

1 is received within 30 days of the date of the receipt of
2 the findings. The revocation of any product license shall
3 not prevent the continued use of any licensed biological
4 product which has been sold and delivered by the licensee
5 unless the biological product is subject to recall under sub-
6 section (d).

7 “(B) If at any time before the Secretary has taken
8 final action to revoke a license, the licensee requests an
9 inspection by the Secretary to determine whether the li-
10 censee is in compliance with applicable standards, the Sec-
11 retary shall conduct an inspection within 30 days of the
12 date of the request. If the requested inspection confirms
13 that the licensee is not in compliance with applicable
14 standards, the 30-day requirement for inspection shall not
15 apply to any subsequent request by the licensee under this
16 subparagraph for inspection. If the inspection confirms
17 that the licensee is in compliance with all applicable re-
18 quirements, the Secretary shall withdraw any proposed ac-
19 tion within 30 days of the inspection.

20 “(C) If the Secretary determines that grounds for li-
21 cense revocation exist that constitute a danger to health,
22 the Secretary shall suspend the license, notify the licensee
23 that the licensee's license is suspended, and require notifi-
24 cation of the suspension to any consignee. Within 30 days

1 thereafter, the Secretary shall initiate the hearing process
2 under subparagraph (A).

3 “(6) The requirements of paragraph (1) do not apply
4 to a biological product for which there is in effect an inves-
5 tigational new drug application under section 505(i) of the
6 Federal Food, Drug, and Cosmetic Act.”.

7 (b) DELETION OF ELA REQUIREMENT.—Section
8 351(d) of the Public Health Service Act (42 U.S.C.
9 262(d)) is amended—

10 (1) by striking “(d)(1)” and all that follows
11 through “of this section.”;

12 (2) by redesignating paragraph (2)(A) as sub-
13 section (d)(1);

14 (3) by redesignating subparagraph (B) as para-
15 graph (2); and

16 (4) in paragraph (2) (as so redesignated) by
17 striking “subparagraph (A)” and inserting “para-
18 graph (1)”.

19 (c) LABELING.—Section 351(b) of the Public Health
20 Service Act (42 U.S.C. 262(b)) is amended to read as fol-
21 lows:

22 “(b) No person shall falsely label or mark any pack-
23 age or container of any biological product or alter any
24 label or mark on the package ^{or container} so as to falsify the label
25 or mark.”.

NOT
TAKEN

1 (d) INSPECTION.—Section 351(c) of the Public
2 Health Service Act (42 U.S.C. 262(c)) is amended by
3 striking “virus, serum,” and all that follows through
4 “other product aforesaid” and inserting “biological prod-
5 uct”.

6 (e) DEFINITION; APPLICATION.—Part F of title III
7 of the Public Health Service Act (42 U.S.C. 262 et seq.)
8 is amended by adding at the end thereof the following new
9 subsections:

10 “(i) For purposes of this section, the term ‘biological
11 product’ means a virus, therapeutic serum, toxin, anti-
12 toxin, vaccine, blood, blood component or derivative, aller-
13 genic ~~biologic~~ product, or arsphenamine or its derivative
14 (or any other analogous biological product) applicable to
15 the prevention, treatment, or cure of diseases or conditions
16 of human beings.

17 “(j)(1) Sections 505(i), 903, and 904 of the Federal
18 Food, Drug, and Cosmetic Act shall apply to all biological
19 products, and references in such sections to new drug ap-
20 plications shall be deemed to include product license appli-
21 cations for biological products.

22 “(2) Requirements involving labeling or advertising
23 for biological products shall be established in accordance
24 with sections 201(m) and 502(n) of the Federal Food,
25 Drug, and Cosmetic Act.”.

Insert Coats Amendment

NOT
TAKEN

*Passed by
Voice Vote*

AMENDMENT NO. #18

Calendar No. _____

Purpose: To provide for requirements for the approval of
radiopharmaceuticals.

IN THE SENATE OF THE UNITED STATES—104th Cong., 2d Sess.

S. 1477

To amend the Federal Food, Drug, and Cosmetic Act and
the Public Health Service Act to improve the regulation
of food, drugs, devices, and biological products, and for
other purposes.

Referred to the Committee on _____
and ordered to be printed

Ordered to lie on the table and to be printed

AMENDMENTS intended to be proposed by Mr. GREGG

Viz:

- 1 On page 4, line 4, strike "or".
- 2 On page 4, line 6, insert after the semicolon "or".
- 3 On page 4, between lines 6 and 7, insert the
- 4 following:
- 5 "(III) provides a tool for diag-
- 6 nosis or monitoring;"

*(SST) - radiopharma-
ceuticals (?)*

1 On page 64, between lines 7 and 8, insert the
2 following:

3 **SEC. 608. REQUIREMENT OF RADIOPHARMACEUTICALS.**

4 **(a) REQUIREMENTS.—**

5 (1) **REGULATIONS.**—Not later than 180 days
6 after the date of enactment of this Act, the Sec-
7 retary of Health and Human Services, after con-
8 sultation with patient advocacy groups, associations,
9 physicians licensed to use radiopharmaceuticals, and
10 the regulated industry, shall establish proposed regu-
11 lations governing the approval of radio-
12 pharmaceutical articles designed for diagnosis and
13 monitoring that shall assess the safety and effective-
14 ness of the articles taking into account the appro-
15 priate use of such articles in the practice of medi-
16 cine, the pharmacological and toxicological activity
17 of the radiopharmaceutical, and the estimated ab-
18 sorbed radiation dose of the product. *Not later than one year after*
the date of enactment of
19 (2) **SPECIAL RULE.**—In the case of a *this Act, the*
20 radiopharmaceutical intended to be used for diag- *Secretary shall*
21 nostic purposes, the indications for which such *issue final*
22 radiopharmaceutical is approved under this section *regulations.*
23 may refer to manifestations of disease (such as bio-
24 chemical, physiological, anatomic, or pathological
25 processes) common to or present in one or more dis-

1 case states, or may refer to a diagnostic procedure
2 used in the diagnosis of one or more diseases or
3 conditions.

4 (b) APPROVAL.—All applications or petitions request-
5 ing approval of a radiopharmaceutical and all other mat-
6 ters relating to such radiopharmaceutical shall be reviewed
7 and acted upon ~~by~~ by a single office in the Center for
8 ~~Drug~~ *Drug Evaluation and Research*, and that office shall report directly to the center
9 director. A single scientific review group may provide con-
10 clusions and recommendations regarding any such matter
11 relating to the approval of a radiopharmaceutical. Such
12 group shall be appointed and administered pursuant to
13 section 904 of the Federal Food, Drug, and Cosmetic Act
14 (21 U.S.C. 394), as amended by section 106.

15 (c) DEFINITION.— The term “radiopharmaceutical”
16 shall refer to—

17 (1) an article intended for use in vivo in the di-
18 agnosis, cure, mitigation, treatment or prevention of
19 a disease or a manifestation of disease in man, and
20 that exerts its primary effect by the spontaneous
21 disintegration of unstable nuclei with the emission of
22 ionizing radiation; or

23 (2) a reagent kit or nuclide generator that is in-
24 tended to be used in the preparation of any such
25 product.

1 (d) APPROVAL ASSESSED UNDER PERFORMANCE
2 STANDARDS.—The approval of radiopharmaceuticals shall
3 be assessed under quantifiable performance standards es-
4 tablished by the Secretary under section 903(b)(3) of the
5 Federal Food, Drug, and Cosmetic Act, as added by
6 section 103.

Graf - Non Rx
Drugs

Coats

Jeffords Kassebaum S.L.C.
 Coats Dodd Kennedy
 Gregg Harkin Pell
 Frost Mikulski Simon
 DeWine Wellstone
 Asanuma

Med Guide

AMENDMENT NO. 9 Abraham Calendar No.
Gorton

Purpose: To provide for the development of a long-range comprehensive plan to assess effective methods for the provision of oral and written prescription information to consumers.

IN THE SENATE OF THE UNITED STATES—104th Cong., 2d Sess.

S. 1477

To amend the Federal Food, Drug, and Cosmetic Act and the Public Health Service Act to improve the regulation of food, drugs, devices, and biological products, and for other purposes.

Referred to the Committee on _____
 and ordered to be printed

Ordered to lie on the table and to be printed

AMENDMENT intended to be proposed by Mr. COATS

Viz:

1 On page 86, between lines 12 and 13, insert the fol-
 2 lowing:

3 **SEC. 607. EFFECTIVE MEDICATION GUIDES.**

4 Chapter IX (21 U.S.C. 391 et seq.), as amended by
 5 section 108, is further amended by adding at the end
 6 thereof the following new section:

7 **SEC. 908. EFFECTIVE MEDICATION GUIDES.**

8 "(a) IN GENERAL.—Not later than 30 days after the
 9 date of enactment of this section, the Secretary shall re-

1 quest that national organizations representing health care
2 professionals, consumer organizations, voluntary health
3 agencies, the pharmaceutical industry, drug wholesalers.
4 patient drug information database companies, and other
5 relevant parties collaborate to develop a long-range com-
6 prehensive action plan to achieve goals consistent with the
7 goals of the Food and Drug Administration's proposed
8 rule on 'Prescription Drug Product Labeling: Medication
9 Guide Requirements (60 Fed. Reg. 44182; relating to the
10 provision of oral and written prescription information to
11 consumers).

12 “(b) PLAN.—The plan described in subsection (a)
13 shall—

14 “(1) identify the plan goals;

15 “(2) assess the effectiveness of the current pri-
16 vate-sector approaches used to provide oral and writ-
17 ten prescription information to consumers:

18 “(3) develop guidelines for providing effective
19 oral and written prescription information consistent
20 with the findings of any such assessment;

21 “(4) develop a mechanism to assess periodically
22 the quality of the oral and written prescription infor-
23 mation and the frequency with which the informa-
24 tion is provided to consumers; and

1 “(5) provide for compliance with relevant State
2 board regulations.

3 “(c) LIMITATION ON THE AUTHORITY OF THE SEC-
4 RETARY.—The Secretary shall have no authority to de-
5 velop or implement any new regulation, rule, or other pol-
6 icy statement or guideline related in any manner to

7 ~~“(1) medication guide or patient package insert~~
8 ~~requirements,~~

9 ~~“(2) an affirmative obligation to provide written~~
10 ~~information about prescription drugs to consumers;~~

11 ~~“(3) a specified uniform content, format, or any~~
12 ~~other policy statements or guidelines for written in-~~
13 ~~formation provided to consumers about prescription~~
14 ~~drugs,~~

15 ~~including the implementation of~~ ^{implement} the proposed rule de-
16 scribed in subsection (a), if, not later than 120 days after
17 the date of enactment of this section, the national organi-
18 zations described in subsection (a) develop and begin to
19 implement a comprehensive, long-range action plan (as de-
20 scribed in subsection (a)) regarding the provision of oral
21 and written prescription information.

22 “(d) SECRETARY REVIEW.—Not later than January
23 1, 2001, the Secretary shall review the status of private-
24 sector initiatives designed to achieve the goals of the plan
25 described in subsection (a), and if such goals are not

OK TO DEVELOP ANY SIMILAR REGULATION, POLICY
STATEMENT OR OTHER GUIDELINE SPECIFYING A
UNIFORM CONTENT OR FORMAT FOR WRITTEN
INFORMATION VOLUNTARILY PROVIDED TO CONSUMERS
ABOUT PRESCRIPTION DRUGS

- 1 achieved, shall seek public comment on other initiatives
- 2 that may be taken to meet such goals. The Secretary shall
- 3 not delegate such review authority to the Commissioner."

The limitation in subsection (c) shall not apply, and the Secretary

TITLE VII—DEVICE REGULATORY REFORM

SEC. 701. SHORT TITLE.

This title may be cited as the “Medical Device Reform Act of 1996”.

SEC. 702. PREMARKET NOTIFICATION.

(a) EXEMPTION OF CERTAIN DEVICES.—Section 510 (21 U.S.C. 360) is amended—

(1) in subsection (k), by striking “intended for human use” and inserting “intended for human use ^{or class II and has been} (except a device that is classified into class I ^{under} ~~section 513 or 520, or a device that is classified into~~ ^{exempted by} ~~class II under section 513 or 520, that is exempt~~ ^{the Secretary} ~~from the requirements of this subsection under sub-~~ ^{that is not listed by the} ~~section (1))”;~~ ^{Secretary} ~~subsection~~ ^{under} ~~subsection~~ ^{subsection};

(2) by adding at the end of subsection (k) (as amended by paragraph (1)) the following:

“The Secretary shall review the notification required by this subsection and make a determination under section 513(f)(1)(A) within 90 days of receiving the notification.”; and

(3) by adding at the end thereof the following new subsections:

“(1) Within 30 days of the date of enactment of this subsection, the Secretary shall publish in the Federal Reg-

NOT
TAKEN

NOT
TAKEN

1 ister a list of each type of class^{I or} II device that does not
 2 require a report under subsection (k) to provide reasonable
 3 assurance of safety and effectiveness. Each type of class^{I or} II device so identified by the Secretary not to require the
 4 report shall be exempt from the requirement to file a re-
 5 port under subsection (k) as of the date of the publication
 6 of the list in the Federal Register. Beginning on the date
 7 that is 1 day after the date of the publication of a list
 8 under this subsection, any person may petition the Sec-
 9 retary to exempt a type of class^{I or} II device from subsection
 10 (k). The Secretary shall respond to the petition within 120
 11 days of the receipt of the petition and determine whether
 12 or not to grant the petition in whole or in part.

NOT
TAKEN

to the device
 which is
 subject to the
 initial classification

14 “(m) The Secretary may not withhold a determina-
 15 tion of the initial classification of a device under sub-
 16 section 513(f)(1) because of a failure to comply with any
 17 provision of this Act unrelated to a ~~substantial equivalent~~
 18 decision, including a failure to comply with good manufac-
 19 turing practices under section 520(f).”

changed

new
language

NOT
CHANGED
 we offered
 a substitute

20 (b) INITIAL CLASSIFICATION.—Section 513(f)(1) (21
 21 U.S.C. 360e(f)(1)) is amended in the second sentence, by
 22 striking the period at the end thereof and inserting the
 23 following: “, unless within 30 days of receiving an order
 24 classifying the device into class III, the individual who
 25 submits a notification under section 510(k) requests an

(b) INITIAL CLASSIFICATION -- Section 513(f)(1) (21 U.S.C. 360c(f)(1)) is amended by changing the last sentence to add "or (3)" following "paragraph (2)" and by adding a new paragraph (2) and redesignating "(2)" as "(3)" and "(3)" as "(4)." New paragraph (2) shall read as follows: "Within 30 days of receiving an order finding a device not substantially equivalent under section 513(i), the individual who submitted a notification under section 510(k) may request a de novo classification of the device and an order of classification from the Secretary if the device that has been determined to be not substantially equivalent under section 513(i) has risks that appear to be commensurate with other Class I and Class II devices and the submission has addressed new issues of safety and effectiveness, if any, in sufficient detail for the ~~committee~~ ^{Secretary} to apply the classification criteria set forth in subparagraphs (A) through (C) of subsection (a)(1). If the Secretary concludes that the device should be classified into Class I or II, the Secretary shall issue an order of that classification. In lieu of issuing an order that classifies the device into Class I or II, the Secretary may, within 120 days, request an advisory committee review and recommendation with respect to the classification of the device. An advisory committee shall review the request with respect to the classification for the device based on the classification criteria set forth in subparagraphs (A) through (C) of subsection (a)(1). Following the committee's recommendation, the Secretary shall have 90 days to make a final

classification determination by applying the criteria set forth in subparagraphs (A) through (C) of subsection (a)(1).

Med Device Mobs
7/2/00

1 advisory committee review and recommendation with re-
 2 spect to the classification of the device and a final order
 3 of classification from the Secretary. After the request, a
 4 device classified into class III under this paragraph shall
 5 not be deemed to be finally classified until an advisory
 6 committee established under subsection (b) reviews the re-
 7 quest with respect to the classification of the device and,
 8 within 60 days of the date of receiving the request, rec-
 9 ommends to the Secretary a classification for the device
 10 based on the classification criteria set forth in subpara-
 11 graphs (A) through (C) of subsection(a)(1). Thereafter,
 12 the Secretary shall have 10 days to determine by order
 13 the final classification of the device by applying the classi-
 14 fication criteria set forth in subparagraphs (A) through
 15 (C) of subsection(a)(1).

16 (c) SUBSTANTIAL EQUIVALENCE.—Section 513(i)(1)
 17 (21 U.S.C. 360c(i)(1)) is amended by adding at the end
 18 thereof the following new subparagraph:

19 “(C) For the purpose of determining the intended use
 20 of a predicate device under paragraph (A), each use rea-
 21 sonably included within a general use for the predicate de-
 22 vice shall be a legally marketed use of the predicate device
 23 for purposes of premarket notifications required under
 24 subsection 510(k), except that each person who relies upon
 25 this provision to establish a predicate device must dem-

Kennedy
Amendment
(#10)

add
"as determined by
the
Secretary"

changed

agreed to

AMENDMENT NO. _____

Calendar No. _____

Purpose: To *clarify the meaning of substantial equivalence*
~~improve the regulation of food and cosmetics.~~

IN THE SENATE OF THE UNITED STATE--104th Cong., 2d Sess.

An Amendment to the amendment offered by Mrs. Kassebaum
to S. 1477

To amend the Federal Food, Drug, and Cosmetic Act and Public Health
Service Act to improve the regulation of food, drugs, devices, and
biological products, and for other purposes.

Referred to the Committee on _____
and ordered to be printed

Ordered to lie on the table and to be printed

AMENDMENTS intended to be proposed by Mr. Kennedy

1 On page 92, strike line 16 through line 25 and on page 93, strike line 1
2 through line 4 and replace with:

3 "(c) Section 513(i)(1)(A)(21 U.S.C. 360c(i)(1)(A)) is amended by inserting
4 after "intended use":

5 "which, *add to bill*
as determined by the Secretary, shall include each use reasonably
6 included within a general use".

1 onstrate to the Secretary that the device subject to the
 2 premarket notification under section 510(k) is substan-
 3 tially equivalent to such predicate device within the mean-
 4 ing of this section.”.

5 (d) DEVICE MODIFICATION.—Section 513(i) (21
 6 U.S.C. 360c(i)) is amended by adding at the end thereof
 7 the following new paragraph:

8 “(4) Any change or modification to a device initially
 9 classified under section 513(f), other than a major change
 10 (including any major modification or significant change in
 11 operating principles) in the intended use, shall not require

12 an additional submission under section 510(k) if ^{prior to commercial distribution} such
 13 change or modification is supported by appropriate data
 14 or information, including data or information satisfying

15 requirements under section 520(f), and the change or
 16 modification ^{is} ~~can be~~ ^{by such data or information} shown to not adversely affect the safe-
 17 ty or effectiveness of the device. All data or information
 18 relied upon to document that a change to (including any

19 modification of) the device does not require an additional
 20 notification under section 510(k) shall be made available
 21 to the Secretary upon request and shall be maintained,
 22 at least for a period of time equal to the expected life of
 23 the device or two years, whichever is greater.”.

or a significant
 change or
 modification in
 design that has
 a significant
 adverse effect
 on safety and
 effectiveness
 or function

notification
 under subsection
 510(k). from the date of commercial distribution by the manufacturer

(2) Within 15 days of enactment of this subsection,
 the Secretary shall publish in the Federal Register
 a list of each type of Class I device which shall
 not be considered exempt from the requirements of
 section 510(k) because

including data or
 information demonstrating
 compliance with good
 manufacturing practice
 regulations promulgated
 under section 520(f)

in premarket notifications
 is necessary to protect the public
 health. If the Secretary finds to
 publish such list within 15
 days of enactment of this subsection,
 a list of Class I devices shall
 be compiled from the requirements to

"(4) Any change or modification to a LEGALLY MARKETED *device*

which is not intended to affect the device's safety or effectiveness and for which routine quality assurance testing establishes that the safety or effectiveness of the device has not been SIGNIFICANTLY affected, shall not require a notification under section 510(k) if the following requirements are met:

(A) such change or modification is made and validated in accordance with the requirements of the good manufacturing practice regulations promulgated under section 520(f) PRIOR TO ANY COMMERCIAL DISTRIBUTION; and

(B) all data and information relied upon to document that the requirements of subparagraph (A) have been met ARE made part of the device master records required under the good manufacturing practice regulations promulgated under section 520(f).

All data or other information relied upon to document that a change or modification does not require a notification under section 510(k) shall be made available to the Secretary upon request and shall be maintained FOR A PERIOD OF TIME EQUIVALENT TO THE DESIGN AND EXPECTED LIFE OF THE DEVICE, BUT IN NO CASE LESS THAN 2 YEARS FROM THE DATE OF RELEASE FOR COMMERCIAL DISTRIBUTION BY THE MANUFACTURER.

1 **SEC. 703. MEDICAL DEVICE APPROVAL STANDARDS.**2 (a) **DEVICE CLASSES.**—Section 513(a)(3) (21 U.S.C.

3 360c(a)(3)) is amended—

4 (1) by striking “well-controlled” and inserting

5 “one or more well-controlled”; and

6 (2) by striking “clinical investigations” and in-

7 serting one or more clinical investigations”.

8 (b) **SUPPLEMENT TO APPLICATION.**—Section

9 513(a)(3) (21 U.S.C. 360c(a)(3)) is amended by adding

10 at the end thereof the following new subparagraphs:

11 “(C) The Secretary shall accept, for the purpose of

12 facilitating a review of a premarket application, a supple-

13 ment to a premarket application, or a premarket notifica-

14 tion of a device, retrospective or historical clinical data as

15 a control or for use in determining whether there is a rea-

16 sonable assurance of effectiveness of a device if ^{the data are} sufficient ^{sufficient}17 ~~valid data are available~~ ^{to demonstrate such assurance} and the effects of the device on

18 the cure, mitigation, treatment, or prevention of a disease

19 are clearly defined and well understood.

20 “(D) The Secretary may not require the sponsor of

21 an application to conduct clinical trials for use in deter-

22 mining whether there is a reasonable assurance of effec-

23 tiveness for a device, or whether a device is substantially24 equivalent to a predicate device, using prospective concur-

25 rent controls unless— 4

moved into the future

*Sec. 703
Med Device Approval Sids*

*NOT
TAKEN*

*NOT
TAKEN*

NEW

1 “(i)(I) the effects of the device on the cure,
2 mitigation, treatment, or prevention of a disease or
3 condition are not clearly defined and well understood
4 as determined by the Secretary; or

5 “(II) retrospective or historical data are not
6 available that meet the standards of the Secretary
7 for quality and completeness; or

8 “(ii) there is a compelling public health reason
9 to not rely on retrospective or historical data as a
10 control.

11 **SEC. 704. TRACKING.**

12 Section 519(e) (21 U.S.C. 360i(e)) is amended to
13 read as follows:

14 “DEVICE TRACKING

15 “(e) The Secretary may by regulation require a man-
16 ufacturer to adopt a method of tracking a class II or class
17 III device—

18 “(1) the failure of which would be reasonably
19 likely to be life-threatening or have serious adverse
20 health consequences; and

21 “(2) which is—

22 “(A) ~~permanently~~ implantable ^{longer than 30 days} or

23 “(B) life sustaining or life supporting and
24 used outside a device user facility.

25 Any patient receiving a device subject to tracking under
26 this section may refuse to release or refuse permission to

changed

*NOT
TAKEN*

new

1 release the patient's name, address, social security num-
2 ber, or other identifying information for the purpose of
3 tracking." *New*

4 **SEC. 705. POSTMARKET SURVEILLANCE.**

5 Section 522 (21 U.S.C. 360l) is amended to read as
6 follows:

7 **"SEC. 522. POSTMARKET SURVEILLANCE.**

8 "(a) IN GENERAL.—The Secretary may require a
9 manufacturer to conduct postmarket surveillance for any
10 device of the manufacturer that—

our change taken
11 "(1) is a permanent implant the failure of
12 which may cause serious, adverse health con-
13 sequences or death;

14 "(2) is intended for a use in supporting or sus-
15 taining human life; or

16 "(3) potentially presents a serious risk to
17 human health or creates public health concerns that
18 justify surveillance under this section. *New*

19 "(b) SURVEILLANCE APPROVAL.—Each manufac-
20 turer required to conduct a surveillance of a device under
21 subsection (a) shall, within 30 days of receiving notice
22 from the Secretary that the manufacturer is required
23 under this section to conduct the surveillance, submit for
24 the approval of the Secretary, a protocol for the required
25 surveillance. The Secretary, within 60 days of the date of

1 the receipt of the protocol, shall determine if the principal
2 investigator proposed to be used in the surveillance has
3 sufficient qualifications and experience to conduct the sur-
4 veillance and if the protocol will result in collection of use-
5 ful data or other information necessary to protect the pub-
6 lic health and to provide safety and effectiveness informa-
7 tion for the device. The Secretary may not approve the
8 protocol until the protocol has been reviewed by a qualified
9 scientific and technical review committee established by
10 the Secretary.”.

11 **SEC. 706. DEVICE DISTRIBUTOR REPORTING.**

12 Section 519 (21 U.S.C. 360i) is amended—

13 (1) by striking “, importer, or distributor” each
14 place it appears and inserting “or importer”;

15 (2) in subsection (a)—

16 (A) in paragraph (8), by striking “; and”
17 and inserting a period; and

18 (B) by striking paragraph (9) ~~and~~

19 (3) ~~by striking subsection (f).~~

*Kennedy
amendment*

20 **SEC. 707. PREMARKET APPROVAL.**

21 (a) ACTION ON APPLICATION.—Section 515(d) (21
22 U.S.C. 360e(d)) is amended—

23 (1) in paragraph (1)(A), by striking “paragraph

24 (2) of this subsection” each place it appears and in-

25 serting “paragraph (4)”;

Kennedy

AMENDMENT NO 11

Calendar No

Purpose: To ensure reinstate requirement that device companies report removals and corrections.

IN THE SENATE OF THE UNITED STATE--104th Cong., 2d Sess.

An Amendment to the amendment offered by Mrs. Kassebaum
to S. 1477

To amend the Federal Food, Drug, and Cosmetic Act and Public Health
Service Act to improve the regulation of food, drugs, devices, and
biological products, and for other purposes.

Referred to the Committee on _____
and ordered to be printed

Ordered to lie on the table and to be printed

AMENDMENTS intended to be proposed by Mr. Kennedy

- 1 On page ⁹⁷~~93~~, line ¹⁸~~15~~, strike "; and" and insert a period; and
- 2 strike line ¹⁹~~16~~.

Agreement to work up FDA to
1

1 (2) in paragraph (1)(B), by adding at the end
2 thereof the following new clause:

3 “(iii) The Secretary shall accept and review data and
4 any other information from investigations conducted
5 under the authority of regulations required by section
6 520(g) to make a determination of whether there is a rea-
7 sonable assurance of safety and effectiveness of a device
8 subject to a pending application under this section if—

9 “(I) the data or information is derived from in-
10 vestigations of an earlier version of the device, the
11 device has been modified during or after the inves-
12 tigations, and the modification of the device does not
13 constitute a significant change in the design or in
14 the basic principles of operation of the device that
15 would invalidate the data or information; or

16 “(II) the data or information on a device ap-
17 proved under this section is available for use under
18 this Act and is relevant to the design and intended
19 use of the device subject to the pending applica-
20 tion.”;

21 (3) by redesignating paragraphs (2) and (3) as
22 paragraphs (4) and (5), respectively; and

23 (4) by inserting after paragraph (1) the follow-
24 ing new paragraphs:

1 “(2) Each application received under section 515(c)
2 shall be reviewed in the following manner to achieve final
3 action on the application within 180 days of the receipt
4 of the application:

5 “(A) The Secretary shall meet with an appli-
6 cant within 90 days of the receipt of the application
7 to discuss the review status of the application. If the
8 application does not appear in a form that would re-
9 ~~quire an approval~~ *warrant review* under subsection (d), the Sec-
10 retary shall in writing, and prior to the meeting,
11 present to the applicant a description of any defi-
12 *identified to date* ciencies in the application, and what information is
13 *would be necessary to remedy them* ~~required to bring the application into a form that~~
14 ~~would require an approval.~~

15 “(B) The Secretary shall refer an application to
16 a panel established under section 513 for review and
17 ~~an approval~~ recommendation, unless a panel is not
18 required under subsection (c)(2), within 30 days of
19 the date of the meeting referred to in subparagraph
20 (A) or at the next scheduled panel meeting following
21 the meeting referred to in subparagraph (A), which-
22 ever occurs later.

23 “(C) The Secretary shall meet with the appli-
24 cant within 15 days of the date of the panel review
25 to discuss the status of the application, including a

NOT
TAKEN

NOT
TAKEN

1 discussion on what action is necessary to bring the
2 application into a form that would ^{warrant} ~~require~~ approval
3 under this subsection. Prior to the meeting, the Sec-
4 retary shall in writing shall set forth an agenda for
5 the meeting (including a complete description of the
6 subject matter to be discussed at the meeting), and
7 a full description of the additional information nec-
8 essary to bring the application into a form that
9 would ^{warrant} ~~require~~ an approval under subsection (d). Par-
10 ticipation of the applicant at such a meeting shall be
11 at the discretion of the applicant.

12 “(D) The Secretary shall meet with the appli-
13 cant not later than 135 days after the receipt of an
14 application under subsection (c), if an advisory panel
15 is not required under subsection (c)(2), and inform
16 the applicant whether or not the application is in a
17 form that would ^{warrant} ~~require~~ approval under subsection
18 (d). If the application is in such form, the Secretary
19 shall, at or prior to the meeting, present in writing
20 to the applicant a description of all additional infor-
21 mation necessary to ^{warrant} ~~require~~ an approval of the appli-
22 cation under subsection (d). If the application is not
23 in such form, the Secretary shall deny approval of
24 the application and prior to the meeting, present in
25 writing to the applicant each basis for denying ap-

1 proval of the application and the additional informa-
2 tion required to bring the application into a form
3 that would ^{warrant} ~~require~~ approval.

4 “(E) The Secretary shall issue an order approv-
5 ing or denying an application within 180 days of the
6 receipt of the application under subsection (c) or
7 within ¹⁸⁰ ~~120~~ days for an application subject to an ex-
8 pedited review under paragraph (1)(A).

9 ~~“(3)(A) Except as provided in subparagraph (B), the~~
10 time for the review of an application by the Secretary
11 under this subsection shall not take more than 180 days
12 ~~and such time may not be extended if the application is~~
13 ~~amended.~~

14 ~~“(B) The Secretary shall not take more than 120~~
15 ~~days for the review of an application subject to an expe-~~
16 ~~ditied review under paragraph (1)(A) and may not extend~~
17 ~~the 120-day period if the application is amended.”.~~

18 (b) REVISIONS OF REGULATIONS.—

19 (1) PREMARKET APPROVAL OF APPLICA-
20 TIONS.—The Secretary of Health and Human Serv-
21 ices shall revise through notice and comment proce-
22 dures the regulations set forth in part 814 of title
23 21 of the Code of Federal Regulations, to conform
24 to the amendment made by subsection (a).

Changed

*NOT
TAKEN*

Change

1 (2) PREMARKET APPROVAL OF SUPPLE-
2 MENTS.—The Secretary of Health and Human Serv-
3 ices shall revise regulations relating to premarket
4 approval of devices to eliminate premarket approval
5 of supplements that relate to manufacturing or
6 product changes (excluding changes in intended use)
7 of a device that have been demonstrated through ap-
8 propriate data or information to not adversely affect
9 safety or effectiveness. The Secretary shall require
10 the manufacturer of a device to notify the Secretary
11 of significant manufacturing or other changes not
12 subject to a supplement under section 515 within 10
13 days of implementing such changes. All information
14 relied upon in making such changes shall be made
15 a part of the device master record. The information
16 shall be maintained for a period of time equal to the
17 period of time for the design and expected life of the
18 device, but not less than 2 years after the date of
19 release of the device for commercial distribution by
20 the manufacturer.

21 **SEC. 708. DEVICE PERFORMANCE STANDARDS.**

22 (a) ALTERNATIVE PROCEDURE.—Section 514 (21
23 U.S.C. 360d) is amended by adding at the end thereof
24 the following new subsection:

"PRODUCT REVIEW

NOT
TAKEN

1
2 “(c)(1) For the purpose of facilitating a review of a
3 device under sections 510(k), 515, or 520, the Secretary
4 ~~shall~~ ^{may} recognize appropriate medical device performance
5 standards developed by organizations accredited by the
6 American National Standards Institute (ANSI), the Inter-
7 national Standards Organization (ISO), the International
8 Electrotechnical Commission (IEC) and any other organi-
9 zation certified by the Secretary pursuant to paragraph
10 (2)(B).

11 “(2)(A) The Secretary shall establish a procedure
12 governing the certification by the Food and Drug Admin-
13 istration of the competence of any national or inter-
14 national standards-setting organization not identified in
15 paragraph (1) to develop standards for medical devices.

16 “(B) Certifications of standards-setting organizations
17 not identified in paragraph (1) shall be based on formal,
18 written criteria that include, but are not limited to, the
19 role of the organization in the scientific community, sci-
20 entific or medical expertise, standard writing experience,
21 conflict of interest considerations, and the openness of the
22 standard setting process of the organization.

23 “(C) The Secretary may impose a reasonable one-
24 time fee on the standard-setting organization for ~~activity~~

Certification

104

1 ~~ing standard-setting organizations~~ pursuant to subpara-
2 graph (B).

3 “(D) Upon being notified by a designated standard-
4 setting organization that a standard has been adopted, the
5 Secretary shall review and may recognize the standard by
6 publishing a notice in the Federal Register listing the
7 name of the standard. *our change taken*

8 “(E) The Secretary shall promulgate regulations
9 under which the Secretary may withdraw the certification
10 of a standard-setting organization, *for purposes of this Act*
11 tion described in paragraph (1), if the Secretary deter-
12 mines that the standard-setting organization does not
13 meet the requirements of subparagraph (B) or should oth-
14 erwise no longer be designated. Such regulation shall also
15 address the effect on standards of any withdrawal of a
16 designation.

17 “(3) As provided for in section 514, the Secretary
18 may promulgate performance standards for medical de-
19 vices that differ from or are not established by organiza-
20 tions recognized in paragraph (1) or (2).

21 “(4) The Secretary shall not require, as a condition
22 for approving an application under section 510(k), 515,
23 or 520, conformity with a medical device standard recog-
24 nized under this section if the sponsor of the application
25 submits evidence to demonstrate a reasonable assurance

1 that the device is substantially equivalent to a legally mar-
2 keted predicate device or provides reasonable assurance
3 that the device is safe and effective.

4 “(5) The Secretary may withdraw recognition of the
5 performance standards recognized pursuant to paragraph
6 (1) or (2) if, in the discretion of the Secretary, the Sec-
7 retary determines the standard is ^{no longer adequate} insufficient to facilitate *change*
8 a review. The Secretary shall notify the standard-setting
9 organization and specify the basis for the withdrawal.

10 “(6) A performance standard recognized pursuant to
11 paragraph (1) or (2) for a medical device—

12 ~~“(A) shall include provisions to provide reason-~~
13 ~~able assurance of the safe and effective performance~~
14 ~~of the device;~~

15 ^A“(B) shall, where necessary to provide reason-
16 able assurance of the safe and effective performance
17 of the device, include—

18 “(i) provisions with respect to the con-
19 struction, components, ingredients, and prop-
20 erties of the device and the compatibility of the
21 device with power systems and connections to
22 the systems;

23 “(ii) provisions for the testing (on a sam-
24 ple basis or, if necessary, on an individual
25 basis) of the device or, if it is determined that

NOT
TAKEN

1 no other more practicable means are available
2 to the Secretary to assure the conformity of a
3 device to the standard, provisions for the test-
4 ing (on a sample basis or, if necessary, on an
5 individual basis) of the device by the Secretary
6 or by another person at the direction of the
7 Secretary;

8 “(iii) provisions for the measurement of
9 the performance characteristics of the device;
10 and

11 “(iv) provisions requiring that the results
12 of each or certain of the tests of the device re-
13 quired to be made under clause (ii) demonstrate
14 that the device is in conformity with those por-
15 tions of the standard for which the test or tests
16 were required; and

17 ^B“(C) shall, where appropriate, require the ~~use~~ *new*
18 ~~and prescribe the form and content of labeling for~~
19 the proper installation, maintenance, operation, and
20 use of the device.

21 “(7) The Secretary, ~~in lieu of requiring data dem-~~ *new*
22 ~~onstrating conformity with a standard recognized under~~
23 ~~this section,~~ *may* ~~shall accept a certification by an applicant~~ *independent*
24 ~~who has made a submission pursuant to sections 510(k),~~ *third party competent to certify*
25 ~~515, or 520 that the device conforms with each standard~~ *conformance to standards*

NOT
TAKEN

NOT
TAKEN*submission pursuant to section 501(c)(1)*

1 identified in the ~~certification~~. Where appropriate, the Sec-
2 retary may require data demonstrating conformity with a
3 standard recognized under paragraph (1) or (2).

4 “(8) The Secretary shall require an applicant who
5 certifies that a device conforms to an applicable perform-
6 ance standard recognized under pursuant to paragraph
7 (1) or (2) or established under section 514 to maintain
8 data demonstrating conformance for a period of time
9 equal to the period of time for the design and expected
10 life of the device. Such data shall be made available to
11 the Secretary upon request.”.

12 (b) ADULTERATED DEVICE.—Section 501(c) (21
13 U.S.C. 351(e)) is amended—

14 (1) by striking “(c)” and inserting “(c)(1)”;

15 (2) by striking “section 514” and inserting
16 “section 514(b)”;

17 (3) by inserting at the end thereof the follow-
18 ing:

19 “(2) If it is, or purports to be or is represented as,
20 a device which is certified to be in compliance with any
21 voluntary standard recognized under section 514(c), un-
22 less such a device is in all respects in conformity with such
23 a standard.”.

Insert amendment #1

Coats

Kennedy
Harkin
Bell
Sullivan

Amendment No. 1

Agreed to

Calendar No. _____

Gregg
Jaffee
First
Durne
Huscraft
Farrington
Barton
Dale
Hunt
Waller
Kane

AMENDMENT NO. 1

Purpose: To provide for an accredited-party pilot under the direction and review of the Secretary *exclude*

IN THE SENATE OF THE UNITED STATES -- 104th Cong., 2d Sess.

*Class
111*

S. 1477

To amend the Federal Food, Drug, and Cosmetic Act and the Public Health Service Act to improve the regulation of food, drugs, devices, and biological products, and for other purposes. *# must be approved by the Secretary*

Referred to the Committee on _____
and ordered to be printed

Ordered to lie on the table and to be printed

AMENDMENT intended to be proposed by Mr. COATS

Coats 3rd Party Pilot

Viz:

On page 103, after line 23, insert the following:

SECTION 709. ACCREDITED-PARTY PARTICIPATION.

Chapter V, as amended, is further amended by adding at the end thereof the following new section:

"SECTION 523. ACCREDITED-PARTY PARTICIPATION.

(a) In General.-- Within 1 year after the enactment of this section, the Secretary shall accredit persons, including any individual or entity, who is not an employee of the United States Department of Health and Human Services to review and initially classify devices under subsection 513(f)(1) of the Act that are subject to a report under subsection 510(k) and to review and recommend to the Secretary approval or denial of applications submitted under subsection 515(c)(1). *CPMA class III P. 149*

(b) Accreditation.-- Within 6 months of the enactment of this section, the Secretary shall establish and publish in the Federal Register requirements to accredit or deny accreditation to

persons who request to perform the duties specified in subsection (a). The Secretary shall respond to a request for accreditation within 60 days of its receipt. The accreditation of such person shall specify the activities under subsection (a) for which such person is authorized to act in the place of the Secretary. Such requirements shall, at a minimum, advise such persons how to become accredited, and set forth criteria for accreditation including criteria to avoid conflicts of interest and to ensure that persons to be accredited are capable of maintaining the confidentiality of submissions consistent with 5 U.S.C. 552 and the regulations of the Food and Drug Administration.

(c) Withdrawal of Accreditation.-- The Secretary may suspend or withdraw accreditation of any person accredited under this section, after providing notice and an opportunity for an informal hearing, when such person acts or fails to act in a manner that is substantially inconsistent with the purposes of this section, including the failure to avoid conflicts of interest, the failure to protect confidentiality of information, or the failure to competently review premarket submissions for devices.

(d) Selection and Compensation.-- A person who submits a premarket submission to the Secretary for review and classification or approval shall have the option to select an accredited person to review such submission. The Secretary shall identify for such person no less than 2 accredited persons from whom the selection may be made. Compensation for accredited persons shall be determined by agreement between the accredited person and the person who engages the services of the accredited person.

(e) Review by Secretary.-- (1) When a person exercises the option to obtain review of a premarket submission by an accredited person, the Secretary shall complete a filing review for premarket approval applications under section 515(c)(1) no later than 30 days after receipt of such application or shall ensure the completeness of premarket notification submissions under

section 510(k) no later than 15 days after receipt of such submission, prior to referring the premarket submission for review by the accredited person selected by the person submitting the premarket submission.

(2) The Secretary shall require an accredited person, upon recommending a classification of a device or approval or denial of an application, to report to the Secretary its reasons for such classification or approval or denial. For devices reviewed and initially classified under subsection 513(f)(1) of the Act and subject to a report under subsection 510(k), the Secretary shall have no more than 15 days to review the submission. For applications submitted under subsection 515(c)(1), the Secretary shall have no more than 45 days to review the application. The Secretary may change the classification under section 513(f)(1), or the approval or disapproval of the application under section 515(d), that is recommended by the accredited person, and in such case shall notify the person making the submission of detailed reasons for the change.

(f) Duration.-- This section shall remain in force for a period of 3 years from the date of the first accreditation of a person to conduct initial classifications under subsection 513(f)(1) and to conduct premarket approval reviews under section 515.

(g) Reports.-- (1) Within 1 year after the enactment of this section, the Secretary shall report in writing to the Committees of Congress with oversight authority over the Food and Drug Administration each action the Secretary has taken to implement the accreditation of persons to undertake the activities under subsection (a). Within 2 years after the accreditation of a person to conduct initial classifications under subsection 513(f)(1) and to conduct premarket approval reviews under section 515, the Secretary shall contract with an independent research organization to submit a written report examining the use of accredited persons under this section. Such report shall be submitted to such Committees no later than 30 months after the accreditation of a

person to conduct initial classifications under subsection 513(f)(1) and to conduct premarket approval reviews under section 515.

(2) The report by such independent research organization shall identify the benefits or detriments to public and patient health of using accredited persons to conduct such reviews, and shall summarize all relevant data, including data on the accredited person's review (including review times, recommendations, and compensation), and data on the Secretary's review (including review times, changes, and reasons for changes).".

TITLE VIII—ANIMAL DRUG REGULATORY REFORM

SEC. 801. SHORT TITLE.

This title may be cited as the “Animal Drug Regulatory Reform Act of 1996”.

SEC. 802. EVIDENCE OF EFFECTIVENESS.

(a) SUBSTANTIAL EVIDENCE.—Section 512(d) (21 U.S.C. 360b(d)) is amended by striking paragraph (3) and by adding at the end the following:

“(4)(A) As used in this subsection and subsections (c)(2)(F)(iii) and (c)(1)(C), the term ‘substantial evidence’ means evidence from 1 or more scientifically sound studies, including as appropriate in vitro studies, studies in laboratory animals (including a target species), bioequivalence studies, and any studies voluntarily undertaken by or for the applicant, that taken together provide reasonable assurance that the drug will have the claimed or intended effect of the drug.

“(B) For purposes of subparagraph (A), a study shall be considered to be scientifically sound if the study is designed and conducted in a manner that is consistent with generally recognized scientific procedures and principles.”.

(b) COMBINATION OF DRUGS.—Section 512(d) (21 U.S.C. 360b(d)) is amended by inserting before paragraph

NOT
CHANGED

We offered
substitute
language

Substantial
Evidence
and

Combination
of Drugs

AMENDMENT 1

Section 802(a) - EVIDENCE OF EFFECTIVENESS

Page 104, strike line 7 through line 22 and replace it with the following language:

" Substantial Evidence - Section 512(d) (21 U.S.C. 360b(d)) is amended by striking paragraph (3) and replacing it with the following new paragraph:

'(3) As used in this section, the term 'substantial evidence' means evidence consisting of **one or more** adequate and well-controlled investigations, including field investigations **where appropriate**, by experts qualified by scientific training and experience to evaluate the effectiveness of the drug involved, on the basis of which it could fairly and reasonably be concluded by such experts that the drug will have the effect it purports or is represented to have under the conditions of use prescribed; recommended, or suggested in the labeling or proposed labeling thereof."

AMENDMENT 2

Section 802 (b) COMBINATION OF DRUGS

Strike Page 104, line 23 through Page 105, line 20 and insert:

"(b) Concurrent Use of Animal Drugs in Animal Feed - Section 512(d)(1)(E) is amended by inserting after "thereof;", the following:

'but, if the drug contains multiple active ingredients and is intended for use in animal feed or the drug is intended for concurrent use with other drugs in animal feed, each of the active ingredients or drugs to be used concurrently have been previously approved individually for the particular indications and conditions of use for which they are intended to be used concurrently, and each of the active ingredients or drugs to be used concurrently is intended for at least one indication differing from any of the other active ingredients or drugs, the Secretary in determining the effectiveness of the drug shall consider only whether the drug when used in such manner represents appropriate concurrent therapy for the identified target population;'"

1 studies) and" and inserting "substantial evidence of
2 effectiveness as defined in subsection (d)(3), any
3 study of animal safety, or"; and

4 (2) by striking "essential to" and inserting "
5 required for".

6 ~~(d) MINOR SPECIES AND USES.—Section 512(d)(1)~~

7 ~~(21 U.S.C. 360b(d)(1))~~ is amended by adding at the end
8 the following new sentence: "Subparagraph (E) shall not
9 apply to a claim for use of the drug described in subpara-
10 graph (E) in a minor species, or for a minor use of the
11 drug, as the terms 'minor species' and 'minor use' are de-
12 fined in regulations issued by the Secretary, if there is
13 an application filed under subsection (b) for the drug, and
14 the application is approved, prior to the submission of the
15 claim."

16 (e) ~~— WITHDRAWAL OF APPROVAL.—~~Section
17 512(e)(1)(C) (21 U.S.C. 360b(e)(1)(C)) is amended by in-
18 serting after "substantial evidence" the following: "(as de-
19 fined in subsection (d)(4))".

20 (f) IMPLEMENTATION.—

21 (1) IN GENERAL.—Not later than ¹⁸~~6~~ months
22 after the date of enactment of this Act, the Sec-
23 retary shall issue proposed regulations implementing
24 the amendments made by this section. Not later
25 than ³⁰~~18~~ months after the date of enactment of this

NOT
CHANGED
we wanted
deleted

NOT
TAKEN

1 (4) (as added by subsection (a)) the following new para-
2 graph:

3 “(3) In a case in which a new animal drug contains
4 more than 1 active ingredient, or the labeling of the drug
5 prescribes, recommends, or suggests use of the drug in
6 combination with another animal drug, and the active in-
7 gredients or drugs in the combination have been sepa-
8 rately approved for particular uses and species prior to
9 the approval of the application for the same uses and spe-
10 cies in combination (or, in the absence of such approvals,
11 after evaluating the safety and efficacy of the combination
12 itself), the Secretary may only consider with respect to the
13 combination whether any of the active ingredients or any
14 of the drugs in the combination, respectively, at the long-
15 est withdrawal time of any of the active ingredients or
16 drugs in the combination, respectively, is above its safe
17 concentration (such as exceeding its established tolerance,
18 as measured by its marker residue), or interferes with the
19 methods of analysis for another of the active ingredients
20 or drugs in the combination, respectively.”.

21 (c) SUPPLEMENTAL APPLICATIONS.—Section
22 512(e)(2)(F)(iii) (21 U.S.C. 360b(e)(2)(F)(iii)) is amend-
23 ed—

24 (1) by striking “reports of new clinical or field
25 investigations (other than bioequivalence or residue

NOT
CHANGED
we offered a
substitute

1 Act, the Secretary shall issue final regulations imple-
2 menting the amendments.

3 (2) CONTENTS.—In issuing regulations imple-
4 menting the amendments made by this section, and
5 in taking an action to review an application for ap-
6 proval of a new animal drug under section 512 of
7 the Federal Food, Drug, and Cosmetic Act (21
8 U.S.C. 360b), or a request for an investigational ex-
9 emption for a new animal drug under subsection (j)
10 of such section, that is pending or has been submit-
11 ted prior to the effective date of the regulations, the
12 Secretary shall—

13 (A) further define the term “substantial
14 evidence”, as defined in subsection (d)(4) of
15 such section, in a manner that encourages the
16 submission of applications for production drugs
17 that conserve food resources, of applications for
18 veterinary prescription drugs whose use is de-
19 signed to rely on the experience and training of
20 practitioners in establishing effective doses for
21 such drugs, and of supplemental applications,
22 including applications seeking approval for uses
23 of animal drugs in minor species, for minor
24 uses of such drugs, and for permitted unlabeled
25 uses of such drugs;

1 (B) take into account the proposals con-
2 tained in the citizen petition (FDA Docket No.
3 91P-0434/CP) jointly submitted by the Amer-
4 ican Veterinary Medical Association and the
5 Animal Health Institute, dated October 21,
6 1991;

7 (C)(i) provide for the opportunity for a
8 conference prior to the submission of an appli-
9 cation for approval of a new animal drug under
10 such section, and prior to the submission of a
11 request for an investigational exemption under
12 subsection (j) of such section, to make a deci-
13 sion establishing a submission or an investiga-
14 tional requirement ~~(which decision shall bind~~
15 ~~the Secretary and the applicant or requester~~
16 ~~unless the Secretary by order determines that a~~
17 ~~documented scientific requirement essential to~~
18 ~~the determination of safety or effectiveness of~~
19 ~~the animal drug involved has appeared after the~~
20 conference); and

30

21 (ii) not later than ~~10~~ days after each such
22 conference, ~~by written order, provide a scientific~~
23 ~~justification specific to the animal drug and in-~~
24 ~~tended uses under consideration for requiring~~
25 ~~studies of types other than the types of studies~~

NOT
TAKEN
we offered
substitute
language

1 (B) take into account the proposals con-
 2 tained in the citizen petition (FDA Docket No.
 3 91P-0434/CP) jointly submitted by the Amer-
 4 ican Veterinary Medical Association and the
 5 Animal Health Institute, dated October 21,
 6 1991;

7 (C)(i) provide for the opportunity for a
 8 conference prior to the submission of an appli-
 9 cation for approval of a new animal drug under
 10 such section, and prior to the submission of a
 11 request for an investigational exemption under
 12 subsection (j) of such section, to make a deci-
 13 sion establishing a submission or an investiga-
 14 tional requirement ~~(which decision shall bind~~
 15 ~~the Secretary and the applicant or requester~~
 16 ~~unless the Secretary by order determines that a~~
 17 ~~documented scientific requirement essential to~~
 18 ~~the determination of safety or effectiveness of~~
 19 ~~the animal drug involved has appeared after the~~
 20 ~~conference); and~~

21 (ii) not later than ³⁰~~10~~ days after each such
 22 conference, ~~by written order, provide a scientific~~
 23 ~~justification specific to the animal drug and in-~~
 24 ~~tended uses under consideration for requiring~~
 25 ~~studies of types other than the types of studies~~

FDA shall provide a written statement regarding what studies, including field investigations, are necessary to establish the safety and effectiveness of the new animal drug and how such studies are to be interpreted. Should more than one field investigation be necessary to establish substantial evidence for effectiveness for the intended uses of the drug, FDA will provide written scientific justification specific to the animal drug and the intended uses under consideration as to why more than one field investigation is needed.

1 ~~specified in subsection (d)(4) of such section, as~~
2 ~~being essential to provide substantial evidence~~
3 ~~of effectiveness for the intended uses of the~~
4 ~~drug.~~

5 **SEC. 803. LIMITATION OF RESIDUES.**

6 Section 512(d)(1)(F) (21 U.S.C. 360b(d)(1)(F)) is
7 amended to read as follows:

8 “(F) on the basis of information submitted to
9 the Secretary as part of the application or any other
10 information before the Secretary with respect to
11 such drug, any use prescribed, recommended, or
12 suggested in labeling proposed for such drug will re-
13 sult in a residue of such drug in excess of a toler-
14 ance found by the Secretary to be safe for such
15 drug;”.

16 **SEC. 804. ADULTERATED DRUGS.**

17 Section 501(a)(2) (21 U.S.C. 351(a)(2)) is amend-
18 ed—

19 (1) in subparagraph (A), by striking “health;
20 or” and inserting “health;”; and

21 (2) in subparagraph (B), by striking “possess;”
22 and inserting the following: “possess; or (C) if it is
23 a drug intended for use by animals other than man
24 and the methods used in, or the facilities or controls
25 used for, its manufacture, processing, packing, or

NOT
CHANGED

We suggested
1) Strike the
section

2) Substitute
language

AMENDMENT 5

Section 804 - ADULTERATED DRUGS

Strike this section. (Page 109, line 16 - Page 110, line 9)
(See Talking Point)

Or, Alternatively, offer the following Amendment:

Strike Page 109, line 21 through Page 110, line 9, and insert the following:

“(2) in subparagraph (B), by striking ‘if it is a drug’ and inserting ‘if it is a drug intended for use in man’.

(3) in subparagraph (B) by striking ‘possess;’ and inserting the following: ‘possess; or (C) if it is a drug intended for use in animals other than man and the methods used in, or the facilities or controls used for, its manufacture, processing, packing, or holding do not conform to or are not operated or administered in conformity with current good manufacturing practice to assure that such drug meets the requirements of this Act as to safety and has the identity and strength, and meets the quality and purity characteristics, which it purports or is represented to possess;’

Report language could also be drafted to make it clear (1) that FDA has regulations at 21 C.F.R. Parts 210 and 211 setting forth current good manufacturing practice requirements for human and animal drugs, (2) that those regulations continue to apply to both human and animal drugs, (3) that by letter dated February 16, 1996, FDA asked members of the animal industry and professional medical organizations to participate in a workshop to discuss whether current good manufacturing practice requirements are a significant obstacle to animal drug availability; (4) that through such workshops, FDA will determine whether the existing regulations need to be revised or clarified with respect to their application to animal drugs, and (5) that FDA will by notice and comment rulemaking propose any revisions or clarifying language to the existing regulations it finds appropriate to ensure animal drug availability and the manufacture of animal drug products that are safe and have the identity and strength, and meet the quality and purity characteristics, they are purported or represented to possess.

1 holding do not conform to or are not operated or ad-
2 ministered in conformity with current good manufac-
3 turing practice requirements (appropriate for animal
4 drugs) adopted pursuant to regulations issued by the
5 Secretary to ensure that such drug meets the re-
6 quirements of this Act as to safety and has the iden-
7 tity and strength, and meets the quality and purity
8 characteristics, which it purports or is represented
9 to possess for use in animals other than man.”.

10 **SEC. 805. VETERINARY FEED DIRECTIVES.**

11 (a) WRITTEN OR ORAL ORDERS.—Section
12 503(f)(1)(A) (21 U.S.C. 353(f)(1)(A)) is amended by
13 striking “other than man” and inserting the following:
14 “other than man, other than a veterinary feed directive
15 drug intended for use in animal feed or an animal feed
16 bearing or containing a veterinary feed directive drug,”.

17 (b) GENERAL REQUIREMENTS.—Chapter V (21
18 U.S.C. 351 et seq.) is amended by inserting after section
19 503 the following new section:

20 **“VETERINARY FEED DIRECTIVES DRUGS**

21 **“SEC. 504. (a)(1) A drug intended for use in or on**
22 **animal feed which is limited by an approved application**
23 **filed pursuant to section 512(b) to use under the profes-**
24 **sional supervision of a licensed veterinarian is a veterinary**
25 **feed directive drug. Any animal feed bearing or containing**
26 **a veterinary feed directive drug shall be fed to animals**

1 only by or upon the lawful veterinary feed directive issued
2 by a licensed veterinarian in the course of the veterinar-
3 ian's professional practice. When labeled, distributed,
4 held, and used in accordance with this section, a veteri-
5 nary feed directive drug and any animal feed bearing or
6 containing a veterinary feed directive drug shall be exempt
7 from section 502(f).

8 “(2) A veterinary feed directive is lawful if it—

9 “(A) contains such information as the Secretary
10 may, by general regulation or by order, require; and

11 “(B) is in compliance with the conditions and
12 indications for use of the drug set forth in the notice
13 published pursuant to section 512(i).

14 “(3)(A) Any persons involved in the distribution or
15 use of animal feed bearing or containing a veterinary feed
16 directive drug and the licensed veterinarian issuing the
17 veterinary feed directive shall maintain a copy of the vet-
18 erinary feed directive applicable to each such feed, except
19 in the case of a person distributing such feed to another
20 person for further distribution, such person distributing
21 the feed shall maintain a written acknowledgment from
22 the person to whom the feed is shipped stating that that
23 person shall not ship or move such feed to an animal pro-
24 duction facility without a veterinary feed directive or ship
25 such feed to another person for further distribution unless

1 that person has provided the same written acknowledg-
2 ment to its immediate supplier.

3 “(B) Every person required under the subparagraph
4 (A) to maintain records, and every person in charge or
5 custody thereof, shall, upon request of an officer or em-
6 ployee designated by the Secretary, permit such officer or
7 employee at all reasonable times to have access to and
8 copy and verify such records.

9 “(C) Any person who distributes animal feed bearing
10 or containing a veterinary feed directive drug shall upon
11 first engaging in such distribution notify the Secretary of
12 that person's name and place of business. The failure to
13 provide such notification shall be deemed to be an act
14 which results in the drug being misbranded.

15 “(b) A veterinary feed directive drug and any feed
16 bearing or containing a veterinary feed directive drug shall
17 be deemed to be misbranded if the drug ^{or} ~~and~~ feed labeling
18 fails to bear such cautionary statement and such other in-
19 formation as the Secretary may, by general regulation or
20 by order, prescribe, or the drug ^{or} ~~and~~ feed advertising fails
21 to conform to the conditions and indications for use pub-
22 lished pursuant to section 512(i) or fails to contain the
23 general cautionary statement prescribed by the Secretary.
24 “(c) Neither a drug subject to this section, nor ani-
25 mal feed bearing or containing such a drug, shall be

NOT
TAKEN

1 deemed to be a prescription article under any Federal or
2 State law.”.

3 (c) CONFORMING AMENDMENTS.—Section 512 (21
4 U.S.C. 360b) is amended—

5 (1) in subsection (i), by striking “require-
6 ments)” and inserting “requirements and any re-
7 quirement that an animal feed bearing or containing
8 the new animal drug be limited to use under the
9 professional supervision of a licensed veterinarian”;

10 (2) in subsection (a)(2)(C) by striking “its la-
11 beling” and inserting “its labeling, its distribution,
12 its holding.”; and

13 (3) in subsection (m)(4)(B)(i)—

14 (A) by striking “paragraph (5)(A) of this
15 subsection” and inserting “paragraph (5)(A) or
16 under section 504(a)(3)(A)”;

17 (B) by striking “subparagraph (B) of such
18 paragraph” and inserting “subparagraph (B) of
19 such paragraph or section 504(a)(3)(B)”.

20 (d) PROHIBITED ACTS.—Section 301(e) (21 U.S.C.
21 331(e)) is amended—

22 (1) by striking “section 412” and inserting
23 “section 412, 504,”; and

24 (2) by striking “under section 412.” and insert-
25 ing “under section 412, 504.”.

118
FEED MILL LICENSING & IMPORT TOLERANCES

SEC. 806. TIMEFRAMES FOR APPROVAL.

The first sentence of section 512(c)(1) (21 U.S.C. 360b(c)(1)) is amended by striking "one hundred and eighty" and inserting "90".

TITLE IX—FOOD REGULATORY REFORM

SEC. 901. SHORT TITLE.

This title may be cited as the "Food Regulatory Reform Act of 1996".

FOOD CONTACT SUBSTANCES

SEC. 902. INDIRECT FOOD ADDITIVES.

(a) APPROVAL.—Section 409 (21 U.S.C. 348) is amended by adding at the end thereof the following new subsection:

"ALTERNATIVE APPROVAL PROCEDURE

"(j)(1) As an alternative to the approval procedure established under subsection (b), any person may submit a notification for an indirect food additive under this subsection.

"(2) Any person who proposes to begin the introduction or delivery for introduction into interstate commerce of a product intended for use as an indirect food additive may submit to the Secretary, at least 90 days prior to making such introduction or delivery, a notification containing information demonstrating that the labeled use of the product is safe.

NOT
CHANGED
We suggested
striking this
section
and adding
Feed Mill
licensing
and
Import Tolerances

NOT
CHANGED
We suggested
deleting
and
substitute
language

1 “(3)(A) Within 90 days after the receipt of the notifi-
2 cation by the Secretary, the Secretary shall either—

3 “(i)(I) approve the notification if the article is
4 safe for its intended use; or

5 “(II) disapprove the notification if the article
6 has not been shown to be safe for its intended use;
7 and

8 “(B) publish a notice of this determination in the
9 Federal Register and, if the notification is approved, pro-
10 mulgate an appropriate regulation pursuant to subsection
11 (c).”.

12 (b) DEFINITION.—Section 201 (21 U.S.C. 321), as
13 amended by section 409, is further amended by adding
14 at the end thereof the following new subsection:

15 “(ii) The term ‘indirect food additive’ means a food
16 additive that is intended to contact food but that is not
17 intended for consumption as a food ingredient.”.

Insert Gregg Amendment -

Insert First Amendment -

AMENDMENT 8 - FEED MILL LICENSING

This is a new provision that is not currently found in S. 1477. It is one of the President's NPR Reinventing Government Initiatives.

FDA's Proposed Legislative Language to Implement the NPR on Feed Mill Licensing

1. Section 512(a) of the Federal Food, Drug, and Cosmetic Act (Act) is amended as follows:

- (a) Section 512(a) is amended by striking section 512(a)(1)(i) and inserting "(i) holds a license issued under subsection (m) of this section and has in his possession current approved labeling for such drug in animal feed; or"
- (b) Section 512(a)(1)(ii) is amended by striking "an approved application" and inserting "a license issued".
- (c) Section 512(a)(2) is amended by striking 512(a)(2)(B) and inserting "(B) such animal feed is manufactured at a site for which there is in effect a license issued pursuant to subsection (m)(1) of this section to manufacture such animal feeds; and"
- (d) Section 512(a)(2)(C) is amended by
 - (1) striking ", its labeling and such" and inserting ", bears approved labeling and its"; and
 - (2) striking " and to the application with respect thereto approved under subsection (m) of this section"

2. Section 512(m) of the Federal Food, Drug, and Cosmetic Act (Act) is amended as follows:

- (a) Section 512(m) is amended by striking 512(m)(1) and inserting "(m)(1) Any person may file with the Secretary an application for a license to manufacture animal feeds bearing or containing new animal drugs. Such person shall submit to the Secretary as part of the application: (A) a full statement of the business name, location of the specific site at which the manufacturing is to take place, including the street, city, state, zip code, and FDA registration number; (B) the name and signature of the responsible persons at that facility; (C) certification that animal feed or feeds bearing or containing a new animal drug or drugs shall be manufactured and labeled in accordance with the regulation or regulations (relating to the new animal drug or drugs to be used in feed or feeds) published pursuant to subsection (i) of this section; and (D) certification that the methods used in, and the facilities and controls used for the manufacture, processing, packing, and holding of such animal feed or feeds are adequate to preserve the

identity, strength, quality, and purity of the new animal drug or drugs therein."

(b) Section 512(m)(2) is amended by striking "(c)" and inserting "(c)(1)".

(c) Section 512(m)(3) is amended as follows:

(1) by inserting after "part of the application" the following: ", on the basis of a preapproval inspection,";

(2) by striking 512(m)(3)(A), (B), and (D), moving 512(m)(3)(C) to 512(m)(3)(B), and inserting "(A) that the application is incomplete, false, or misleading in any particular;" and "(C) that the applicant manufactures animal feeds containing animal drugs in a manner that does not accord with the specifications for manufacture, or labels the animal feeds containing animal drugs in a manner that does not accord with the conditions or indications of use, that are published pursuant to subsection (i) of this section,"

(3) by striking in the paragraph following 512(m)(3)(C) "(A) through (D)" and inserting "(A) through (C)"; and

(4) by striking in the paragraph following 512(m)(3)(C) "with respect to an animal feed bearing or containing a new animal drug shall be effective only while there is in effect a regulation pursuant to subsection (i), on the basis of which such application (or a supplement thereto) was approved, relating to the use of such drug in or on such feed" and inserting "for a license to manufacture animal feeds bearing or containing a new animal drug or drugs shall permit a site to manufacture only those animal feeds containing new animal drugs for which there are in effect regulations pursuant to subsection (i) relating to the use of such drugs in or on such animal feed".

(d) Section 512(m)(4) is amended as follows:

(1) 512(m)(4)(A) is amended by striking "issue an order withdrawing approval of an application with respect to any animal feed" and inserting "revoke a license to manufacture animal feeds bearing or containing new animal drugs";

(2) 512(m)(4)(A)(ii) is amended by striking "any changes from the standpoint of safety or effectiveness beyond the variations provided for in the application" and inserting "changes that would cause the application to contain any untrue statements of material fact or that would affect the safety or effectiveness of the animal feeds manufactured at the site"; and

(3) 512(m)(4)(A)(ii) is amended by striking the last sentence;

(4) 512(m)(4)(A) is amended by striking "he may suspend the approval of such

application" and inserting "he may suspend the site's license" and replacing the word "applicant" with the word "licensee";

(5) 512(m)(4)(B) is amended by striking "issue an order withdrawing the approval of an application with respect to any animal feed" and inserting "revoke a license to manufacture animal feed";

(6) 512(m)(4)(B)(ii) is amended by striking "when such application was approved" and inserting "when such license was issued" and striking "processing, and packing" and inserting "processing, packing, and holding" and striking "or" at the end of (ii);

(7) 512(m)(4)(B)(iii) by striking "when the application was approved, the labeling of such animal feed" and inserting "when such license was issued, the labeling of any animal feeds" and striking the period at the end of the sentence and inserting "; or";

(8) inserting 512(m)(4)(B)(iv): "(iv) that on the basis of new information before him, evaluated together with the evidence before him when the license was issued, the firm has manufactured, processed, packed, or held animal feed bearing or containing a new animal drug adulterated under section 501(a)(6), and the licensee did not discontinue the manufacturing, processing, packing or holding of such animal feed within a reasonable time after receipt of written notice from the Secretary specifying the matter complained of."; and,

(9) redesignating 512(m)(4)(C) as 512(m)(4)(D) and inserting "(C) The Secretary may also revoke a license to manufacture animal feeds under this subsection if a licensee gives notice to the Secretary of intention to discontinue the manufacture of all animal feed covered under this subsection, and waves an opportunity for a hearing on the matter."

(e) Section 512(m)(5) is amended by striking "of any animal feed for which an approval of an application filed pursuant to this subsection is in effect" and inserting "a license to manufacture animal feeds bearing or containing new animal drugs has issued" and by striking in 512(m)(5)(A) "applicant" and inserting "licensee".

(f) Section 512(m) is amended by inserting 512(m)(6) as follows: "(6) To the extent consistent with public health, the Secretary may promulgate regulations for exempting from the operation of this section sites that manufacture, process, pack, or hold animal feeds bearing or containing new animal drugs."

AMENDMENT 9 - IMPORT TOLERANCES

This is a new provision that is not currently found in S. 1477. It is one of the President's NPR Reinventing Government Initiatives.

FDA's Proposed Legislative Language to Implement the NPR on Import Tolerances

The statutory change would add a new section 512(a)(6) which provides as follows:

For purposes of section 402(a)(2)(D), a use or intended use of a new animal drug shall not be deemed unsafe under section 512 if the Secretary establishes a tolerance for such drug and any portion of any animal imported into the United States does not contain residues exceeding such tolerance.

In establishing such tolerance, the Secretary shall rely on data sufficient to demonstrate that a proposed tolerance is safe based on similar food safety criteria used by the Secretary to establish tolerances for applications for new animal drugs filed under subsection (b)(1). The Secretary may consider and rely on data submitted by the manufacturer, including data submitted to appropriate regulatory authorities in any country where the new animal drug is lawfully used, or data available from a relevant international organization, to the extent such data is not inconsistent with the criteria used by the Secretary to establish a tolerance for applications for new animal drugs filed under subsection (b)(1). For purposes of this paragraph, relevant international organization means the Codex Alimentarius Commission or other international organization deemed appropriate by the Secretary.

The Secretary may, under procedures specified by regulation, revoke such tolerances if information demonstrates that the use of the new animal drug under actual use conditions results in foods being imported into the United States with residues exceeding such tolerances.

This language was last updated on 3/21/96

TITLE IX -- FOOD REGULATORY REFORM

Sec. 901. Short Title.

This title may be cited as the "Food Regulatory Reform Act of 1996".

Sec. 902. Food Contact Substances

(a) Approval. -- Section 409 (21 U.S.C. 348) is amended by redesignating Subsection (h) as Subsection (j) and adding new Subsection (h) as follows:

"NOTIFICATION PROCEDURE FOR FOOD CONTACT SUBSTANCES".

"(h)(1) As an alternative to the procedure established under subsection (b), any person may submit a notification for a food contact substance under this subsection. For purposes of this subsection, the term 'food contact substance' means any substance intended for use as a component of materials used in manufacturing, packing, packaging, transporting, or holding food if such use may reasonably be expected to result in such substance becoming a component of food, and is not intended to have any technical effect in such food."

(2) Any person who proposes to begin the introduction or delivery for introduction into interstate commerce of an article intended for use as a food contact substance may submit to the Secretary, at least 90 days prior to making such introduction or delivery, a notification that such person has determined that the labeled use of the article is safe. Such notification shall contain the data and information forming the basis for such determination.

(3) Within 90 days after the receipt of a notification by the Secretary, the Secretary may object to the notification if, on the basis of the data and information submitted, as well as other information available to the Secretary, the substance has not been shown to be safe for its labeled use under the standard of subsection (c)(3)(A), including the proviso thereof. In the absence of an objection, the article may be lawfully marketed for its labeled use on the 91st day after receipt of the notification, *unless the Secretary objects to the notification.*

(4) The Secretary shall publish on a quarterly basis a list of all food contact substances for which a notification has been received and to which the Secretary has not objected in the 90 day time period specified in Subsection (3). Such list shall include the identity of and specifications for use of each such substance."

(5) An objection by the Secretary under subsection (h) (3) shall be considered final agency action for the purposes of 5 U.S.C. § 706.

(b) Conformity With Adulteration Exemption. -- Section 409 (21 U.S.C. 348 (a)) is amended --

(1) in paragraph (1) by striking "or" at the end thereof;

(2) in paragraph (2) by striking the period at the end thereof and inserting "; or";

(3) by inserting after paragraph (2) the following new paragraph:

"(3) It is a food contact substance and more than 90 days have passed since the receipt of a notification under subsection (h) covering the use of such substance and the Secretary has not objected to such notification under subsection (h) (3).

Gregg

Jeffords Almaten
 Coats Gorton
 Gregg Kassebaum
 Frist Harkin
 D'Amato
 Aschcroft

Tennet
 Bell
 Dodd
 Simon
 Mikulski
 Lieberman

Harkin
 no
 1/22/94

AMENDMENT NO. 8Calendar No.

Purpose: To modify the food health claim provisions of the Federal Food, Drug, and Cosmetic Act relating to claim requirements and authorization for use of a claim.

IN THE SENATE OF THE UNITED STATES—104th Cong., 2d Sess.

S. 1477

To amend the Federal Food, Drug, and Cosmetic Act and the Public Health Service Act to improve the regulation of food, drugs, devices, and biological products, and for other purposes.

Referred to the Committee on _____
 and ordered to be printed

Ordered to lie on the table and to be printed

AMENDMENT intended to be proposed by Mr. GREGG

Viz:

1 On page 115, insert after line 17, the following:

2 **SEC. 903. HEALTH CLAIMS OF FOOD PRODUCTS.**

3 (a) AUTHORIZATION OF HEALTH CLAIMS BY AU-
 4 THORITATIVE GOVERNMENT SCIENTIFIC BODY.—Section
 5 403(r)(3) (21 U.S.C. 343(r)(3)) is amended by adding at
 6 the end thereof the following new subparagraph:

7 “(C) Notwithstanding the provisions of subpara-
 8 graphs (A)(i) and (B), a claim of the type described in
 9 paragraph (1)(B) which is not authorized by the Secretary

1 in a regulation promulgated in accordance with subpara-
2 graph (B) shall be authorized and may be made if—

3 “(i) an authoritative scientific body of the Unit-
4 ed States Government with official responsibility for
5 public health protection or research directly relating
6 to human nutrition (such as the National Institutes
7 of Health or the Centers for Disease Control and
8 Prevention), the National Academy of Sciences, or
9 subdivisions of the scientific body or the National
10 Academy of Sciences, have published statements,
11 conclusions, or recommendations currently in effect
12 recognizing that the relationship between the nutri-
13 ent and disease or health-related condition to which
14 the claim refers is supported by the pertinent sci-
15 entific evidence; and

16 “(ii) the manufacturer or distributor of the food
17 for which such claim is made has submitted to the
18 Secretary at least 90 days before the first introduc-
19 tion of such food into interstate commerce a notice
20 of claim, including a concise description of the basis
21 upon which such manufacturer or distributor relied
22 for determining that the requirements of clause (i)
23 have been satisfied.”.

24 (b) DIETARY SUPPLEMENTS.—Section 403(r)(5)(D)
25 (21 U.S.C. 343(r)(5)(D)) is amended to read as follows:

1 “(D) Notwithstanding subparagraph 403(r)(6), a
2 subparagraph (1)(B) claim made with respect to a dietary
3 supplement within the meaning of section 201(ff) shall be
4 subject to section 403(r)(3) to the same extent as other
5 foods.”.

6 (c) CONFORMING AMENDMENT.—Section
7 403(r)(1)(B) (21 U.S.C. 343(r)(1)) is amended by insert-
8 ing a period after “subparagraph (3)” and striking “or
9 5(D).”.

Talking Points on Problems Created by Proposed Health Claims Amendment

- o The proposed amendment fundamentally alters the criteria for health claims that were so carefully worked out in the Nutrition Labeling and Education Act of 1990. It will likely lead to increased consumer confusion and could lead to consumer deception.
- o Though superficially attractive, the proposed allowances of claims derived from government reports without review by FDA opens the door to abuse. It could result in the use of individual statements from reports that are several hundred pages long being used on food labels out of context.
- o As drafted, the amendment would also allow positive claims relative to some aspects of a product without disclosing other negative public health aspects of the product. This could potentially work to the public health detriment of some consumers.
- o This amendment could complicate the development of appropriate reports relative to the diet by causing other agencies to worry about how label designers might misuse some of the information.

Frist

Passed
Subject to
The Appropriation

Cathy Zaccaro - my

AMENDMENT NO. 4

Calendar No. ____

Purpose: To provide for the establishment of a consortium of centers for research and education on drugs, devices, and biological products.

IN THE SENATE OF THE UNITED STATES—104th Cong., 2d Sess.

S. 1477

To amend the Federal Food, Drug, and Cosmetic Act and the Public Health Service Act to improve the regulation of food, drugs, devices, and biological products, and for other purposes.

Referred to the Committee on _____
and ordered to be printed

Ordered to lie on the table and to be printed

AMENDMENT intended to be proposed by Mr. FRIST

Viz:

1 At the end of the bill, add the following:

1 **TITLE X—ESTABLISHMENT OF**
2 **CENTERS FOR EDUCATION**
3 **AND RESEARCH ON DRUGS,**
4 **DEVICES, AND BIOLOGICAL**
5 **PRODUCTS**

6 **SEC. 1001. CENTERS FOR EDUCATION AND RESEARCH ON**
7 **DRUGS, DEVICES, AND BIOLOGICAL PROD-**
8 **UCTS.**

9 Chapter IX (21 U.S.C. 391 et seq.), as amended by
10 section 108, is further amended by adding at the end
11 thereof the following new section:

12 **“SEC. 908. CENTERS FOR EDUCATION AND RESEARCH ON**
13 **DRUGS, DEVICES, AND BIOLOGICAL PROD-**
14 **UCTS.**

15 “(a) IN GENERAL.—The Secretary, acting through
16 the Commissioner, shall establish a consortium of 3 or
17 more centers for research and education on drugs, devices,
18 and biological products in accordance with subsection (b).

19 “(b) GRANT AUTHORITY.—The Secretary, acting
20 through the Commissioner, shall make grants to 3 or more
21 private entities to assist each of the entities in the estab-
22 lishment and operation of a center for research and edu-
23 cation on drugs, devices, and biological products. In
24 awarding a grant under this subsection, the Secretary
25 shall use a peer-review selection procedure.

1 “(c) AUTHORIZED GRANT ACTIVITIES.—

2 “(1) REQUIRED ACTIVITIES.—A grant awarded
3 under subsection (b) shall be used to—

4 “(A) conduct state-of-the-art clinical and
5 laboratory research that—

6 “(i) increases awareness of new uses
7 of drugs, devices, or biological products
8 and the unforeseen risks of new uses of
9 drugs, devices, or biological products;

10 “(ii) provides objective clinical infor-
11 mation to—

12 “(I) health care practitioners or
13 other providers of health care goods
14 or services;

15 “(II) pharmacy benefit man-
16 agers;

17 “(III) health maintenance organi-
18 zations or other managed health care
19 organizations; and

20 “(IV) health care insurers or gov-
21 ernmental agencies; and

22 “(iii) improves the quality of health
23 care while reducing the cost of health care
24 through the prevention of adverse effects
25 of drugs, devices, or biological products

1 and the consequences of such effects, such
2 as unnecessary hospitalizations; and

3 “(B) conduct research on the comparative
4 effectiveness and safety of drugs, devices, or bi-
5 ological products.

6 “(2) DISCRETIONARY ACTIVITIES.—A grant
7 awarded under subsection (b) may be used to con-
8 duct—

9 “(A) surveillance of the adverse effects of
10 drugs, devices, or biological products;

11 “(B) a study of new or unapproved uses
12 for marketed drugs, devices, or biological prod-
13 ucts; and

14 “(C) a study of the therapeutic character-
15 istics of clinically special populations, such as
16 children, women, and elderly individuals.

17 “(3) LIMITATION.—A grant awarded under
18 subsection (b) may not be used to assist the Sec-
19 retary in the review of new drugs.

20 “(d) APPLICATION.—An entity that desires to receive
21 a grant under this section shall submit to the Secretary
22 an application at such time, in such manner, and accom-
23 panied by such information as the Secretary may require.

24 “(e) ESTABLISHMENT OF AN OVERSIGHT COMMIT-
25 TEE.—The Secretary shall establish within the Food and

1 Drug Administration a committee to provide oversight of
2 the research and educational activities of the consortium
3 of centers described in subsection (a). The committee shall
4 be composed of—

5 “(1) a representative from each of the centers;

6 “(2) a representative from the Center for Drug
7 Evaluation and Research of the Food and Drug Ad-
8 ministration;

9 “(3) a representative from consumer advocacy
10 groups; and

11 “(4) a representative from the pharmaceutical
12 industry.

13 “(f) REPORT.—Not later than September 30, 1999,
14 the Secretary shall prepare and submit to the Chairmen
15 and Ranking Members of the Committee on Labor and
16 Human Resources of the Senate and the Committee on
17 Commerce of the House of Representatives a report on
18 the activities of the consortium of centers established pur-
19 suant to this section. The report shall include an analysis
20 on the impact of the centers on the safe use of drugs,
21 devices, and biological products and recommendations on
22 whether the funding for the centers should be extended
23 and increased.

24 “(g) AUTHORIZATION OF APPROPRIATIONS.—There
25 are authorized to be appropriated to carry out this section

1 \$9,000,000 for fiscal year 1997, \$12,000,000 for fiscal
2 year 1998, \$15,000,000 for fiscal year 1999, and
3 \$15,000,000 for fiscal year 2000.”.

Set
aside

Senator Simon reserves the right to offer to S. 1477 an amendment to extend authorization for a pilot program for the training of clinical pharmacologists.

*Coats**Set
aside*AMENDMENT NO. 6

Calendar No. _____

Purpose: To promote increased accountability of the Commissioner of Food and Drugs of the Food and Drug Administration by providing for a limited term of appointment for the Commissioner of Food and Drugs.

IN THE SENATE OF THE UNITED STATES—104th Cong., 2d Sess.

S. 1477

To amend the Federal Food, Drug, and Cosmetic Act and the Public Health Service Act to improve the regulation of food, drugs, devices, and biological products, and for other purposes.

Referred to the Committee on _____
and ordered to be printed

Ordered to lie on the table and to be printed

AMENDMENT intended to be proposed by Mr. COATS

Viz:

1 On page 12, between lines 18 and 19, insert the fol-
2 lowing:

3 SEC. 108. APPOINTMENT AND TERM OF THE COMMIS-
4 SIONER OF FOOD AND DRUGS.

5 (a) PURPOSE.—The purpose of this section is to pro-
6 mote increased accountability of the Commissioner of
7 Food and Drugs of the Food and Drug Administration
8 by providing for a limited term of appointment for the
9 Commissioner of Food and Drugs.

1 (b) LIMITATION.— Section 903(b)(1) (21 U.S.C. 393(b)(1)) is amended by
2 striking “the Senate.” and inserting “the Senate for a term of 5 years. The Commissioner
3 shall be appointed to serve 1 term. An individual serving in the office of Commissioner
4 may be removed from office only pursuant to a finding by the President of neglect of duty
5 or malfeasance in office.”.

6 (c) APPLICABILITY.— The amendment made by subsection (b) shall not apply
7 to the tenure of the individual who is serving as the Commissioner of Food and Drugs of
8 the Food and Drug Administration on the date of enactment of this Act.

*Gregg**withdrawn*AMENDMENT NO. 3

Calendar No. _____

Purpose: To modify the provisions of the Federal Food, Drug, and Cosmetic Act to require the Secretary to apply a negligible or insignificant risk standard in making a safety determination on whether an animal drug, food additive, or color additive induces cancer.

IN THE SENATE OF THE UNITED STATES—104th Cong., 2d Sess.

S. 1477

To amend the Federal Food, Drug, and Cosmetic Act and the Public Health Service Act to improve the regulation of food, drugs, devices, and biological products, and for other purposes.

Referred to the Committee on _____
and ordered to be printed

Ordered to lie on the table and to be printed

AMENDMENTS intended to be proposed by Mr. GREGG

Viz:

- 1 On page 114, between lines 4 and 5, insert the follow-
- 2 ing:
- 3 **SEC. 807. ANIMAL DRUG SAFETY.**
- 4 Section 512(d)(1)(I) (21 U.S.C. 360b(d)(1)(I)) is
- 5 amended by striking "if the Secretary finds that," and all
- 6 that follows through "derived from the living animals;"
- 7 and inserting the following: "if such drug presents, taking

INCENTIVES TO SUBMIT EFFICACY SUPPLEMENTS

- Safe harbors to dissemination restrictions (see below)
- Period of exclusivity following approval of efficacy supplement. A prohibition on substitution of drugs for these new indications. Physicians would be required to indicate that a drug is being dispensed for the specific indication, pharmacists would be prohibited from substituting, and reimbursement for substituted products would be denied.

*Handwritten signature***IMPROVING THE EFFICACY SUPPLEMENT PROCESS**

- Develop performance standards for prompt and efficient review.
- Develop guidance to industry to clarify requirements for efficacy supplements and to facilitate the submission of data to support approval of supplemental applications. Such guidance will clarify the circumstances in which published articles may be the basis for approval, specify data requirements that will avoid duplication by recognizing the availability of data previously submitted, and define supplemental applications that are eligible for priority review.
- Designate an individual in each Center who is responsible for encouraging the prompt review of efficacy supplements and works with sponsors to facilitate the development and submission of data to support efficacy supplements.
- Implement programs and policies to foster collaboration between FDA, NIH, professional medical and scientific societies and others to identify published and unpublished studies that could support a supplemental application and to encourage sponsors to conduct research or submit applications.

OPTIONAL FORM 98 (7-90)

FAX TRANSMITTAL

of pages ► 4

To Mike Fitzpatrick	From Bill Schultz
Dept./Agency	Phone #
Fax # 395-3047	Fax #

NBN 7540-01-317-7355

5009-101

GENERAL SERVICES ADMINISTRATION

TYING DISSEMINATION TO SUBMISSION OF EFFICACY SUPPLEMENTS

- A sponsor submits an efficacy supplement to FDA. If FDA does not act on the efficacy supplement within 90 days, the sponsor may start to disseminate journal articles re: off label uses, provided the journal articles meet AMA definition and the articles present a balanced view.

- If FDA subsequently denies the supplement, the sponsor must immediately stop disseminating articles on off label uses and under appropriate circumstances may be required to undertake corrective advertising.

INFORMATION SYSTEMS

- Expand section 2317 of the PHS Act to include all serious and life threatening illnesses

- The AIDS Clinical trial Information Service (ACTIS) is a cooperative effort by CDC, NIAID, NLM, and FDA. The Clinical Trials Information Service provides information about clinical trials that evaluate treatments for HIV and AIDS.

- There is a drug database file, compiled by NIAID, which contains information about AIDS drugs.