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Government Accounting Office Review of Personnel Security/Passes : GAO Review of Personnel
Security Passes

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107

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2

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11

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1

United States General Accounting Office

GAO

Fax Transmittal Sheet

To: (Name and Address)

Chris Cerf

Telephone No. 456-2144

Fax Telephone No. 456-2144

Date 4-4

From: (Name and Address)

David Hand

Telephone No. 512-4170

Fax Telephone No. 512-8796

Date

Subject:

Questions for Thursday (4/6) meeting

Additional Information

Acknowledgement Requested

1. ☐ Yes ☒ No

2. 12 pages, including this cover sheet, are being transmitted.

3. If pages are not received, call D. Hand

QUESTIONS ON INDIVIDUALS

To obtain the widest range of background data on event date comparisons with a limited number of personnel files, we selected individuals that would allow addressing the widest number of issues. For our initial followup, we identified the individual records that were contained in the following categories.

Category 1: Those having a temporary pass for a long time without obtaining a permanent pass.

Category 2: Those with date comparisons that yield unexplained negative results, for example, someone who was issued a permanent pass after departure.

Category 3: Those that may not have met the 30-day criteria for completion of the SF-86.

Category 4: Individual cases raising other specific issues.

We also identified those individuals that varied the most from average, i.e., those who had the highest or lowest (negative) intervals (either the top or bottom five percent or more than one standard deviation from the mean).

We prioritized the above cases, in part by deleting those for which we already had explanations. This resulted in the attached list of 31 files for initial followup. The following questions seek specific explanations for each individual, as well as explanations for all high and low intervals. Besides the White House, the explanations could involve the U.S. Secret Service and the FBI. We expect that subsequent questions will be discussed regarding changes in event data over time and comparison among White House organizations.

OA/ONDCP CONTRACTOR 0045 (One Category 1)

This person is a current employee with a temporary pass whose FBI investigation was cancelled. Why was it cancelled and what is the employee's current status regarding the issuance of a permanent pass?

OA 0010 (Seven variations from average)

The fact that this person was at OMB prior to OA appears to explain why the SF-86 and FBI investigations were completed in 1991. However, there are other questions as follows.

--Why was this person drug tested 13 months before EOD? Was he/she at OMB at the time? Are there any criteria for how recent a drug test needs to be?

--What factors led to the lengthy FBI investigation (156 days--the second longest in the database)?

--Why was the temporary pass and the permanent pass issued the same day?

OA 0011 (Two Category 2 and eight variations from average)

We were told that this was a summer hire prior to OA. However, all of the pre-EOD dates occurred between December 1991 and April 1992, which are not summer dates. Please explain.

ONDCP 0009 (Four variations from average)

We were told that this person had two tours at ONDCP.

--Does this explain why the drug test was taken 21 months before EOD?

--Why was a permanent pass approved when the FBI investigation was cancelled?

ONDCP 0014 (Two Category 2 and five variations from average)

This person was granted a security clearance 239 days before being issued a permanent pass--the longest such time. Of the 22 individuals with security clearances (not "W" passes), 18 were granted their clearances before being issued a permanent pass. Should this be expected? Please explain.

ONDCP 0015/WHO 204 (One Category 2, one Category 3, and nine variations from average)

This person had an EOD date at WHO of March 5, 1993, was issued a temporary pass on March 23, 1993, was issued another temporary pass on June 11, 1993, left WHO on September 25, 1993, and had an ONDCP EOD of June 5, 1994. Why were two temporary passes issued while the person was with WHO?

This person had an EOD date at WHO of March 5, 1993, left WHO on September 25, 1993, signed the SF-86 on June 3, 1994, and had an ONDCP EOD of June 5, 1994. Would the March 21, 1994 memo regarding the 30-day rule on the SF-86 apply to this individual? Why or why not?

ONDCP 0016 (Two Category 2, one Category 3, and three variations from average)

This person was granted a security clearance 74 days before being issued a temporary pass--the longest such time. Should we expect that a temporary pass would be obtained prior to a security clearance? Please explain.

This person also took 75 days from EOD (5/29/94) to sign the SF-86 (8/12/94). Is this a violation of the 30-day rule and if so, how was it resolved?

OVP 3 (Two Category 2 and two variations from average)

This person took longer (164 days) between the permanent pass approved date (10/5/93) and permanent pass issued date (3/18/94) than any other. What factors led to this time interval?

This person also was issued a "W" pass about 7 weeks after separating from OVP. Why did this person continue to need access?

This person also took 140 days from the date of the SF-86 (4/5/93) to the date the FBI started its investigation (8/23/93). What factors led to this interval of time?

OVP 13 (One Category 2 and four variations from average)

This person took longer (367 days) between EOD (1/30/93) and SF-50 approval (2/1/94) than any other. What factors led to this time interval?

Why was this person's permanent pass approved 4 days prior to the drug test date? Is there any guidance regarding the relationship of the date of the drug test with the dates of permanent pass approved, permanent pass issued, or security clearance granted?

WHO 14 (Four Category 2 and two variations from average)

This person left and came back as a volunteer. As a volunteer, the person signed an SF-86, received a temporary pass, and was investigated by the FBI. Is this normal for a volunteer?

WHO 15 (Two Category 2 and three variations from average)

This person had a permanent pass approved (9/26/94) and issued (9/29/94) a year after separating (9/30/93) and more than a year after completion of the FBI investigation (6/25/93). Why did he/she continue to need access?

WHO 41 (Seven variations from average)

This person took 602 days between when the FBI completed its investigation (2/4/93) and when a permanent pass was approved (9/29/94), which contributed to lengthy time delays in four other intervals. What factors led to this lengthy time interval?

WHO 66 (Six Category 2, one Category 3, and seven variations from average)

Why did this person sign the SF-86 (on 5/11/94), and thus restart

the permanent pass process, almost a year after leaving WHO (5/15/93)? (Most of the lengthy time intervals are due to the lengthy EOD to SF-86 time interval.) Why did he/she continue to need access?

Did this person violate the 30-day SF-86 rule (the SF-86 was signed on 5/11/94--more than 30 days after March 21) and if so, how was the situation resolved?

WHO 75 (One Category 2 and four variations from average)

This person was issued a temporary pass 3 months after separating from WHO.

--Why did he/she continue to need access?

--Does the person still have a temporary pass?

WHO 110 (Six variations from average)

The time intervals in question for this person are related to the fact that he/she took 408 days between EOD (1/20/93) and the date of the drug test (3/4/94)--the longest of anyone--and took 327 days between EOD and SF-86 completion (12/13/93). What factors led to these time intervals?

WHO 168 (One Category 4 and two variations from average)

This person took 392 days between the date the SF-86 was signed (2/18/93) and the date the FBI started the investigation (3/17/94)--the longest such time. What factors led to this time interval?

WHO 206 (Two Category 2 and one variation from average)

This person left WHO and became an employee of another government agency. Why would this person receive a permanent "W" pass as an employee of another government agency?

WHO 212 (Four variations from average)

This person took 371 days from EOD (3/3/93) to temporary pass issuance (3/9/94)--the second longest such period. What factors led to this interval?

WHO 213 (One Category 2 and four variations from average)

The fact that this person was with another agency prior to WHO and is now a political appointee candidate may explain most of the intervals in question. We do have the following questions.

--This person's FBI investigation started 9 days before the SF-86 was signed? How did this happen?

--Once the FBI investigation was completed, it took 397 days for a permanent pass to be approved (3/4/93 to 4/5/94). What factors contributed to this interval?

WHO 251 (Seven variations from average)

The lengthy intervals are primarily due to the fact that this person took 313 days from EOD to SF-86 completion (2/22/93 to 1/1/94). What factors contributed to this?

WHO 270 (Seven variations from average)

The lengthy intervals are primarily due to the fact that this person took 404 days from EOD to SF-86 completion (1/23/93 to 4/3/94). What factors contributed to this?

WHO 294 (One Category 1 and one Category 3)

This person took 43 days between EOD (5/16/94) and SF-86 completion (6/28/94). Was the person in violation of the 30-day rule, and if so, how was the situation resolved?

This person, who is still employed, had their FBI investigation discontinued. What is this person's status?

WHO 312 (Six variations from average)

This person took longer from EOD to permanent pass issuance than anyone, primarily because it took 414 days from the completion of the FBI investigation to permanent pass approval. Why did it take this long?

WHO 333 (Five variations from average)

Is the SF-86 date correct? If so, why was the SF-86 completed (6/7/93) a year before EOD (6/1/94)?

WHO 337 (Seven Category 2 and three variations from average)

This person left WHO for another government agency and while employed by the other agency went through all of the steps of obtaining a permanent pass. Why would this person receive a permanent "W" pass as an employee of another government agency?

This person took the drug test 408 days after EOD (1/20/93 to 3/4/94)--the second longest such time. What factors led to this interval?

WHO 338 (Five variations from average)

This person received a temporary pass in January 1993, entered on duty in March 1993, but did not take a drug test until March 1994.

What factors led to this interval?

Also, why did it take 449 days between the completion of the FBI investigation and the approval of a permanent pass?

WHO 340 (Four Category 2)

This person was approved for and issued a permanent pass (March 1994) almost six months after leaving WHO (9/27/93). Why did this person continue to need access?

Also, what agency conducted the background investigation?

WHO 354 (Seven variations from average)

The lengthy intervals are primarily due to the fact that this person took 420 days from EOD to SF-86 completion (1/20/93 to 3/16/94). What factors contributed to this?

WHO 375 (Seven variations from average)

The lengthy intervals are primarily due to the fact that this person took 348 days from EOD to SF-86 completion (1/20/93 to 1/3/94). What factors contributed to this?

WHO 409 (Category 4 and two variations from average)

The FBI took longer to conduct this person's investigation than any other--253 days (from 8/31/93 to 5/11/94). What factors led to this interval?

WHO 442 (Eight variations from average)

The lengthy intervals are primarily due to the fact that this person took 372 days from EOD to SF-86 completion (1/20/93 to 1/27/94). What factors contributed to this?

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GAO/NSIAD

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WHITE HOUSE PASS AND CLEARANCE			FN:C/WHITE/LIST32																		
JOB CODE 709077																					
* - EXCEEDS HIGH POSITIVE OR NEGATIVE CRITERIA (SHOWN AS NUMBER OF DAYS EXCEPT WHEN COMBINED WITH ANOTHER CATEGORY SHOWN BELOW)																					
** - HAD TP FOR LONG TIME W/O EVER GETTING PP																					
*** - UNEXPLAINED NEGATIVE NUMBER																					
**** - SF-86 RELATIONSHIP TO MARCH 21, 1994																					

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WHITE HOUSE PASS AND CLEARANCE				FN:C/WHITE/LIST32																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
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04/04/95

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THE WHITE HOUSE
WASHINGTON

Chris,

Here's a first draft.
Let me know if you have
questions/comments.

Mike

Draft

Pass Audit Summary Report

March 23, 1994

Draft

INTRODUCTION

The following pages contain an analysis of the pass/security clearance data transmitted to GAO as part of an ongoing audit. For future comparison with the GAO's analysis, the transmitted data has been analyzed in the following ways:

- **Number of Days Between EOD Date and SF-50 Issuance** - this category looks at the number of days it took between when an employee "entered on duty (EOD)" and when their official personnel action form was issued by PMD.
- **Number of Days Between EOD Date and Drug Test** - this category considers the number of days between a person's drug test and their first day of work.
- **Number of Days Between EOD Date and Permanent Pass Approval** - this category provides information about the entire length of time required for a new employee to receive approval for a permanent pass.
- **Number of Days Between EOD Date and SF-86 Completion** - this category looks at the number of days that elapsed between an employee's EOD date and the date they turned in their SF-86 form.
- **Number of Days Between SF-86 and Temporary Pass Issuance** - this category reviews the number of days that elapse between issuance of a temporary pass and the date an individual turns in their SF-86 form.
- **Number of Days Between SF-86 Date and Initiation of FBI Background Investigation** - this category illustrates the number of days that elapse between when an employee turns in his/her SF-86 form and the date that the FBI begins their background investigation.
- **Number of Days Between Initiation of FBI BI and Completion** - this category shows the number of days that it takes the FBI to complete their background investigation.
- **Number of Days Between Permanent Pass Approval and Permanent Pass Issue** - this category illustrates a combination of the number of days it takes for the USSS to process an approved pass and the number of days it takes an employee to pick up their approved pass.

Within each category of the report, charts illustrate trends for both average number of days and actual number of days elapsed. Additionally, each section contains graphical illustrations of these trends.

As you read this report, you may observe cases where certain data points were omitted from the analysis. Because several data points represented employees who transferred from one EOP agency to another during the pass approval process, some of the comparisons were distorted.

One example might be an employee who filled out their SF-86 form on June 1, 1993 before they were hired at ONDCP, who then left ONDCP and went directly to the WHO on October 1, 1993. Because their SF-86 would be current, the White House Personnel Security Office would not have asked the new employee to complete another SF-86. However, when the data set was constructed, this employee whose EOD date for the WHO was 10/1/93 would show up with an SF-86 form dated prior to 6/1/93. Because this would distort the average (appearing that the SF-86 form was completed more than four months prior to their start of work), this person would be omitted from the comparison.

Number of Days Between EOD Date and SF-50 Issuance

The date an employee enters the EOP rolls is referred to as the EOD (Entry on Duty) date. Soon after, the official personnel action form (SF-50) is normally issued by the Personnel Management Division of OA.

Averages:

Analysis of the data provided to GAO illustrates that on average, 10.01 days elapsed between the EOD date and the date the SF-50 form was prepared. Breaking the period of analysis into three month intervals, the following chart shows that the number of days between EOD and SF-50 completion decreased:

<u>Period</u>	<u>Number of Cases</u>	<u>Average (in days)</u>
January-March, 1993	235	12.77
April-June, 1993	19	10.21
July-September, 1993	27	12.62
October-December, 1993	25	4.0
January-March, 1994	33	4.42
April-June, 1994	34	3.06
July-September, 1994	21	2.67

Number of Days:

The data can also be analyzed by the number of days between EOD and SF-50 issuance:

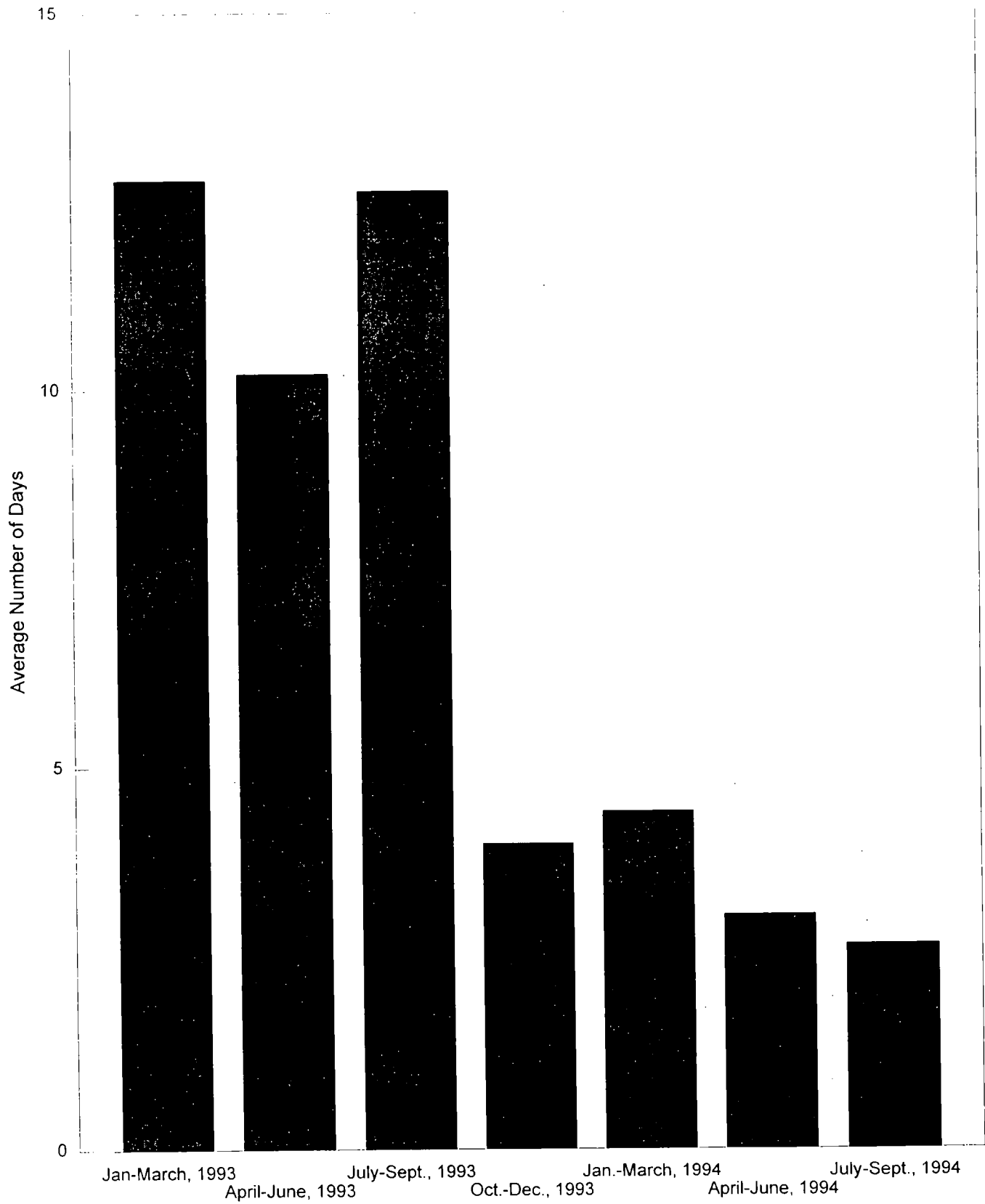
<u>Number of Days</u>	<u>Number of Cases</u>	<u>EOD in 1993</u>	<u>EOD 1/1/94- 9/16/94</u>
SF-50 before EOD	11	4	7
SF-50 on EOD	14	5	9
SF-50 w/in 10 days after EOD	262	197	65
SF-50 11-20 days after EOD	77	70	7
SF-50 21-30 days after EOD	21	21	0
SF-50 31-40 days after EOD	4	4	0
SF-50 41+ days after EOD*	<u>4</u>	<u>4</u>	<u>0</u>
Total	393**	305	88

*The four SF-50 forms issued more than 41 days after EOD, took 41, 53, 71 and 367 days to be issued.

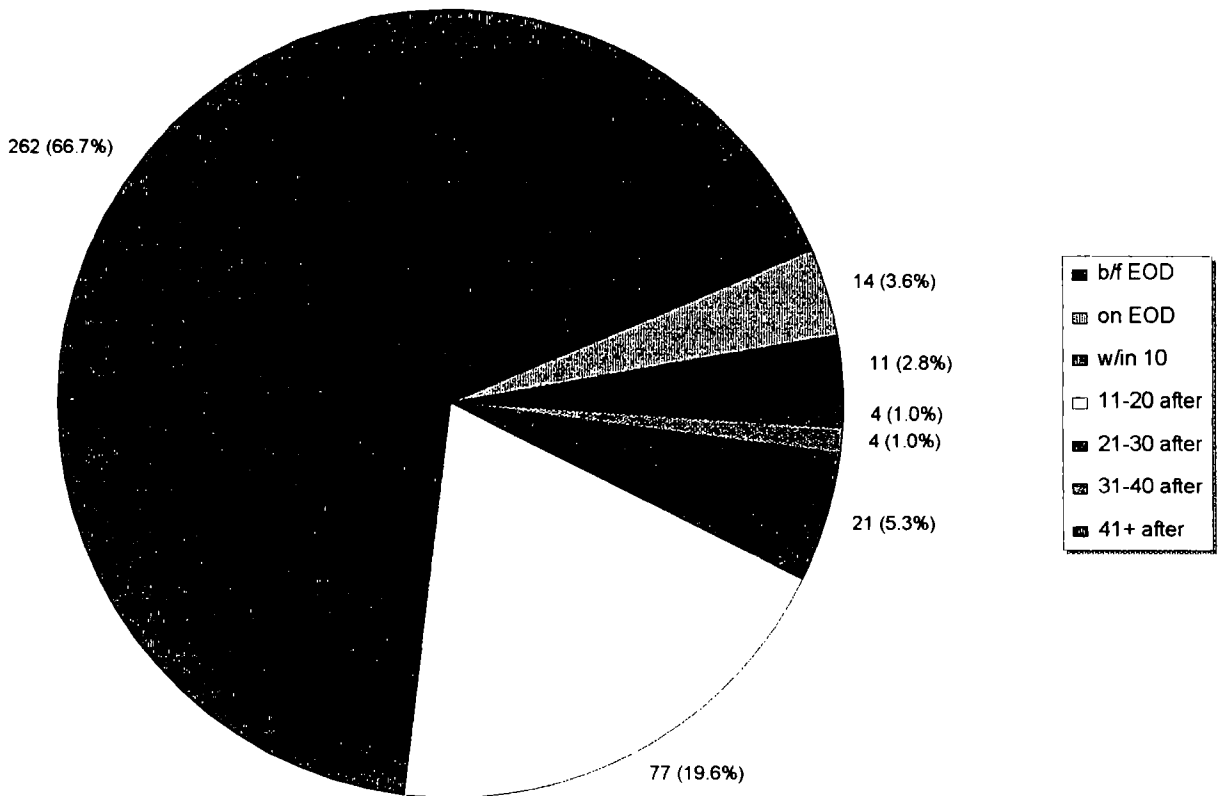
**In 245 cases no SF-50 form was available. Of these, 66 were contractors in positions that did not require SF-50 forms, 157 were employees who had departed (and therefore their SF-50 form would no longer be at the EOP), and 22 cases can not be explained from available information.

Average Number of Days Between EOD & SF-50 Issuance

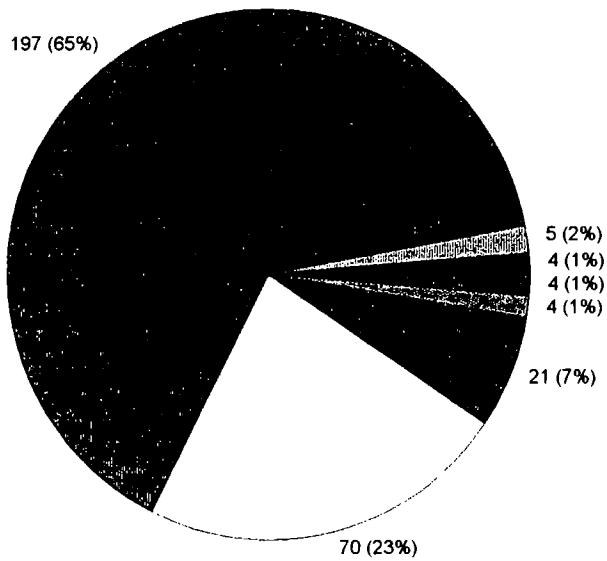
(by quarter)



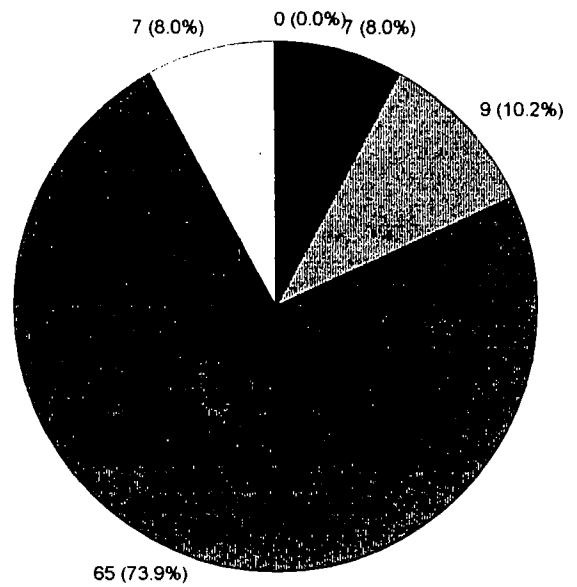
Number of Days Between EOD Date and SF-50 Issue (EOD from 1/1/93 through 9/16/94)



(EOD from 1/1/93 through 12/31/93)



(EOD from 1/1/94 through 9/16/94)



Number of Days Between EOD Date and Drug Test

Averages:

Analysis of the data provided to GAO illustrates that on average, 14.85 days elapsed between the EOD date and the drug test date. Breaking the period of analysis into three month intervals, the following chart illustrates the trends of averages:

<u>Period</u>	<u>Number of Cases</u>	<u>Average (in days)</u>	<u>Average Excluding Transfers</u>
January-March, 1993	358	33.32 after*	34.08 after
April-June, 1993	37	9.94 before*	4.08 after
July-September, 1993	39	14.90 after*	24.78 after
October-December, 1993	30	32.37 before*	20.31 after
January-March, 1994	40	23.68 before*	4.41 before
April-June, 1994	40	9.08 before	9.08 before
July-September, 1994	28	143.11 before*	14.90 before

*These periods include individuals who transferred to their current agency from another agency with a still valid drug test, thus inflating the averages.

Number of Days:

The data can also be analyzed by the number of days between EOD and drug test date:

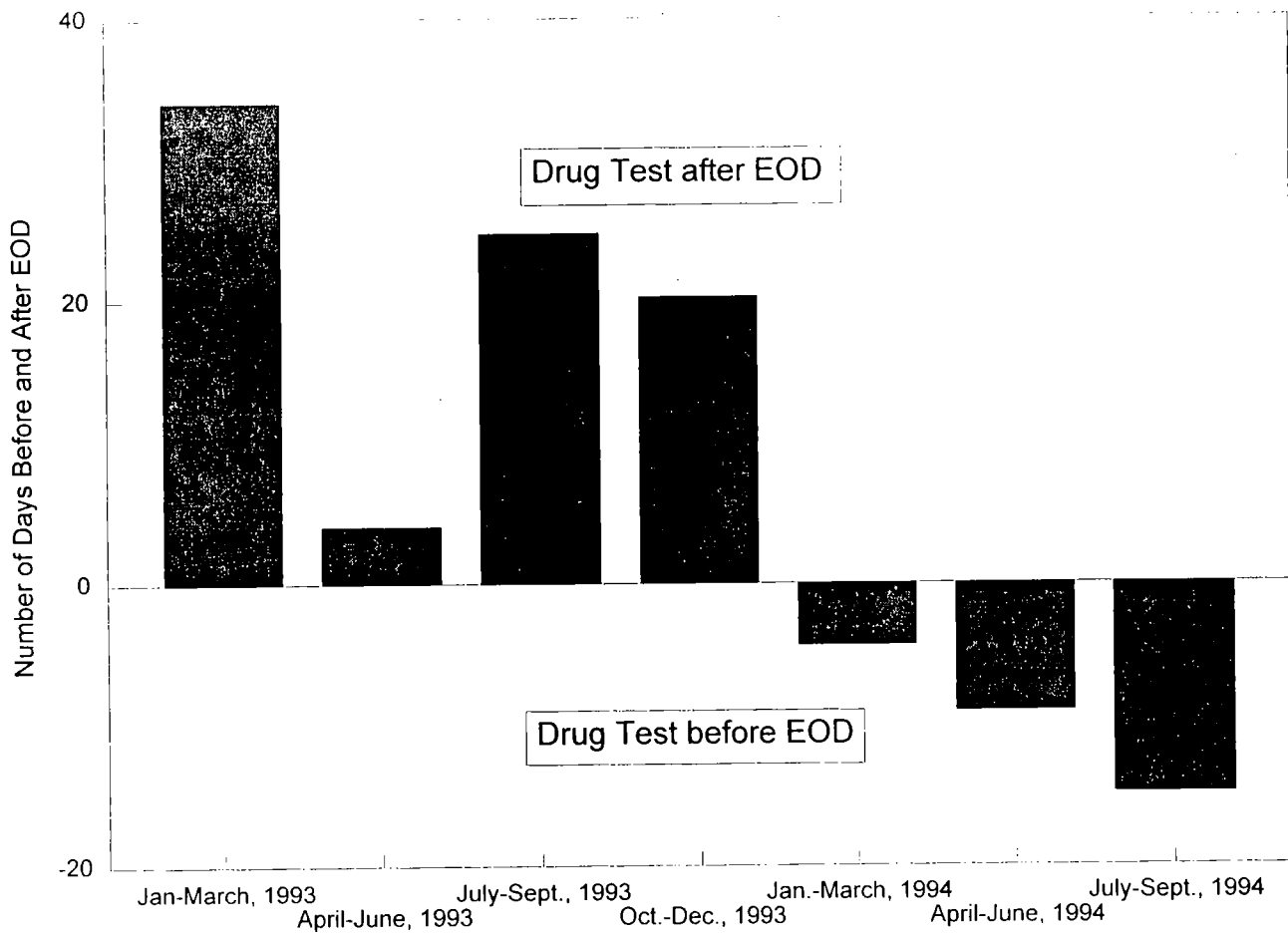
<u>Number of Days</u>	<u>Number of Cases</u>	<u>EOD in 1993</u>	<u>EOD 1/1/94-9/16/94</u>
Drug test before EOD	143	68	75
Drug test on EOD date	20	5	15
Drug test w/in 10 days after EOD	115	102	13
Drug test 11-20 days after EOD	85	82	3
Drug test 21-30 days after EOD	63	62	1
Drug test 31-40 days after EOD	23	23	0
Drug test 41-60 days after EOD	39	39	0
Drug test 61-80 days after EOD	55	55	0
Drug test 81-100 days after EOD	15	14	1
Drug test 100+ days after EOD*	14	14	0
Total	572**	464	108

*The fourteen drug tests given more than 100 days after EOD, took 105, 107, 139, 154, 161, 201, 255, 263, 297, 305, 351, 368, 408 and 408 days.

**Of the 66 cases for which no drug test information was provided to GAO, all were contractors who were not in positions that require the EOP to conduct their drug tests.

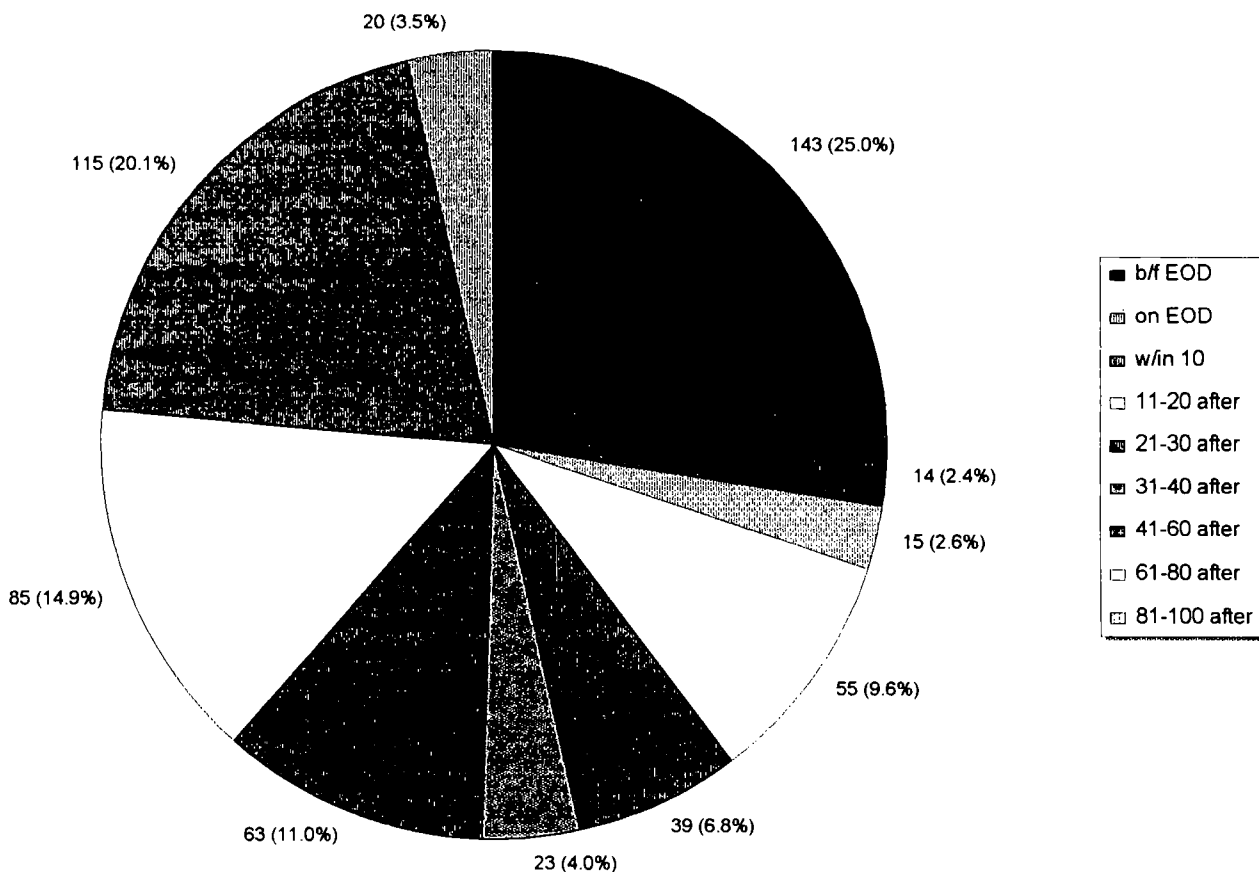
Average Number of Days Between EOD & Drug Test

(by quarter, after excluding transfers)

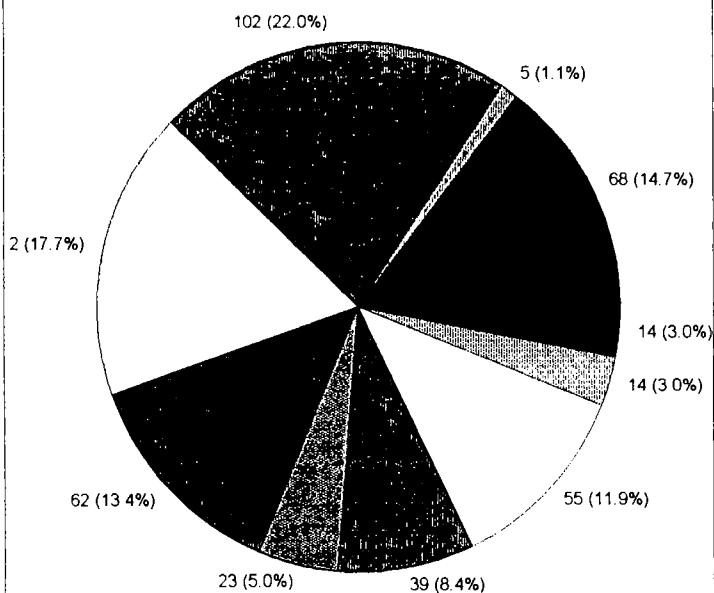


Number of Days Between EOD Date and Drug Test

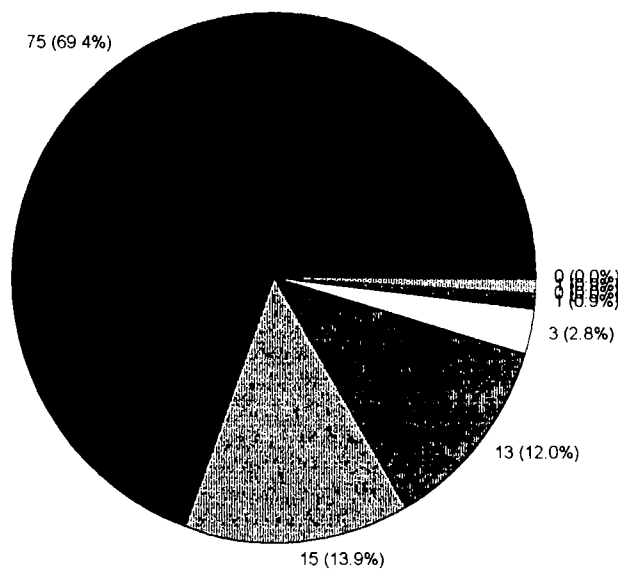
(EOD from 1/1/93 through 9/16/94)



(EOD from 1/1/93 through 12/31/93)



(EOD from 1/1/94 through 9/16/94)



Number of Days Between EOD Date and Permanent Pass Approval

Averages:

Analysis of the data provided to GAO illustrates that on average, 280.04 days elapsed between the EOD date and the date of permanent pass approval. Breaking the period of analysis into three month intervals, the following chart illustrates the trends of averages:

<u>Period</u>	<u>Number of Cases</u>	<u>Average (in days)</u>	<u>Average Ex- cluding Transfers</u>
January-March, 1993	299	367.37	368.59
April-June, 1993	31	238.36	257.00
July-September, 1993	49	265.88	270.69
October-December, 1993	37	162.00	181.35
January-March, 1994	43	127.23	129.31
April-June, 1994	47	101.49	113.30
July-September, 1994	18	5.5	104.40

Every period includes individuals who transferred to their current agency while already on track to receive a permanent pass, thus lowering the averages.

Number of Days:

The data can also be analyzed by the number of days between EOD and permanent pass approval date:

<u>Number of Days</u>	<u>Number of Cases</u>	<u>EOD in 1993</u>	<u>EOD 1/1/94- 9/16/94</u>
Perm. App. on or before EOD	15	6	9
Perm. App. w/in 50 days of EOD	12	2	10
Perm. App. took 51-100 days	23	2	21
Perm. App. took 101-140 days	58	16	42
Perm. App. took 141-180 days	27	11	16
Perm. App. took 181-220 days	30	23	7
Perm. App. took 221-260 days	86	84	2
Perm. App. took 261-300 days	33	33	0
Perm. App. took 301-340 days	40	40	0
Perm. App. took 341-380 days	39	39	0
Perm. App. took 381-420 days	61	61	0
Perm. App. took 421-460 days	50	50	0
Perm. App. took 461-500 days	26	26	0
Perm. App. took 500+ days*	<u>25</u>	<u>25</u>	<u>0</u>
Total	525**	397	107

*The 26 permanent pass approvals over 500 days after EOD took 503, 504, 510, 512, 516, 516, 516, 519, 519, 519, 524, 524, 528, 539, 541, 544, 560, 594, 594, 598, 599, 606, 617, 622 and 644 days to be issued.

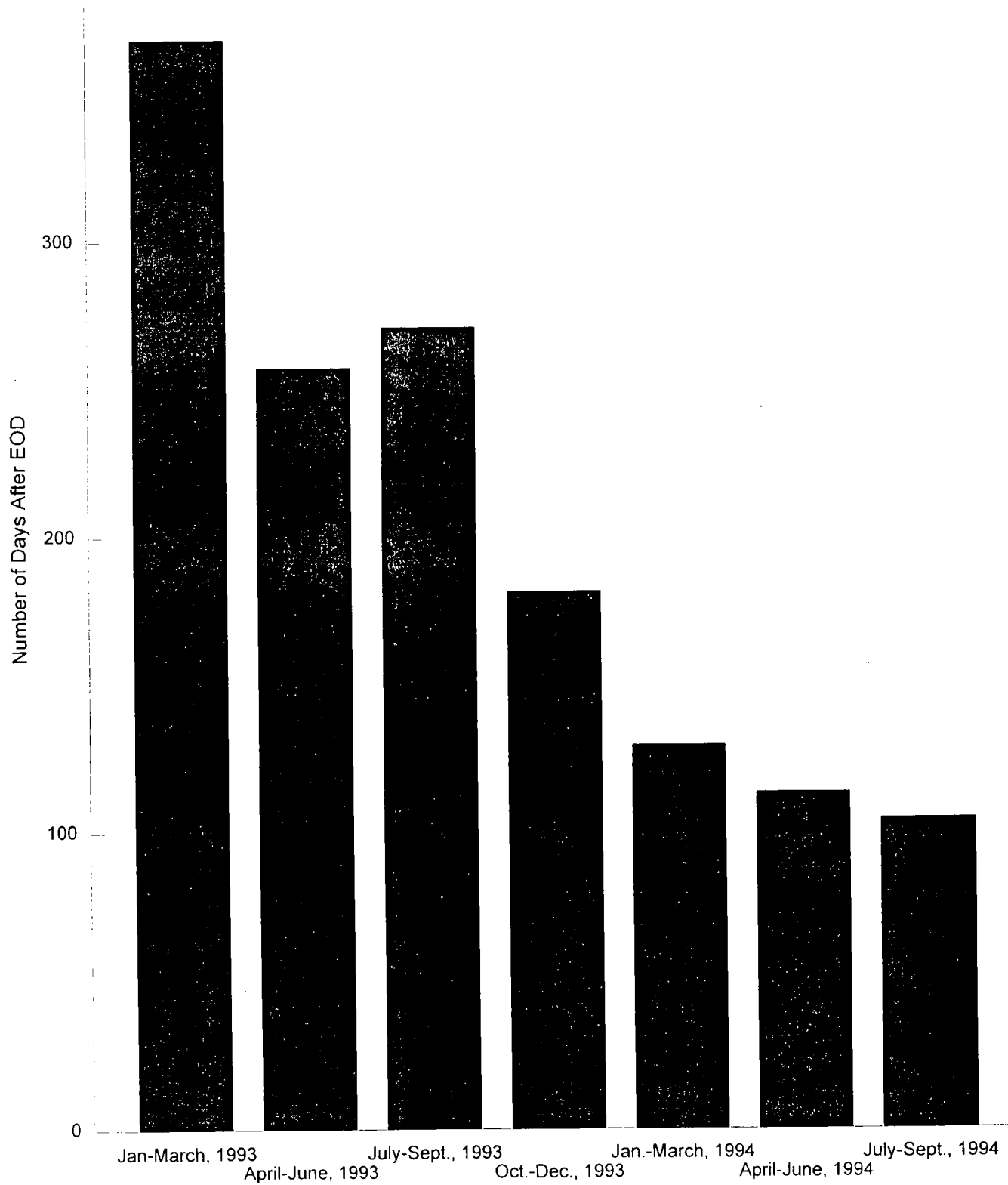
**Of the 113 cases for which no permanent pass was approved, in 17 cases the investigations were pending, 89 people had departed before receiving their pass and 1 person was a Presidential Appointee awaiting Senate confirmation. The reason permanent passes were not approved is unclear in 6 cases (WHO91, WHO258, WHO293, WHO294, WHO310, WHO355).

+ To generate the revised averages, information on the following individuals was omitted from the analysis:

<u>Individual</u>	<u># of Days</u>	<u>Individual</u>	<u># of Days</u>
ONDCP9	83	WHO135	119
ONDCP10	-2	WHO208	-35
ONDCP13	19	WHO210	-4
OA7	-37	WHO295	306
OA8	0	WHO296	117
OA10	-5	WHO347	-16
OA11	421	WHO405	62
OA17	-5	WHO406	162
OPD1	-55	WHO413	-18
OPD31	-31		
OPD38	-37		
OVP20	62		
WHO5	27		
WHO23	202		
WHO104	154		

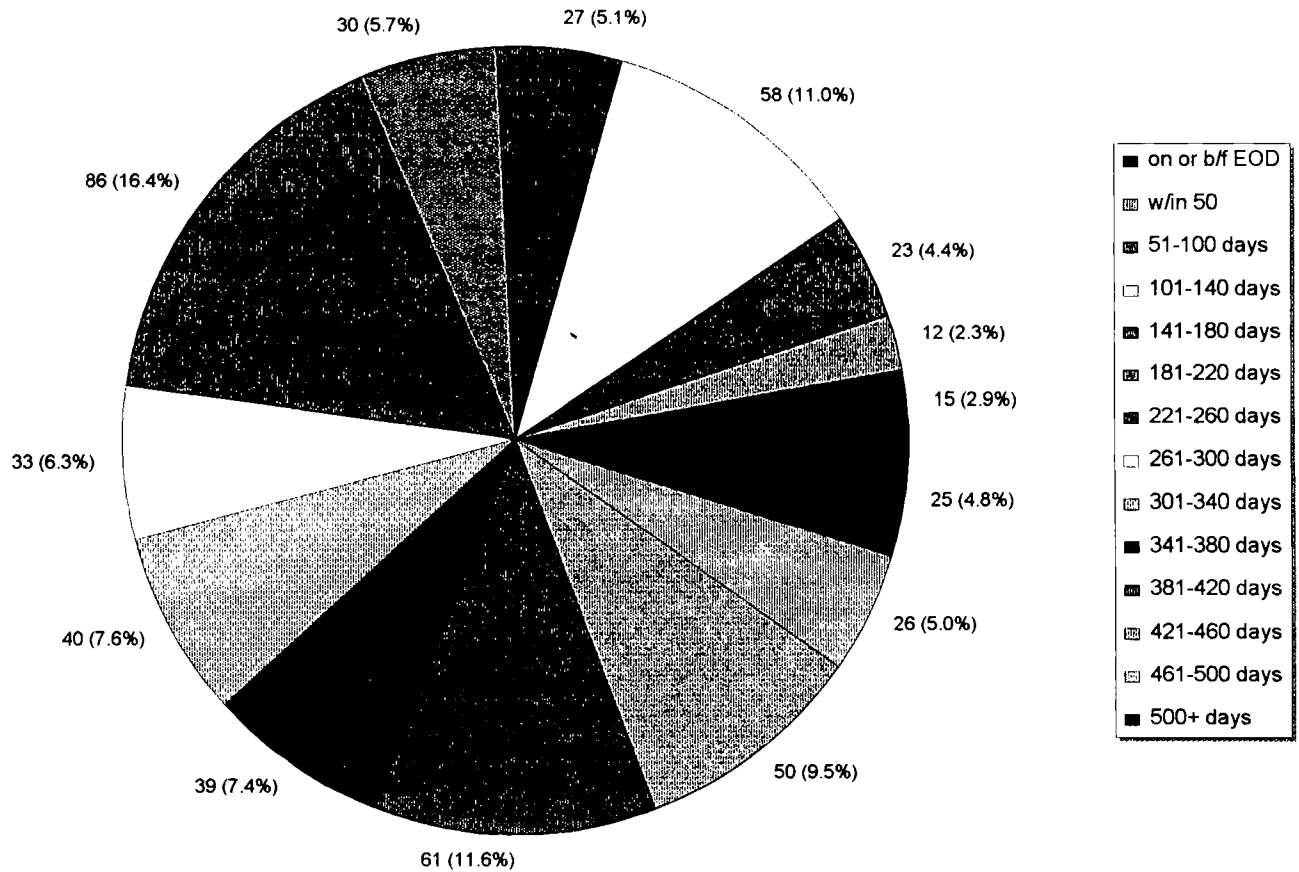
Average # of Days Between EOD & Perm. Pass Approval

(by quarter, after excluding transfers)

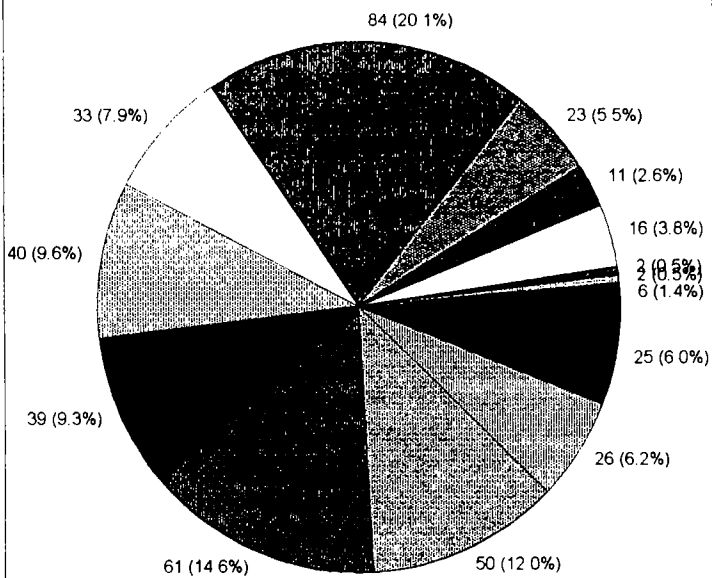


of Days Between EOD Date and Perm. Pass Approval

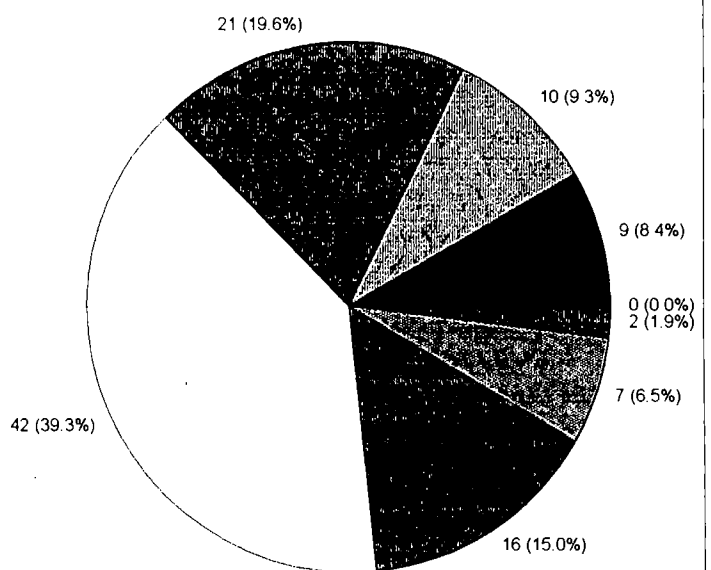
(EOD from 1/1/93 through 9/16/94)



(EOD from 1/1/93 through 12/31/93)



(EOD from 1/1/94 through 9/16/94)



Number of Days Between EOD Date and SF-86 Completion

Averages:

Analysis of the data provided to GAO illustrates that on average, 66.63 days elapsed between the EOD date and the date SF-86 forms were turned in. Breaking the period of analysis into three month intervals, the following chart illustrates the trends of averages:

<u>EOD date in:</u>	<u>Number of Cases</u>	<u>Average # of days from EOD</u>	<u>Average after exceptions +</u>
January-March, 1993	341	120.75 after EOD	122.03 after EOD
April-June, 1993	38	66.08 after EOD	78.12 after EOD
July-September, 1993	58	68.31 after EOD	73.26 after EOD
October-December, 1993	40	.55 before EOD	26.59 after EOD
January-March, 1994	48	30.44 before EOD	11.90 after EOD
April-June, 1994	56	14.18 before EOD	on EOD date
July-October, 1994	31	148.29 before EOD	3.06 after EOD

Every period includes individuals who transferred to their current agency while already on track to receive a permanent pass, thus lowering the averages.

Number of Days:

The data can also be analyzed by the number of days between the EOD date and the date of SF-86 completion:

<u>Number of Days</u>	<u>Number of Cases</u>	<u>EOD in 1993</u>	<u>EOD 1/1/94- 9/16/94</u>	<u>EOD 3/15/94- 9/16/94</u>
SF-86 before EOD	116	68	48	33
SF-86 on EOD date	4	0	4	3
SF-86 1-30 days after EOD	162	110	52	39
SF-86 31-60 days after EOD	76	59	17	12*
SF-86 61-100 days after EOD	63	53	10	7*
SF-86 101-150 days after EOD	49	47	2	0
SF-86 151-200 days after EOD	31	30	1	1*
SF-86 201-250 days after EOD	36	36	0	0
SF-86 251-300 days after EOD	38	38	0	0
SF-86 301-350 days after EOD	20	20	0	0
SF-86 351+ days after EOD	16	16	0	0
Total	611**	477	134	95

* Of the 20 cases after March 15, 1994 that still took over 30 days to complete the SF-86 form, 14 were contractors, 5 were ONDCP employees and one (WHO294) was a White House Office Employee.

** The 27 cases for which no information is included in the above chart can be broken out as follows:

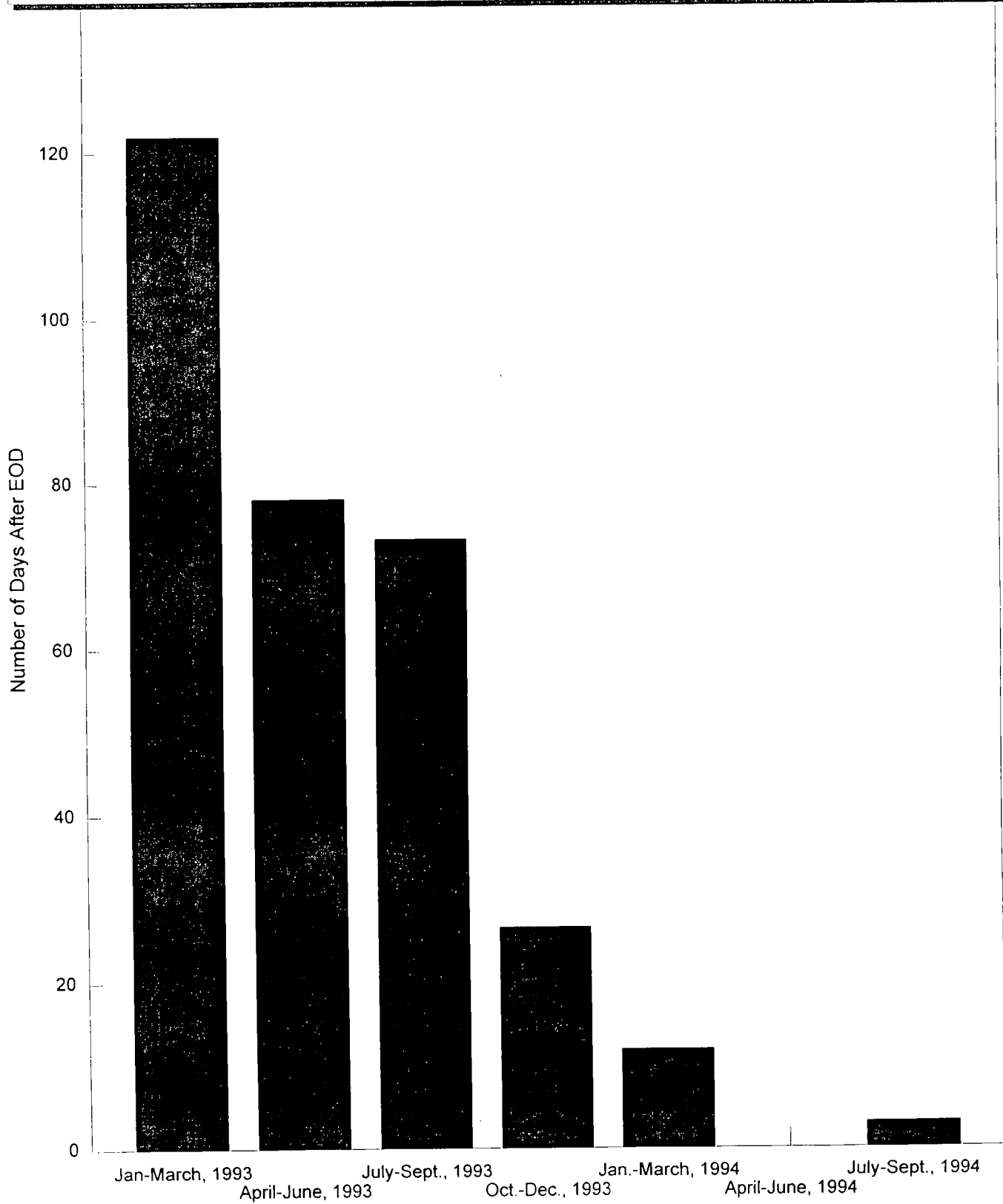
- 26 - never completed an SF-68 (of these 26, 22 departed, 3 transferred already having a permanent pass, and 1 (ONDCP11) is not explainable from the available information);
- 1 - this person's EOD and SF-86 dates were both in 1992.

+ To generate the revised averages, information on the following individuals was omitted from the analysis:

<u>Individual</u>	<u># of Days</u>	<u>Individual</u>	<u># of Days</u>	<u>Individual</u>	<u># of Days</u>
CONTR1	-34	OPD38	99	WHO213	308
CONTR13	373	OPD42	-118	WHO265	-21
CONTR26	-74	OPD47	-151	WHO295	525
CONTR27	-54	OPD53	-132	WHO296	591
CONTR29	-48	OVP8	307	WHO334	-14
CONTR36	-29	OVP11	39	WHO347	156
OA2	0	OVP12	-90	WHO356	219
OA7	-6	OVP13	-51	WHO371	-1
OA10	1195	OVP20	-285	WHO404	-39
OA11	490	WHO5	280	WHO405	574
OA13	-50	WHO14	-222	WHO406	577
OA17	1136	WHO23	572	WHO413	38
ONDCP4	48	WHO43	-98	OA8	143
ONDCP6	48	WHO66	-476	ONDCP13	507
ONDCP9	91	WHO81	-41		
ONDCP15	2	WHO104	107		
OPD1	25	WHO135	581		
OPD22	14	WHO177	-8		
OPD26	-221	WHO208	56		
OPD27	17	WHO210	177		

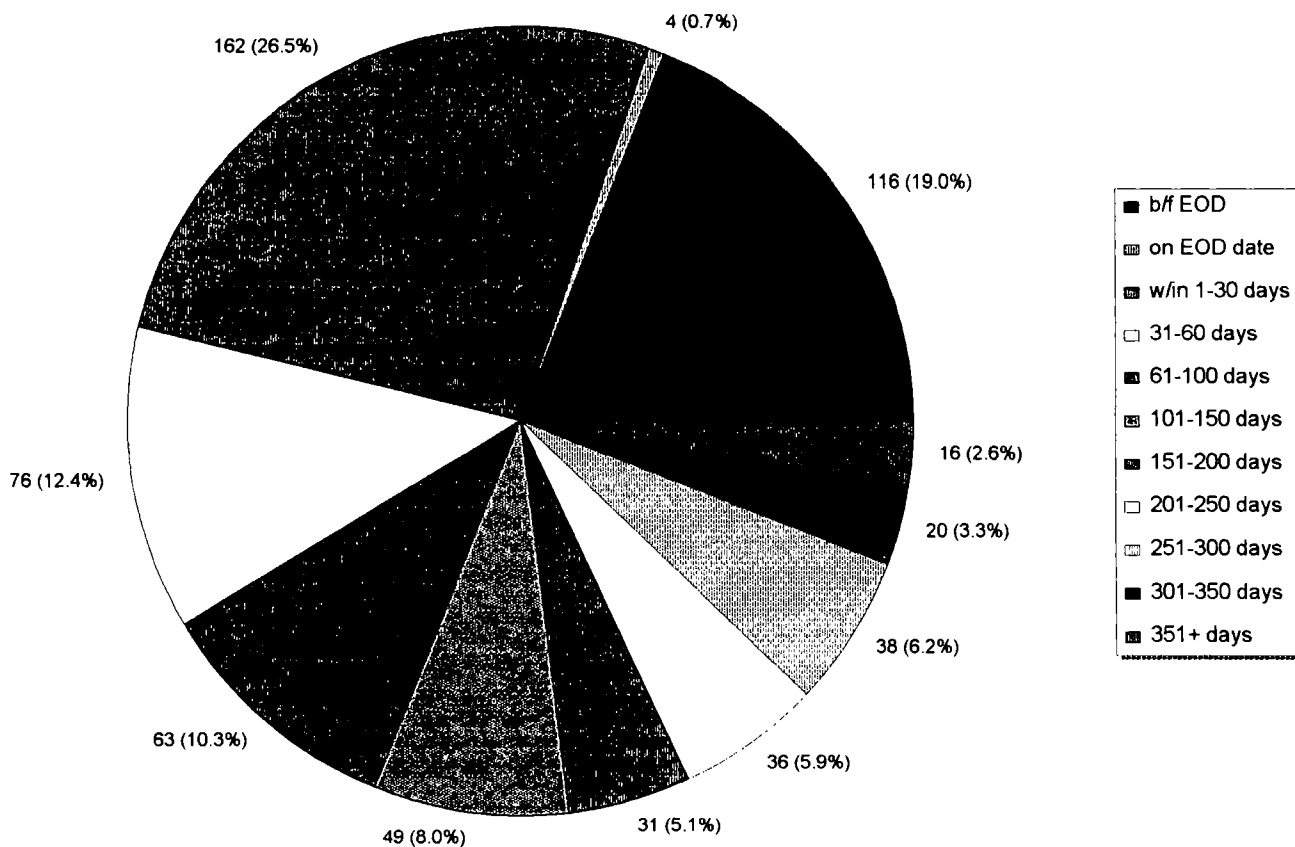
Average # of Days Between EOD & SF-86 Completion Date

(by quarter, after excluding transfers)

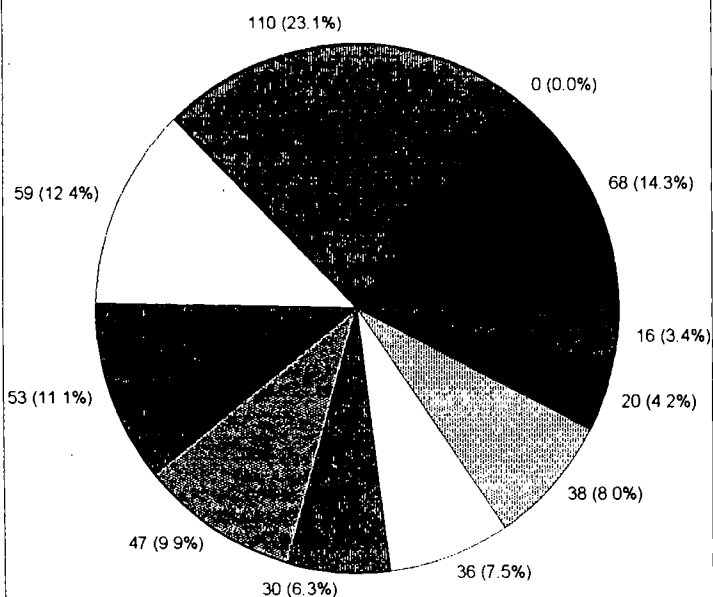


of Days Between EOD Date and SF-86 Completion Date

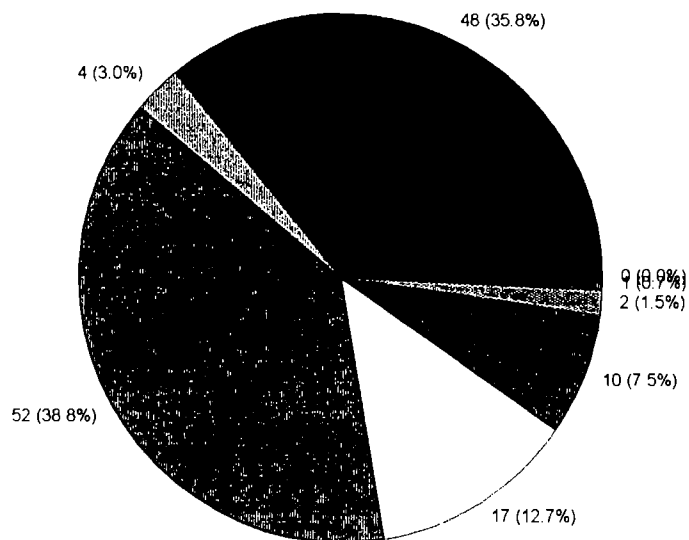
(EOD from 1/1/93 through 9/16/94)



(EOD from 1/1/93 through 12/31/93)



(EOD from 1/1/94 through 9/16/94)



Number of Days Between SF-86 and Temporary Pass Issuance

Averages:

Analysis of the data provided to GAO illustrates that temporary passes were issued on average, 59.6 days before SF-86 forms were turned in. Breaking the period of analysis into three month intervals, the following chart illustrates the trends of averages:

<u>Temporary pass issued in:</u>	<u>Number of Cases</u>	<u>Average # of days from SF-86</u>	<u>Average after exceptions +</u>
January-March, 1993	336	101.86 before SF-86	103.84 before SF-86
April-June, 1993	58	79.78 before SF-86	78.67 before SF-86
July-September, 1993	48	58.58 before SF-86	58.23 before SF-86
October-December, 1993	29	14.17 before SF-86	8.68 before SF-86
January-March, 1994	53	53.10 after SF-86	28.39 after SF-86
April-June, 1994	45	23.04 after SF-86	26.00 after SF-86
July-October, 1994	37	57.91 after SF-86	29.03 after SF-86

Every period includes individuals who transferred to their current agency while already on track to receive a permanent pass, thus lowering the averages.

Number of Days:

The data can also be analyzed by the number of days between completion of SF-86 and temporary pass issuance date:

<u>Number of Days</u>	<u>Number of Cases</u>	<u>T. Pass in 1993</u>	<u>T. Pass 1/1/94-9/16/94</u>
Temp Pass after SF-86	228	111	117
Temp Pass on SF-86 date	13	9	4
Temp Pass 1-40 days b/f SF-86	106	101	5
Temp Pass 41-80 days b/f SF-86	64	58	6
Temp Pass 81-120 days b/f SF-86	44	42	2
Temp Pass 121-160 days b/f SF-86	21	20	1
Temp Pass 161-200 days b/f SF-86	27	26	1
Temp Pass 201-240 days b/f SF-86	31	31	0
Temp Pass 241-280 days b/f SF-86	38	38	0
Temp Pass 281-320 days b/f SF-86	14	14	0
Temp Pass 321-360 days b/f SF-86	9	9	0
Temp Pass 361-400 days b/f SF-86	4	4	0
Temp Pass 401+ days b/f SF-86*	<u>7</u>	<u>7</u>	<u>0</u>
Total	606**	470	136

* The 7 temporary passes issued over 400 days before an SF-86 was completed took 442, 437, 418, 418, 416, 415 and 406 days.

** The 32 cases for which no information is included in the above chart can be broken out as follows:

5 - never issued temporary passes (of these five, two departed, one transferred already having a permanent pass, one was a person awaiting Senate confirmation and one (INTSOL2) is not explainable from the available information);

26 - never completed an SF-68 (of these 26, 21 departed, four transferred already having a permanent pass, and one (ONDCP11) is not explainable from the available information);

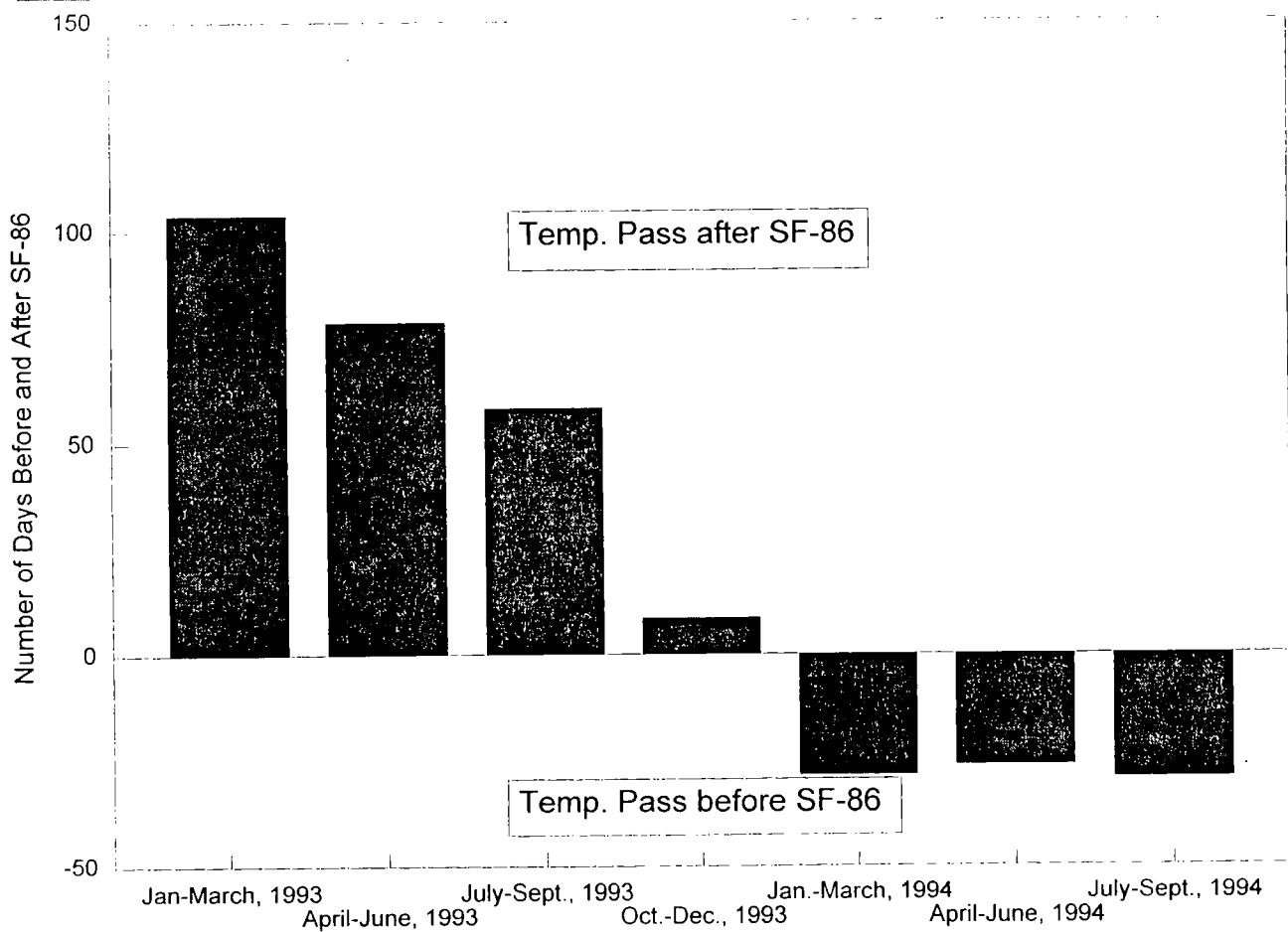
1 - this person's SF-86 and temporary pass issue date were both in 1992.

+ To generate the revised averages, information on the following individuals was omitted from the analysis:

<u>Individual</u>	<u># of Days</u>	<u>Individual</u>	<u># of Days</u>	<u>Individual</u>	<u># of Days</u>
CONTR1	-33	OPD38	96	WHO213	26
CONTR13	376	OPD42	-210	WHO265	-210
CONTR26	-63	OPD47	-249	WHO295	77
CONTR27	-63	OPD53	-226	WHO296	50
CONTR29	-63	OVP8	39	WHO334	2
CONTR36	401	OVP11	4	WHO347	-249
OA2	-84	OVP12	-174	WHO356	224
OA7	-135	OVP13	-41	WHO371	-351
OA10	1203	OVP20	-4	WHO404	-95
OA11	27	WHO5	-4	WHO405	2
OA13	-57	WHO14	-19	WHO406	3
OA17	1142	WHO23	17	WHO413	38
ONDCP4	14	WHO43	-250	OA8	-177
ONDCP6	-118	WHO66	-442		
ONDCP9	142	WHO81	-7		
ONDCP15	-357	WHO104	-146		
OPD1	3	WHO135	17		
OPD22	-264	WHO177	-130		
OPD26	-309	WHO208	-94		
OPD27	-92	WHO210	6		

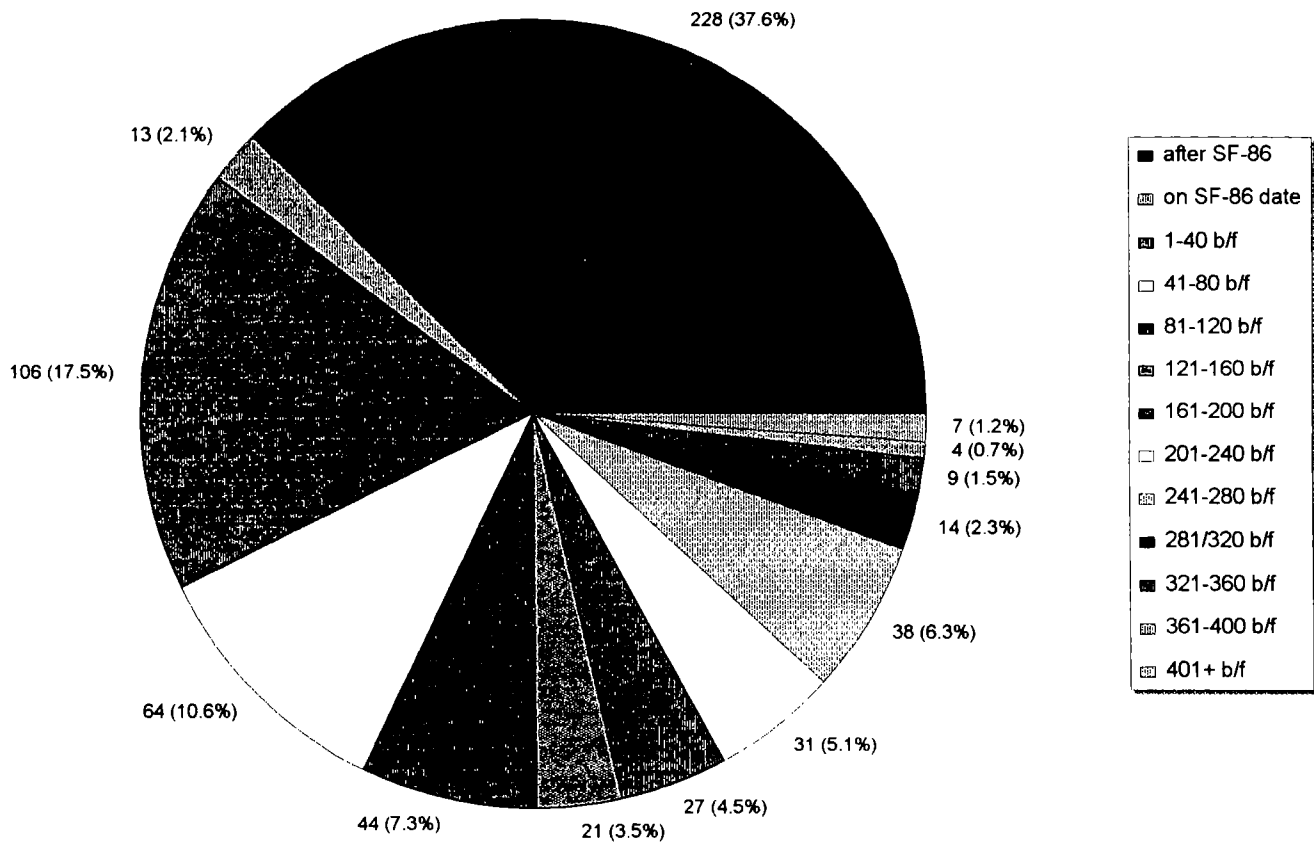
Average # of Days Between SF-86 & Temp. Pass Issue

(by quarter, after excluding transfers)

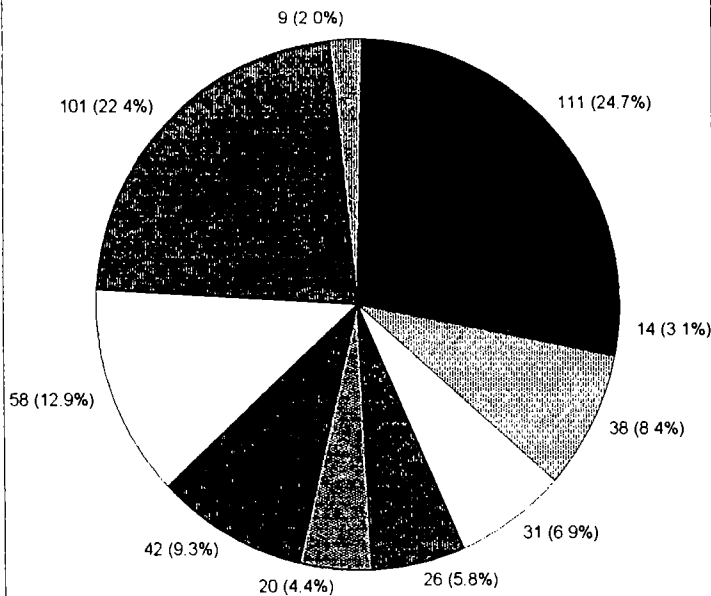


Number of Days Between SF-86 and Temporary Pass Issuance

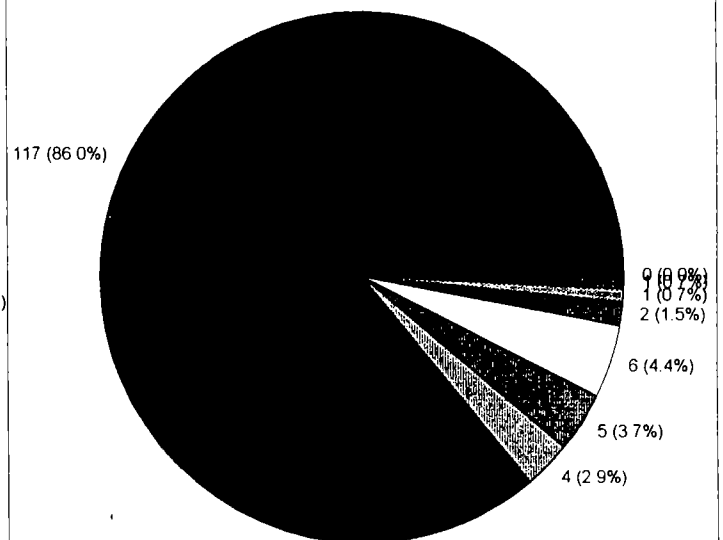
(SF-86 signed from 1/1/93 through 9/16/94)



(SF-86 signed from 1/1/93 through 12/31/93)



(SF-86 signed from 1/1/94 through 9/16/94)



Number of Days Between SF-86 Date and Initiation of FBI BI

Averages:

Analysis of the data provided to GAO illustrates that on average, 32.52 days elapsed between the date an SF-86 was signed and the date FBI background investigation was initiated. Breaking the period of analysis into three month intervals, the following chart shows the trend of averages:

<u>Month SF-86 completed:</u>	<u>Number of Cases</u>	<u>Average (in days)</u>
January-March, 1993	162	49.23
April-June, 1993	80	50.23
July-September, 1993	70	28.77
October-December, 1993	121	19.29
January-March, 1994	72	17.68
April-June, 1994	55	18.24
July-October, 1994	28	19.82

Number of Days:

The data can also be analyzed by the number of days between SF-86 completion and the initiation of the FBI background investigation:

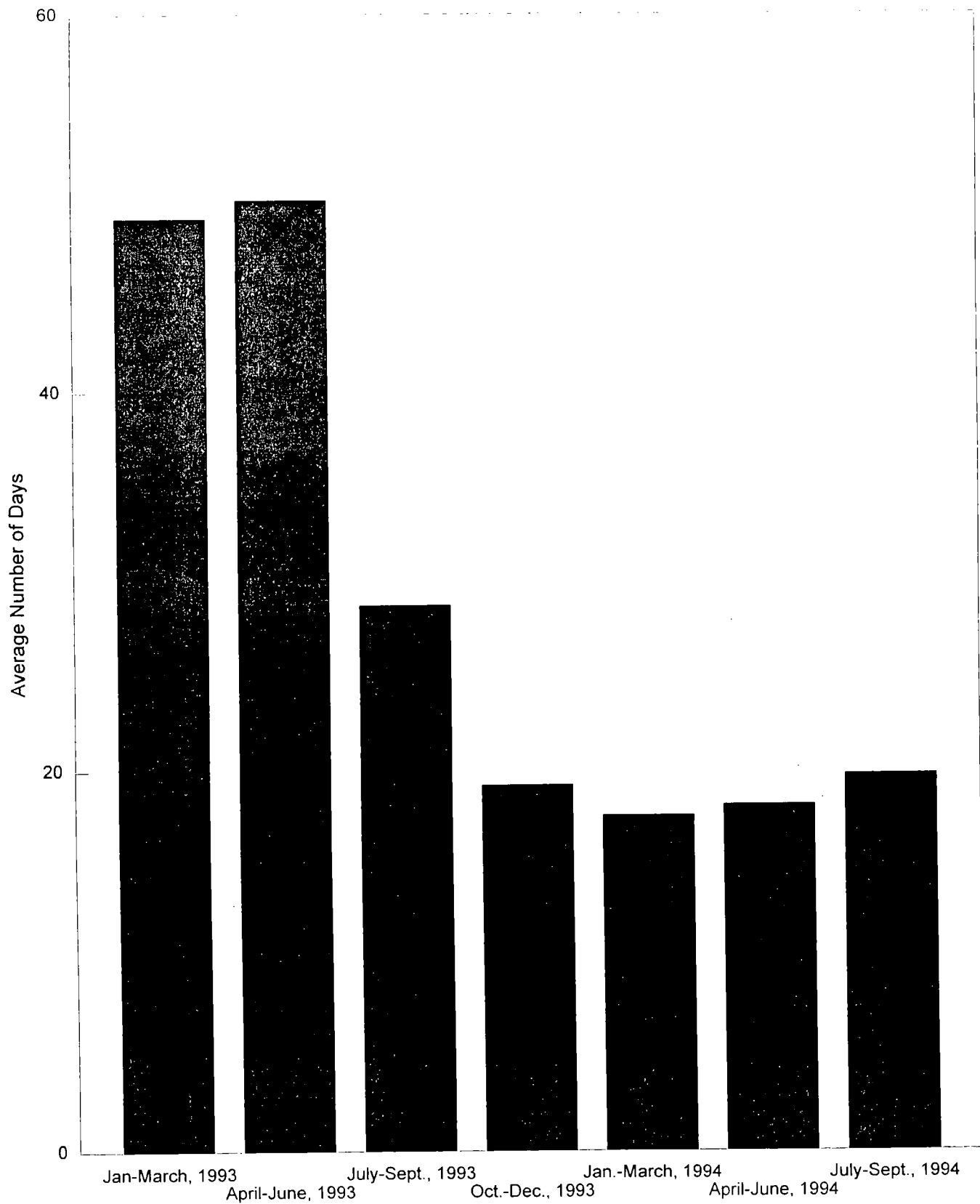
<u>Number of Days</u>	<u>Number of Cases</u>	<u>SF-86 in 1993</u>	<u>SF-86 1/1/94-9/16/94</u>
BI before SF-86	1	1	0
BI initiated same day as SF-86	13	7	6
BI initiated w/in 10 days of SF-86	200	127	73
BI initiated 11-20 days after SF-86	107	72	35
BI initiated 21-30 days after SF-86	76	54	22
BI initiated 31-40 days after SF-86	52	42	10
BI initiated 41-60 days after SF-86	62	56	6
BI initiated 61-80 days after SF-86	19	16	3
BI init. 81-100 days after SF-86	24	21	3
BI init. 101-150 days after SF-86	20	19	1
BI init. 151-200 days after SF-86	6	5	1
BI init. 201+ days after SF-86	10	10	0
Total	590**	430	160

*The ten cases in which it took more than 200 days between the SF-86 date and initiation of the background investigation took 201, 224, 239, 243, 247, 271, 296, 334, 367, 392.

**48 cases were omitted from the total. Of these, 9 were SF-86's that had been completed prior to 1993, 30 were cases where no background investigation was initiated, 8 were cases of the background investigation being initiated without completion of an SF-86 and in one instance (CONTR31), neither an SF-86 nor a background investigation was initiated due to departure.

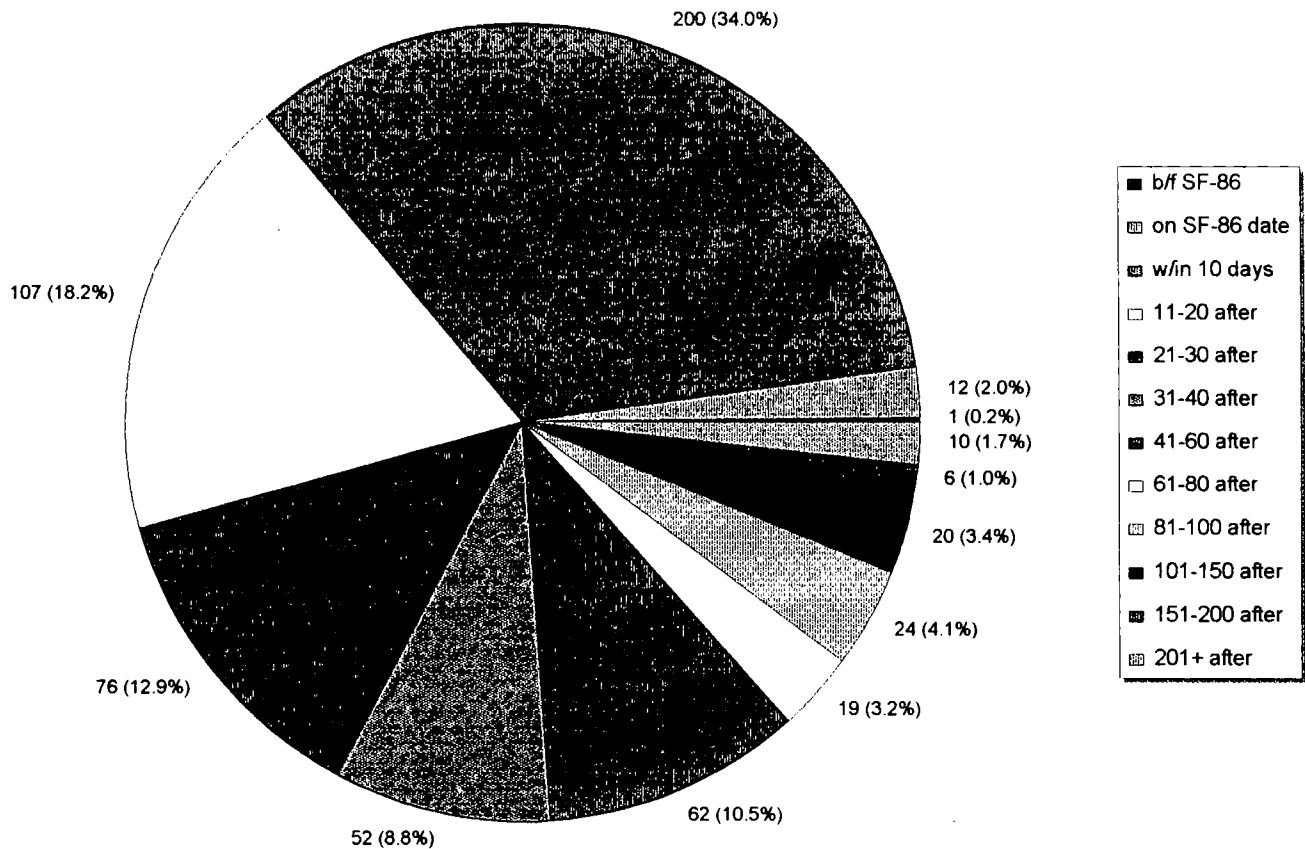
Average Number of Days Between SF-86 and BI Initiation

(by quarter)

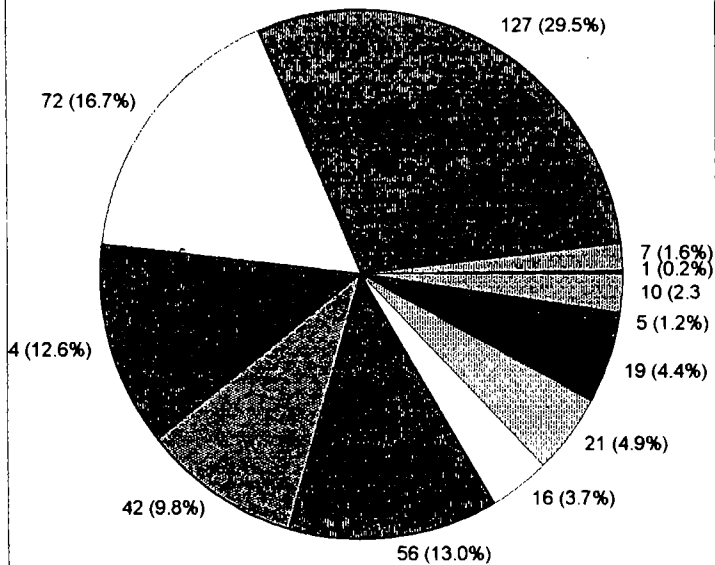


Number of Days Between SF-86 and BI Initiation

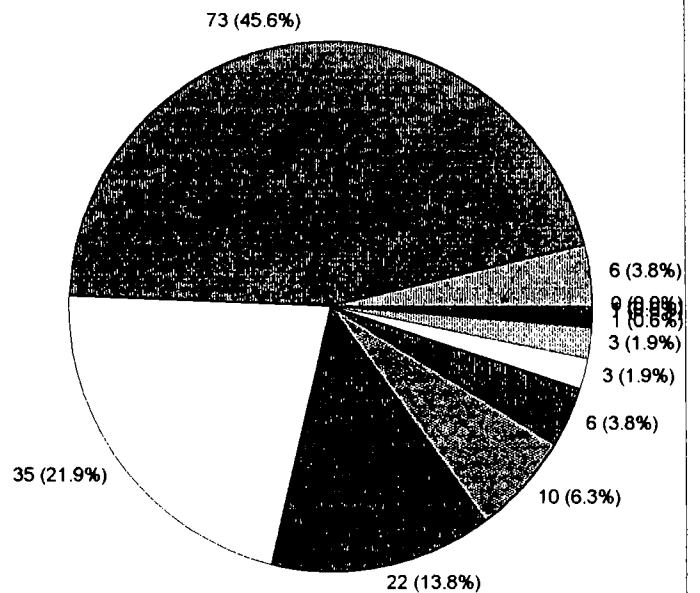
(SF-86 turned in from 1/1/93 through 12/13/94)



(SF-86 turned in from 1/1/93 through 12/31/93)



(SF-86 turned in from 1/1/94 through 12/13/94)



Number of Days Between Initiation of FBI BI and Completion

Averages:

Analysis of the data provided to GAO illustrates that on average, 59.47 days elapsed between the date a background investigation was initiated and the date of completion. Breaking the period of analysis into three month intervals, the following chart shows the trend of averages:

<u>Month BI initiated:</u>	<u>Number of Cases</u>	<u>Average (in days)</u>
January-March, 1993	91	45.12
April-June, 1993	106	53.06
July-September, 1993	81	54.58
October-December, 1993	124	66.72
January-March, 1994	82	76.27
April-June, 1994	55	60.67
July-November, 1994	22	69.96

Number of Days:

The data can also be analyzed by the number of days between the BI initiation date and the date of completion:

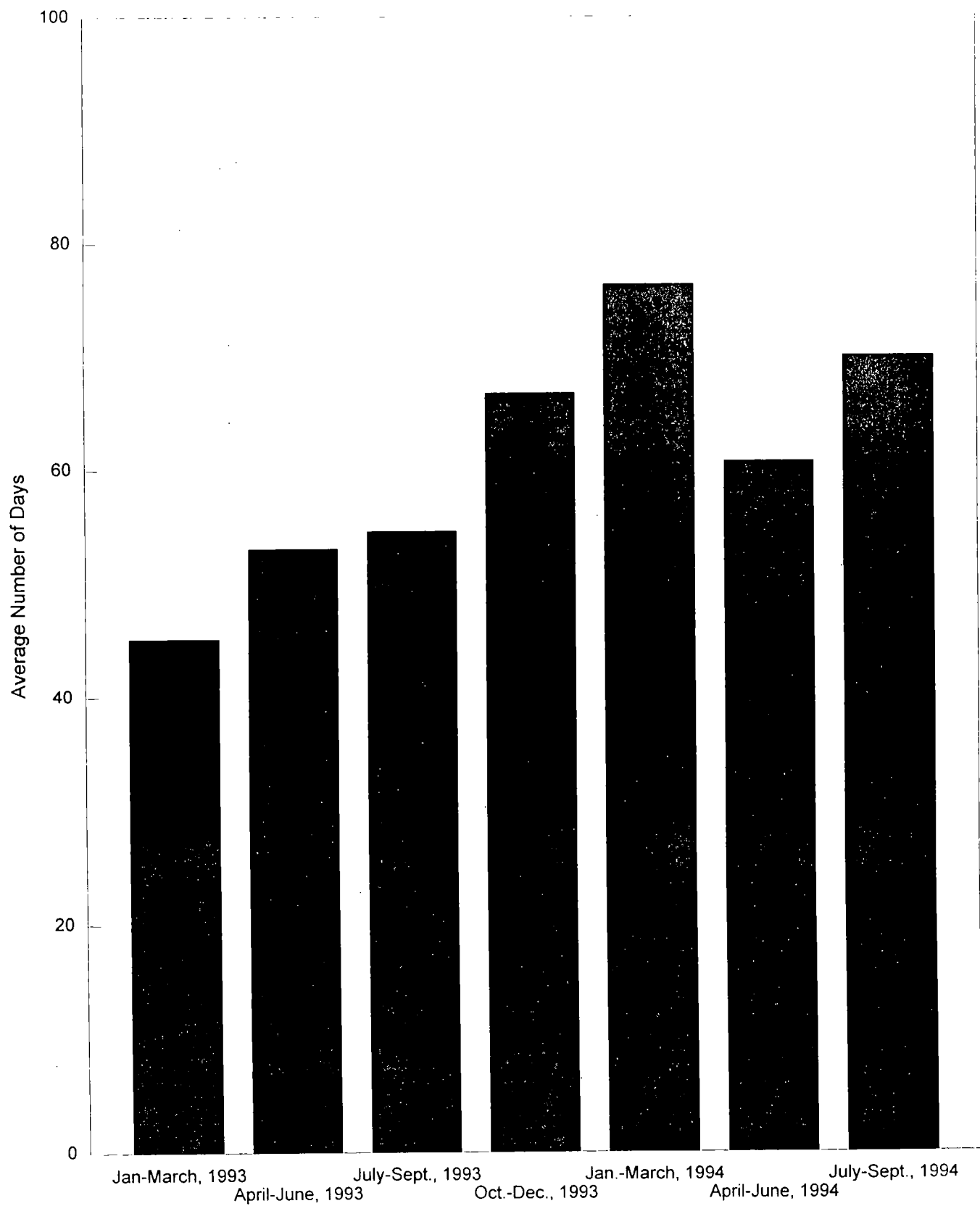
<u>Number of Days</u>	<u>Number of Cases</u>
BI completed same day as init.	1
BI completed w/in 20 days	7
BI completed w/in 21-40 days	78
BI completed w/in 41-60 days	252
BI completed w/in 61-80 days	135
BI completed w/in 81-100 days	53
BI completed w/in 101-120 days	24
BI completed w/in 121-140 days	8
BI completed after 141+ days*	<u>3</u>
Total	561**

*The three 140+ day investigations took 145, 153 and 253 days.

**77 cases were omitted from the total. Of these, 13 were investigations that were initiated prior to 1993, 29 were cases where no background investigation was initiated, 22 were cases of the background investigation being cancelled or discontinued, in 2 cases, the BI was conducted by other agencies, and in 11 cases, the investigations were underway but not yet completed.

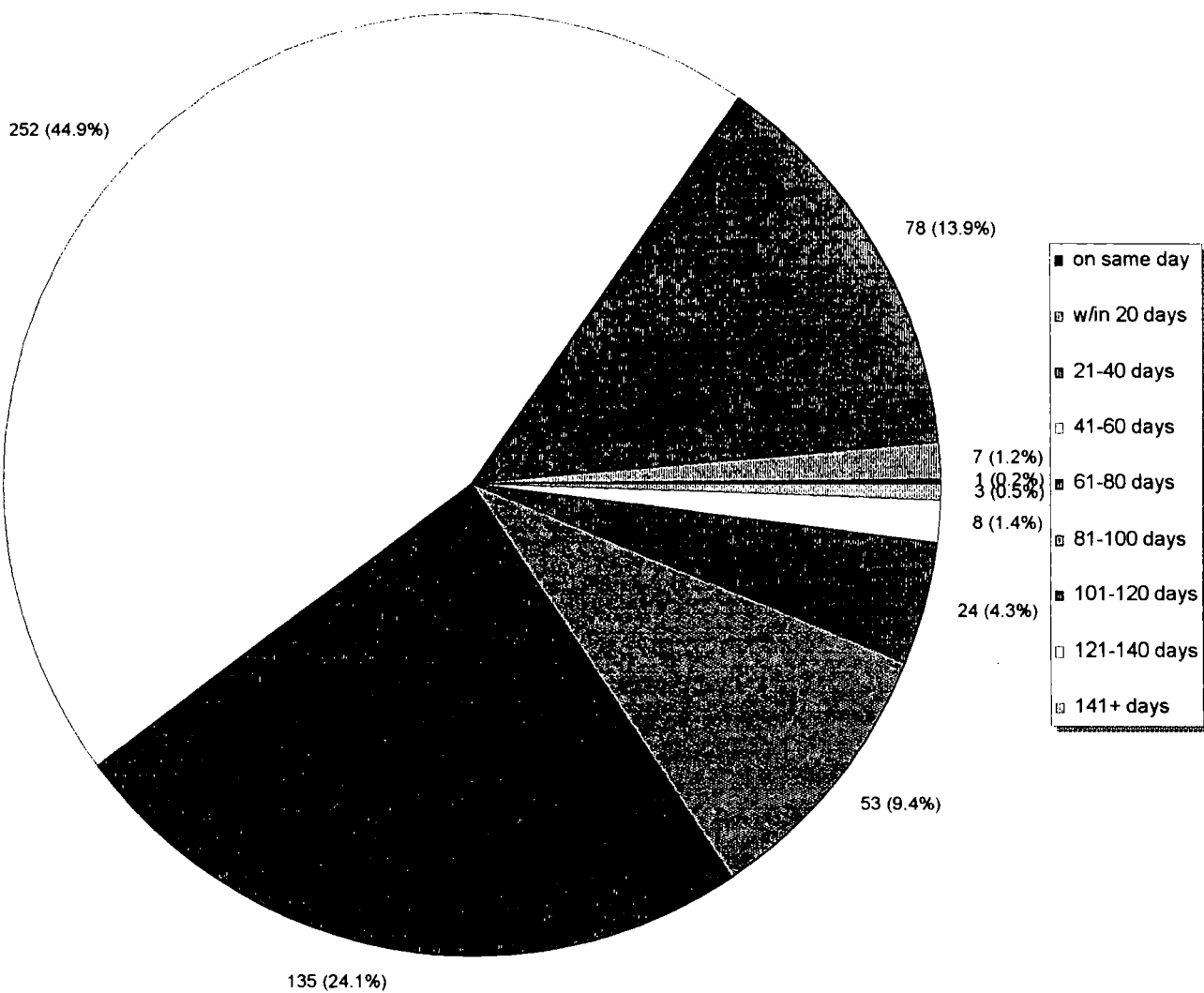
Average # of Days Between BI Initiation and BI Completion

(by quarter)



Number of Days Between BI Initiation and BI Completion

(BI initiated from 1/1/93 through 12/13/94)



Number of Days Between Perm. Pass Approval and Perm. Pass Issue

Averages:

Analysis of the data provided to GAO illustrates that on average, 11.62 days elapsed between the date that the Secret Service was notified that a person was approved for a permanent pass and the actual date the pass was issued. Breaking the period of analysis into three month intervals, the following chart shows the trend of averages:

<u>Perm. pass approved in:</u>	<u>Number of Cases</u>	<u>Average (in days)</u>
January-March, 1993	1	N/A
April-June, 1993	0	N/A
July-September, 1993	41	25.61
October-December, 1993	73	31.82
January-March, 1994	140	8.88
April-June, 1994	153	6.03
July-September, 1994	66	4.52
October-December, 1994	29	2.10

Number of Days:

The data can also be analyzed by the number of days between the permanent pass approval date and the date the permanent pass was issued:

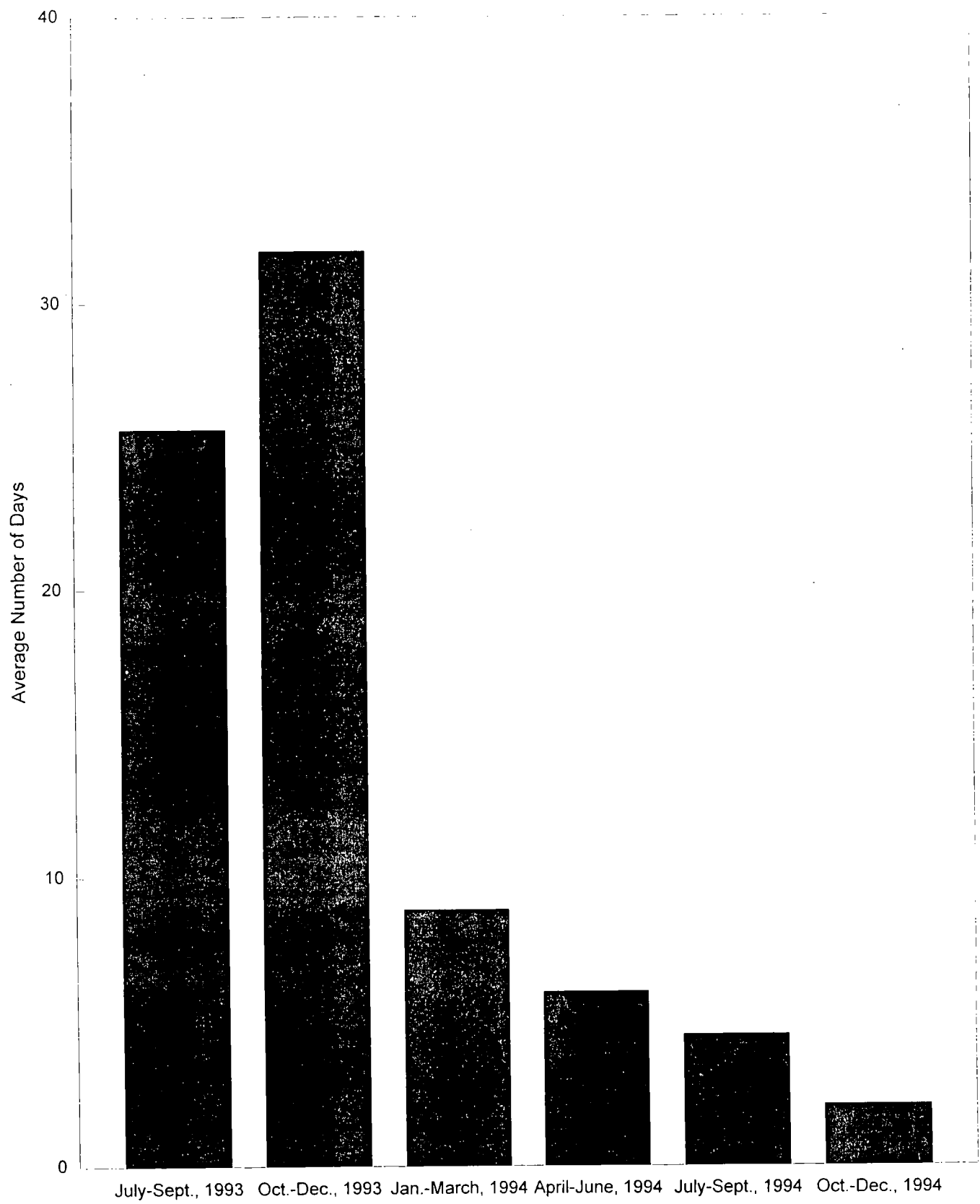
<u>Number of Days</u>	<u>Number of Cases</u>
Perm pass issued same day as app.	34
Perm pass issued w/in 5 days	267
Perm pass issued w/in 6-10 days	77
Perm pass issued w/in 11-20 days	40
Perm pass issued w/in 21-30 days	36
Perm pass issued w/in 31-40 days	12
Perm pass issued w/in 41-50 days	16
Perm pass issued by 51+ days*	21
Total	503**

* The 21 51+ day periods between permanent pass approval and issue took 52, 54, 64, 64, 64, 64, 68, 71, 77, 82, 86, 87, 89, 90, 99, 116, 126, 126, 145, 163, and 164 days.

** 135 cases were omitted from the total because no permanent pass has yet been or was ever issued. Primarily this occurred because investigations are pending for recent hires or because some employees departed before they were approved for a permanent pass. One omission occurred because a person's permanent pass was both approved and received prior to 1993.

Average # of Days Between Perm. Pass Approval and Issue

(by quarter)



Number of Days Between Perm. Pass Approval and Issue

(Perm. pass approved from 1/1/93 through 12/13/94)

