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HEA [Higher Education Act] Conference: H.H. [High Hopes]/Jeffords [2]

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THE WHITE HOUSE
WASHINGTON

x Fattal

• 21st Century Scholars - ~~name~~

- "affords promised program integrity"

Pathways to College



FAX TRANSMISSION

U.S. DEPARTMENT OF EDUCATION

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From:

Jim O'Neil

Number of Pages (including cover sheet):

12

If there are any problems with this transmission, please contact me at:
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Comments:

Jim's new draft. (We don't
think much of it but rather than
complaining too much and have the
whole thing dropped, we might let
it happen and then be aggressive in
conference.)

See analysis at back.

Issues

reqt of scholarship component.

- priorities to existing programs.

→ No

80% match ... delayed part?

- only MHSB or

Cardmanan language

Chords

Missing

→ HH/Good Partnership
- Coordinator

Other

- Term HH

- primary students

- 75% schools

- 200K for 21st Cent. Scholar.

- fiscal agent - if to expense - in a case

- Supp better Supp → better incl for MBE

-NEISP/High Hopes

~~CHAPTER 2 NATIONAL EARLY INTERVENTION SCHOLARSHIP AND PARTNERSHIP PROGRAM~~

SEC. 404A. EARLY INTERVENTION AND COLLEGE AWARENESS PROGRAM AUTHORIZED.

The Secretary is authorized, in accordance with the requirements of this chapter, to establish a program that Sec. 404A

(1) encourages States eligible entities to provide or maintain a guarantee to eligible low-income (eligible) students who obtain a high school diploma (or its equivalent), of the financial assistance necessary to permit them to attend an institution of higher education; and

~~(2) provides incentives to States, in cooperation with local educational agencies, institutions of higher education, community organizations and business, to provide support eligible entities in providing~~

(A) additional counseling, mentoring, academic support, outreach, and supportive services to elementary, middle, and secondary school students who are at risk of dropping out of school; and

(B) information to students and their parents about the advantages of obtaining a postsecondary education and their college financing options.

(b) AWARDS- (1) The Secretary may make awards to eligible entities to carry out the program authorized under subsection (a).

(2) For the purposes of this Chapter, the term "eligible entity" means-

(A) a State

or

(B) a partnership of one or more local education agencies acting on behalf of one or more schools and the public secondary schools that students from these schools would normally attend, one or more degree granting institutions of higher education, at least two community organizations or entities (such as business, professional associations, community-based organizations, philanthropic organization, state agency, institutions or agencies sponsoring programs authorized under subpart 4 of this part, or other public or private agencies or organizations).

(3) In making such awards, the Secretary shall give priority consideration to eligible entities with existing successful educational opportunity programs and that have prior, demonstrated commitment to early intervention leading to college access through collaboration and replication of successful strategies as well as provide an assurance that students currently being served under currently funded early intervention scholarship programs continue to receive service through the completion of high school.

(DEPARTMENT LANG.)

SEC XXX. Each eligible entity shall ensure that its activities under this Chapter are, to the extent practicable, coordinated with, and complement and enhance

(1) services under this Chapter by other eligible entities within the same school district or State; and

(2) related services under other Federal or non-federal programs.

(20 U.S.C. 1070a-21)

SEC. 404B. ~~STATE ELIGIBILITY AND STATE AND PARTNERSHIP PLANS.~~

(a) Plan Required for Eligibility. (1) In order for a State an eligible entity to qualify for a grant under this chapter, the State eligible entity shall submit to the Secretary a plan for carrying out the program under this chapter. Such plan shall provide for the conduct, ~~under the State program~~, of both a scholarship component in accordance with section 404D and an early intervention component in accordance with section 404C.

Sec. 404B

(2) Each State plan submitted pursuant to paragraph (1) shall be in such form, contain or be accompanied by such information or assurances, and be submitted at such time as the Secretary may require by regulation and shall

(A) describe the activities for which assistance under this section is sought; and

(B) provide such additional assurances as the Secretary determines necessary to ensure compliance with the requirements of this section.

(b) Matching Requirement. The Secretary shall not approve a plan submitted under subsection (a) unless such plan

(1) provides that the State eligible entity will provide, from State, local, institutional or private funds, not less than one-half the cost of the program (in cash or in kind);

have given accurate authority?

(2) specifies the methods by which such share of the costs will be paid; and

(3) includes provisions designed to assure that funds provided under this chapter shall supplement and not supplant funds expended for existing ~~state and local~~ programs.

(c) Methods for Complying With Matching Requirement. ~~A State an~~ eligible entity may count toward the contribution required by subsection (b) (1) —

(1) the amount of the grants paid to students from State, local, institutional or private funds under this chapter;

(2) the amount of tuition, fees, room or board waived or reduced for recipients of grants under this chapter; and

(3) the amount expended on documented, targeted, long-term mentoring and counseling provided by volunteers or paid staff of nonschool organizations, including businesses, religious organizations, community groups, postsecondary educational institutions, nonprofit and philanthropic organizations, and other organizations.

(d) Payment Requirements. Upon submission by ~~a State an~~ eligible entity of such documents as the Secretary may, by regulation, require for demonstrating the total amount expended by the ~~State an~~ eligible entity in accordance with this chapter for a fiscal year, the Secretary shall, ~~from such State's allotment under section 104B for such fiscal year, pay to such~~ State such eligible entity an amount equal to not more than one-half of the total amount so expended.
(20 U.S.C. 1070a-22)

SEC. 404C. EARLY INTERVENTION.

(a) In General. In order to receive payments under section 404B(d), ~~a State an~~ eligible entity shall demonstrate to the satisfaction of the Secretary that ~~the State~~ it will provide comprehensive mentoring, counseling, outreach, and supportive services to students participating in programs under this chapter who are enrolled in preschool through grade 12. Such counseling shall include financial aid counseling that provides information on the opportunities for financial assistance under this title as well as activities or information that foster and improve parent involvement in promoting post secondary information on the advantages of a college education, academic admission requirements and the need to take college preparation courses, admissions and achievement tests, and application procedures. The State eligible entity shall demonstrate, pursuant to regulations of the Secretary, the methods by which ~~the State~~ it will target services on priority students.

Sec. 404C

(b) Uses of Funds.

(1) In general. The Secretary shall, by regulation, establish criteria for determining whether comprehensive mentoring, counseling, outreach, and supportive services programs may be used to meet the requirements of subsection (a).

(2) The Secretary may require (approve plans whereby) that eligible entities described in section 404A(b)(2)(B) provide services to at least one grade level of students, beginning not later than 7th grade, in a participating public school that has a 7th grade and in which at least 50 percent of the students enrolled are eligible for free or reduced-price lunch (or, if an eligible partnership determines that it would promote the effectiveness of a project, an entire grade level of students, beginning not later than the 7th grade, who reside in public housing as defined in section 3(b)(1) of the United States Housing Act of 1937); and ensure that services authorized are provided through the 12th grade to students in participating grade level;

(3) In order for the Secretary to require the cohort approach described in (2), the Secretary must, where applicable, assure that the cohort approach is done in coordination and collaboration with existing early intervention programs and does not duplicate the services already provided to a school or community.

(4) ~~(2)~~ Allowable providers. For those eligible entities defined in section 404A(b)(2)(A), the activities required by subsection (a) may be provided by service providers such as community-based organizations, schools, institutions of higher education, public and private agencies, nonprofit and philanthropic organizations, businesses, institutions and agencies sponsoring programs authorized under subpart 4 of this part, and other organizations the Secretary deems appropriate.

(3) Permissible activities. Examples of acceptable activities to meet the requirements of subsection (a) include the following:

(A) Providing eligible students in preschool through grade 12 with a continuing system of mentoring and advising that

(i) is coordinated with the Federal and State community service initiatives; and

(ii) may include such support services as after school and summer tutoring, assistance in obtaining summer jobs, career mentoring and academic counseling.

(B) Requiring each student to enter into an agreement under which the student agrees to achieve certain academic milestones, such as completing a prescribed set of courses and maintaining satisfactory academic progress as described in section 484(c), in exchange for receiving tuition assistance for a period of time to be established by each State.

(C) Activities designed to ensure high school completion and college enrollment of at-risk children, including identification of at-risk children, after school and summer tutoring, assistance in obtaining summer jobs, academic counseling, volunteer and parent involvement and former or current scholarship recipients as mentor or peer counselors, skills assessment, assurances that students have access to rigorous core courses that reflect challenging academic standards, personal counseling, family counseling and home visits, and staff development, and programs and activities as described in this subparagraph which are specially designed for students of limited English proficiency.

(D) Prefreshman summer programs that

(i) are at institutions of higher education that also have programs of academic year supportive services for disadvantaged students through projects authorized under section 402D or through comparable projects funded by the State or other sources;

(ii) assure the participation of students who qualify as disadvantaged under the provisions of section 402D or who are eligible for comparable programs funded by the State;

(iii) (I) provide summer instruction in remedial, developmental or supportive courses; (II) provide such summer services as counseling, tutoring, or orientation; and (III) provide grant aid to students to cover prefreshman summer costs for books, supplies, living costs and personal expenses; and

(iv) assure that participating students will receive financial aid during each academic year they are enrolled at the participating institution after the prefreshman summer.

(E) Requiring eligible students to meet other standards or requirements as the State determines necessary to meet the purposes of this section.

(c) Priority Students. In administering the early intervention component, the ~~State~~ eligible entity shall treat as priority students any student in preschool through grade 12 who is eligible

(1) to be counted under section 1005(c) of the Elementary and Secondary Education Act of 1965;

(2) for free or reduced price meals pursuant to the National School Lunch Act; or

(3) for assistance pursuant to part A of title IV of the Social

Need
no for
work
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Security Act.

(20 U.S.C. 1070a-23)

SEC. 404D. SCHOLARSHIP COMPONENT.

(a) In General. In order to receive payments under section 404B(d), ~~a State~~ an eligible entity shall establish or maintain a financial assistance program that awards grants to students in accordance with the requirements of this section. The Secretary shall encourage the State eligible entity to ensure that the tuition assistance provided pursuant to this section is available to an eligible student for use at any eligible institution.

(b) Grant Amounts. The maximum amount of the grant that an eligible student in any participating State shall be eligible to receive under this section shall be established by the State. The minimum amount of the grant for each fiscal year shall not be less than the lesser of—

- (1) 75 percent of the average cost of attendance for an in-State student, in a 4-year program of instruction, at public institutions of higher education in such State, as determined in accordance with regulations prescribed by the Secretary; or
- (2) the maximum grant funded under section 401 for such fiscal year.

(c) Relation to Other Assistance. Tuition assistance provided under this chapter shall not be considered for the purpose of awarding Federal grant assistance under this title, except that in no case shall the total amount of student financial assistance awarded to a student under this title exceed such student's total cost of attendance.

(d) Eligible Students. A student eligible for assistance under this chapter is a student who—

- (1) is less than 22 years old at time of first grant award;
- (2) receives a high school diploma or a certificate of high school equivalence on or after January 1, 1993;
- (3) is enrolled or accepted for enrollment in a program of undergraduate instruction at an institution of higher education that is located within the State's boundaries; except that, as a State option, a State may offer grant program portability for recipients who attend institutions of higher education outside such State; and

(4) who participated in the State early intervention component required under section 404C.

(e) Priority; Waiver. (1) The Secretary shall ensure that each State eligible entity place a priority on awarding scholarships to students who will receive a Pell Grant for the academic year for which the award is being made under this chapter.

(2) A State An eligible entity may consider students who have successfully participated in programs funded under chapter 1 of this subpart to have met the requirements of subsection (d) (4). (20 U.S.C. 1070a-24)

~~SEC. 404E. DISTRIBUTION OF FUNDS.~~

~~(a) Competitive Awards. If the amount appropriated to carry out this chapter for a fiscal year is less than \$50,000,000, then the Secretary shall award grants under this chapter on a competitive basis to States to carry out a program described in section 404A. Sec. 404E~~

~~(b) Allotment Based on Title I-ESBA Allocations. If the amount appropriated to carry out this chapter for a fiscal year is \$50,000,000 or more, then the Secretary shall allot to each State an amount which bears the same ratio to such sums as—~~

~~(1) the amount allocated under section 1005 of the Elementary and Secondary Education Act of 1965 to the local education agencies in the State, bears to—~~

~~(2) the total amount allocated under such section to all such agencies in all States.~~

~~(c) Limit on Use. No State may use less than 25 percent or more than 50 percent of its allotment for the early intervention component of the State program, except that the Secretary may waive the 50 percent limitation if the State demonstrates that the State has another means of providing the student's financial assistance that is described in the State plan.~~

~~(d) Reallocation. The amount of any State's allotment under subsection (b) for any fiscal year which the Secretary determines will not be required for such fiscal year for the program of that State shall be available for reallocation from time to time, on such dates during such year as the Secretary may fix, to other States in proportion to the original allotments to such States for such year, but with such proportionate amount for any of such States being reduced to the extent it exceeds the sum the Secretary estimates such State needs and will be able to use for such year for carrying out such programs. The total of such reductions shall be similarly reallocated among the States whose proportionate amounts were not so reduced. A State shall match, in accordance with section 404B(b) any reallocated funds it receives under this subsection.~~

~~(e) Allotment Subject to Continuing Compliance. The Secretary shall make payments for programs only to States which continue to meet the requirements of the State plan pursuant to section 404B. (20 U.S.C. 1070a-25)~~

SEC 404E. 21st CENTURY SCHOLAR CERTIFICATES.

(a) **AUTHORITY.**- The Secretary, using funds appropriated under 404G, not to exceed \$200,000 annually, -

(1) shall ensure that certificates, to be known as 21st Century Scholar Certificates, are provided to all students participating in projects under this Chapter, and

(2) may, as practicable, ensure that such certificates are provided to all students in grades 6-12 who attend schools at which at least 50 percent of the students enrolled are eligible for free or reduced price lunch.

(b) **INFORMATION REQUIRED.**-- A 21st Century Scholar Certificate shall be personalized for each student and indicate the amount of Federal financial aid for college which a student might be eligible.

404F. EVALUATION AND REPORT.

(a) **Evaluation.** Each State eligible entity receiving an allotment under this chapter shall biennially evaluate the early intervention program assisted under this chapter in accordance with the standards described in subsection (b) and shall submit to the Secretary a copy of such evaluation. The evaluation component shall permit service providers to track eligible student progress during the period such students are participating in the program assisted under this section and must be consistent with the standards developed by the Secretary pursuant to subsection (b).

Sec. 404F

(b) **Evaluation Standards.** The Secretary shall prescribe standards for the evaluation described in subsection (a). Such standards shall

(1) provide for input from States eligible entities and service providers; and

(2) ensure that data protocols and procedures are consistent and uniform.

(c) **FEDERAL EVALUATION.**- In order to evaluate and improve the impact of this program, the Secretary shall, with funds appropriated under section 404G, make grants to, and enter into contracts and cooperative agreements with public and private institutions and organizations to evaluate the effectiveness of the program assisted under this chapter and, as appropriate, disseminate such results.

(c) **Report.** The Secretary shall biennially report to the Congress on the activities assisted under this chapter and the evaluations conducted pursuant to this subsection (a).

(20 U.S.C. 1070a-26)

Sec. 404G

SEC. 404G. APPROPRIATIONS.

There is authorized to be appropriated to make grants under this chapter \$200,000,000 for fiscal year ~~1992~~ 1999 and such sums as may be necessary for each of the four succeeding fiscal years.

(20 U.S.C. 1070a-27)

Author: Jack Kristy at WDCE04
Date: 6/12/98 9:59 AM
TO: Randy Hansen
TO: Susan Frost at Wdcb04
TO: Robert Davidson at WDCT02
TO: Debra Henderson at WDCT02
TO: Lynn Mahaffie at WDCA04
TO: Jon Oberg at WDCB01
TO: Maureen McLaughlin at WDCA01
TO: Diana Phillips at WDCB01
TO: Pauline Abernathy at WDCB01
TO: Jill Riemer at Wdcb04
TO: Kristi Kimball at WDCB01
Subject: Re: High Hopes/Jeffords Language

Kristi--Randy is tied up, so I will start sorting through your various questions. You should know, however, that as I read the Jeffords language, we should be very unhappy. The language is even more cumbersome than before, but there appears to be no "two-types-of-projects" approach; both States and our partnerships would have to submit a plan for, and carry out, both the scholarship component and the early intervention component (which doesn't seem likely for our partnerships.) Moreover, while a class cohort approach for early intervention is authorized, it appears not to be required--throwing doubt on whether we would get anything we want. Heads up.

Reply Separator

Subject: High Hopes/Jeffords Language
Author: Kristi Kimball at WDCB01
Date: 6/11/98 9:59 PM

Randy,

The following is a list of the items that we have identified as areas of concern in the new language from Jeffords. (If others have spotted problems that are not listed, please email your additions.)

- 1) Fiscal Agent. Could you clarify whether states would be able to act as fiscal agents for High Hopes under this new language?
- 2) High Hopes has been deleted from the title of the program. Can we at least add it into title of the partnership grants?
- 3) Preferences for preexisting programs, under 404A(b)(3). Would this language actually establish priority points for existing programs? Or does the phrase "existing successful educational programs" give us a safe loophole to deny priority points to bad programs?
- 4) 404A(b)(2)(A) Definition of State as eligible entity. They dropped our language about states cooperating with LEAS, IHEs, and community orgs. Should we be concerned?
- 5) 50% Matching requirement for both NEISP & HH. Our language would use a diminishing federal match.
- 6) Supplement not supplant language. Could we strike this and replace it with more outcome-oriented language about enhanced services -- or use the increasing aggregate expenditure language from our original language? Any suggestions?

**This raises a general point of concern is about the fact that much of the NEISP language, which we basically disregarded earlier, now seems to apply to High Hopes because they have so thoroughly integrated the two programs. Are there other areas where this might cause problems?

7) All the additional HH requirements are at the discretion of the Secretary. "May require..." Clearly we will want to make these firm requirements.

8) 404C(b)(3) Stipulation about non-duplication with the Cohort-wide approach. Would this language mean that any school that had students in TRIO could not participate in High Hopes? Also, would this enable States with NEISP programs to block any colleges in the state from forming HH partnerships? Maybe we could solve this by deleting "and does not duplicate"?

9) Technical Assistance and Ford. Do you see notice provisions that would interfere with our plans to have Ford assist with technical assistance on High Hopes? What about provisions that would bar Ford from donating \$ to fund HH partnerships? Would we still have the ability to use one half of one percent of funding for administrative expenses?

10) Full-time HH coordinator. It doesn't appear to be included.

11) Rigorous core courses language gets lost in the laundry list of permissible activities.

12) Private school eligibility. Doesn't appear to be included.

13) Preference for 75% poverty schools. Doesn't appear to be included.

14) Dollars for scholarships. Does this language require HH partnerships to provide the same level of scholarship funding that NEISP states provide (lesser of 75% of in-state COA or max. Pell)?

15) \$200,000 cap on 21st Century Scholar Certificates. Debra Henderson is going to give me detailed cost info in the morning, so we should be able to confirm that this would be enough money for all the HH kids plus some additional.

16) Coordination language. It seems they went beyond what we suggested. Do we need to strike all additions? Can we live with some of it?

Wow, this is a long list.

Randy, Pauline also mentioned that you were going to make a list of the "good stuff" that we included in Fattah's draft of HH but that didn't actually make it into the Jeffords language or even onto our list of things we wanted to add to the Jeffords language. If any of those items come to mind while you are reviewing this new language, please do jot them down.

Thanks in advance for all your help!
Kristi

Author: Randy Hansen at WDCE04

Date: 5/18/98 11:42 AM

Priority: Urgent

TO: Robert_M._Shireman@opd.gov at INTERNET, Maureen McLaughlin at WDCA01,
Jay Noell at WDCA04, Diana Phillips at WDCB01, Pauline Abernathy at WDCB01,
Kristi Kimball at WDCB01, Thomas Corwin at WDCT02, Jack Kristy, Jill Riemer at Wdcb04,
Daniel Bernal at Wdcb04

CC: Susan Frost at Wdcb04, Mike Smith at WDCT01, Jean Veta at WDCE01, Melvin Jackson

Subject: NEISP/High Hopes

To the High Hopes Group:

I am faxing you a draft markup of changes to NEISP, mostly along the lines of the Jeffords' proposal. The changes would allow for both a NEISP State program and a partnership HH's program under one authorization of appropriation under Chapter 2. This has the advantage of changing little of the existing statutory language for NEISP (thereby maintaining its integrity), putting in clear and distinct HH markers for the conference, and, later, being able to run HH and phase out NEISP through the budget process under this authority. The alternative to this would be to have two similar eligible entities but only one intervention program. This alternative, in order to get HH requirements into the one program, would necessitate major changes to 404C (more than just changing "priority students" to "priority schools"). After further discussion with Jon Oberg about what Jeffords might accept, the alternative did not seem like a good idea. Instead, I put what I heard at the meeting as the essential elements of HH into new 404B(b) as application assurance (i.e., requirements). This includes requiring the "cohort" concept. Although Jeffords' specs says that cohorts are to be permissible, I believe that is based on the fear that unless permissible NEISP programs would have to cohort. However, under the two program approach they wouldn't. Also, pursuant to our meeting I put in 21st CSCs and Secretarial evaluation. Please let me have your comments on the draft.

Randy

7346

CHAPTER 2—NATIONAL EARLY INTERVENTION SCHOLARSHIP AND PARTNERSHIP PROGRAM

494A. EARLY INTERVENTION PROGRAM AUTHORIZED

The Secretary is authorized, in accordance with the requirements of this chapter, to establish ~~a program that~~ ^①

(1) encourage ~~States to provide or maintain a guarantee~~ to eligible low-income students who obtain a high school diploma (or its equivalent), of the financial assistance necessary to permit them to attend an institution of higher education; and

(2) ~~provide incentives to States, in cooperation with local educational agencies, institutions of higher education, community organizations and business, to provide—~~

(A) additional counseling, mentoring, academic support, outreach, and supportive services to elementary, middle, and secondary school students who are at risk of dropping out of school; and

(B) information to students and their parents about the advantages of obtaining a postsecondary education and their college financing options.

(36 U.S.C. 1070e-21)

AND COLLEGE AWARENESS PROGRAMS.—(a) PROGRAMS AUTHORIZED.—

② eligible entities

support eligible entities in providing—

(b) AWARDS.—(1) The Secretary may make awards to eligible entities to carry out programs authorized under subsection (a).

(2) For the purpose of this Chapter, the term "eligible entities" means—

(1) a State, in cooperation local education agencies, institutions of higher education, community organizations and business; or

(2) a partnership of one or more local education agencies acting on behalf of one or more participating schools and the public secondary schools that students from these schools would normally attend, one or more degree granting institutions of higher education, and at least two community organizations or entities (such as businesses, professional associations, community-based organizations, philanthropic organizations, institutions or agencies sponsoring programs authorized under subpart 4 of this part, or other public or private agencies or organizations).

PC 404B. STATE ELIGIBILITY AND STATE PLANS AND PARTNERSHIP APPLICATIONS.

(a) ~~PLAN REQUIRED FOR BUDGETING.~~—(1) In order for a State to qualify for a grant under this chapter, the State shall submit to the Secretary a plan for carrying out the program under this chapter. Such plan shall provide for the conduct, under the State program, of both a scholarship component in accordance with section 404D and an early intervention component in accordance with section 404C.

(2) Each State plan submitted pursuant to paragraph (1) shall be in such form, contain or be accompanied by such information or assurances, and be submitted at such time as the Secretary may require by regulation and shall—

(A) describe the activities for which assistance under this section is sought; and

(B) provide such additional assurances as the Secretary determines necessary to ensure compliance with the requirements of this section.

(b) ~~MATCHING REQUIREMENT.~~—The Secretary shall not approve a plan submitted under subsection (a) unless such plan—

(1) provides that the State will provide, from State, local, or private funds, not less than one-half the cost of the program;

(2) specifies the methods by which such share of the costs will be paid; and

(3) includes provisions designed to assure that funds provided under this chapter shall supplement and not supplant funds expended for existing State and local programs.

(c) ~~METHODS FOR COMPLYING WITH MATCHING REQUIREMENT.~~—A State may count toward the contribution required by subsection (b)(1)—

(1) the amount of the grants paid to students from State, local, or private funds under this chapter;

(2) the amount of tuition, fees, room or board waived or reduced for recipients of grants under this chapter; and

(3) the amount expended on documented, targeted, long-term mentoring and counseling provided by volunteers or paid staff of nongovernmental organizations, including businesses, religious organizations, community groups, postsecondary educational institutions, nonprofit and philanthropic organizations, and other organizations.

(d) ~~PAYMENT REQUIREMENTS.~~—Upon submission by a State of such documents as the Secretary may, by regulation, require for demonstrating the total amount expended by the State in accordance with this chapter for a fiscal year, the Secretary shall, from such State's allotment under section 404E for such fiscal year, pay to such State an amount equal to not more than one-half of the total amount so expended.

(50 U.S.C. 1070a-22)

SEC. 404C. EARLY INTERVENTION.

(a) ~~IN GENERAL.~~—In order to receive payments under section 404B, a State shall demonstrate to the satisfaction of the Secretary that the State will provide comprehensive mentoring, counseling, outreach, and supportive services to students participating in programs under this chapter who are enrolled in preschool

STATE

(b) ~~PARTNERSHIP APPLICATIONS.~~—(1) A partnership described in section 404A(b)(2) desiring to receive a grant for a project under this chapter shall submit an application to the Secretary, in such form and containing such information, as the Secretary may require.

(2) The Secretary, as a condition of the grant, shall provide a uniform schedule of cost sharing for projects involving partnerships under 404A(b)(2).

(3) All applications submitted under this subsection shall assure that projects involving partnerships described under 404A(b)(2) will—

(A) provide services to at least one grade level of students, beginning not later than the 7th grade, in a participating public school, provided such school has a 7th grade and has at least 50 percent of the students enrolled are eligible for free or reduced-price lunch (or, if an eligible partnership determines that it would promote the effectiveness of a project, an entire grade level of students, beginning not later than the 7th grade, who reside in public housing as defined in section 3(b)(1) of the United States Housing Act of 1937);

(B) ensure that the services authorized under this chapter are provided through the 12th grade to students in that participating grade level; and

(C) include activities and information that foster and improve parent involvement in promoting postsecondary education for their children, including the provision of useful early information on the advantages of a college education, academic admissions requirements and the need to take core courses, admissions and achievement tests, application procedures, college costs and options, and the availability of student financial aid.

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eligible entity
eligible entity

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rough grade 12. Such counseling shall include financial aid counseling that provides information on the opportunities for financial assistance under this title. The State shall demonstrate, pursuant to regulations of the Secretary, the methods by which the State will get services on priority students.

eligible entity
eligible entity

(b) **CLASS OF FUNDS.**

(1) **IN GENERAL.**—The Secretary shall, by regulation, establish criteria for determining whether comprehensive tutoring, counseling, outreach, and supportive services programs may be used to meet the requirements of subsection (a).

(2) **ALLOWABLE PROVIDERS.**—The activities required by subsection (a) may be provided by service providers such as community-based organizations, schools, institutions of higher education, public and private agencies, nonprofit and philanthropic organizations, businesses, institutions and agencies sponsoring programs authorized under subpart 4 of this part, other organizations the Secretary deems appropriate.

(3) **PERMISSIBLE ACTIVITIES.**—Examples of acceptable activities to meet the requirements of subsection (a) include the following:

(A) Providing eligible students in preschool through grade 12 with a continuing system of mentoring and advising that—

(i) is coordinated with the Federal and State community service initiatives; and

(ii) may include such support services as after school and summer tutoring, assistance in obtaining summer jobs, career mentoring and academic counseling.

(B) Requiring each student to enter into an agreement under which the student agrees to achieve certain academic milestones, such as completing a prescribed set of courses and maintaining satisfactory academic progress as described in section 484(c), in exchange for receiving tuition assistance for a period of time to be established by each State.

(C) Activities designed to ensure high school completion and college enrollment of at-risk children, including identification of at-risk children, after school and summer tutoring, assistance in obtaining summer jobs, academic counseling, volunteer and parent involvement and former or current scholarship recipients as mentor or peer counselors, skills assessment, personal counseling, family counseling and home visits, and staff development, and programs and activities as described in this subparagraph which are specially designed for students of limited English proficiency.

(D) Prefreshman summer programs that—

(i) are at institutions of higher education that also have programs of academic year supportive services for disadvantaged students through projects authorized under section 402D or through comparable projects funded by the State or other sources;

(ii) assure the participation of students who qualify as disadvantaged under the provisions of section 402D or who are eligible for comparable programs funded by the State;

(iii)(I) provide summer instruction in remedial, developmental or supportive courses; (II) provide such summer services as counseling, tutoring, or orientation; and (III) provide grant aid to students to cover prefreshman summer costs for books, supplies, living costs and personal expenses; and

(iv) assure that participating students will receive financial aid during each academic year they are enrolled at the participating institution after the prefreshman summer.

(E) Requiring eligible students to meet other standards or requirements as the State determines necessary to meet the purposes of this section.

(c) **PRIORITY STUDENTS.**—In administering the early intervention component, the State shall treat as priority students any student in preschool through grade 12 who is eligible—

(1) to be counted under section 1005(c) of the Elementary and Secondary Education Act of 1965;

(2) for free or reduced price meals pursuant to the National School Lunch Act; or

(3) for assistance pursuant to part A of title IV of the Social Security Act (Aid to Families with Dependent Children).

(30 U.S.C. 1070a-23)

SEC. 404D. SCHOLARSHIP COMPONENT.

(a) **IN GENERAL.**—In order to receive payments under section 404B(d), a State shall establish or maintain a financial assistance program that awards grants to students in accordance with the requirements of this section. The Secretary shall encourage the State to ensure that the tuition assistance provided pursuant to this section is available to an eligible student for use at any eligible institution.

(b) **GRANT AMOUNTS.**—The maximum amount of the grant that an eligible student in any participating State shall be eligible to receive under this section shall be established by the State. The minimum amount of the grant for each fiscal year shall not be less than the lesser of—

(1) 75 percent of the average cost of attendance for an in-State student, in a 4-year program of instruction, at public institutions of higher education in such State, as determined in accordance with regulations prescribed by the Secretary; or

(2) the maximum grant funded under section 401 for such fiscal year.

(c) **RELATION TO OTHER ASSISTANCE.**—Tuition assistance provided under this chapter shall not be considered for the purpose of awarding Federal grant assistance under this title, except that in no case shall the total amount of student financial assistance awarded to a student under this title exceed such student's total cost of attendance.

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(d) **ELIGIBLE STUDENTS.**—A student eligible for assistance under this chapter is a student who—

- (1) is less than 22 years old at time of first grant award;
- (2) receives a high school diploma or a certificate of high school equivalence on or after January 1, 1993;
- (3) is enrolled or accepted for enrollment in a program of undergraduate instruction at an institution of higher education that is located within the State's boundaries; except that, as a State option, a State may offer grant program portability for recipients who attend institutions of higher education outside such State; and

(4) who participated in the State early intervention component required under section 404C.

(e) **PRIORITY; WAIVER.**—(1) The Secretary shall ensure that each State place a priority on awarding scholarships to students who will receive a Pell Grant for the academic year for which the award is being made under this chapter.

(2) A State may consider students who have successfully participated in programs funded under chapter 1 of this subpart to have met the requirements of subsection (d)(4).

(20 U.S.C. 1070e-24)

SEC. 404E. DISTRIBUTION OF FUNDS.

(a) **COMPETITIVE AWARDS.**—If the amount appropriated to carry out this chapter for a fiscal year is less than \$50,000,000, then the Secretary shall award grants under this chapter on a competitive basis to States to carry out a program described in section 404A.

(b) **ALLOTMENT BASED ON TITLE I ESEA ALLOCATIONS.**—If the amount appropriated to carry out this chapter for a fiscal year is \$50,000,000 or more, then the Secretary shall allot to each State an amount which bears the same ratio to such sums as—

(1) the amount allocated under section 1005 of the Elementary and Secondary Education Act of 1965 to the local education agencies in the State,

bears to—

(2) the total amount allocated under such section to all such agencies in all States.

(c) **LIMIT ON USE.**—No State may use less than 25 percent or more than 50 percent of its allotment for the early intervention component of the State program, except that the Secretary may waive the 50 percent limitation if the State demonstrates that the State has another means of providing the student's financial assistance that is described in the State plan.

(d) **REALLOTMENT.**—The amount of any State's allotment under subsection (b) for any fiscal year which the Secretary determines will not be required for such fiscal year for the program of that State shall be available for reallocation from time to time, on such dates during such year as the Secretary may fix, to other States in proportion to the original allotments to such States for such year but with such proportionate amount for any of such States being reduced to the extent it exceeds the sum the Secretary estimates such State needs and will be able to use for such year for carrying out such programs. The total of such reductions shall be similarly reallocated among the States whose proportionate amounts

— delete

05/18/98 12:27 202 401 5391 DEPT. ED OGC 008/008

~~may not be reduced. A State shall match, in accordance with section 404D(b), any reallocated funds it receives under this subsection.~~

- delete

~~(e) ALLOTMENT SUBJECT TO CONTINUING COMPLIANCE.—The secretary shall make payments for programs only to States which continue to meet the requirements of the State plan pursuant to section 404B.~~

(20 U.S.C. 1070a-35)

EC. 404F. EVALUATION AND REPORT

(a) **EVALUATION.**—Each State receiving an allotment under this chapter shall biennially evaluate the early intervention program assisted under this chapter in accordance with the standards described in subsection (b) and shall submit to the Secretary a copy of such evaluation. The evaluation component shall permit service providers to track eligible student progress during the period such students are participating in the program assisted under this section and must be consistent with the standards developed by the secretary pursuant to subsection (b).

STATE

(b) **EVALUATION STANDARDS.**—The Secretary shall prescribe standards for the evaluation described in subsection (a). Such standards shall—

STATE

- (1) provide for input from States and service providers; and
- (2) ensure that data protocols and procedures are consistent and uniform.

(c) **REPORT.**—The Secretary shall biennially report to the Commission on the activities assisted under this chapter and the evaluations conducted pursuant to subsection (b).

(d)

U.S.C. 1070a-39

this

404G. APPROPRIATIONS.

There is authorized to be appropriated to make grants under this chapter \$200,000,000 for fiscal year 1996 and such sums as may be necessary for each of the four succeeding fiscal years.

99

(30 U.S.C. 1070a-37)

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SEC. 404E. 21ST CENTURY SCHOLAR CERTIFICATES.

(a) **AUTHORITY.**—The Secretary, using funds appropriated under section 404G—

(1) shall ensure that certificates, to be known as 21st Century Scholar Certificates, are provided to all students participating in projects under this Chapter; and

(2) may, as practicable, ensure that such certificates are provided to all students in grades 6 through 12 who attend schools at which at least 50 percent of the students enrolled are eligible for free or reduced-price lunch.

(b) **INFORMATION REQUIRED.**—A 21st Century Scholar Certificate shall be personalized for each student and indicate the amount of Federal financial aid for college for which a student may be eligible.

(c) **FEDERAL EVALUATION.**—In order to improve the operation of the program assisted under this chapter, the Secretary shall, with funds appropriated under section 404G, make grants to, and enter into contracts and cooperative agreements with, institutions of higher education and other public and private institutions and organizations to evaluate the effectiveness of the program assisted under this chapter and, as appropriate, disseminate such results.

May 21, 1998

Talking Points For Proposed

**NATIONAL EARLY INTERVENTION AND
HIGH HOPES PARTNERSHIP PROGRAM**

Our Strategy in Revising

- o Change the current language as little as possible, while incorporating the points made by Senator Jefford's staff and creating authority for a High Hopes-type partnership program.
- o Maintain the integrity of current NEISP programs (including not making serving "cohorts" a requirement in State NEISP projects) and inserting requirements consistent with the Administration's High Hopes legislation (including a requirement that these projects serve "whole grades" in eligible schools).
- o Fund both programs under one authorization of appropriation so that both NEISP and HH programs could be competed in FY99 with an adequate appropriation.

Our Remaining Issues

- o The Administration would like to add from the High Hope's proposal:
 - A requirement for a full-time project coordinator;
 - A requirement for assurances that participating students have access to rigorous core courses that reflect challenging academic standards, which might require school reform and improvement;
 - Authority to allow private schools to participate;
 - Authority to reserve up to one-half of one percent of appropriated amounts to provide technical assistance; and
 - Authority to give preference to proposed projects that--
 - serve schools in which at least 75 percent of the students are poor, and
 - provide a commitment to ensure "last dollar" or other scholarships for participating students.
- o The Administration also wants to add authority to conduct a national College Awareness Information Program (as in the amendment proposed by Senator Kennedy).

5/21/98

CHAPTER 2—NATIONAL EARLY INTERVENTION, SCHOLARSHIP AND PARTNERSHIP PROGRAM

SEC. 401A. EARLY INTERVENTION ~~PROGRAM AUTHORIZED.~~

The Secretary is authorized, in accordance with the requirements of this chapter, to establish ~~a program that~~

(1) encourage ~~States~~ to provide or maintain a guarantee to eligible low-income students who obtain a high school diploma (or its equivalent), of the financial assistance necessary to permit them to attend an institution of higher education; and

(2) ~~provide incentives to States, in cooperation with local educational agencies, institutions of higher education, community organizations and business, to provide~~

(A) additional counseling, mentoring, academic support, outreach, and supportive services to elementary, middle, and secondary school students who are at risk of dropping out of school; and

(B) information to students and their parents about the advantages of obtaining a postsecondary education and their college financing options.

(30 U.S.C. 1070a-21)

HIGH HOPES FOR COLLEGE

AND COLLEGE AWARENESS PROGRAMS.—(a) PROGRAMS AUTHORIZED.—

eligible entities

support eligible entities in providing—

(b) AWARDS.—(1) The Secretary may make awards to eligible entities to carry out programs authorized under subsection (a).

(2) For the purpose of this Chapter, the term "eligible entities" means—

(A) a State, acting in cooperation with local education agencies, institutions of higher education, community organizations, and businesses; and

(B) a partnership of one or more local education agencies acting on behalf of one or more participating schools and the public secondary schools that students from these schools would normally attend, one or more degree granting institutions of higher education, at least two community organizations or entities (such as businesses, professional associations, community-based organizations, philanthropic organizations, institutions or agencies sponsoring programs authorized under subpart 4 of this part, or other public or private agencies or organizations).

already true
Randy: existing grants
✓ - Add NEISP continue as in our proposal
- Allow partnerships to serve NEISP
- ~~Structure(?)~~ unnecessary if NEISP continues??
✓ - Add coordination/assessment provision from our proposal
* [] - Ask Randy what is missing from here compared to our proposal

Kristi 75% poverty: rural vs. urban break?

①

Requirements
- match
- Approvals

get back to Jenny re NEISP content match

EC. 404B. STATE ELIGIBILITY AND STATE PLANS AND PARTNERSHIP APPLICATIONS. STATE

(a) ~~PLAN REQUIRED FOR BROADBAND.~~—(1) In order for a State to qualify for a grant under this chapter, the State shall submit to the Secretary a plan for carrying out the program under this chapter. Such plan shall provide for the conduct, under the State program, of both a scholarship component in accordance with section 404D and an early intervention component in accordance with section 404C.

(2) Each State plan submitted pursuant to paragraph (1) shall be in such form, contain or be accompanied by such information or assurances, and be submitted at such time as the Secretary may require by regulation and shall—

(A) describe the activities for which assistance under this section is sought; and

(B) provide such additional assurances as the Secretary determines necessary to ensure compliance with the requirements of this section.

(b) ~~MATCHING REQUIREMENT.~~—The Secretary shall not approve a plan submitted under subsection (a) unless such plan—

(1) provides that the State will provide, from State, local, or private funds, not less than one-half the cost of the program;

(2) specifies the methods by which such share of the costs will be paid; and

(3) includes provisions designed to assure that funds provided under this chapter shall supplement and not supplant funds expended for existing State and local programs.

(c) ~~METHODS FOR COMPLYING WITH MATCHING REQUIREMENT.~~—A State may count toward the contribution required by subsection (b)(1)—

(1) the amount of the grants paid to students from State, local, or private funds under this chapter;

(2) the amount of tuition, fees, room or board waived or reduced for recipients of grants under this chapter; and

(3) the amount expended on documented, targeted, long-term mentoring and counseling provided by volunteers or paid staff of nonschool organizations, including businesses, religious organizations, community groups, postsecondary educational institutions, nonprofit and philanthropic organizations, and other organizations.

~~(d) PAYMENT REQUIREMENTS.~~—Upon submission by a State of such documents as the Secretary may, by regulation, require for demonstrating the total amount expended by the State in accordance with this chapter for a fiscal year, the Secretary shall, from such State's allotment under section 404E for such fiscal year, pay to such State an amount equal to not more than one-half of the total amount so expended.

(1070-22)

SEC. 404C. EARLY INTERVENTION.

(a) ~~IN GENERAL.~~—In order to receive ~~payments under section 404B~~, a State shall demonstrate to the satisfaction of the Secretary that the State will provide comprehensive mentoring, counseling, outreach, and supportive services to students participating in programs under this chapter who are enrolled in preschool

(3)

(A)

(B)

(C)

(4)

- delete

(b) ~~PARTNERSHIP APPLICATIONS.~~—(1) A partnership described in section 404A(b)(2)(B) desiring to receive a grant for a project under this chapter shall submit an application to the Secretary, in such form and containing such information, as the Secretary may require.

(2) The Secretary, as a condition of the grants, shall provide a uniform schedule of cost sharing for projects involving partnerships under 404A(b)(2)(B).

(3) All applications submitted under this subsection shall assure that projects involving partnerships described under 404A(b)(2)(B) shall—

(A) provide services to at least one grade level of students, beginning not later than the 7th grade, in a participating public school that has a 7th grade and in which at least 50 percent of the students enrolled are eligible for free or reduced-price lunch (or, if an eligible partnership determines that it would promote the effectiveness of a project, an entire grade level of students, beginning not later than the 7th grade, who reside in public housing as defined in section 3(b)(1) of the United States Housing Act of 1937);

(B) ensure that the services authorized under this chapter are provided through the 12th grade to students in that participating grade level; and

(C) include activities and information that foster and improve parent involvement in promoting postsecondary education for their children, including the provision of useful early information on the advantages of a college education, academic admissions requirements and the need to take college preparation courses, admissions and achievement tests, application procedures, college costs and options, and the availability of student financial aid.

a grant under this Chapter

an eligible entity

eligible entity

(ii) assure the participation of students who qualify as disadvantaged under the provisions of section 402D or who are eligible for comparable programs funded by the State;

(iii)(I) provide summer instruction in remedial, developmental or supportive courses; (II) provide such summer services as counseling, tutoring, or orientation; and (III) provide grant aid to students to cover prefreshman summer costs for books, supplies, living costs and personal expenses; and

(iv) assure that participating students will receive financial aid during each academic year they are enrolled at the participating institution after the prefreshman summer.

(E) Requiring eligible students to meet other standards or requirements as the State determines necessary to meet the purposes of this section.

(c) **PRIORITY STUDENTS.**—In administering the early intervention component, ~~the State~~ shall treat as priority students any student in preschool through grade 12 who is eligible—

an eligible entity described in section 404A(b)(2)(A)

(1) to be counted under section 1005(c) of the Elementary and Secondary Education Act of 1965;

(2) for free or reduced price meals pursuant to the National School Lunch Act; or

(3) for assistance pursuant to part A of title IV of the Social Security Act (Aid to Families with Dependent Children).

(20 U.S.C. 1070a-23)

SEC. 404D. SCHOLARSHIP COMPONENT.

(a) **IN GENERAL.**—In order to receive ~~payments under section 404D(b), a State~~ shall establish or maintain a financial assistance program that awards grants to students in accordance with the requirements of this section. The Secretary shall encourage ~~the State~~ to ensure that the tuition assistance provided pursuant to this section is available to an eligible student for use at any eligible institution.

a grant under this Chapter, an eligible entity described in section 404A(b)(2)(B)

such an eligible entity

(b) **GRANT AMOUNTS.**—The maximum amount of the grant that an eligible student in any participating State shall be eligible to receive under this section shall be established by the State. The minimum amount of the grant for each fiscal year shall not be less than the lesser of—

(1) 75 percent of the average cost of attendance for an in-State student, in a 4-year program of instruction, at public institutions of higher education in such State, as determined in accordance with regulations prescribed by the Secretary; or

(2) the maximum grant funded under section 401 for such fiscal year.

(c) **RELATION TO OTHER ASSISTANCE.**—Tuition assistance provided under this chapter shall not be considered for the purpose of awarding Federal grant assistance under this title, except that in no case shall the total amount of student financial assistance awarded to a student under this title exceed such student's total cost of attendance.

Jon -
Corrected
page 4.
Randy

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through grade 12. Such counseling shall include financial aid counseling that provides information on the opportunities for financial assistance under this title. The State shall demonstrate, pursuant to regulations of the Secretary, the methods by which the State will target services on priority students.

eligible entity
eligible entity

(b) USES OF FUNDS.—

(1) IN GENERAL.—The Secretary shall, by regulation, establish criteria for determining whether comprehensive mentoring, counseling, outreach, and supportive services programs may be used to meet the requirements of subsection (a).

(2) ALLOWABLE PROVIDERS.—The activities required by subsection (a) may be provided by service providers such as community-based organizations, schools, institutions of higher education, public and private agencies, nonprofit and philanthropic organizations, businesses, institutions and agencies sponsoring programs authorized under subpart 4 of this part, and other organizations the Secretary deems appropriate.

(3) PERMISSIBLE ACTIVITIES.—Examples of acceptable activities to meet the requirements of subsection (a) include the following:

(A) Providing eligible students in preschool through grade 12 with a continuing system of mentoring and advising that—

(i) is coordinated with the Federal and State community service initiatives; and

(ii) may include such support services as after school and summer tutoring, assistance in obtaining summer jobs, career mentoring and academic counseling.

(B) Requiring each student to enter into an agreement under which the student agrees to achieve certain academic milestones, such as completing a prescribed set of courses and maintaining satisfactory academic progress as described in section 484(c), in exchange for receiving tuition assistance for a period of time to be established by each State.

(C) Activities designed to ensure high school completion and college enrollment of at-risk children, including identification of at-risk children, after school and summer tutoring, assistance in obtaining summer jobs, academic counseling, volunteer and parent involvement and former or current scholarship recipients as mentor or peer counselors, skills assessment, personal counseling, family counseling and home visits, and staff development, and programs and activities as described in this subparagraph which are specially designed for students of limited English proficiency.

(D) Prefreshman summer programs that—

(i) are at institutions of higher education that also have programs of academic year supportive services for disadvantaged students through projects authorized under section 402D or through comparable projects funded by the State or other sources;

(d) **ELIGIBLE STUDENTS.**—A student eligible for assistance under this chapter is a student who—

- (1) is less than 22 years old at time of first grant award;
- (2) receives a high school diploma or a certificate of high school equivalence on or after January 1, 1993;
- (3) is enrolled or accepted for enrollment in a program of undergraduate instruction at an institution of higher education that is located within the State's boundaries; except that, as a State option, a State may offer grant program portability for recipients who attend institutions of higher education outside such State; and

(4) who participated in the State early intervention component required under section 404C.

(e) **PRIORITY; WAIVER.**—(1) The Secretary shall ensure that each State place a priority on awarding scholarships to students who will receive a Pell Grant for the academic year for which the award is being made under this chapter.

(2) A State may consider students who have successfully participated in programs funded under chapter 1 of this subpart to have met the requirements of subsection (d)(4).

(20 U.S.C. 1070a-24)

SEC. 404E. DISTRIBUTION OF FUNDS.

(a) **COMPETITIVE AWARDS.**—If the amount appropriated to carry out this chapter for a fiscal year is less than \$50,000,000, then the Secretary shall award grants under this chapter on a competitive basis to States to carry out a program described in section 404A.

(b) **ALLOTMENT BASED ON TITLE I ESEA ALLOCATIONS.**—If the amount appropriated to carry out this chapter for a fiscal year is \$50,000,000 or more, then the Secretary shall allot to each State an amount which bears the same ratio to such sums as—

(1) the amount allocated under section 1005 of the Elementary and Secondary Education Act of 1965 to the local education agencies in the State,

bears to—

(2) the total amount allocated under such section to all such agencies in all States.

(c) **LIMIT ON USE.**—No State may use less than 25 percent or more than 50 percent of its allotment for the early intervention component of the State program, except that the Secretary may waive the 50 percent limitation if the State demonstrates that the State has another means of providing the student's financial assistance that is described in the State plan.

(d) **REALLOTMENT.**—The amount of any State's allotment under subsection (b) for any fiscal year which the Secretary determines will not be required for such fiscal year for the program of that State shall be available for reallocation from time to time, on such dates during such year as the Secretary may fix, to other States in proportion to the original allotments to such States for such year, but with such proportionate amount for any of such States being reduced to the extent it exceeds the sum the Secretary estimates such State needs and will be able to use for such year for carrying out such programs. The total of such reductions shall be similarly reallocated among the States whose proportionate amounts

— delete

~~was not so reduced. A State shall match, in accordance with section 404B(b), any reallocated funds it receives under this subsection.~~

~~(e) ALLOTMENT SUBJECT TO CONTINUING COMPLIANCE.—The Secretary shall make payments for programs only to States which continue to meet the requirements of the State plan pursuant to section 404B.~~

(20 U.S.C. 1070a-25)

SEC. 404F. EVALUATION AND REPORT.

(a) ~~EVALUATION.~~—Each ~~State receiving an allotment~~ under this chapter shall biennially evaluate the early intervention program assisted under this chapter in accordance with the standards described in subsection (b) and shall submit to the Secretary a copy of such evaluation. The evaluation component shall permit service providers to track eligible student progress during the period such students are participating in the program assisted under this section and must be consistent with the standards developed by the Secretary pursuant to subsection (b).

(b) EVALUATION STANDARDS.—The Secretary shall prescribe standards for the evaluation described in subsection (a). Such standards shall—

- (1) provide for input from ~~States~~ and service providers; and
- (2) ensure that data protocols and procedures are consistent and uniform.

(c) ~~REPORT.~~—The Secretary shall biennially report to the Congress on the activities assisted under this chapter and the evaluations conducted pursuant to subsection (a).

(20 U.S.C. 1070a-26)

SEC. 404G. APPROPRIATIONS.

There is authorized to be appropriated to make grants under this chapter \$200,000,000 for fiscal year 1986 and such sums as may be necessary for each of the four succeeding fiscal years.

(20 U.S.C. 1070a-27)

- delete

SEC. 404E. 21ST CENTURY SCHOLAR CERTIFICATES.

(a) AUTHORITY.—The Secretary, using funds appropriated under section 404G—

(1) shall ensure that certificates, to be known as 21st Century Scholar Certificates, are provided to all students participating in projects under this Chapter; and

(2) may, as practicable, ensure that such certificates are provided to all students in grades 6 through 12 who attend schools at which at least 50 percent of the students enrolled are eligible for free or reduced-price lunch.

(b) INFORMATION REQUIRED.—A 21st Century Scholar Certificate shall be personalized for each student and indicate the amount of Federal financial aid for college for which a student may be eligible.

eligible entity

eligible entities

(c) FEDERAL EVALUATION.—In order to improve the operation of the program assisted under this chapter, the Secretary shall, with funds appropriated under section 404G, make grants to, and enter into contracts and cooperative agreements with, institutions of higher education and other public and private institutions and organizations to evaluate the effectiveness of the program assisted under this chapter and, as appropriate, disseminate such results.

(d)

this

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randy_hansen @ ed.gov (Randy Hansen)
05/20/98 10:07:51 AM

Record Type: Record

To: Robert M. Shireman/OPD/EOP

cc:

Subject: Comments on Jeffords proposal for high hopes

FYI

Forward Header

Subject: Comments on Jeffords proposal for High Hopes

Author: Robert Davidson at WDCT02

Date: 5/20/98 9:32 AM

Jon, I share Mark's concerns (see below).

A few more thoughts:

(1) I trust ED and Administration leaders are on board with what will be seen as ED's support for this approach. Expansion of NEISP may be the best political deal we can get, but recall that top ED leaders and OMB have repeatedly sought the demise of NEISP. Potentially, this language would allow regular NEISP to be funded at \$200 mil. without a dime for HH or College Awareness type activities

(2) Without inclusion of High Hopes in the program title or in the title of a separate section the revised NEISP may not seem like a victory for Fattah and the President.

(3) This approach (a single appropriation authorization for several different kinds of activities) would not be our first choice from a budgeting perspective. Could result in confusion with respect to intentions of appropriators. Of course, we could clarify our intentions in our budget requests and Hill could use appropriate language and report language to make clear THEIR intentions.

We may have more technical comments shortly
Bob D.

Forward Header

Subject: Comments on Jeffords proposal for High Hopes

Author: Mark Traversa at WDCT01

Date: 5/20/98 3:21 PM

I have reviewed the NEISP draft legislation and have spoken with Jon about the need for such an approach. I am concerned about the poor prospects of funding this proposal should it ever reach the

Appropriations Committees

The proposal basically buries the main purpose of the legislation -- High Hopes and to a lesser extent the College Awareness program. This lack of visibility will make it appear to many as an expanded NEISP program--worthy perhaps of a doubling of the NEISP budget to something over \$7 million, but far short of the level needed. Support for NEISP funding has been weak in recent years and its appropriation level has not grown. The Jeffords approach does not seem conducive to achieving the large appropriation level proposed by the Administration for High Hopes.

Further, the Appropriation Committees will be severely constrained in their ability to fund new or expanded programs as long as the BBA is in effect. It will take all the wherewithal the Administration can muster to achieve high funding levels for new programs and one must wonder how appealing it will be to the Administration to fight hard for the program named NEISP.

Latally

- There is a sel. pmb. of new programs
- incorporate into AECSP such that
as perceived as
- State Cope - trying to attract eligible students.
- Course added to purpose...
 - expand 1st section purposes
 - new section B with H/H
 - approx 14
 - 200 million
 - 140 H/H - for purposes...

- Na sta FF

12/11/2019
12/11/2019

Wanda May Allen
17th

Repayment

3.1 today

2.8 banks - McKee-Kildee

} taxpayers

2.3 Admin. students & banks
July / current / a/w

McKee-Kildee students

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NATURAL RESOURCES, AND
REGULATORY AFFAIRS
SUBCOMMITTEE

FAX COVER SHEET

DATE: 5-10-98

FROM: Gloria Pharis

TO: Bob Shireman

SUBJECT: High Hopes

RECIPIENT'S FAX NUMBER: 456-2223

NO. OF PAGES INCLUDING COVER SHEET: 15

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*Need greater clarity? Any?
- not sure if so popular*

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GOVERNMENT REFORM
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POSTAL SERVICE SUBCOMMITTEE
RANKING MEMBER
NATIONAL ECONOMIC GROWTH,
NATURAL RESOURCES, AND
REGULATORY AFFAIRS
SUBCOMMITTEE

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

MEMO

DRAFT

MEMO TO: Senator Jeffords

FROM: Congressman Fattah

SUBJECT: National Early Intervention Scholarship and Partnership Program (NEISP)

DATE: May 8, 1998

As a result of our meeting on May 7th, I have drafted an amendment to NEISP that incorporates the provisions of the House passed High Hopes Program. This amendment:

- expands the statement of purpose to include the purposes of High Hopes;
- adds two sections that incorporate the provisions of High Hopes; and
- adjusts the appropriations level to provide funding for High Hopes.

The proposed language is enclosed. Please let me know how you wish to proceed, and thank you for your leadership and vision on this matter.

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1 **SEC. . HIGH HOPES FOR COLLEGE**

2 (a) **EXPANSION OF PROGRAM AUTHORITY.**—Section
3 404A (20 U.S.C. 1070a-21) is amended—

4 (1) by striking “and” at the end of paragraph
5 (1);

6 (2) by striking the period at the end of para-
7 graph (2) and inserting a semicolon; and

8 (3) by adding at the end the following new
9 paragraph:

10 “(3) provides 21st Century Scholar Certificates
11 to students under section 404G; and

12 “(4) provides grants to High Hopes partner-
13 ships under section 404H.”.

14 (b) **HIGH HOPES FOR COLLEGE.**—Chapter 2 of sub-
15 part 2 of part A of title IV is amended—

16 (1) by redesignating section 404G as section
17 404I; and

18 (2) by inserting after section 404F the follow-
19 ing new sections:

20 **“SEC. 404G. 21ST CENTURY SCHOLAR CERTIFICATES.**

21 “(a) **PROVISION OF CERTIFICATES.**—The Secretary,
22 using funds appropriated under section 404I(b) of this
23 Act—

24 “(1) shall ensure that certificates, to be known
25 as 21st Century Scholar Certificates, are provided to

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1 all students participating in projects under section
2 404H; and

3 “(2) may, as practicable, ensure that such cer-
4 tificates are provided to all students in grades 6
5 through 12 who attend schools at which at least 50
6 percent of the students enrolled are eligible for free
7 or reduced-price lunch.

8 “(b) CERTIFICATES PERSONALIZED.—A 21st Cen-
9 tury Scholar Certificate shall be personalized for each stu-
10 dent and indicate the amount of Federal financial aid for
11 college for which a student may be eligible.

12 **“SEC. 404H. HIGH HOPES PARTNERSHIPS.**

13 “(a) GRANTS.—

14 “(1) GRANTS AUTHORIZED.—From funds ap-
15 propriated under section 404I(b), the Secretary shall
16 make grants to college-school-community partner-
17 ships for activities under subsection (c).

18 “(2) ELIGIBLE PARTNERSHIP.—For purposes
19 of this section, an eligible partnership shall in-
20 clude—

21 “(A) one or more local educational agen-
22 cies acting on behalf of—

23 “(i) one or more participating schools;
24 and

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1 “(ii) the public secondary schools that
2 students from these schools would nor-
3 mally attend;

4 “(B) one or more degree granting institu-
5 tions of higher education; and

6 “(C) at least two community organizations
7 or entities, such as businesses, professional as-
8 sociations, community-based organizations, or
9 other public or private agencies or organiza-
10 tions.

11 “(3) DEFINITIONS.—For the purpose of this
12 subsection—

13 “(A) ‘participating school’ means a public
14 school in which—

15 “(i) there is a 7th grade;

16 “(ii) one or more cohorts of students
17 receive services under this section; and

18 “(iii) at least 50 percent of the stu-
19 dents enrolled are eligible for free or re-
20 duced-price lunch; and

21 “(B) ‘cohort of students’ means—

22 “(i) an entire grade level of students
23 in a participating school; or

24 “(ii) if the partnership determines
25 that it would promote the effectiveness of

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1 a project, an entire grade level of students,
2 beginning not later than the 7th grade,
3 who reside in public housing as defined in
4 section 3(b)(1) of the United States Hous-
5 ing Act of 1937.

6 “(4) DURATION.—Each grant awarded under
7 this section shall be for a 6-year period.

8 “(5) COST SHARING.—

9 “(A) Federal funds shall provide no more
10 than 80 percent of the cost of the project in the
11 first year, 70 percent of the cost in the second
12 year, 60 percent of the cost in the third year,
13 50 percent of the cost in the fourth year, 40
14 percent of the cost in the fifth year, and 30
15 percent of the cost in the sixth year.

16 “(B) The non-Federal share of grants
17 awarded under this section may—

18 “(i) be in cash or in kind, fairly evalu-
19 ated, including services, supplies, or equip-
20 ment; and

21 “(ii) include the non-Federal share of
22 work-study grants under part C of this
23 title awarded to students who serve as tu-
24 tors or mentors in projects under this sec-
25 tion.

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1 “(C) The Secretary may waive the cost
2 sharing requirement described in subparagraph
3 (A) for any eligible partnership that dem-
4 onstrates to the satisfaction of the Secretary an
5 extraordinary hardship that prevents compli-
6 ance with that requirement.

7 “(6) EQUITABLE GEOGRAPHIC DISTRIBUTION.—To the extent possible, the Secretary shall
8 award grants under this section in a manner that
9 achieves an equitable geographic distribution of
10 those grants.

12 “(7) PRIORITY AWARDS UNDER CHAPTER 2.—
13 Before making grants under this section for fiscal
14 year 1999, the Secretary shall, as appropriate, make
15 awards to recipients eligible for continuation awards
16 under chapter 2 of subpart 2 of this title as it was
17 in effect prior to the enactment of the Higher Edu-
18 cation Amendments of 1998.

19 “(b) GRANT APPLICATION; PREFERENCES.—

20 “(1) APPLICATION REQUIRED.—An eligible
21 partnership desiring to receive a grant under this
22 section shall submit an application to the Secretary,
23 in such form and containing such information, as
24 the Secretary may require.

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1 “(2) APPLICATION CONTENTS.—Each applica-
2 tion shall include—

3 “(A) the name of each partner and a de-
4 scription of its responsibilities, including the
5 designation of either an institution of higher
6 education or a local educational agency as the
7 fiscal agent for the partnership;

8 “(B) a description of the need for the
9 project, including a description of how the
10 project will build on existing services and activi-
11 ties, if any;

12 “(C) a listing of the human, financial
13 (other than funds under this section), and other
14 resources that each member of the partnership
15 will contribute to the partnership, and a de-
16 scription of the efforts each member of the
17 partnership will make in seeking additional re-
18 sources;

19 “(D) a description of how the project will
20 operate, including how grant funds will be used
21 to meet the purpose of this section;

22 “(E) a description of how services will be
23 coordinated with, and will complement and en-
24 hance, services received by participating schools
25 and students under other related Federal and

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1 non-Federal programs, including programs
2 under title I, part A of title VII, and part 1 of
3 title X of the Elementary and Secondary Edu-
4 cation Act of 1965, the School-to-Work Oppor-
5 tunities Act of 1994, section 402 of this Act,
6 and the Individuals with Disabilities Education
7 Act;

8 “(F) a description of how the partnership
9 will support and continue the services under
10 this section after the grant has expired;

11 “(G) an assurance from each local edu-
12 cational agency using funds under this section
13 that—

14 “(i) at least 50 percent of the stu-
15 dents enrolled in each participating school
16 are eligible for free or reduced-price lunch;

17 “(ii) its aggregate expenditures per
18 student for activities described in this sec-
19 tion will not be reduced from the level of
20 such expenditures in the year prior to the
21 grant; and

22 “(iii) someone at each participating
23 school will be designated as the primary
24 point of contact for the partnership;

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1 “(H) an assurance that participating stu-
2 dents will have access to rigorous core academic
3 courses that reflect challenging State or local
4 academic standards; and

5 “(I) an assurance that members will pro-
6 vide the performance information required by
7 the Secretary, which would be used to base con-
8 tinuation of the grant.

9 “(3) PREFERENCES.—In reviewing applications
10 under this subsection, the Secretary shall give pref-
11 erence to projects that—

12 “(A) will serve participating schools in
13 which at least 75 percent of the students en-
14 rolled are eligible for free or reduced-price
15 lunch;

16 “(B) provide a commitment from non-Fed-
17 eral sources to pay all or part of the cost of col-
18 lege, through tuition assistance or guarantees
19 (not already available), such as ‘last-dollar
20 grants’, for participating students; and

21 “(C) hold participating students respon-
22 sible for school or community service and high
23 academic performance.

24 “(c) PROGRAM REQUIREMENTS; USES OF FUNDS.—

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1 “(1) PROGRAM REQUIREMENTS.—Projects
2 under this section shall—

3 “(A) have a program coordinator who is ei-
4 ther full-time or whose primary responsibility is
5 the project under this section;

6 “(B) provide services to at least one cohort
7 of students, beginning not later than the 7th
8 grade;

9 “(C) ensure that the services authorized
10 under this section are provided through the
11 12th grade to students in the cohort, including
12 students who attend another participating
13 school or a secondary school identified under
14 subsection (a)(2)(A)(ii);

15 “(D) include activities and information
16 that foster and improve parent involvement in
17 promoting postsecondary education for their
18 children, including the provision of useful early
19 information on the advantages of a college edu-
20 cation, academic admissions requirements, and
21 the need to take core courses, admissions and
22 achievement tests, application procedures, col-
23 lege costs and options, and the availability of
24 student financial aid;

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1 “(E) include academic counseling, career
2 awareness, and tutoring or mentoring from
3 trained personnel, as well as other student sup-
4 port services that enable students to succeed
5 academically and apply for, enter, and complete
6 college;

7 “(F) include training in promoting early
8 college awareness for classroom teachers, guid-
9 ance counselors, and staff of the schools in-
10 volved in the project; faculty and program per-
11 sonnel in participating institutions of higher
12 education; and participating mentors and tu-
13 tors;

14 “(G) include activities on college campuses
15 and enrichment activities associated with post-
16 secondary education; and

17 “(H) include arrangements that ensure
18 that all participating students have access to
19 rigorous core courses that reflect challenging
20 State or local academic standards and that pre-
21 pare them for college.

22 “(2) USE OF FUNDS.—In addition to the activi-
23 ties described in paragraph (1), a recipient of funds
24 under this section may use them—

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1 “(A) where necessary and appropriate to
2 ensure active participation, to pay stipends to
3 participating students and their mentors;

4 “(B) where necessary and appropriate to
5 ensure active participation, to pay transpor-
6 tation costs for participants to attend project-
7 sponsored activities;

8 “(C) to provide out-of-school and summer
9 activities related to the project;

10 “(D) for project evaluation; and

11 “(E) to recognize the responsibility and
12 achievement of participating students through
13 ceremonies, awards, and other means.

14 “(d) SERVICES FOR STUDENTS ATTENDING PRIVATE
15 SCHOOLS.—A local educational agency that participates in
16 an eligible partnership shall provide services supported
17 with Federal funds under this section on an equitable
18 basis, consistent with section 14503 of Elementary and
19 Secondary Education Act of 1965, to students in private
20 schools that—

21 “(1) have a 7th grade;

22 “(2) have students at least 50 percent of whom
23 are eligible for free or reduced-price lunch; and

24 “(3) are located in the normal attendance area
25 of a participating school.

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1 “(c) EVALUATION.—In order to improve the oper-
2 ation of the program assisted under this section, the Sec-
3 retary shall, with funds appropriated under section
4 404I(b), make grants to, and enter into contracts and co-
5 operative agreements with, institutions of higher education
6 and other public and private institutions and organizations
7 to evaluate the effectiveness of the program assisted under
8 this section and, as appropriate, disseminate such results.

9 “(f) PEER REVIEW.—The Secretary shall use a peer
10 review process to review applications under this section
11 and make recommendations for funding to the Sec-
12 retary.”.

13 (c) AUTHORIZATION OF APPROPRIATIONS.—Section
14 404I (as redesignated by subsection (b)(1)) is amended—

15 (1) by striking “There is” and inserting “(a) IN
16 GENERAL.—There are”;

17 (2) by inserting “, other than under sections
18 404G and 404H,” after “this chapter”; and

19 (3) by adding at the end the following new sub-
20 section:

21 “(b) HIGH HOPES.—

22 “(1) AUTHORIZATION OF APPROPRIATION.—

23 There are authorized to be appropriated
24 \$140,000,000 for fiscal year 1999 and such sums as

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1 may be necessary for each of the 5 succeeding fiscal
2 years to carry out sections 404G and 404H.

3 “(2) RESERVATION FOR TECHNICAL ASSIST-
4 ANCE AND PEER REVIEW.—From the amount appro-
5 priated under paragraph (1) for any fiscal year, the
6 Secretary may reserve up 0.5 percent of that
7 amount to obtain additional qualified readers and
8 additional staff to review applications, to increase
9 the level of oversight monitoring, to support impact
10 studies, program assessments and reviews, and to
11 provide technical assistance to potential applicants
12 and current grantees.”.

May 8, 1998