form work for report racial and ethnic data in your current data system?
4. How are your school's/company's race/ethnicity data broken out?
5. Do your records include multi-racial data? If yes, would you be able to categorize it in a way that you could complete this form?
6. How did you arrive at your numbers? Go over with me the parts of the form you completed and what you did to enter the number.
7. What does (racial group) mean to you?
8. What does the Hispanic or Latino instruction mean to you?
9. What does single race only on this form mean to you?
10. What does plus one or more other races on this form mean to you?

11. Were there any items on this form that you think some people might find difficult? If so, which ones? Why? (What makes them difficult?)
12. What about the amount of detail that this form asks for.... Do you think the form asks for enough detail? Do you think the form asks for too much detail?
13. What did you like about the form?
14. What did you dislike about the form?
15. Were there any questions that you find sensitive? If so, which ones?
16. Is there anything on this form that you think we should change? If yes, what are those changes?
17. How did you interpret the 'total population' boxes?
18. Did you have any records that you couldn't fit into one of the boxes? Where did you put people whom you couldn't fit into a category? How have you handled situations like this in the past?
19. How long would it take for you to gather the information to complete this form?
20. What did you think about the instructions? What should be changed?
21. Before today, were you aware of the Federal Government's recent revision to race and ethnicity standards and that multiple race responses are now acceptable in government surveys?

ATTACHMENT C: TESTING PLAN AND ACCOMPLISHMENTS AS OF JANUARY 11, 1999

SELF-REPORTED RACE AND HISPANIC ORIGIN QUESTIONS

Condition	Interview Mode	Hispanic Question	Race Question	Race and Ethnic Background of Subject's Mother and Father							Total
				American Indian	Asian	Black/Af. American	Native Haw/OPI	White	Hispanic ¹⁰	More than one race	
1	Telephone	Short	Short			2		2			4
2	Telephone	Long	Short			3					3
3	Face-to-face	Short	Short			2				1	3
4	Face-to-face	Long	Short				1	2			3
5	Face-to-face	Long	Long with two bank flashcard		1	3	1	2	1	1	9
6	Face-to-face	Long	Long with three bank flashcard		2	3		2	1	2	10
Total				0	3	13	2	8	2	4	32

¹⁰Both subjects who reported their parents as Hispanic reported their race as White.

ATTACHMENT D: TESTING PLAN AND ACCOMPLISHMENTS AS OF JANUARY 11, 1999

AGGREGATE REPORTING FORMS

Condition	Laboratory Interviews	On-site establishment interviews	Total
RH-1 Every combination	2	2	4
RH-2 Counts of population and times of multiple race responses	4	4	8
RH-3 Crosstabulated counts of population and times of multiple race responses	1	1	2
Total	7	7	14

Census 2000 Dress Rehearsal Prototype Redistricting Data

Under the provisions of Public Law (PL) 94-171, the Census Bureau is required to work closely with state legislatures and governors to design special decennial census data tabulations that will meet the states' needs for census information for legislative redistricting. Since the enactment of PL 94-171 in 1975, the states have requested the Census Bureau to include in the PL Redistricting Data products a breakdown by race, Hispanic origin, and voting age to enable them to comply with provisions of the 1965 Voting Rights Act (as amended) and the court decisions on "one-person/one-vote."

During the past several months, the Census Bureau has designed the tabulations that will be produced from the 1998 Dress Rehearsal to simulate the information that will be produced from the 2000 census to satisfy these redistricting data needs of state legislatures in compliance with Public Law 94-171.

In November 1997 and April 1998 Census Bureau officials met with the Redistricting Task Force of the National Conference of State Legislatures (NCSL) and reviewed the then-proposed Dress Rehearsal PL 94-171 Redistricting Data file that would include 63 racial categories (cross-classified by voting age and by "Not Hispanic or Latino") for each census block, state-specified voting district, census tract, place, county, etc. The resulting product, identified as the "PL 63 Matrix," would contain over 260 data items for each geographic area (e.g., county, election precinct, census block).

State legislative officials expressed concern about the prospect of having to create state redistricting data bases and process many scores of alternative redistricting plans using the resulting 260-plus data cells for each of tens of thousands of census blocks in a state (7-8 million nationally). Also, the Census Bureau and some of its advisors had concerns about confidentiality issues surrounding presenting such detailed information for such small geographic areas.

Responding to this concern, Census Bureau staff met with members of the Voting Rights Section of the Civil Rights Division, U.S. Department of Justice, in June 1998, to review the census data state and local officials would need to comply with the Section 2 and Section 5 ("pre-clearance") provisions of the Voting Rights Act as they redistrict after the 2000 census. As a result of those discussions, the Census Bureau developed -- as an alternative to the "PL 63 Matrix" -- a smaller tabulation containing only 20 racial categories, called the "PL 20 Matrix" (copy attached).

This PL 20 Matrix provides flexibility to allow redistricting officials and others to use "single-race" totals or the "all-inclusive" totals of those persons who report one or more racial categories (i.e., alone or in combination with one or more other races) in redistricting. The Voting Rights Section reviewed this smaller PL 20 Matrix, and in late July, the Census Bureau consulted with the Justice officials to confirm they had no suggested changes to the census information needs associated for Sections 2 and 5 of the Voting Rights Act. In late July 1998, the Census Bureau presented the PL

20 Matrix to the NCSL Redistricting Task Force and provided it to the Census 2000 Redistricting Data Program Liaisons, appointed by each state. The Task Force and the Liaisons have indicated that this smaller matrix is appropriate for their needs and avoids the extensive processing requirements associated with the PL 63 Matrix.

To meet the processing deadlines for the Dress Rehearsal, the Census Bureau proceeded with the programming so that it could produce the Census Dress Rehearsal Redistricting Data no later than April 1, 1999. Please note that if the analysis of the Dress Rehearsal results would so indicate, the design of the PL 94-171 data could be modified for the 2000 census. The Census Bureau expects that the Dress Rehearsal PL 94-171 Redistricting Data will be available (on CD-ROM and the Internet) in early 1999 and no later than April 1, 1999. The Census Bureau will provide copies of the CD-ROM to state officials and other users, asking that users work with these actual redistricting data and provide comments to the Census Bureau for its use in finalizing the design of the 2000 census PL Redistricting Data products.

2000 CENSUS DRESS REHEARSAL
Public Law 94-171 SUMMARY FILE MATRICES
(As of 11/19/98)

- P1. PERSONS [1]
Universe: *Persons*
Total
- P2. PERSONS [1]
Universe: *Persons 18 years and over*
Total
- P3. RACE [7]
Universe: *Persons*
White alone
Black or African American alone
American Indian and Alaska Native alone
Asian alone
Native Hawaiian and Other Pacific Islander alone
Some other race alone
Two or more races
- P4. RACE [7]
Universe: *Persons 18 years and over*
White alone
Black or African American alone
American Indian and Alaska Native alone
Asian alone
Native Hawaiian and Other Pacific Islander alone
Some other race alone
Two or more races
- P5. HISPANIC OR LATINO AND RACE [8]
Universe: *Persons*
Hispanic or Latino
Not Hispanic or Latino:
 White alone
 Black or African American alone
 American Indian and Alaska Native alone
 Asian alone
 Native Hawaiian and Other Pacific Islander alone
 Some other race alone
 Two or more races
- P6. HISPANIC OR LATINO AND RACE [8]
Universe: *Persons 18 years and over*
Hispanic or Latino
Not Hispanic or Latino:
 White alone
 Black or African American alone
 American Indian and Alaska Native alone

Asian alone
Native Hawaiian and Other Pacific Islander alone
Some other race alone
Two or more races

- P7. RACE [2]
Universe: Persons
White alone or in combination with one or more other races
Not White alone or in combination with one or more other races
- P8. RACE [2]
Universe: Persons 18 years and over
White alone or in combination with one or more other races
Not White alone or in combination with one or more other races
- P9. HISPANIC OR LATINO AND RACE [3]
Universe: Persons
Hispanic or Latino
Not Hispanic or Latino:
White alone or in combination with one or more other races
Not White alone or in combination with one or more other races
- P10. HISPANIC OR LATINO AND RACE [3]
Universe: Persons 18 years and over
Hispanic or Latino
Not Hispanic or Latino:
White alone or in combination with one or more other races
Not White alone or in combination with one or more other races
- P11. RACE [2]
Universe: Persons
Black or African American alone or in combination with one or more other races
Not Black or African American alone or in combination with one or more other races
- P12. RACE [2]
Universe: Persons 18 years and over
Black or African American alone or in combination with one or more other races
Not Black or African American alone or in combination with one or more other races
- P13. HISPANIC OR LATINO AND RACE [3]
Universe: Persons
Hispanic or Latino
Not Hispanic or Latino:
Black or African American alone or in combination with one or more other races
Not Black or African American alone or in combination with one or more other races
- P14. HISPANIC OR LATINO AND RACE [3]
Universe: Persons 18 years and over
Hispanic or Latino
Not Hispanic or Latino:
Black or African American alone or in combination with one or more other races
Not Black or African American alone or in combination with one or more other races

- P15. RACE [2]
Universe: Persons
 American Indian and Alaska Native alone or in combination with one or more other races
 Not American Indian and Alaska Native alone or in combination with one or more other races
- P16. RACE [2]
Universe: Persons 18 years and over
 American Indian and Alaska Native alone or in combination with one or more other races
 Not American Indian and Alaska Native alone or in combination with one or more other races
- P17. HISPANIC OR LATINO AND RACE [3]
Universe: Persons
 Hispanic or Latino
 Not Hispanic or Latino:
 American Indian and Alaska Native alone or in combination with one or more other races
 Not American Indian and Alaska Native alone or in combination with one or more other
 races
- P18. HISPANIC OR LATINO AND RACE [3]
Universe: Persons 18 years and over
 Hispanic or Latino
 Not Hispanic or Latino:
 American Indian and Alaska Native alone or in combination with one or more other races
 Not American Indian and Alaska Native alone or in combination with one or more other
 races
- P19. RACE [2]
Universe: Persons
 Asian alone or in combination with one or more other races
 Not Asian alone or in combination with one or more other races
- P20. RACE [2]
Universe: Persons 18 years and over
 Asian alone or in combination with one or more other races
 Not Asian alone or in combination with one or more other races
- P21. HISPANIC OR LATINO AND RACE [3]
Universe: Persons
 Hispanic or Latino
 Not Hispanic or Latino:
 Asian alone or in combination with one or more other races
 Not Asian alone or in combination with one or more other races
- P22. HISPANIC OR LATINO AND RACE [3]
Universe: Persons 18 years and over
 Hispanic or Latino
 Not Hispanic or Latino:
 Asian alone or in combination with one or more other races
 Not Asian alone or in combination with one or more other races
- P23. RACE [2]
Universe: Persons
 Native Hawaiian and Other Pacific Islander alone or in combination with one or more other races

- Not Native Hawaiian and Other Pacific Islander alone or in combination with one or more other
races
- P24. RACE [2]
Universe: Persons 18 years and over
 Native Hawaiian and Other Pacific Islander alone or in combination with one or more other races
 Not Native Hawaiian and Other Pacific Islander alone or in combination with one or more other
 races
- P25. HISPANIC OR LATINO AND RACE [3]
Universe: Persons
 Hispanic or Latino
 Not Hispanic or Latino:
 Native Hawaiian and Other Pacific Islander alone or in combination with one or more
 other races
 Not Native Hawaiian and Other Pacific Islander alone or in combination with one or
 more other races
- P26. HISPANIC OR LATINO AND RACE [3]
Universe: Persons 18 years and over
 Hispanic or Latino
 Not Hispanic or Latino:
 Native Hawaiian and Other Pacific Islander alone or in combination with one or more
 other races
 Not Native Hawaiian and Other Pacific Islander alone or in combination with one or
 more other races
- P27. RACE [2]
Universe: Persons
 Some other race alone or in combination with one or more other races
 Not Some other race alone or in combination with one or more other races
- P28. RACE [2]
Universe: Persons 18 years and over
 Some other race alone or in combination with one or more other races
 Not Some other race alone or in combination with one or more other races
- P29. HISPANIC OR LATINO AND RACE [3]
Universe: Persons
 Hispanic or Latino
 Not Hispanic or Latino:
 Some other race alone or in combination with one or more other races
 Not Some other race alone or in combination with one or more other races
- P30. HISPANIC OR LATINO AND RACE [3]
Universe: Persons 18 years and over
 Hispanic or Latino
 Not Hispanic or Latino:
 Some other race alone or in combination with one or more other races
 Not Some other race alone or in combination with one or more other races
- P31. RACE [2]
Universe: Persons

One race
Two or more races

- P32. RACE [2]
Universe: Persons 18 years and over
One race
Two or more races
- P33. HISPANIC OR LATINO AND RACE [3]
Universe: Persons
Hispanic or Latino
Not Hispanic or Latino:
One race
Two or more races
- P34. HISPANIC OR LATINO AND RACE [3]
Universe: Persons 18 years and over
Hispanic or Latino
Not Hispanic or Latino:
One race
Two or more races
- P35. HISPANIC OR LATINO [2]
Universe: Persons
Hispanic or Latino
Not Hispanic or Latino
- P36. HISPANIC OR LATINO [2]
Universe: Persons 18 years and over
Hispanic or Latino
Not Hispanic or Latino

The Bridge Report: Tabulation Options for Trend Analysis

I. Introduction

A. Scope and Focus

To permit meaningful comparisons of data collected under the previous standards with data that will be collected under the 1997 standards, some agencies may need procedures for bridging to the past. Because Federal data are used to measure change over time, these kinds of data comparisons are critical to disentangle real changes in economic, social, and health conditions from changes resulting from the new data collection methods. The purpose of this report is to discuss different options for tabulating racial data in order to create bridges from data collected under the new standards, which have five racial categories and permit the reporting of more than one race, back to the previous four racial categories. An “Other” category appears in much of the analysis, because it is included in the decennial census.

The contents of this report represent the work of a group of statistical and policy analysts drawn from Federal statistical agencies that use and produce data on race and ethnicity. They have spent the past year considering these tabulation issues and conducting research to develop tabulation guidelines for constructing “bridges” between racial data collected under the new standards and racial data collected under the old standards. This report sets forth criteria by which different bridging methods should be evaluated and describes the different methods that have been considered thus far. The results of the research conducted on several methods for creating bridges are also presented. All of these methods (and the research on them reported here) involve the use of individual-level records, because altering aggregate data would not allow for the cross-tabulation of race with variables measuring social, economic, and health outcomes. Analysis is limited to data collected using separate questions for race and Hispanic origin. Under the new standards, when reporting is based on self-identification, the two-question format is to be used; even in the case of observer identification, this is the preferred format. However, it is expected that some users will bridge to a distribution created using a combined race and ethnicity question. Thus, bridging both to the old racial distribution resulting from the use of two questions and one based on a combined question are analyzed. At this time, the analysis of bridging to the combined distribution has not been completed, but those results will be included in the report when they become available. Based on the research, the strengths and weaknesses of each tabulation method are discussed. Until all the analysis has been completed, however, recommendations will not be made.

B. Organization of the Report

The next section of this report describes the nine criteria used to evaluate the different tabulation procedures considered for possible use in bridging to racial data collected under the old standards. The third section is a description of the different bridge methods considered. The fourth section provides an overview of the methodologies used in data analysis. The fifth section details the

results of previous research on this topic. The sixth section presents results from new statistical analyses conducted on actual and simulated data to evaluate the different methods. The seventh section evaluates the different tabulation procedures based on using the criteria, in conjunction with the results from both old and new research.

II. Criteria for Evaluation

The interagency expert group on tabulations generated criteria that could be used both to evaluate the technical merits of different bridging procedures (See Part V and Appendix D) and to display data under the new standards. The relative importance of each criterion will depend on the purpose for which the data are intended to be used. For example, in the case of bridging to the past, the most important criterion is “measuring change over time,” while “congruence with respect to respondent’s choice” will be more critical for presenting data under the new standards.

The criteria set forth below are designed only to assess the technical adequacy of the various statistical procedures. The first two criteria listed below are central to consideration of bridging methods. The next six criteria apply both to bridging and long-term tabulation decisions. The last criterion is of primary importance for future tabulations of data collected under the new standards.

Bridging:

Measure change over time This is the most important criterion for bridging, because the major purpose of any historical bridge will be to measure true change over time as distinct from methodologically induced change. The ideal bridging method, under this criterion, would be one that matches how the respondent would have responded under the old standards had that been possible. In this ideal situation, differences between the new distribution and the old distribution would reflect true change in the distribution itself.

Minimize disruptions to the single race distribution This criterion applies only to methods for bridging. Its purpose is to consider how different the resulting bridge distribution is from the single-race distribution for detailed race under the new standards. To the extent that a bridging method can meet the other criteria and still not differ substantially from the single-race proportion in the ongoing distribution, it will facilitate looking both forward and backward in time.

Bridging and future tabulations:

Range of applicability. Because the purpose of the guidelines is to foster consistency across agencies in tabulating racial and ethnic data, tabulation procedures that can be used in a wide range of programs and varied contexts are usually preferable to those that have more limited applicability.

Meet confidentiality and reliability standards It is essential that the tabulations maintain the confidentiality standards of the statistical organization while producing reliable estimates.

Statistically defensible. Because tabulations may be published by statistical agencies and/or provided in public use data, the recommended tabulation procedures should follow recognized statistical practices.

Ease of use. Because the tabulation procedures are likely to be used in a wide variety of situations by many different people, it is important that they can be implemented with a minimum of operational difficulty. Thus, the tabulation procedures must be capable of being easily replicated by others.

Skill required. Similarly, it is important that the tabulation procedures can be implemented by individuals with relatively little statistical knowledge.

Understandability and communicability. Again, because the tabulation procedures will likely be used, as well as presented, in a wide variety of situations by many different people, it is important that they be easily explainable to the public.

Future tabulations:

Congruence with respondent's choice Because of changes in the categories and the respondent instructions accompanying the question on race (allowing more than one category to be selected), the underlying logic of the tabulation procedures must reflect to the greatest extent possible the full detail of race reporting. The bridging methods are meant to simulate how respondents would have identified under the old standards using as much of the new information as possible.

III. Methods for Bridging

The goal of developing bridging methodology for data on race is to identify a statistical model that will take individuals' responses to the new questions on race and classify those responses as closely as possible to the responses we hypothesize they would have given using the old single race categories. Such a task will be relatively easy or be more difficult depending on how an individual identifies himself or herself under the new standards. For bridging purposes, individuals with only a single racial background are likely to identify as they did before, and no statistical model is needed for bridging. However, those with a mixed racial heritage who were previously required to identify only one part of their background may, under the new standards, choose to identify all of their racial heritages. When a person identifies with more than one racial group, some model will be necessary to translate those multiple responses into the one, single response that we hypothesize that the individual most likely would have reported under the old standards.

A. Framework

Several different methods have been identified for creating a single race distribution from data including multiple race responses. These methods vary in both the assumptions that are made and the procedures that are followed. Before describing the particular methods examined in this report,

it is useful to describe some of their major underlying characteristics.

One major distinction among the methods is whether an individual's responses are assigned to a single racial category (termed whole assignment in Table 1) or to multiple categories (termed fractional assignment). Whole assignment can be based on a set of deterministic rules or based on some probabilistic distribution. For example, a deterministic rule might assign all White and American Indian responses into the American Indian category, while a probabilistic rule might randomly assign 60 percent White and American Indian responses into the American Indian category, and 40 percent into the White category. In the above example, it is unlikely that all individuals identifying as White and American Indian under the new standards would have previously identified as American Indian, so the deterministic rule will result in misclassifications for all those people who had previously identified as White. With a probabilistic rule, an individual's responses are randomly assigned to either the American Indian category or the White category (such as with 60 percent and 40 percent probabilities, respectively, based on previously collected data). However, even if the overall probabilities matched exactly the aggregate distribution under the old standards, there is no guarantee that the 40 percent who were categorized as White would have classified themselves that way. In fact, in the worst case, all 40 percent who were classified as White would actually have identified as American Indian under the old standards, and a corresponding percentage of those categorized as American Indian would have identified as White.

When fractional assignment is used, multiple race responses are categorized into more than one category where each category receives a fraction of a count, and the sum of the fractions equals one. In the above examples of whole assignment, a person's responses were placed into one and only one category, in an attempt to mimic the past. An alternative is to use a deterministic rule to assign some fraction of the multiple race response to each of the racial categories identified. For example, a multiple response of White and American Indian might count as "one-half" in the tabulations for American Indians and "one-half" in the tabulations for Whites. These fractions, like the probabilities in the earlier example, could be varied for different combinations of multiple races to attempt to reflect how often people might identify with one group compared to another.

In summary, these methods differ in terms of whether they are deterministic or probabilistic and multiple race responses are assigned wholly to one category or fractionally to all the categories identified. Table 1 provides an overview of this framework. Specific methods will be considered within each of the cells except the Probabilistic/Fractional Assignment method because the alternatives are unnecessarily complex and do not improve upon the alternatives in the other cells.

There are inherent strengths and weaknesses in each of these tabulation approaches. Furthermore, it is important to note that all of these methods are simplistic compared with the human behavior they are seeking to emulate, and at best, any method will only be able to reflect roughly what is sought in an historical bridge.

B. Bridge Tabulation Methods

All of the bridge tabulation methods focus on the assignment of the responses from individuals who

identify with more than one racial group. Responses from individuals who identify with only a single racial group under the new standards are assumed to have been the same under the old standards. The response “Native Hawaiian or Pacific Islander” is assigned to the old racial category of “Asian or Pacific Islander.” The specific methods for assigning multiple race responses into single race categories are Deterministic Whole Assignment, Deterministic Fractional Assignment, and Probabilistic Whole Assignment.

Two sets of results from each of the following tabulation methods are produced. The first set ignores the use of any auxiliary information other than that needed to carry out the particular tabulation method. The other set of results for each method uses the one piece of information that is certain to be common to all data collections done following the new standards, that is, ethnicity. Thus, whether or not an individual is Hispanic is taken into account when a tabulation method is used.

Deterministic whole assignment. These methods use fixed, deterministic rules for assigning multiple responses back to one and only one of the racial categories from the old standards. Four alternatives are examined. The first (Smallest Group) assigns responses that include White and another group to the other group, but responses with two or more racial groups other than White are assigned into the group with the fewest number of individuals identifying that group as a single race. The second alternative (Largest Group Other Than White) assigns responses that include White with some other racial group, to the other group, but responses with two or more racial groups other than White are assigned into the group with the highest single-race count. The third alternative (Largest Group) assigns responses with two or more racial groups into the group with the largest number of individuals as a single race. In this latter case, any combination with White is assigned to the White category, and combinations that do not include White are assigned to the group with the largest single-race count. The fourth alternative (Plurality) assigns responses based on data from the National Health Interview Survey (NHIS). The NHIS has permitted respondents to select more than one race for a number of years, with only the first two responses captured. However, respondents reporting more than one race were given follow-up question asking them for the one race with which they most closely identify (see section VI.A.1 for a detailed description of the NHIS data). For these respondents, the proportion choosing each of the two possibilities as their main race was calculated. All responses in a particular multiple-race category using the Plurality method are assigned to the race group with the highest proportion of responses on the follow-up question about main race.

Deterministic fractional assignment. These methods use fixed, deterministic rules for fractional weighting of multiple-race responses, that is, assigning a fraction to each one of the individual racial categories that are identified. These fractions must sum to 1. Two alternatives are examined. The first (Deterministic Equal Fractions) assigns each of the multiple responses in equal fractions to each racial group identified. Thus, responses with two racial groups are assigned half to each group; those with three groups are assigned one-third to each, etc. The second alternative (Deterministic NHIS Fractions) assigns responses by fractions to each racial group identified, with the fractions drawn from empirical results from the NHIS (as described above).

Probabilistic whole assignment. These methods use probabilistic rules for assigning multiple race responses back to one and only one of the previous racial categories. Two alternatives are examined. These parallel the two alternatives discussed under Deterministic Fractional Assignment, except that, for a given set of fractions, the response is assigned to only one racial category. The fractions specify the probabilities used to select a particular category. The first alternative uses equal selection probabilities. The second uses the NHIS fractions where possible, and equal fractions when no information is available from NHIS. Probabilistic Whole Assignment will yield nearly, on average, the same population counts as Deterministic Fractional Assignment. **Only the results from Deterministic Fractional Assignment are presented in this report** In practice, there would be a difference between Deterministic Fractional Assignment and Probabilistic Whole Assignment when computing variances for tabulated estimates, and the two methods will yield relatively small differences in distributions for respondent characteristics. In general, Probabilistic Whole Assignment would yield a higher estimated variance than the Deterministic Fractional approach, with the variances for both methods underestimating the true variance. Probabilistic methods which incorporate a “Multiple Imputation” statistical technique would result in an unbiased estimate of variance, but at the price of being more difficult to implement (See Rubin 1987.).

Another probabilistic whole assignment method that is not examined but could be considered is a hot deck imputation method. This procedure is often used in surveys to provide data on responses to survey items where responses are missing. For purposes of bridging, a hot deck procedure would find the “nearest neighbor” on a number of demographic dimensions for a person who identified more than one racial group. The person would then be assigned into one of the racial categories that he or she had reported based on the single racial group reported by the nearest neighbor.

C. Detailed Race Distributions

In addition to the results from applying the historical bridge tabulation methods, the “detailed” race distributions are presented. This information gives the percentage of individuals identifying with a single race or with specific multiple-race combinations. Excluding the “other” category, there are 31 categories in the detailed distribution, including 5 single race groups, 10 two-race combinations, 10 three-race combinations, 5 four-race combinations, and 1 five-race combination. The percentage of respondents identifying with a single race represents the lower bound for the counts in the separate race categories.

The percentages of the total number of respondents who identified with each racial group also are presented regardless of whether they also identified with any other group. Thus, those who selected more than one race group are included in each group they selected, and each percentage represents the percent of the population who marked that given racial group. The sum of these percentages, in the presence of multiple race reporting, totals more than 100 percent. This distribution serves both as a point of comparison to the bridge methods and as an alternative to the complete distribution described above, and it gives an upper bound on the percentage of individuals who might have identified with any one of the racial groups under the old standards. This distribution is referred to as the “All Inclusive” distribution.

IV. Methods of Evaluation

A. Review of Previous Research

A significant amount of research was completed during 1995 and 1996 to inform decisions concerning proposed changes to the standards for data on race and ethnicity. The May 1995 Current Population Survey (CPS) Supplement on Race and Ethnicity provided detailed information concerning alternative ways of collecting data about racial and ethnic background. The results from the National Content Survey (NCS) conducted by the Bureau of the Census in 1996 yielded similar information. The CPS, however, also included racial information from the same respondents gathered in a previous data collection using the racial categories from the old standards. In addition, data available from the Racial and Ethnic Targeted Test (RAETT) reported by the Census Bureau in 1997 provides distributions from the reporting of race and ethnicity under the new standards for selected population groups. The National Health Interview Survey (NHIS) also contains information about multiple race reporting. As described above, the NHIS asks respondents to select all racial groups with which they identify, and those individuals reporting more than one race are asked to indicate their primary race. A re-examination of these data sets will provide a good background for the additional research needed on bridging. See OMB (1997) for a description of these surveys and their results.

B. Data Sources for Additional Research

Only a limited number of data sources are available for evaluating the methods of creating bridges. None of the currently available, nationally-representative data sets mimic exactly the way the question on race will be asked under the new standards. Yet, some of the current data can offer insights into the relationship between how individuals will actually respond to the new question on race and how they responded to the question under the old standards.

Both the NHIS and the CPS Supplement data sets are useful for this purpose. Actually, the CPS Supplement can be used to evaluate the effects of the different tabulation methods for both the two-question format and a combined race and ethnicity question (to be presented in a later version). Data recently collected by the state of Washington will serve as an example for evaluating the tabulation methods at the sub-national level, and its race question most closely resembles that which will be used under the new standards. Simulations using 1990 census data also were conducted, but the results differed little from those for the other data sets. At this point, it is believed that an analysis of data from the 1998 census dress rehearsal would be of greater utility. Furthermore, the dress rehearsal data will provide other examples of the effects of the new standards at the local level. Thus, this analysis will be included in a later version of this paper.

C. Description of New Analysis

The analyses concentrated on the bridge tabulation methods. These analyses can be divided into three broad areas: (1) descriptions of racial distributions under the tabulation methods; (2) rates of

racial misclassification for the tabulation methods; and, (3) sensitivity of outcome measures to tabulation alternatives.

Distribution of Race. For the first part of the analysis (using the NHIS, the CPS Supplement, and the data from Washington State), the distributions of race under the allocation alternatives described previously were calculated: All Inclusive, Deterministic Whole Allocation (Smallest Group, Largest Group Other Than White, Largest Group, and Plurality) and Fractional Allocation (Equal Fractions and NHIS Fractions). At this time, it is unknown what percentage of people in the United States will identify with more than one racial group when given the opportunity to do so in Census 2000 census and in subsequent surveys. For purposes of illustrating the effects of a greater proportion of individuals identifying multiple racial backgrounds, analyses were conducted increasing the proportion of multiple race responses two-, four-, six- and eight-fold using the NHIS, the CPS Supplement, and the Washington State micro data sources. The racial distributions were compared using each of the tabulation methods to see effects with increasing levels of multiple race reporting. Of necessity, these tabulations assume that the increases are the same across the different combinations of more than one race. The accuracy of this assumption cannot be tested. The purpose of these analyses is not to attempt to make accurate predictions about the extent of multiple race reporting or its composition, but rather to see more clearly possible differences among tabulation methods that may only become apparent with a greater percentage of multiple race reporting.

In all three data sets, overall goodness-of-fit statistics were calculated to compare the match between the distribution from each bridge tabulation method and the appropriate reference distribution in each data set (representing the distribution under the old standards). The goodness-of-fit measure was a multiple of the standard Likelihood Ratio G^2 statistic used in categorical analysis (Agresti 1990), with the “true” or reference distribution playing the role of the “Expected” and the distribution of each of the tabulation methods playing the role of the “Observed.” Small values of the goodness-of-fit measure indicate that the distributions are close, and large values indicate that the distributions are not close. Significance tests at the .10 level also were calculated for all pair-wise comparisons of the percentage in a particular racial category from the reference distribution to the percentage falling in the same category under each of the tabulation methods. These tests take into account both the fact that multiple comparisons are being made and the effects of complex sampling designs.

Misclassification of Race. Besides evaluating the overall racial distributions produced by the tabulation methods, the misclassification of individuals also needs to be examined. For the NHIS, the CPS Supplement, and the Washington State survey, these misclassification rates were formed by comparing an individual’s answer to the race question under the old standards to the assigned category of the individual’s response(s) to the race question under the new standards using each of the tabulation methods. For the purpose of estimating these rates for the whole population, those selecting a single race with the new question were included. The misclassification rate and its standard error for each race by tabulation method were produced.

Preliminary Outcomes Assessment. In the last part of the analysis, the impact of multiple-race reporting on outcome measures is assessed. This is important because users in many of the Federal agencies are not typically examining race distributions, but rather trends and indicators for the Nation (e.g., health outcomes, economic well-being, educational attainment) across racial groups. This is where the majority of work will need to be done within individual agencies as the new standards are implemented. An initial examination of how common statistics could be affected by multiple race reporting is presented here. Five outcome measures were examined, three from the NHIS and two from the CPS Supplement. From the NHIS, three routine health outcomes were calculated: percent of respondents in poor or fair health, percent of children living with a single mother, and percent of respondents with no health insurance. From the CPS Supplement, the proportion of respondents who were unemployed and the labor force participation rates for different racial groups were calculated. These measures are not meant to be precise estimates of these factors, but are used to demonstrate the possible impact multiple-race reporting, and the tabulation methods, may have on these and similar estimates.

V. Findings from Previous Research

In order to evaluate tabulation methodologies for bridging to the past, the magnitude of the problem first must be considered. Currently the proportion of the population reporting more than one race is quite small. Between 1 and 2 percent of the total population identified with multiple races in both the CPS Supplement and the NCS. These numbers coincide with recent data from the longitudinal series collected in the NHIS. These estimates, however, may not match the results from the new standards for two reasons. In light of the greater publicity this issue has received in recent months, a heightened awareness of multiple heritages could lead a higher proportion of the population to select more than one race. Moreover, some of the estimates were based on question formats that differ from what the new standards require. Both in the CPS Supplement and in the NCS, respondents were asked to select only one category from a list including a “multiracial” category and did not have the option of choosing one or more races from a list of single races. The results from the RAETT, in which the multiple response option was compared to the use of a multiracial category in targeted populations, indicated that the “multiracial” category (when “select one or more” was the instruction) had a greater effect among Asians and Pacific Islanders than did the multiple response option. Unfortunately, the multiple response option was not tested with the Alaska Native targeted sample, where the proportion selecting the “multiracial” category was the largest compared to the other samples.

Even if the portion of the total population marking more than one race is small, the proportions of some population groups doing so can be quite large and variable. Table 2 shows the racial distribution and the percentage of respondents who selected more than one race for each of the targeted samples in the RAETT. The percentages for the groups other than Whites and Blacks are fairly large, especially in the Asian and Pacific Islander targeted sample. Those classified as American Indian or Alaska Native (AIAN) under the old standards were the respondents most likely to choose the multiracial category when it was offered in the CPS Supplement. However, even those in the AIAN category selecting a single race varied from one time to the next (in both the

CPS Supplement and the NCS reinterview) in their choice of the particular single race. This inconsistency in the reporting of racial group by American Indians and Alaska Natives has been noted elsewhere (Passel and Berman 1986; Snipp 1986; McKenney and Cresce 1992; McKenney et al. 1993). Thus, the difficulty of forming a bridge to the past will differ depending on the particular racial group as reported under the old standards. Other racial groups also may be more or less likely to report multiple races in certain cases. For instance, the size of the population reporting more than one race no doubt will differ by state, size of place, and also by some individual demographic characteristics such as the levels of income, education, and, especially, age. The various methods for creating the bridge could have different effects on the statistics for groups defined by these and other variables.

VI. Results of Statistical Analysis Comparing Different Methods

A. Comparison of distributions from different methods using the reported proportions of multiple race responses

1. National Health Interview Survey

The NHIS is a continuing nationwide sample survey designed to measure the health status of residents of the United States (Benson and Marano, 1995; Massey et al., 1989). Information on demographic and health characteristics for an entire household is collected through a personal interview with a single respondent. All information for children under 18 years of age is obtained by proxy. The sample design follows a multistage probability design that allows a continuous sampling of the civilian noninstitutionalized population of the United States. The survey is designed so that the samples for each week are nationally representative and can be combined over time. The response rate of the ongoing portion (the core) of the questionnaire is between 94 and 98 percent. To obtain population estimates from the NHIS, survey weights are assigned to each observation. These weights are derived from census estimates of the U.S. population, household non-response, and the sampling frame.

The analysis for this report uses data from an analytic file that contains three years of NHIS data (1993, 1994, and 1995). For each of these years there were about 45,000 households interviewed, resulting in a little over 100,000 individuals per year. The total sample for the bridge analysis is 323,080 (5237 respondents are missing racial data).

Racial Variables from the NHIS Since 1976, the NHIS has allowed respondents to choose more than one racial category. As the respondent is handed a card with numbered racial categories, the interviewer asks, "What is the number of the group or groups that represent your race". If a respondent selects more than one category, the interviewer then asks, "Which of those groups

would you say best describes your race?”

Although the listed racial groups have changed over time, for 1993 to 1995, the card shown to respondents included 16 separate racial categories (white, black, American Indian, Aleut, Eskimo, Chinese, Filipino, Hawaiian, Korean, Vietnamese, Japanese, Asian Indian, Samoan, Guamanian, and other Asian and Pacific Islander). Although not on the flashcard, respondents were allowed to give an “other race” response. To be consistent, the 16 groups were collapsed to the four previous racial categories: White, Black, American Indian or Alaskan Native (AIAN), and Asian or Pacific Islander (API), plus Other.

For this analysis, a variable called Detailed Race was created from responses to the first question, which allowed identification with more than one racial group. This information is not included on public use data files of the NHIS. However, on internal files, the first two race groups mentioned are recorded for each observation. Even if a respondent selected more than two groups, only two were recorded on the intermediate file. From the two recorded racial responses, Detailed Race was coded into five single race groups (White, Black, AIAN, API, Other) and 11 multiple race groups (White/Black, White/AIAN, White/API, White/Other, Black/AIAN, Black/API, Black/Other, AIAN/API, AIAN/Other, and API/Other). For most analyses, multiple racial groups that had insufficient numbers were combined into the category “Other Combinations.” Individuals who had two racial groups recorded for Detailed Race but a third group recorded for the “group that best describes race” were coded into “Other Combinations.”

The Main Race variable, used as a reference point representing the racial distribution under the old standards, is primarily derived from Detailed Race and the responses to the second question, which asks the respondent for the group that best describes his/her race (Benson and Marano, 1995). For respondents who selected one Detailed Race group, Main Race is the same as Detailed Race. For respondents who selected more than one racial group, Main Race is the one group reported as best describing their race. Some respondents who had chosen more than one race for the Detailed Race question responded as “Multiple race” or “Other” for the Main Race question. For this analysis, these responses were combined into the “Other” category. Categories for Main Race were White, Black, AIAN, API, and Other.

Several tabulations of the NHIS were done for this report. Unless otherwise stated, the survey weights are used to provide national estimates.

NHIS Analysis. Information about how respondents who selected two racial groups might identify if there was only the option to select a single racial group can be obtained from the NHIS by looking at a comparison of Detailed Race and Main Race classifications. For individuals in multiple-race combinations that had sufficient sample size, the Main Race designation was compared to the Detailed Race response. As can be seen in Table 3, there is considerable variation

in the racial group selected as main race, that is, the one group that best describes their race. For example, 12 percent or less of those who reported as Black and AIAN or White and AIAN choose AIAN as their Main Race group, whereas about 35 percent of individuals identifying as White and API identify as API and about 50 percent of respondents identifying as Black and White identify as Black. However, 27 percent of White and Black and nearly 20 percent of White and API respondents do not select a Main Race, compared with about 7 percent of those who are White and AIAN or Black and AIAN. Because the NHIS is the only nationally representative data set available with large enough numbers of individuals with specific combinations of racial groups, it is the best source for estimating how respondents who selected multiple racial groups would identify a single race group.

The distribution of race was calculated using the Detailed Race variable, the Main Race variable, and the different tabulation alternatives where responses from individuals of more than one race are allocated to a single racial group (described above in detail). For the most part, the distribution from the Main Race variable was used as a reference in comparisons with the distributions produced by the different tabulation methods.

As Table 4A shows, less than 2 percent of the respondents reported more than one race during 1993, 1994, and 1995 in the NHIS. With less than 2 percent reporting more than one race, the race distributions appeared very similar under different tabulation methods (Table 4B). The estimated distribution from the NHIS Fractional Assignment method was closest to the reference distribution for all race groups. Largest Group Whole Assignment and the Plurality method also led to distributions close to the reference distribution. Smallest Group Whole Allocation and Largest Group Other Than White Whole Allocation produced distributions similar to one another. These two Whole Allocation methods greatly overestimated the number of AIAN respondents, relative to the reference distribution. Equal Fractional Assignment overestimated the numbers in the AIAN group, but not nearly as much as the Smallest Group and Largest Group Other Than White Whole Allocation methods. The All Inclusive Allocation method, by definition, leads to a higher proportion of respondents in each racial group, relative to the reference distribution. However, the increase for the AIAN group is considerably larger than for the other racial groups. The sum total for the All Inclusive method is greater than 100 percent, reflecting the duplicate assignment of the multiple race respondents. The same conclusions hold when looking at the distributions from the tabulation methods controlling for ethnicity (Table 4C).

The goodness of fit measures lead to similar conclusions; the NHIS Fractional Allocation method had the smallest (i.e., the best) goodness-of-fit value, followed by the Largest Group Whole Allocation method. Smallest Group Whole Allocation and Largest Group Other Than White Whole Allocation had the largest goodness-of-fit values, indicating a poorer overall fit than the other methods.

Because of their larger population size, the White and Black categories were less affected by the

choice of allocation method than were the API and the AIAN categories. Compared to the reference distribution, the various allocation methods led to estimates approximately 10 percent lower to 200 percent higher for the AIAN group, 3 percent lower to 6 percent higher for the API group, and estimates within 1.5 percent for both the Black and White groups.

2. May 1995 Supplement on Race and Ethnicity to the Current Population Survey (CPS)

The May 1995 CPS Supplement was one in a series of studies conducted for the Federal agencies' review of the standards for data on race and ethnicity. The Supplement was designed to address the following issues: (1) the effect of having a "multiracial" race category among the list of races; (2) the effect of adding "Hispanic" to the list of racial categories; and (3) the preferences for alternative names for racial and ethnic categories (e.g., African-American for Black, and Latino for Hispanic). The Supplement was organized into four panels representing a two-by-two experimental design for studying the first and second issues outlined above. Each panel was given to one-fourth of the sample, or about 15,000 households (30,000 individuals). All respondents in a household received the same set of questions; household members 15 years and older were asked to respond for themselves, and parents answered for children under 15. The panels were defined as:

- Panel 1: Separate race and Hispanic origin questions, no multiracial category;
- Panel 2: Separate race and Hispanic origin questions, with a multiracial category;
- Panel 3: A combined race and Hispanic origin question, no multiracial category;
- Panel 4: A combined race and Hispanic origin question, with a multiracial category.

In panels 1 and 2, the Hispanic origin question preceded the race question. Detailed information concerning the results of the CPS Supplement can be found in Tucker et al., (1996).

Data from the May 1995 CPS Supplement Only two of the panels in the CPS Supplement allowed respondents to report in a multiracial category (panels 2 and 4), and only panel 2 had separate race and Hispanic origin questions as ultimately recommended in the new standards. Therefore, panel 2 data were used to analyze the effects of the different tabulation methods. The smaller sample (about 30,000 observations) hampers analysis and generalizations when the focus is on the small portion of the sample (about 1 percent) who identified as "multiracial."

There are additional limitations to these data for evaluating the bridging methods. The option respondents were given to identify multiple races in the CPS Supplement was a multiracial category with a follow-up question asking respondents to identify all of the racial groups the person would identify with. The new standards allow people to identify directly with all the racial groups they choose and do not include a "multiracial" category. Furthermore, a large percentage of individuals who chose the multiracial category in panel 2 of the Supplement did not specify more than one racial group (see Tucker et al., 1996). For purposes of this evaluation, individuals were classified

as belonging to the specific racial categories they identified. Those who identified as being multiracial but then did not give two or more specific racial groups were reclassified as single race respondents in the one racial category they gave. Thus, the distribution of the CPS Supplement data reported here differs from that which was published in earlier reports, which classified as multiracial any person who identified with the multiracial category even if they only specified one racial group. This new distribution is referred to here as the “Edited Distribution.”

The edited distribution was used with the various tabulation methods. As in the NHIS, the resulting distributions were compared to a reference distribution, in this case based on the respondents’ original answers (in the first CPS interview) to the race question that followed the old standards.

Several tabulations of the CPS Supplement were done for this report. Because weighting to the race controls developed under the old standards would confound analysis, the survey weights that are used for tabulations are *not* designed to provide national estimates. The weights reflect the probability of selection and an adjustment for nonresponse, but do not reflect post-stratification to known population totals by age, race, and sex groups. Thus, these results cannot be directly compared to other sources.

CPS Supplement Analysis. Table 5A provides the detailed distribution for the racial categories reported in the CPS Supplement. A smaller proportion reported more than one race in this survey compared to the NHIS. This is largely the result of recoding, in the Supplement, two race responses involving “Other” to the single race category of the other race mentioned. As can be seen in Table 5B, the All Inclusive Allocation method, the Smallest Group Whole Allocation method, and the Largest Group Other Than White Whole Allocation method have the poorest fits to the reference distribution, based on the race question in the initial CPS questionnaire. The NHIS fractional method provides a relatively close fit. The Largest Group Whole Allocation method and the Plurality method give the closest fits. These observations are largely confirmed by the goodness-of-fit measures. Table 5C shows essentially the same results when controlling for ethnicity.

Table 6A offers a picture of how responses in the initial CPS questionnaire racial categories were assigned to these same categories using the different bridging methods along with answers to the race question in the CPS Supplement in Panel 2, including respondents who simply switched single-race categories from one time to the other. Over 96 percent of Whites and 95 percent of Blacks in the original survey were assigned back to this same category for all methods. Well over 90 percent of those in the API category originally ended up in that category using each bridge method. On the other hand, far fewer respondents in the original AIAN category (only a little more than 60 percent) were assigned to that category with every bridging method. The same was true for those in the “Other” category. Using ethnicity does not alter these results (Table 6B).

3. 1998 Washington State Population Survey

The 1998 Washington State Population Survey (WSPS) was designed to provide information on Washington residents between decennial censuses. The survey collected data on employment, income, education, health, along with basic demographic information. The WSPS was done by telephone and included 7,279 households with telephones. Blacks, Asians, Hispanics and American Indians were oversampled. The designated respondent was the individual with the greatest knowledge about the household. The respondent weights reflect this oversampling and, thus, results are representative of the Washington population as a whole. The response rate for the entire sample was between 50 and 60 percent.

Data from the WSPS. Information about the race of the respondent was collected twice during the course of the interview. At the beginning of the survey, the respondent was asked, “Are you of Hispanic origin?” Following that question, the respondent was asked, “What is your race?” The categories were the ones appearing under the old standards, but the order was as follows: Black; American Indian, Aleut, or Eskimo; Asian or Pacific Islander; and White. An “Other” category also was allowed, and the interviewer recorded the verbatim response on a “specify” line. Near the end of the survey, the respondent was asked race questions conforming to the new standards. Besides the same Hispanic origin question, the respondent was asked to specify country of origin. For race, the respondent was asked to select one or more categories. This time the ordering of the categories was White; Black or African American (Or Haitian or Negro); American Indian or Alaska Native; Native Hawaiian or Other Pacific Islander; Asian. Again, an “Other” category was provided. There also was a follow-up question for Asian respondents to specify country of origin.

The results from the race question at the end of the survey were used with the tabulation methods. The reference distribution came from the answers to the original race question.

Analysis of the WSPS. The analysis includes only data from the household respondent. Thus, children are not likely to be represented. Because the racial characteristics of the population in Washington differ substantially from those of the nation as a whole, the results of the analysis of the Washington data offer a contrast to those for both the NHIS and the CPS Supplement (Table 7A). Only 2 to 3 percent of the state’s population is Black. Although Whites reporting a single race make up more than 86 percent of the population, API is still about 3 percent of the population (as in the nation as a whole) and AIAN (alone or in combination with White) is about 3 percent of the population. In the reference distribution (Table 7B), AIAN is 1.3 percent of the population. Those reporting more than one race comprise more than 4 percent of the state’s population.

When the WSPS responses were assigned to the old categories using the various tabulation methods, the national racial distributions used in CPS were applied. Table 7B shows that the All Inclusive method, the Smallest Group method, and the Largest Group Other Than White method

provide the poorest fits to the reference distribution, especially for the AIAN category. The Largest Group method and the Plurality method understate the proportion in the AIAN category, and the Equal Fraction method overstates it. Their goodness-of-fit measures, however, are approximately equivalent. The NHIS Fractions method clearly provides the closest fit. Again, the conclusions are similar when ethnicity is taken into account (Table 7C).

Table 8A presents a somewhat different picture. As in the CPS Supplement, a very large percentage of those classified as White, Black, or API using the old standards would remain in the same category under the new standards using any of the methods. However, those originally classified as AIAN or “Other” are more likely to remain in the same category using the All Inclusive, Smallest Group, and Largest Group Other Than White methods than when using the other methods. The same conclusions hold when controlling for ethnicity (Table 8B).

B. Misclassification Rates

1. NHIS Analysis

Tables 9A and 9B present the misclassification rates for race by tabulation method in the NHIS. The two tables are essentially the same. The misclassification rates for the “Other” category are relatively large (and significantly different from zero) no matter the tabulation method. The Smallest Group method and the Largest Group Other Than White method perform the best for both the AIAN and API categories. Note, however, that these two methods have the highest overall misclassification rates because of the weight given to the White category, which is large relative to the other categories. The Largest Group method, the Plurality method, and the NHIS Fractions method produce substantial misclassification rates for the AIAN category.

2. CPS Supplement Analysis

Tables 10A and 10B show the misclassification rates for the CPS Supplement. Again, the conclusions are the same whether or not ethnicity is taken into account. Misclassification is much greater in the CPS Supplement compared to the NHIS. The rates for the AIAN and “Other” categories are extremely large, and the results differ little from one tabulation method to another.

3. Analysis of the WSPS

The results from the WSPS fall in between those for NHIS and the CPS Supplement (Tables 11A and 11B), and controlling for ethnicity has little effect. Although the Smallest Group method and

the Largest Group Other Than White method have substantial misclassification rates for both the AIAN and “Other” categories, these rates are not nearly as large as the ones for the other tabulation methods. Misclassification in the API category is much the same for all methods. Given the size of the White category and the somewhat greater misclassification rates for this category using the Smallest Group and Largest Group Other Than White methods, these two methods again have the highest overall misclassification rates.

C. Comparisons of the Race Distributions if Multiple Race Responses Increase

This section does not include analyses controlling for ethnicity, because this control had little effect in the previous analyses. No significance testing is done given the hypothetical nature of these simulations. For example, increases in the numbers reporting more than one race would not likely be uniform across all racial categories.

1. NHIS Analysis

Table 12 shows that if the percentage of multiple race responses increases for all groups at the same rate and the distribution on the Main Race variable remains the same, the tabulated counts for AIAN increase dramatically under several tabulation methods. The Fractional Allocation method that uses the proportions derived from the NHIS remains close to the reference distributions. However, Largest Group Whole Allocation, while having a relatively small goodness-of-fit value, underestimates the Main Race proportions within all groups, including AIAN, except White. Smallest Group Whole Allocation shows the greatest proportionate change to all of the groups, increasing all the groups except White. The change is greatest for the smaller groups, AIAN and API, and is less so for Black. As with the results from previous comparisons, the Equal Fractions Allocation method more closely resembles the reference distribution than does Smallest Group or Largest Group Other Than White Whole Allocation methods, but does not come as close as the Largest Group Whole Allocation and NHIS Fractions methods. Again, the Plurality method produces the results closest to the reference distribution. The All Inclusive method increasingly deviates from the reference distribution. For example, when the multiple responses are increased by a factor of eight, the percent AIAN under the All Inclusive method is over five times the percent AIAN in the reference distribution. In contrast, the percent White is only 16 percent higher than the reference distribution.

Goodness-of-fit statistics grow increasingly as the number of multiple-race respondents increases, suggesting that the allocation methods to approximate the old standards may be of decreasing utility over time, especially in certain areas of the country. Nonetheless, the relative ranks of the goodness-of-fit statistics are consistent: the Plurality method has the lowest value, followed by the NHIS Fractions and Largest Group Whole Allocation methods, while Smallest Group and Largest Group Other Than White Whole Allocation have the largest values, indicating poorer fits.

Overall, the results for the AIAN group are the most sensitive to the choice of bridge allocation method. Results for the API group are also sensitive to the choice of allocation method; as for the AIAN group, Smallest Group and Largest Group Other Than White Whole Allocation overstate the percent API, Largest Group Allocation slightly understates the percent API, Equal Fractions slightly overstates the percent API, and, the Plurality method and NHIS Fractions are the most similar. Because of their relatively larger size, Black and White groups are less affected than the smaller groups; however, even those estimates increasingly differ as the numbers of multiple-race respondents increase. The methods controlling for Hispanic ethnicity were not evaluated for the increases in the proportion of respondents reporting multiple races, because the earlier analysis showed this control had little effect.

2. CPS Supplement Analysis

As can be seen in Table 13, the pattern of findings for the different methods in the CPS Supplement looks very similar to that using the NHIS. Again, the greatest effects are seen on the smaller racial groups, with the largest increases occurring when the All Inclusive method and the Smallest Group and Largest Group Other Than White Whole Allocation methods are used. The Plurality method, followed by the Largest Group method and the NHIS Fractional method, most closely resemble the racial distribution under the old standards. Again, the analyses controlling for ethnicity were not done.

3. Analysis of the WSPS

Table 14 provides the results when increasing the percentage of individuals reporting more than one race. Given that the number reporting more than one race in Washington was already relatively large (over 4 percent), increasing that number up to a factor of 8 gives rather dramatic results. It is unlikely that such a large portion of the state's population would report more than one race in the foreseeable future. In any case, the proportion of responses assigned to the AIAN category grows very large with the All Inclusive method, the Smallest Group method, and the Largest Group Other Than White method. The proportions assigned to the White category also become erratic. The Largest Group and Plurality methods underestimate the proportion. The NHIS Fractional method performs the best throughout.

VII. Effects of Methods on Outcome Measures

A. Sensitivity of Three Health Indices to Multiple-Race Reporting

As can be seen in both Table 15A and Table 15B, the health indices for single race groups did not

appear to change much under any of the tabulation methods. In particular, the largest single race groups (White and Black) are mostly unaffected by additions or subtractions of multiple race respondents, primarily due to their size relative to the proportion multiple race, even when estimates for the multiple race groups are distinctly different than their single race counterparts. For example, Table 15A shows that the percent uninsured among the Black respondents is the same under all the allocation methods even though the percent uninsured is much lower among Black/White respondents. This difference is due to the fact that the Black/White respondents are a very small group relative to the entire Black group. In some cases (All Inclusive, Smallest Group, Largest Group Other Than White, and Equal Fractions), the AIAN group has a smaller percent uninsured. These differences are due to the large difference in percent uninsured between the single race AIAN and the multiple-race AIAN/White group, accompanied by the fact that a relatively large proportion of AIAN/White respondents is included as AIAN under the allocation methods.

Despite the lower percent of AIAN/White respondents compared to single-race AIAN respondents reporting poor or fair health, all of the allocation methods led to similar estimates for the AIAN group. Once again, this indicates that both the difference in estimates between the multiple race groups and the single race groups needs to be large and the proportion of multiple race respondents also needs to be large to have measurable impact.

As another example, the percent of children living with a single mother is different for the single race and the multiple race groups. Yet, the differences are not evident in the allocation methods. Only in the case of the AIAN group is there a possible effect.

B. Sensitivity of Economic Indicators to Multiple-Race Reporting

Tables 16A and 16B show the impact of the different bridging methods on the unemployment rate and the labor force participation rate. On the surface, all of the methods produce a large increase in the unemployment rate for the AIAN category, and the Largest Group, Plurality, and NHIS Fractional methods produce the largest changes. However, these increases are not statistically significant. Only in the case of labor force participation rates for some tabulation methods are there statistically significant differences compared to the reference distribution.

VIII. Examining the Tabulation Methods According to the Criteria

Bridging to the past will be needed for measuring change in a variety of circumstances. Besides measuring population growth, any number of economic, social, and health outcomes must be monitored. This work will involve different population groups at different levels of geography. As a first step toward providing the information users will need to make informed decisions about the methods, the strengths and weaknesses of these methods with respect to the evaluation criteria will

be discussed based on the findings in this report and other relevant information.

Measure Change Over Time As indicated earlier, measuring change over time is the criterion that is of greatest importance in evaluating the bridging methods. Much of this report has been devoted to analyses that shed light on the performance of the various methods in this area. In essence, an ideal bridging method in this case is one that not only accurately recreates the population distribution under the old standards such that the only difference remaining is a function of true change over time, but also assigns an individual's response to the old category that would have been chosen. The methodology used in these studies allows users, within limits, to see how well the bridging methods using racial data collected under the new standards can match data from the same respondents collected (at the same time) under the old standards. To the extent that there is a match, any change that would occur from this point forward would indicate true change. If the match is poor, it is not possible to isolate the true change.

When comparing the different methods to their reference distributions, the racial categories that are most sensitive to which method is chosen are the numerically small ones, particularly the AIAN category. While different data sets were used in each study and the racial questions were not the same, the studies indicate that the Largest Group Deterministic Whole Assignment method, the Plurality method, and the two Deterministic Fractional Assignment methods produce distributions closer to the reference distributions than are the other Deterministic Whole Assignment methods and the All Inclusive method. Controlling for ethnicity had no effect on these results. One reason the Largest Group Assignment method results are so close is that it has little effect on the smaller races, because most assignments are made to Black or White, and the percentages for these two races are so large that the relatively small increase they receive is not noticeable. The Plurality method produces a close fit, because it makes assignments at the level of specific racial combinations. The performance of the NHIS Fractional Assignment method can be discounted to a degree in the NHIS study because the analysis is somewhat circular; however, the results from the CPS Supplement and the Washington State Population Survey (WSPS) show this method yields a relatively close match. The Equal Fractional Assignment method produces a reasonable match in these studies. The primary reason that the other two Whole Assignment methods and the All Inclusive method do not perform as well is that they alter the White percentage to some extent and substantially increase the percentage in the AIAN category.

In the case of misclassification rates, some contradictory results emerge. While the AIAN and "Other" categories have high misclassification rates across all tabulation methods in the CPS Supplement, the same is not true for the other two surveys. The Smallest Group Whole Assignment and the Largest Group Other Than White Whole Assignment methods produce the most comparable results for the AIAN category in both surveys and for the "Other" category in the WSPS; however, these methods have higher overall misclassification rates. Both the CPS Supplement and the WSPS have large misclassification rates for these two categories when using many of the tabulation methods.

When the distributions of the outcome variables are examined, all methods produce comparable, and relatively close, matches for all health outcomes. For the AIAN unemployment rate, the Largest Group Whole Assignment method and the NHIS Fractional Assignment method appear to produce the least comparable numbers, but none of the differences are significant. There are significant differences in the AIAN labor force participation rates for several of the tabulation methods. It is likely that which method is best at matching a reference distribution for outcome measures will depend on the outcome being examined. Unfortunately, the data to assess the best tabulation method for each outcome may never be readily available.

All of these conclusions should be viewed with caution. Many assumptions had to be made in these studies. It is unclear how people will respond to the new racial question in the future, and these responses could differ by mode of data collection and with the subject of the survey. Furthermore, most of this work on developing bridging methods relied on sample data, and small samples at that.

Congruence with Respondent's Choice This criterion concerns how well the full range of the respondent's choices is represented in the racial distribution. It is more important for evaluating ongoing tabulations under the new standards, but the bridging methods can be differentiated with respect to this criterion, too. None of the Deterministic Whole Assignment methods take into account the full range of the respondent's selections, but the Plurality method at least controls for the particular racial combination chosen by the respondent under the new standards. The All Inclusive method accurately reflects all selections by tabulating actual responses and not people. The Equal Fraction Assignment method tabulates people, but, like the All Inclusive method, treats all responses equally. The NHIS Fractional Assignment method takes all responses into account, but assignment is based on attempting to estimate in which single-race category the respondent would prefer to be counted.

Range of Applicability. This criterion refers to how well the bridging method can be applied in different contexts. The All Inclusive method provides the same results in every context, because assignment does not depend on the particular detailed racial distribution. This method is not suitable for users who need a distribution that adds to 100 percent. Of the Deterministic Whole Assignment methods, the Largest Group Assignment method is the least sensitive to context and can be used in a wide variety of applications. The other Deterministic Whole Assignment methods are as easy to use as the Largest Group Whole Assignment method, but the results for the small racial categories will vary to a greater extent with the context, particularly according to level of geography. The Equal Fraction Assignment method is as generalizable as the All Inclusive method, but it is not quite as easy to use. The NHIS Fractional Assignment method and the Plurality method may be the most problematic, because they currently only represent a national preference distribution based on data from 1993 to 1995. The use of this distribution at the local level would be likely to produce inaccurate results in a number of cases. That is not to say that the other methods do not face the same problem.

Meet Confidentiality and Reliability Standards. Because these methods all attempt to reproduce the racial categories under the old standards, the same confidentiality problems that existed over the last 20 years will continue to exist. No increase in problems is anticipated. In the case of reliability, however, the situation is different. The All Inclusive method will not produce less reliable data than under the old standards. The Equal Fraction Assignment method may have reliability problems as a result of only adding fractional counts to some of the smaller categories if these categories have a high probability of being chosen as the preferred single race. The same would be true if equal fractions were used to make whole assignments. In sample surveys, the Deterministic Whole Assignment methods will have reliability problems to the extent that there is a large variance on the individual race proportions. This is likely to occur when small samples are involved. The Largest Group Whole assignment method should have the fewest problems with respect to reliability, and the Smallest Group Whole Assignment method will likely have the most. These methods have another problem, however, in that an individual's response may be assigned to different categories at different levels of geography. The NHIS Fractional Assignment method, as well as methods where fractions are used for whole assignment (i.e., the Plurality method), is based upon a sample distribution with its own variance properties. Reliability for the very small combinations will be quite bad unless many years of data are combined, and this presents its own problems.

Minimize Disruptions to the Single Race Distributions This criterion is only for evaluating the bridging methods. Its purpose is to see how different the resulting bridge distribution is from the single-race distribution for detailed race under the new standards. To the extent that a bridging method can meet the other bridging criteria and still not differ substantially from the single-race proportions in the ongoing distribution, it will have value for looking both forward and backward in time. An evaluation of the different methods according to this criterion involves the comparison of the bridge distributions to the detailed race distribution under the new standards in each case.

For the CPS Supplement, the Plurality method is marginally closer than the Largest Group Whole Assignment method and the Fractional methods. While the All Inclusive method and the other Deterministic Whole Assignment methods match for the White category, they differ substantially from the single-race AIAN category in the detailed distribution and are marginally worse for the API category. The NHIS Fractional method is the closest in both the NHIS and WSPS.

Statistically Defensible. To be statistically defensible, the bridging method must conform to acceptable statistical conventions. The All Inclusive method makes no assumption about how respondents would assign themselves in the single race situation. The NHIS Fractional Assignment method and the Plurality method are based on an observed distribution, and, to that extent, involve less judgment than the rest of the methods that assign people and not responses. While the Equal Fractional Assignment method is based on judgment, it does not make assumptions about the relative importance of any given race. The Largest Group Whole Assignment method does assign

greater importance to one of the races, but it also follows common, but different, statistical practice than the equal fraction approach. Both attempt to minimize the error in assignment. The Smallest Group Whole Assignment method and the Largest Group Other Than White Whole Assignment method do not follow statistical practice, but, instead, rely on the historical record of discrimination; even in these cases, however, the assigned category is based on an observed distribution.

Ease of Use. “Ease of use” refers to how complicated it is to produce the bridge results. The Equal Fractional Assignment method makes assignments that do not depend on the particular detailed racial distribution at hand. It and the NHIS Fractional Assignment method do require the duplication of individual records or the creation, on every record, of a variable for each racial category under the old standards in order to be able to assign fractions for any combination of categories. If the fractional methods are used to assign a respondent to a single category (whole probabilistic methods), this cumbersome process can be avoided. The All Inclusive method, like the Equal Fractional method, does not depend on the particular distribution, but it does produce proportions that add to more than 100 percent unless they are raked or repercentaged to a base of 100 percent each time. The Deterministic Whole Assignment methods and the NHIS Fractional method would require an extra step unless only national figures are used, because the relative size of the groups must be determined for each detailed distribution. Otherwise, they are as easy to use as the whole probabilistic methods.

Skill Required. This criterion refers to the skills required to carry out the bridge operations. The amount of computer expertise to perform the operations associated with each of these methods is fairly trivial. The Deterministic Whole Assignment methods require almost no statistical knowledge. Some familiarity with the statistical adjustment literature would be useful for understanding the Deterministic Fractional Assignment procedures. If the All Inclusive method were used, users might need to understand statistical raking.

Understandability and Communicability. This criterion concerns how easily the methods can be explained and understood by the average user. The Deterministic Whole Assignment methods are both easy to explain and easy to understand. The fractional assignment of individuals to a single category also is not difficult to follow. Assigning fractions of a person to different categories may be easy to explain, but the average user may find it difficult to accept the idea. The All Inclusive method also is easily explained, but, unless the percentages are raked to 100 percent, users may have a problem understanding how to use the results.

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Table 1. Overview of Framework for Historical Bridge Tabulation Methods

Are responses assigned to a category by a fixed rule or by a probability method?		
Are responses assigned to one or more than one category?	<u>Deterministic</u> : Responses are assigned to a category following a set of predetermined rules.	<u>Probabilistic</u> : Responses are assigned to a category based on a probability distribution.
<u>Whole assignment</u> : Responses are assigned completely to one category.	<i>Smallest Group</i>	<i>Equal Fractions</i>
	<i>Largest Group Other Than White</i>	
	<i>Largest Group</i>	<i>NHIS Fractions</i>
	<i>Plurality</i>	
<u>Fractional assignment</u> : Responses are assigned partially to each selected category.	<i>Equal Fractions</i>	<i>Not Applicable</i>
	<i>NHIS Fractions</i>	

NHIS = National Health Interview Survey

Table 2. Percent Distribution of Race, by Targeted Sample. Racial and Ethnic Targeted Test (RAETT).

Race Response	Targeted Sample				
	White (N=2,222)	Black (N=2,395)	American Indian (N=1,634)	API (N=2,982)	Hispanic (N=2,127)
White	96.04	22.63	50.67	16.90	64.55
Black	1.08	72.73	4.41	4.06	13.59
American Indian or Alaska Native (AIAN)	.14	.29	37.21	.13	.80
Asian or Pacific Islander (API)	1.08	.58	1.47	64.76	1.60
Other	.32	1.96	2.02	4.12	15.89
Multiracial / Multiple Race	1.35	1.80	4.22	10.03	3.57

SOURCE: Racial and Ethnic Targeted Test (RAETT), Panel C. Excerpted from Population Division Working Paper No. 18 : "Results of the 1996 Race and Ethnic Targeted Test", U.S. Department of Commerce, Bureau of the Census, Population Division and Decennial Statistical Studies Division, May 1997.

Table 3. Percent Distribution (Standard Error)¹ of Main Race² for Selected Detailed Race² Groups. National Health Interview Survey 1993-1995.

Main Race	Detailed Race			
	White/Black N=849	White/AIAN N=2618	White/API N=842	Black/AIAN N=375
White	25.2 (2.4)	80.9 (1.3)	46.9 (2.9)	---
Black	48.2 (2.6)	---	---	85.4 (2.4)
American Indian or Alaska Native (AIAN)	---	12.4 (1.1)	---	7.0 (1.8)
Asian or Pacific Islander (API)	---	---	34.6 (3.5)	---
Other ³	26.6 (2.3)	6.7 (.8)	18.4 (2.2)	7.6 (1.7)
Total	100.0	100.0	100.0	100.0

--- Not applicable.

¹ All percents weighted to be nationally representative.

² Main Race = Race when asked best single race group; Detailed Race = Race when asked which group or groups describes race.

³ Includes response "Multiracial".

SOURCE: Centers for Disease Control, National Center for Health Statistics. Unpublished data from the National Health Interview Survey 1993-1995.

Table 4 - A. Sample Size, Percent Distribution¹, Standard Error, and Relative Standard Error of Detailed Race². National Health Interview Survey 1993-1995.

Detailed Race Groups	Sample Size	%	Standard Error	RSE
White	250,054	79.39	.71	.89
Black	45,259	12.50	.61	4.89
American Indian or Alaska Native (AIAN)	2,616	.81	.07	8.64
Asian or Pacific Islander (API)	10,042	3.42	.35	10.25
Other	9,734	2.25	.27	12.10
White/Black	849	.23	.02	6.83
White/AIAN	2,618	.83	.07	8.22
White/API	842	.28	.03	10.12
White/Other	277	.08	.01	13.16
Black/AIAN	375	.11	.01	10.61
Black/API	88	.03	.00	16.54
Black/Other	127	.03	.01	16.29
AIAN/API	25	.01	.00	36.90
AIAN/Other	70	.02	.00	20.81
API/Other	52	.01	.00	22.05
Other Combinations	52	.02	.00	22.54
Total	323,080	100.0	---	---
(Multiple Race Groups Total)	5,375	1.64	.09	5.22

¹All percents weighted to be nationally representative; 5,237 observations were missing race and are not tabulated.

² Detailed Race = Race when asked which group or groups describes race.

RSE = Relative Standard Error. Estimates and standard errors calculated using SUDAAN.

SOURCE: Centers for Disease Control, National Center for Health Statistics. Unpublished data from the National Health Interview Survey 1993-1995.

Table 4 - B. Percent Distribution¹ of Race for Bridge Tabulation Methods. National Health Interview Survey 1993-1995.

Race Groups	Reference Distribution ² (Standard Error)	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other Than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
White	80.29 (.71)	80.82	79.39	79.39	80.82	80.57	80.10	80.29
Black	12.74 (.62)	12.91	12.74	12.91	12.67	12.90	12.70	12.74
American Indian or Alaska Native	.93 (.07)	1.78	1.77	1.63	0.81	0.82	1.29	.93
Asian or Pacific Islander	3.54 (.36)	3.76	3.73	3.72	3.44	3.44	3.58	3.54
Other	2.50 (.27)	2.39	2.38	2.35	2.27	2.27	2.32	2.50
Total	100.0	101.65	100.0	100.0	100.0	100.0	100.0	100.0
Goodness of Fit ³	---	---	.00255	.00194	.00025	.00022	.00062	.00001

--- Not applicable.

¹All percents weighted to be nationally representative; 5,237 observations were missing race and are not tabulated.

²Reference distribution is Main Race.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48).

SOURCE: Centers for Disease Control, National Center for Health Statistics. Unpublished data from the National Health Interview Survey 1993-1995.

Table 4 - C. Percent Distribution¹ of Race for Bridge Tabulation Methods. National Health Interview Survey 1993-1995. – Adjusted for Hispanic Origin #.

Race Groups	Deterministic Whole Assignment					Deterministic Fractional Assignment
	Reference Distribution ² (Standard Error)	Smallest Group	Largest Group Other Than White	Largest Group	Plurality	NHIS Fractions
White	80.29 (.71)	79.39	79.39	80.82	80.53	80.23
Black	12.74 (.62)	12.75	12.90	12.65	12.90	12.72
American Indian or Alaska Native	.93 (.07)	1.77	1.63	.81	.82	.92
Asian or Pacific Islander	3.54 (.36)	3.74	3.72	3.43	3.48	3.53
Other	2.50 (.27)	2.36	2.37	2.29	2.27	2.61
Total	100.0	100.0	100.0	100.0	100.0	100.0
Goodness of fit	---	.00245	.00181	.00026	.00024	.00002

--- Not applicable.

¹All percents weighted to be nationally representative; 5,237 observations were missing race and are not tabulated.

²Reference distribution is Main Race.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48).

Allocation methods applied using separate race distributions for Hispanics and Non-Hispanics.

Table 5 - A. Unweighted Counts and Weighted ¹ Percentages under the New OMB Categories. Current Population Survey, Race and Ethnicity Supplement.

Race Category	Unweighted Counts	Weighted ¹ Percentages	Standard Errors
White (W)	24,870	80.384	0.556
Black (B)	3,204	10.836	0.377
American Indian or Alaska Native (AIAN)	337	0.797	0.101
Asian or Pacific Islander (API)	966	3.285	0.232
Other	1,088	4.021	0.261
W & B	47	0.148	0.025
W & AIAN	74	0.228	0.038
W & API	24	0.075	0.022
W & Other	12	0.040	0.010
B & AIAN	9	0.032	0.016
B & API	6	0.017	0.015
B & Other	7	0.027	0.012
AIAN & API	4	0.007	0.004
API & Other	2	0.013	0.009
W & B & AIAN	18	0.060	0.017
W & B & API	1	0.004	0.004
W & B & Other	1	0.005	0.005
W & AIAN & API	2	0.009	0.007
W & AIAN & Other	2	0.004	0.003
B & AIAN & API	2	0.003	0.003
B & AIAN & Other	1	0.002	0.002
W & B & AIAN & API	1	0.002	0.002
Total	30,678	100.00	
(Multiple Race Group Total)	213	0.677	0.065

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity, Data from Panel 2 only.

Table 5 - B. Percent Distribution¹ of Race for Bridge Tabulation Methods. Current Population Survey Supplement on Race and Ethnicity, May 1995.

Race Groups	Reference Distribution (SE) ²	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
White	82.35 (0.51)	80.96	80.42	80.42	80.96	80.74	80.68	80.72
Black	11.11 (0.37)	11.14	11.02	11.14	10.92	11.13	10.99	11.00
American Indian or Alaska Native	.68 (0.10)	1.15	1.15	1.03	0.80	0.80	0.96	0.86
Asian or Pacific Islander	3.29 (0.23)	3.41	3.39	3.39	3.33	3.30	3.35	3.34
Other	2.58 (0.22)	4.11	4.02	4.02	4.02	4.03	4.02	4.09
Total	100.0	100.77	100.0	100.0	100.0	100.00	100.0	100.0
Goodness of Fit ³	---	0.00451	0.00431	0.00387	0.00320	0.00323	0.00359	0.00355

--- Not applicable.

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

²Reference distribution is from the original CPS race question conforming to the old standard.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48).

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity, Data from Panel 2 only.

Table 5 - C. Percent Distribution¹ of Race for Bridge Tabulation Methods. Current Population Survey Supplement on Race and Ethnicity, May 1995. Adjusted for Hispanic Origin #

Race Groups	Reference Distribution ²	Deterministic Whole Assignment				Deterministic Fractional Assignment
		Smallest Group	Largest Group Other than White	Largest Group	Plurality	NHIS Fractions
White	82.35 (0.51)	80.38	80.34	80.96	80.72	80.71
Black	11.11 (0.37)	11.01	11.11	10.90	11.13	11.00
American Indian or Alaska Native	0.68 (0.10)	1.14	1.03	0.80	0.80	0.86
Asian or Pacific Islander	3.29 (0.23)	3.39	3.38	3.30	3.32	3.34
Other	2.58 (0.22)	4.08	4.10	4.05	4.04	4.09
Total	100.0	100.0	100.0	100.0	100.00	100.0
Goodness of Fit ³	---	0.00452	0.00414	0.00327	0.00326	0.00358

--- Not applicable.

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

²Reference distribution is from the original CPS race question conforming to the old standard.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48).

Allocation methods applied using separate race distributions for Hispanics and Non-Hispanics.

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity, Data from Panel 2 only.

Table 6-A. Percent Distribution¹ of Race Classification by Bridging Methods and Reported Race in the Basic Current Population Survey (CPS). CPS Supplement on Race and Ethnicity.

Race Reported in the Basic CPS (Sample Counts)	Race Classification Under the Bridging Method	Deterministic Whole Assignment				Deterministic Fractional Assignment		
		All Inclusive	Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
White (N= 25,401)	White	96.74	96.38	96.38	96.74	96.68	96.56	96.62
	Black	0.25	0.24	0.25	0.19	0.25	0.22	0.22
	AIAN	0.62	0.62	0.61	0.37	0.37	0.49	0.40
	API	0.21	0.20	0.21	0.15	0.15	0.18	0.17
	Other	2.59	2.55	2.55	2.55	2.56	2.55	2.59
	Total	100.41	100.00	100.00	100.00	100.00	100.00	100.00
Black (N = 3,285)	White	2.17	1.32	1.32	2.15	1.36	1.69	1.59
	Black	96.14	95.62	96.14	95.33	96.14	95.57	95.66
	AIAN	0.73	0.73	0.25	0.21	0.21	0.42	0.31
	API	0.12	0.10	0.06	0.06	0.06	0.08	0.08
	Other	2.45	2.23	2.23	2.23	2.23	2.23	2.36
	Total	101.61	100.00	100.00	100.00	100.00	100.00	100.00
American Indian or Alaska Native (AIAN) (N = 292)	White	24.53	22.15	22.15	24.53	24.53	23.34	24.08
	Black	10.29	10.19	10.29	10.29	10.29	10.24	10.28
	AIAN	62.89	62.89	62.80	60.42	60.42	61.66	60.72
	API	1.95	1.95	1.95	1.95	1.95	1.95	1.95
	Other	2.81	2.81	2.81	2.81	2.81	2.81	2.98
	Total	102.47	100.00	100.00	100.00	100.00	100.00	100.00

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity.

Table 6-A. (continued)

Race Reported in the Basic CPS (Sample Counts)	Race Classification Under the Bridging Method	Deterministic Whole Assignment					Deterministic Fractional Assignment	
		All Inclusive	Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
Asian or Pacific Islander (API) (N = 984)	White	1.98	1.22	1.22	1.98	1.98	1.60	1.63
	Black	0.40	0.08	0.40	0.40	0.40	0.22	0.29
	AIAN	0.97	0.97	0.52	0.40	0.55	0.67	0.54
	API	94.35	94.10	94.22	93.59	93.44	93.88	93.79
	Other	3.87	3.63	3.63	3.63	3.63	3.63	3.76
	Total	101.57	100.00	100.00	100.00	100.00	100.00	100.00
Other (N = 716)	White	31.88	27.96	27.96	31.88	28.81	29.74	29.38
	Black	6.56	4.88	6.56	3.50	6.56	4.51	4.52
	AIAN	3.52	3.52	2.30	1.85	1.93	2.51	2.37
	API	4.45	4.29	3.82	3.42	3.34	3.88	3.83
	Other	60.47	59.36	59.36	59.36	59.36	59.36	59.91
	Total	106.88	100.00	100.00	100.00	100.00	100.00	100.00

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity.

Table 6-B. Percent Distribution¹ of Race Classification by Bridging Methods and Reported Race in the Basic Current Population Survey (CPS). CPS Supplement on Race and Ethnicity. Adjusted for Hispanic Origin #

Race Reported in the Basic CPS (Sample Counts)	Race Classification Under the Bridging Method	Deterministic Whole Assignment				Deterministic Fractional Assignment
		Smallest Group	Largest Group Other than White	Largest Group	Plurality	NHIS Fractions
White (N= 25,401)	White	96.35	96.35	96.74	96.66	96.62
	Black	0.24	0.25	0.19	0.25	0.22
	AIAN	0.62	0.61	0.37	0.37	0.40
	API	0.20	0.21	0.15	0.17	0.17
	Other	2.59	2.59	2.55	2.56	2.59
	Total	100.00	100.00	100.00	100.00	100.00
Black (N = 3,285)	White	1.32	1.32	2.17	1.36	1.58
	Black	95.54	96.01	95.20	96.14	95.64
	AIAN	0.73	0.25	0.21	0.21	0.31
	API	0.10	0.06	0.06	0.06	0.08
	Other	2.31	2.36	2.36	2.23	2.39
	Total	100.00	100.00	100.00	100.00	100.00
American Indian or Alaska Native (AIAN) (N = 292)	White	22.15	22.15	24.53	24.53	24.07
	Black	10.19	10.29	10.29	10.29	10.28
	AIAN	62.89	62.80	60.42	60.42	60.72
	API	1.95	1.95	1.95	1.95	1.95
	Other	2.81	2.81	2.81	2.81	2.98
	Total	100.00	100.00	100.00	100.00	100.00

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

Allocation methods applied using separate race distributions for Hispanics and Non-Hispanics.

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity.

Table 6-B. (continued)

Race Reported in the Basic CPS (Sample Counts)	Race Classification Under the Bridging Method	Deterministic Whole Assignment				Deterministic Fractional Assignment
		Smallest Group	Largest Group Other than White	Largest Group	Plurality	NHIS Fractions
Asian or Pacific Islander (API) (N = 984)	White	1.17	1.17	1.98	1.88	1.62
	Black	0.08	0.40	0.40	0.30	0.29
	AIAN	0.97	0.52	0.40	0.40	0.54
	API	94.10	94.03	93.40	93.80	93.78
	Other	3.68	3.87	3.83	3.63	3.77
	Total	100.00	100.00	100.00	100.00	100.00
Other (N = 716)	White	27.37	27.37	31.88	28.75	29.32
	Black	4.88	6.34	3.28	6.47	4.47
	AIAN	3.52	2.24	1.85	1.93	2.37
	API	4.05	3.82	3.42	3.10	3.83
	Other	60.19	60.23	59.57	59.75	60.01
	Total	100.00	100.00	100.00	100.00	100.00

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

Allocation methods applied using separate race distributions for Hispanics and Non-Hispanics.

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity.

Table 7-A. Unweighted Counts and Weighted¹ Percentages under the New OMB Categories. Washington State Population Survey (WSPS).

Race Category	Unweighted Counts	Weighted ¹ Percentages	Standard Errors
White (W)	5339	86.187	0.384
Black (B)	308	2.180	0.192
American Indian or Alaska Native (AIAN)	343	0.875	0.074
Asian or Pacific Islander (API)	258	2.937	0.196
Other	351	3.666	0.277
W & B	20	0.256	0.080
W & AIAN	174	1.965	0.212
W & API	19	0.198	0.071
W & Other	70	1.225	0.200
B & AIAN	14	0.196	0.066
B & API	1	0.003	0.003
B & Other	7	0.062	0.019
AIAN & API	3	0.004	0.003
AIAN & Other	7	0.012	0.006
API & Other	3	0.005	0.003
W & B & AIAN	6	0.070	0.028
W & B & API	3	0.042	0.037
W & B & Other	2	0.026	0.016
W & AIAN & API	2	0.007	0.007
W & AIAN & Other	6	0.076	0.043
W & API & Other	1	0.001	0.001
B & AIAN & API	1	0.001	0.001
W & B & AIAN & API	2	0.005	0.004
Total	6940	100.00	
(Multiple Race Group Total)	341	4.155	0.334

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

SOURCE: Washington State Population Survey

Table 7-B. Percent Distribution¹ of Race for Bridge Tabulation Methods. Washington State Population Survey (WSPS)

Race Groups	Reference Distribution ²	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
White	88.97 (0.31)	90.06	86.19	86.19	90.06	89.66	88.08	88.63
Black	2.27 (0.17)	2.84	2.44	2.84	2.44	2.82	2.49	2.56
American Indian or Alaska Native	1.29 (0.08)	3.21	3.21	2.84	0.88	0.88	2.02	1.19
Asian or Pacific Islander	3.04 (0.16)	3.20	3.19	3.15	2.94	2.94	3.06	3.03
Other	4.44 (0.31)	5.07	4.98	4.99	3.68	3.71	4.35	4.59
Total	100.0	104.38	100.0	100.0	100.0	100.0	100.0	100.0
Goodness of Fit ³		0.00770	0.00833	0.00676	0.00170	0.00211	0.00167	0.00024

--- Not applicable.

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

²Reference distribution is from the original CPS race question conforming to the old standard.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48).

SOURCE: Washington State Population Survey

CONTINUED

**Table 7-C. Percent Distribution¹ of Race for Bridge Tabulation Methods. Washington State Population Survey (WSPS).
Adjusted for Hispanic Origin #.**

Race Groups	Reference Distribution ²	Deterministic Whole Assignment				Deterministic Fractional Assignment
		Smallest Group	Largest Group Other than White	Largest Group	Plurality	NHIS Fractions
White	88.97 (0.31)	86.19	86.19	90.06	89.64	88.63
Black	2.27 (0.17)	2.45	2.82	2.42	2.81	2.56
American Indian or Alaska Native	1.29 (0.08)	3.21	2.84	0.88	0.88	1.19
Asian or Pacific Islander	3.04 (0.16)	3.19	3.15	2.94	2.95	3.03
Other	4.44 (0.31)	4.96	5.00	3.70	3.73	4.59
Total	100.0	100.0	100.0	100.0	100.0	100.0
Goodness of Fit ³		0.00833	0.00674	0.00166	0.00206	0.00024

--- Not applicable.

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

²Reference distribution is from the original CPS race question conforming to the old standard.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48).

Allocation methods applied using separate race distributions for Hispanics and Non-Hispanics.

SOURCE: Washington State Population Survey

Table 8-A. Percent Distribution¹ of Race Classification by Bridging Methods and Reported Race in the Washington State Population Survey (WSPS).

Race Reported in the Basic WSPS (Sample Counts)	Race Classification Under the Bridging Method	Deterministic Whole Assignment				Deterministic Fractional Assignment		
		All Inclusive	Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
White (N= 5490)	White	99.41	96.81	96.81	99.41	99.21	98.10	98.56
	Black	0.29	0.25	0.29	0.10	0.29	0.19	0.19
	AIAN	1.67	1.67	1.63	0.01	0.01	0.83	0.22
	API	0.26	0.26	0.21	0.10	0.10	0.17	0.16
	Other	1.06	1.01	1.06	0.39	0.39	0.71	0.87
	Total	102.69	100.00	100.00	100.00	100.00	100.00	100.00
Black (N = 326)	White	2.16	0.20	0.20	2.16	0.54	0.97	0.99
	Black	99.29	90.61	99.29	97.68	98.15	94.56	97.26
	AIAN	7.79	7.79	0.35	0.00	0.00	3.90	0.57
	API	0.11	0.11	0.00	0.00	0.00	0.04	0.04
	Other	1.41	1.30	0.17	0.17	1.31	0.55	1.15
	Total	110.76	100.00	100.00	100.00	100.00	100.00	100.00
American Indian or Alaska Native (AIAN) (N = 422)	White	24.13	0.79	0.79	24.13	20.44	12.28	17.13
	Black	8.00	7.37	8.00	4.32	8.00	5.85	6.09
	AIAN	88.51	88.51	85.80	67.48	67.77	77.81	70.62
	API	2.12	1.80	2.12	2.01	1.72	1.92	1.90
	Other	3.29	1.52	3.29	2.07	2.07	2.15	4.26
	Total	126.05	100.00	100.00	100.00	100.00	100.00	100.00

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

SOURCE: Washington State Population Survey

Table 8-A. (continued)

Race Reported in the Basic WSPS (Sample Counts)	Race Classification Under the Bridging Method	Deterministic Whole Assignment				Deterministic Fractional Assignment		
		All Inclusive	Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
Asian or Pacific Islander (API) (N = 273)	White	5.07	1.11	1.11	5.07	3.15	3.09	3.03
	Black	1.92	1.92	1.92	0.00	1.92	0.96	0.97
	AIAN	1.28	1.28	1.28	0.00	0.00	0.64	0.16
	API	93.83	93.83	93.75	92.99	93.07	93.41	93.30
	Other	1.94	1.86	1.94	1.94	1.86	1.90	2.55
	Total	104.04	100.00	100.00	100.00	100.00	100.00	100.00
Other (N = 429)	White	24.76	0.00	0.00	24.76	22.86	11.97	13.61
	Black	3.81	0.14	3.81	1.93	3.81	1.60	1.78
	AIAN	8.30	8.30	5.48	0.00	0.01	3.75	1.47
	API	2.23	1.94	1.86	0.01	0.07	1.04	0.79
	Other	90.21	89.63	88.85	73.30	73.26	81.63	82.34
	Total	129.31	100.00	100.00	100.00	100.00	100.00	100.00

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

SOURCE: Washington State Population Survey

Table 8-B. Percent Distribution¹ of Race Classification by Bridging Methods and Reported Race in the Washington State Population Survey (WSPS). Adjusted for Hispanic Origin #.

Race Reported in the Basic WSPS (Sample Counts)	Race Classification Under the Bridging Method	Deterministic Whole Assignment				Deterministic Fractional Assignment
		Smallest Group	Largest Group Other than White	Largest Group	Plurality	NHIS Fractions
White (N= 5490)	White	96.81	96.81	99.41	99.21	98.56
	Black	0.25	0.29	0.10	0.29	0.19
	AIAN	1.67	1.63	0.01	0.01	0.22
	API	0.26	0.21	0.10	0.10	0.16
	Other	1.01	1.06	0.39	0.39	0.87
	Total	100.00	100.00	100.00	100.00	100.00
Black (N = 326)	White	0.20	0.20	2.16	0.54	0.99
	Black	90.61	99.29	97.68	98.15	97.26
	AIAN	7.79	0.35	0.00	0.00	0.57
	API	0.11	0.00	0.00	0.00	0.04
	Other	1.30	0.17	0.17	1.31	1.15
	Total	100.00	100.00	100.00	100.00	100.00
American Indian or Alaska Native (AIAN) (N = 422)	White	0.79	0.79	24.13	20.32	17.06
	Black	7.37	8.00	4.32	8.00	6.09
	AIAN	88.51	85.80	67.48	67.77	70.68
	API	1.80	2.12	2.01	1.72	1.90
	Other	1.52	3.29	2.07	2.19	4.27
	Total	100.00	100.00	100.00	100.00	100.00

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

Allocation methods applied using separate race distributions for Hispanics and Non-Hispanics.

SOURCE: Washington State Population Survey

Table 8-B. (continued)

Race Reported in the Basic WSPS (Sample Counts)	Race Classification Under the Bridging Method	Deterministic Whole Assignment				Deterministic Fractional Assignment
		Smallest Group	Largest Group Other than White	Largest Group	Plurality	
Asian or Pacific Islander (API) (N = 273)	White	1.11	1.11	5.07	3.15	3.03
	Black	1.92	1.92	0.00	1.92	0.97
	AIAN	1.28	1.28	0.00	0.00	0.16
	API	93.83	93.75	92.99	93.07	93.30
	Other	1.86	1.94	1.94	1.86	2.55
	Total	100.00	100.00	100.00	100.00	100.00
Other (N = 429)	White	0.00	0.00	24.76	22.44	13.61
	Black	0.54	3.41	1.53	3.72	1.78
	AIAN	8.30	5.48	0.00	0.01	1.47
	API	1.94	1.86	0.01	0.16	0.79
	Other	89.23	89.26	73.70	73.68	82.34
	Total	100.00	100.00	100.00	100.00	100.00

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

Allocation methods applied using separate race distributions for Hispanics and Non-Hispanics.

SOURCE: Washington State Population Survey

Table 9 - A. Percent (standard error) of Multiple Race Respondents Misclassified by Bridge Tabulation Methods. National Health Interview Survey 1993-1995.

Main Race Reported	Deterministic Whole Assignment				Deterministic Fractional Assignment	
	Smallest Group	Largest Group Other Than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
White	1.12 (.08)	1.12 (.08)	0.00 (.00)	.07 (.01)	.56 (.04)	.32 (.02)
Black	1.00 (.10)	0.00 (.00)	.89 (.08)	0.00 (.00)	.94 (.08)	1.24 (.10)
American Indian or Alaska Native	0.00 (.00)	2.26 (.46)	13.25 (1.26)	12.27 (1.19)	6.62 (.63)	11.39 (1.09)
Asian or Pacific Islander	.44 (.10)	.24 (.07)	3.12 (.47)	2.95 (.44)	1.71 (.24)	2.31 (.32)
Other	7.89 (1.01)	8.25 (1.07)	9.67 (1.45)	9.67 (1.15)	5.08 (.60)	8.17 (.98)
Total	1.24 (.07)	1.14 (.07)	.59 (.03)	.52 (.03)	.82 (.04)	.81 (.04)

SOURCE: Centers for Disease Control, National Center for Health Statistics. Unpublished data from the National Health Interview Survey 1993-1995.

Table 9 - B Percent (standard error) of Multiple Race Respondents Misclassified by Bridge Tabulation Methods, Adjusted for Hispanic Origin #. National Health Interview Survey 1993-1995.

Main Race Reported	Deterministic Whole Assignment				Deterministic Fractional Assignment
	Smallest Group	Largest Group Other Than White	Largest Group	Plurality	NHIS Fractions
White	1.12 (.08)	1.12 (.08)	0.00 (.00)	.09 (.01)	.33 (.02)
Black	.94 (.09)	0.06 (.01)	.95 (.08)	0.00 (.00)	1.24 (.10)
American Indian or Alaska Native	0.00 (.00)	2.26 (.46)	13.25 (1.26)	12.27 (1.19)	11.19 (1.07)
Asian or Pacific Islander	.22 (.06)	.42 (.08)	3.30 (.48)	2.42 (.35)	2.31 (.32)
Other	8.29 (1.06)	7.85 (1.01)	9.27 (1.09)	9.67 (1.15)	8.07 (.96)
Total	1.24 (.07)	1.14 (.07)	.59 (.03)	.52 (.03)	.81 (.04)

Allocation methods applied using separate race distributions for Hispanics and Non-Hispanics.

SOURCE: Centers for Disease Control, National Center for Health Statistics. Unpublished data from the National Health Interview Survey 1993-1995.

Table 10-A. Percent of ALL Respondents Misclassified by Bridge Tabulation Methods. Current Population Survey

Main Race Reported	Deterministic Whole Assignment				Deterministic Fractional Assignment	
	Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
White	3.62 (0.23)	3.62 (0.23)	3.26 (0.22)	3.32 (0.22)	3.44 (0.23)	3.38 (0.23)
Black	4.38 (0.70)	3.86 (0.63)	4.67 (0.65)	3.86 (0.63)	4.43 (0.66)	4.34 (0.65)
American Indian or Alaska Native	37.11 (6.32)	37.20 (6.34)	39.58 (6.31)	39.58 (6.31)	38.34 (6.28)	39.28 (6.30)
Asian or Pacific Islander	5.90 (1.32)	5.78 (1.28)	6.41 (1.37)	6.56 (1.41)	6.12 (1.33)	6.21 (1.34)
Other	40.64 (4.06)	40.64 (4.06)	40.64 (4.06)	40.64 (4.06)	40.64 (4.06)	40.09 (4.06)
TOTAL	4.97 (0.26)	4.90 (0.25)	4.73 (0.25)	4.70 (0.25)	4.84 (0.25)	4.77 (0.25)

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity

CONTINUED

Table 10-B. Percent of ALL Respondents Misclassified by Bridge Tabulation Methods. Current Population Survey. Adjusted for Hispanic Origin #.

Main Race Reported	Deterministic Whole Assignment				Deterministic Fractional Assignment
	Smallest Group	Largest Group Other than White	Largest Group	Plurality	NHIS Fractions
White	3.65 (0.23)	3.65 (0.23)	3.26 (0.22)	3.34 (0.23)	3.38 (0.23)
Black	4.46 (0.70)	3.99 (0.64)	4.80 (0.66)	3.86 (0.63)	4.36 (0.65)
American Indian or Alaska Native	37.11 (6.32)	37.20 (6.34)	39.58 (6.31)	39.58 (6.31)	39.28 (6.30)
Asian or Pacific Islander	5.90 (1.32)	5.97 (1.33)	6.60 (1.41)	6.20 (1.32)	6.22 (1.34)
Other	39.82 (4.10)	39.77 (4.05)	40.43 (4.05)	40.25 (4.10)	39.99 (4.06)
TOTAL	4.98 (0.25)	4.93 (0.25)	4.75 (0.25)	4.69 (0.25)	4.77 (0.25)

Allocation methods applied using separate race distributions for Hispanics and Non-Hispanics.

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity

Table 11-A. Percent of ALL Respondents Misclassified by Bridge Tabulation Methods. Washington State Population Survey (WSPS)

Main Race Reported	Deterministic Whole Assignment				Deterministic Fractional Assignment	
	Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
White	3.19 (0.29)	3.19 (0.29)	0.59 (0.13)	0.79 (0.15)	1.90 (0.18)	1.44 (0.16)
Black	9.39 (2.84)	0.71 (0.24)	2.32 (0.74)	1.85 (0.70)	5.44 (1.48)	2.74 (0.62)
American Indian or Alaska Native	11.49 (2.46)	14.20 (2.47)	32.52 (3.80)	32.23 (3.83)	22.19 (2.77)	29.39 (3.55)
Asian or Pacific Islander	6.17 (2.96)	6.26 (2.96)	7.01 (2.94)	6.93 (2.94)	6.59 (2.95)	6.70 (2.94)
Other	10.37 (1.77)	11.15 (1.75)	26.70 (3.26)	26.74 (3.26)	18.37 (2.09)	17.66 (1.99)
TOTAL	3.84 (0.28)	3.72 (0.26)	2.40 (0.26)	2.55 (0.24)	3.12 (0.23)	2.71 (0.20)

SOURCE: Washington State Population Survey

Table 11-B. Percent of ALL Respondents Misclassified by Bridge Tabulation Methods. Washington State Population Survey (WSPS). Adjusted for Hispanic Origin #

Main Race Reported	Deterministic Whole Assignment				Deterministic Fractional Assignment
	Smallest Group	Largest Group Other than White	Largest Group	Plurality	NHIS Fractions
White	3.19 (0.29)	3.19 (0.29)	0.59 (0.43)	0.79 (0.15)	1.44 (0.16)
Black	9.39 (2.84)	0.71 (0.24)	2.32 (0.74)	1.85 (0.70)	2.74 (0.62)
American Indian or Alaska Native	11.49 (2.46)	14.20 (2.47)	32.52 (3.80)	32.23 (3.83)	29.32 (3.55)
Asian or Pacific Islander	6.17 (2.96)	6.26 (2.96)	7.01 (2.94)	6.93 (2.94)	6.70 (2.94)
Other	10.77 (1.78)	10.74 (1.77)	26.30 (3.22)	26.32 (3.30)	17.66 (1.99)
	3.86 (0.28)	3.70 (0.26)	2.38 (0.26)	2.54 (0.24)	2.71 (0.20)
TOTAL					

Allocation methods applied using separate race distributions for Hispanics and Non-Hispanics.

SOURCE: Washington State Population Survey

Table 12 . Percent Distribution¹ of Race for Bridge Tabulation Methods if Multiple Race Responses Increase by Factors of 2, 4, 6 and 8. National Health Interview Survey 1993-1995.

Race Groups	Reference Distribution ²	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other Than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
(Increase Multiple Race Response by a Factor of 2)								
White	79.90	82.25	78.11	78.11	80.93	80.44	79.51	79.88
Black	12.76	13.32	12.76	13.11	12.63	13.09	12.70	12.77
American Indian or Alaska Native	1.03	2.75	2.70	2.42	0.79	0.82	1.74	1.03
Asian or Pacific Islander	3.60	4.15	3.98	3.97	3.40	3.41	3.69	3.60
Other	2.71	2.54	2.46	2.40	2.25	2.25	2.36	2.71
Total	100.0	104.96	100.0	100.0	100.0	100.0	100.0	100.0
Goodness of Fit ³	---	---	.00727	.00570	.00090	.00080	.00198	.00003

--- Not applicable.

¹All percents weighted to be nationally representative; 5,237 observations were missing race and are not tabulated.

²Reference distribution is Main Race.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48).

SOURCE: Centers for Disease Control, National Center for Health Statistics. Unpublished data from the National Health Interview Survey 1993-1995.

Table 12 (continued)

Race Groups	Reference Distribution ²	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other Than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
			(Increase Multiple Race Response by a Factor of 4)					
White	79.15	85.12	75.66	75.66	81.13	80.19	78.39	79.10
Black	12.82	14.14	12.80	13.48	12.55	13.44	12.69	12.83
American Indian or Alaska Native	1.22	4.69	4.46	3.92	.77	0.82	2.61	1.24
Asian or Pacific Islander	3.71	4.78	4.45	4.43	3.21	3.34	3.90	3.72
Other	3.10	2.83	2.63	2.51	2.20	2.20	2.42	3.11
Total	100.0	111.56	100.0	100.0	100.0	100.0	100.0	100.0
Goodness of Fit ³	---	---	.01843	.01499	.00320	.00287	.00557	.000045

--- Not applicable.

¹All percents weighted to be nationally representative; 5,237 observations were missing race and are not tabulated.

²Reference distribution is Main Race.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48).

SOURCE: Centers for Disease Control, National Center for Health Statistics. Unpublished data from the National Health Interview Survey 1993-1995.

Table 12 (continued)

Race Groups	Reference Distribution ²	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other Than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
			(Increase Multiple Race Response by a Factor of 6)					
White	78.45	87.99	73.37	73.37	81.32	79.95	77.33	78.37
Black	12.86	14.97	12.84	13.78	12.48	12.86	12.68	12.88
American Indian or Alaska Native	1.40	6.64	6.11	5.33	.74	1.40	3.42	1.42
Asian or Pacific Islander	3.81	5.46	4.89	4.87	3.28	3.81	4.09	3.83
Other	3.47	3.11	2.78	2.60	2.17	3.47	2.48	3.49
Total	100.0	118.16	100.0	100.0	100.0	100.0	100.0	100.0
Goodness of Fit ³	---	---	.030339	.02520	.00654	.00585	.00967	.00007

--- Not applicable.

¹All percents weighted to be nationally representative; 5,237 observations were missing race and are not tabulated.

²Reference distribution is Main Race.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48).

SOURCE: Centers for Disease Control, National Center for Health Statistics. Unpublished data from the National Health Interview Survey 1993-1995.

Table 12 (continued)

Race Groups	Reference Distribution ²	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other Than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
			(Increase Multiple Race Response by a Factor of 8)					
White	77.79	90.85	71.21	71.21	81.50	79.72	76.34	77.68
Black	12.91	15.79	12.88	14.16	12.42	14.09	12.67	12.93
American Indian or Alaska Native	1.57	8.58	7.67	6.65	.72	.82	4.18	1.60
Asian or Pacific Islander	3.91	6.14	5.30	5.27	3.22	3.23	4.27	3.93
Other	3.42	3.40	2.93	2.70	2.14	2.14	2.53	3.84
Total	100.0	124.76	100.0	100.0	100.0	100.0	100.0	100.0
Goodness of Fit ³	---	---	.042400	.03570	.01068	.00950	.013932	.00009

--- Not applicable.

¹All percents weighted to be nationally representative; 5,237 observations were missing race and are not tabulated.

²Reference distribution is Main Race.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48).

SOURCE: Centers for Disease Control, National Center for Health Statistics. Unpublished data from the National Health Interview Survey 1993-1995.

Table 13. Percent Distribution¹ of Race for Bridge Tabulation Methods if Multiple Race Responses Increase by Factors of 2, 4, 6 and 8. May 1995 CPS Supplement on Race and Ethnicity.

Race Groups	Reference Distribution	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
(Increase Multiple race Response by a Factor of 2)								
White	82.11	80.99	79.92	79.92	80.98	80.55	80.43	80.51
Black	11.17	11.36	11.12	11.36	10.93	11.35	11.07	11.09
American Indian or Alaska Native	0.69	1.48	1.48	1.25	0.79	0.81	1.11	0.91
Asian or Pacific Islander	3.31	3.52	3.48	3.47	3.30	3.29	3.40	3.38
Other	2.71	4.18	3.99	3.99	3.99	4.00	3.99	4.12
Total	100.00	101.53	100.00	100.00	100.00	100.00	100.00	100.00
Goodness of Fit ³	---	0.00562	0.00530	0.00418	0.00254	0.00261	0.00344	0.00321

--- Not applicable

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

²Reference distribution is from the original CPS race question conforming to the old standard.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48)

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity, Data from Panel 2 only.

Table 13. Percent Distribution¹ of Race for Bridge Tabulation Methods if Multiple Race Responses Increase by Factors of 2, 4, 6 and 8. May 1995 CPS Supplement on Race and Ethnicity.

Race Groups	Reference Distribution	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
(Increase Multiple race Response by a Factor of 4)								
White	81.66	81.04	78.94	78.94	81.03	80.18	79.94	80.08
Black	11.31	11.80	11.33	11.80	10.94	11.78	11.22	11.27
American Indian or Alaska Native	0.72	2.15	2.15	1.69	0.78	0.81	1.42	1.02
Asian or Pacific Islander	3.36	3.73	3.64	3.62	3.30	3.27	3.49	3.44
Other	2.96	4.30	3.94	3.94	3.94	3.96	3.94	4.19
Total	100.00	103.02	100.00	100.00	100.00	100.00	100.00	100.00
Goodness of Fit ¹	---	0.00866	0.00835	0.00561	0.00153	0.00168	0.00365	0.00268

--- Not applicable

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

²Reference distribution is from the original CPS race question conforming to the old standard.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48)

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity, Data from Panel 2 only.

Table 13. Percent Distribution¹ of Race for Bridge Tabulation Methods if Multiple Race Responses Increase by Factors of 2, 4, 6 and 8. May 1995 CPS Supplement on Race and Ethnicity.

Race Groups	Reference Distribution	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
(Increase Multiple race Response by a Factor of 6)								
White	81.21	81.09	77.99	77.99	81.08	79.82	79.47	79.67
Black	11.43	12.23	11.53	12.23	10.96	12.20	11.37	11.44
American Indian or Alaska Native	0.74	2.79	2.79	2.12	0.77	0.81	1.71	1.13
Asian or Pacific Islander	3.41	3.93	3.81	3.78	3.29	3.25	3.57	3.51
Other	3.21	4.42	3.89	3.89	3.89	3.92	3.89	4.26
Total	100.00	104.46	100.00	100.00	100.00	100.00	100.00	100.00
Goodness of Fit ³	---	0.01221	0.01221	0.00778	0.00089	0.00113	0.00437	0.00234

--- Not applicable

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

²Reference distribution is from the original CPS race question conforming to the old standard.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48)

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity, Data from Panel 2 only.

Table 13. Percent Distribution¹ of Race for Bridge Tabulation Methods if Multiple Race Responses Increase by Factors of 2, 4, 6 and 8. May 1995 CPS Supplement on Race and Ethnicity.

Race Groups	Reference Distribution	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
(Increase Multiple race Response by a Factor of 8)								
White	80.78	81.14	77.06	77.06	81.12	79.47	79.00	79.28
Black	11.56	12.64	11.72	12.64	10.97	12.60	11.51	11.60
American Indian or Alaska Native	0.76	3.42	3.42	2.54	0.76	0.82	2.00	1.23
Asian or Pacific Islander	3.46	4.13	3.96	3.92	3.29	3.23	3.66	3.57
Other	3.44	4.54	3.84	3.84	3.84	3.88	3.84	4.32
Total	100.00	105.87	100.00	100.00	100.00	100.00	100.00	100.00
Goodness of Fit ³	---	0.01599	0.01659	0.01047	0.00057	0.00090	0.00547	0.00215

--- Not applicable

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

²Reference distribution is from the original CPS race question conforming to the old standard.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48)

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity, Data from Panel 2 only.

Table 14. Percent Distribution of Race for Bridge Tabulation Methods if Multiple Race Responses Increase by Factors of 2, 4, 6 and 8. Washington State Population Survey (WSPS).

Race Groups	Reference Distribution	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
(Increase Multiple race Response by a Factor of 2)								
White	87.64	90.18	82.75	82.75	90.18	89.41	86.39	87.45
Black	2.39	3.36	2.59	3.36	2.60	3.31	2.68	2.82
American Indian or Alaska Native	1.54	5.33	5.33	4.61	0.84	0.85	3.03	1.44
Asian or Pacific Islander	3.03	3.33	3.30	3.22	2.83	2.83	3.06	3.00
Other	5.40	6.22	6.04	6.05	3.55	3.60	4.84	5.29
Total	100.00	108.842	100.00	100.00	100.00	100.00	100.00	100.00
Goodness of Fit ³								

--- Not applicable

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

²Reference distribution is from the original CPS race question conforming to the old standard.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48)

SOURCE: Washington State Population Survey

Table 14. Percent Distribution¹ of Race for Bridge Tabulation Methods if Multiple Race Responses Increase by Factors of 2, 4, 6 and 8. Washington State Population Survey (WSPS).

Race Groups	Reference Distribution	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
(Increase Multiple race Response by a Factor of 4)								
White	85.27	90.40	76.63	76.63	90.40	88.98	83.38	85.33
Black	2.59	4.29	2.85	4.29	2.87	4.20	3.03	3.29
American Indian or Alaska Native	2.00	9.09	9.09	7.77	0.78	0.79	4.84	1.89
Asian or Pacific Islander	3.03	3.56	3.50	3.35	2.63	2.63	3.05	2.95
Other	7.11	8.27	7.93	7.95	3.32	3.40	5.70	6.54
Total	100.00	115.61	100.00	100.00	100.00	100.00	100.00	100.00
Goodness of Fit ³								

--- Not applicable

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

²Reference distribution is from the original CPS race question conforming to the old standard.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48)

SOURCE: Washington State Population Survey

Table 14. Percent Distribution¹ of Race for Bridge Tabulation Methods if Multiple Race Responses Increase by Factors of 2, 4, 6 and 8. Washington State Population Survey (WSPS).

Race Groups	Reference Distribution	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
(Increase Multiple race Response by a Factor of 6)								
White	83.22	90.59	71.36	71.36	90.59	88.60	80.79	83.51
Black	2.77	5.09	3.08	5.09	3.11	4.96	3.33	3.69
American Indian or Alaska Native	2.39	12.33	12.33	10.49	0.73	0.74	6.39	2.28
Asian or Pacific Islander	3.02	3.76	3.67	3.47	2.45	2.46	3.05	2.90
Other	8.59	10.03	9.56	9.59	3.12	3.23	6.45	7.62
Total	100.00	121.80	100.00	100.00	100.00	100.00	100.00	100.00
Goodness of Fit ³								

--- Not applicable

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

²Reference distribution is from the original CPS race question conforming to the old standard.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48)

SOURCE: Washington State Population Survey

Table 14. Percent Distribution¹ of Race for Bridge Tabulation Methods if Multiple Race Responses Increase by Factors of 2, 4, 6 and 8. Washington State Population Survey (WSPS).

Race Groups	Reference Distribution	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
(Increase Multiple race Response by a Factor of 8)								
White	81.44	90.76	66.77	66.77	90.76	88.28	78.53	81.93
Black	2.93	5.79	3.28	5.79	3.32	5.63	3.59	4.04
American Indian or Alaska Native	2.74	15.15	15.15	12.86	0.68	0.70	7.75	2.62
Asian or Pacific Islander	3.02	3.93	3.82	3.57	2.30	2.31	3.04	2.86
Other	9.88	11.57	10.98	11.02	2.95	3.08	7.10	8.56
Total	100.00	127.20	100.00	100.00	100.00	100.00	100.00	100.00
Goodness of Fit ³								

--- Not applicable

¹All percents weighted to adjust for sample design and nonresponse, however estimates are not nationally representative.

²Reference distribution is from the original CPS race question conforming to the old standard.

³Goodness of Fit = Multiple of Likelihood-Ratio Chi-Squared Statistic, G2 (Agresti A. 1990, page 48)

SOURCE: Washington State Population Survey

Table 15 - A. Sensitivity of Selected Health Survey Variables to Multiple Race Reporting and Bridge Tabulation Methods.

Race Group	Detailed Race ² (SE)	Main Race ²	All Inclusive	Deterministic Whole Allocation			Deterministic Fractional Allocation		
				Smallest Group	Largest Group Other Than White	Largest Group	Plurality	Equal	NHIS
% No Health Insurance (N=251,196) ¹									
White	13.4 (.3)	13.5	13.5	13.4	13.4	13.5	13.5	13.5	13.5
Black	18.1 (.5)	18.0	18.0	18.0	18.0	18.0	18.0	18.0	18.0
AIAN	32.2 (2.1)	32.3	26.7	26.7	27.5	32.2	32.1	27.9	31.0
API	18.9 (1.3)	18.5	18.2	18.2	18.3	18.9	18.9	18.6	18.7
Other	32.5 (1.1)	31.1 ³	32.0	32.1	32.1	32.5	32.5	32.3	30.9
White/Black	15.6 (2.3)	---	---	---	---	---	---	---	---
White/AIAN	22.9 (1.4)	---	---	---	---	---	---	---	---
White/API	11.2 (1.9)	---	---	---	---	---	---	---	---
Other Combinations	19.0 (2.1)	---	---	---	---	---	---	---	---
% Poor or Fair Health ¹									
White	9.5 (.1)	9.6	9.6	9.6	9.6	9.6	9.6	9.6	9.6
Black	14.5 (.4)	14.6	14.6	14.5	14.5	14.7	14.6	14.6	14.6
AIAN	14.1 (.9)	14.3	13.8	13.8	13.4	14.1	14.2	14.0	14.2
API	8.0 (.4)	8.0	7.8	7.8	7.8	8.0	8.0	7.9	7.9
Other	11.7 (.5)	11.8 ³	11.7	11.8	11.7	11.7	11.8	11.8	11.7
White/Black	6.4 (1.0)	---	---	---	---	---	---	---	---
White/AIAN	12.5 (.7)	---	---	---	---	---	---	---	---
White/API	5.5 (1.0)	---	---	---	---	---	---	---	---
Other Combinations	14.1 (1.7)	---	---	---	---	---	---	---	---

--- Not applicable.

¹ All percents weighted to be nationally representative. 5,237 observations missing data on race and are not tabulated. Health insurance only obtained for half of 1993.

Percent living with single mother only relevant for children.

² Main Race = Race when asked best single race group; Detailed Race = Race when asked which group or groups describes race.

³ Includes Multiracial. NHIS = National Health Interview Survey; AIAN = American Indian or Alaskan Native; API = Asian or Pacific Islander.

SOURCE: Centers for Disease Control/National Center for Health Statistics. Unpublished data from the National Health Interview Survey 1993-1995.

Table 15 A. (continued)

Race Group	Detailed Race ²	Main Race ²	All Inclusive	Deterministic Whole Allocation			Deterministic Fractional Allocation		
				Smallest Group	Largest Group Other Than White	Largest Group	Plurality	Equal	NHIS
% Children Living with Single Mothers (N=86,941) ¹									
White	14.6 (.3)	14.7	14.9	14.6	14.6	14.9	14.7	14.7	14.7
Black	54.7 (1.1)	54.4	54.1	54.2	54.1	54.5	54.1	54.3	54.3
AIAN	32.1 (3.6)	31.6	28.0	28.0	26.6	31.2	32.2	30.1	32.2
API	11.7 (1.0)	12.2	12.4	12.4	12.5	11.7	11.7	12.3	11.9
Other	26.3 (1.9)	26.0 ³	26.4	26.3	26.1	26.3	26.3	26.5	27.0
White/Black	40.9 (3.1)	---	---	---	---	---	---	---	---
White/AIAN	21.1 (2.3)	---	---	---	---	---	---	---	---
White/API	16.7 (2.9)	---	---	---	---	---	---	---	---
Other Combinations	34.3 (3.6)	---	---	---	---	---	---	---	---

--- Not applicable.

¹ All percents weighted to be nationally representative. 1.6% missing data on race and are not tabulated. Health insurance only obtained for half of 1993. Percent living with single mother only relevant for children.

² Main Race = Race when asked best single race group; Detailed Race = Race when asked which group or groups describes race.

³ Includes Multiracial.

NHIS = National Health Interview Survey; AIAN = American Indian or Alaskan Native; API= Asian or Pacific Islander.

SOURCE: Centers for Disease Control/National Center for Health Statistics. Unpublished data from the National Health Interview Survey 1993-1995.

Table 15 -B. Sensitivity of Selected Health Survey Variables to Multiple Race Reporting and Bridge Tabulation Methods, Adjusted for Hispanic Origin #.

Race Group	Detailed Race ²	Main Race ²	All Inclusive	Deterministic Whole Allocation			Deterministic Fractional Allocation		
				Smallest Group	Largest Group Other Than White	Largest Group	Plurality	Equal	NHIS
% No Health Insurance (N=251,196) ¹									
White	13.4 (.3)	13.5	13.5	13.4	13.4	13.5	13.5	13.5	13.5
Black	18.1 (.5)	18.0	18.0	18.0	18.0	18.0	18.0	18.0	18.0
AIAN	32.2 (2.1)	32.3	26.7	26.7	27.5	32.2	32.1	27.9	31.0
API	18.9 (1.3)	18.5	18.2	18.2	18.3	18.9	18.9	18.6	18.7
Other	32.5 (1.1)	31.1 ³	32.0	32.1	32.0	32.4	32.5	32.3	30.7
White/Black	15.6 (2.3)	---	---	---	---	---	---	---	---
White/AIAN	22.9 (1.4)	---	---	---	---	---	---	---	---
White/API	11.2 (1.9)	---	---	---	---	---	---	---	---
Other Combinations	19.0 (2.1)	---	---	---	---	---	---	---	---
% Poor or Fair Health ¹									
White	9.6 (.1)	9.6	9.6	9.6	9.6	9.6	9.6	9.6	9.6
Black	14.7 (.4)	14.6	14.6	14.5	14.5	14.7	14.6	14.6	14.6
AIAN	14.1 (.9)	14.3	13.8	13.8	13.4	14.2	14.2	14.0	14.
API	8.0 (.4)	8.0	7.8	7.8	7.8	8.0	8.0	7.9	7.9
Other	11.8 (.5)	11.8 ³	11.7	11.7	11.7	11.8	11.8	11.8	11.6
White/Black	6.5 (1.0)	---	---	---	---	---	---	---	---
White/AIAN	12.7 (.7)	---	---	---	---	---	---	---	---
White/API	5.8 (1.0)	---	---	---	---	---	---	---	---
Other Combinations	14.2 (1.7)	---	---	---	---	---	---	---	---

--- Not applicable. NHIS = National Health Interview Survey; AIAN = American Indian or Alaskan Native; API= Asian or Pacific Islander.

¹ All percents weighted to be nationally representative. 5,237 observations missing data on race and are not tabulated. Health insurance only obtained for half of 1993. Percent living with single mother only relevant for children.

² Main Race = Race when asked best single race group; Detailed Race = Race when asked which group or groups describes race.³ Includes Multiracial.

Allocation methods applied using separate race distributions for Hispanics and Non-Hispanics.

SOURCE: Centers for Disease Control/National Center for Health Statistics. Unpublished data from the National Health Interview Survey 1993-1995.

Table 15 - B. (continued)

Race Group	Detailed Race ²	Main Race ²	All Inclusive	Deterministic Whole Allocation			Deterministic Fractional Allocation		
				Smallest Group	Largest Group Other Than White	Largest Group	Plurality	Equal	NHIS
% Children Living with Single Mothers (N=86941) ¹									
White	14.6 (.3)	14.7	14.9	14.6	14.6	14.9	14.7	14.7	14.7
Black	54.7 (1.1)	54.4	54.1	54.3	54.0	54.5	54.1	54.3	54.4
AIAN	32.1 (3.6)	31.6	28.0	28.0	26.6	32.1	32.2	30.1	32.2
API	11.7 (1.0)	12.2	12.4	12.4	12.5	11.7	12.1	12.3	11.9
Other	26.3 (1.9)	26.0 ³	26.4	26.2	26.3	26.5	26.3	26.5	26.6
White/Black	40.9 (3.1)	---	---	---	---	---	---	---	---
White/AIAN	21.1 (2.3)	---	---	---	---	---	---	---	---
White/API	16.7 (2.9)	---	---	---	---	---	---	---	---
Other Combinations	34.3 (3.6)	---	---	---	---	---	---	---	---

--- Not applicable.

¹ All percents weighted to be nationally representative. 5,237 observations missing data on race and are not tabulated. Health insurance only obtained for half of 1993. Percent living with single mother only relevant for children.

² Main Race = Race when asked best single race group; Detailed Race = Race when asked which group or groups describes race.

³ Includes Multiracial.

NHIS = National Health Interview Survey; AIAN = American Indian or Alaskan Native; API= Asian or Pacific Islander.

Allocation methods applied using separate race distributions for Hispanics and Non-Hispanics.

SOURCE: Centers for Disease Control/National Center for Health Statistics. Unpublished data from the National Health Interview Survey 1993-1995.

Table 16-A. Weighted Estimates¹ of the Unemployment Rate and Labor Force Participation Rate Under the Basic CPS, and the Bridging Methods Computed from the Race and Ethnicity Supplement to CPS.

Labor Measure and Race Category	Basic CPS	All Inclusive	Deterministic Whole Assignment				Deterministic Fractional Assignment	
			Smallest Group	Largest Group Other than White	Largest Group	Plurality	Equal Fractions	NHIS Fractions
Unemployment Rate								
White	4.82 (0.24)	4.73	4.71	4.71	4.73	4.71	4.72	4.72
Black	9.29 (0.90)	9.39	9.22	9.39	9.28	9.31	9.31	9.31
AIAN	9.76 (3.66)	11.84	11.84	10.67	12.51	12.71	11.87	12.71
API	4.85 (1.12)	4.39	4.41	4.39	4.40	4.40	4.40	4.40
Other	6.74 (1.62)	7.73	7.88	7.88	7.88	7.83	7.88	7.83
Labor Force Participation Rate								
White	66.30 (0.42)	66.25	66.23	66.23	66.25	66.25	66.24	66.24
Black	62.53 (1.01)	62.78	62.70	62.78	62.68	62.78	62.72	62.72
AIAN	57.66 (3.75)	65.75	65.75	64.49	63.47	63.60	64.57	64.19
API	66.53 (2.22)	65.60	65.45	65.66	65.41	65.38	65.46	65.46
Other	68.73 (2.46)	68.45	68.38	68.38	68.38	68.38	68.39	68.39

¹ Estimates weighted to adjust for nonresponse and survey design but are not nationally representative.

AIAN = American Indian or Alaska Native; API = Asian or Pacific Islander.

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity.

Table 16-B. Weighted Estimates¹ of the Unemployment Rate and Labor Force Participation Rate Under the Basic CPS, and the Bridging Methods Computed from the Race and Ethnicity Supplement to CPS. Adjusted for Hispanic Origin #

Labor Measure and Race Category	Basic CPS Distribution	Deterministic Whole Assignment				Deterministic Fractional Assignment
		Smallest Group	Largest Group Other than White	Largest Group	Plurality	NHIS Fractions
Unemployment Rate						
White	4.82 (0.24)	4.71	4.71	4.73	4.71	4.72
Black	9.29 (0.90)	9.22	9.39	9.28	9.39	9.31
AIAN	9.76 (3.66)	11.90	10.67	12.51	12.44	12.79
API	4.85 (1.12)	4.43	4.41	4.41	4.40	4.40
Other	6.74 (1.62)	7.77	7.77	7.84	7.86	7.82
Labor Force Participation Rate						
White	66.30 (0.42)	66.23	66.23	66.25	66.26	66.24
Black	62.53 (1.01)	62.75	62.79	62.70	62.78	62.72
AIAN	57.66 (3.75)	65.64	64.64	63.47	63.60	64.17
API	66.53 (2.22)	65.37	65.58	65.32	65.15	65.45
Other	68.73 (2.46)	68.47	68.49	68.40	68.40	68.39

¹ Estimates weighted to adjust for nonresponse and survey design but are not nationally representative.
AIAN = American Indian or Alaska Native; API = Asian or Pacific Islander.

Allocation methods applied using separate race distributions for Hispanics and Non-Hispanics.

SOURCE: May 1995 Current Populations Survey (CPS) Supplement on Race and Ethnicity.