

Case Number: 2006-1066-F.

FOIA MARKER

This is not a textual record. This is used as an administrative marker by the Clinton Presidential Library Staff.

Folder Title:

FBI Files [4]

Staff Office-Individual:

Counsel's Office-Wallman, Kathleen

Original OA/ID Number:

CF 849

Row:	Section:	Shelf:	Position:	Stack:
21	4	4	2	V

Original

1

1 RPTS BRYAN

2 DCMN HERZFELD

3

4

COMMITTEE ON GOVERNMENT REFORM AND OVERSIGHT

5

U.S. HOUSE OF REPRESENTATIVES

6

WASHINGTON, D.C.

7

8

9

- - - - - x

10 In the matter of:

11 WHITE HOUSE TRAVEL

12

DEPOSITION OF
JEFF UNDERCOFFER

13

- - - - - x

14

15

Wednesday, July 10, 1996

16

17

Washington, D.C.

18

19

20

The deposition in the above matter was held in Room 2203,
Rayburn House Office Building, commencing at 10:10 a.m.

21

22

23

24

25

1

2

3

4

5

6 Appearances:

7

8 Staff Present for the Government Reform and Oversight
9 Committee: Barbara Olson, Chief Investigator; Barbara
10 Comstock, Special Counsel; Laurie Taylor, Investigator; Donald
11 Goldberg, Minority, Assistant to Counsel; Daniel Hernandez,
12 Minority Professional Staff Member.

11

12

13 For JEFF UNDERCOFFER:

13

14 WILLIAM E. CLANCY, ESQ.

14

15

16

17

18

19

20

21

22

23

24

25

1 THEREUPON,

2 JEFF UNDERCOFFER,

3 a witness, was called for examination by Majority Counsel, and
4 after having been first duly sworn, was examined and testified
5 as follows:

6 Ms. Comstock. We just have a short statement giving a
7 background on the investigation before we start. Some of it
8 is not going to apply -- I mean, maybe a good bit of it may
9 not -- but just to give you an idea, an overview of what we
10 are looking at.

11 We are on the record this morning for the deposition of
12 Jeff Undercoffer from the Secret Service, which is being
13 administered under oath. My name is Barbara Comstock, I am
14 Majority investigative counsel. With me today is Barbara
15 Olson, who is our chief investigative counsel, and Laurie
16 Taylor, who is an attorney on the Majority staff, and Don
17 Goldberg and Dan Hernandez are Minority staff.

18 I would like to provide you with some background
19 information concerning this investigation and your appearance
20 here. Pursuant to its authority under Rules 10 and 11 of the
21 House of Representatives, the Government Reform and Oversight
22 Committee is investigating the White House Travel Office
23 matter and related matters.

24 This refers to all events leading to the May 19, 1993
25 firings of the White House Travel Office employees and

1 includes all information provided by the White House Travel
2 Office and any employees at the White House Travel Office at
3 any time from January 1st, 1993 to the present.

4 Our investigation also encompasses the activities of
5 Harry Thomason, Darnell Martens and Penny Sample at the White
6 House as well as allegations of wrongdoing concerning Travel
7 Office employees.

8 The committee investigation is reviewing all actions
9 taken by any Division or field office of the FBI and
10 Department of Justice, both prior to and after the firings, as
11 well as issues relating to the White House's receipt of FBI
12 background investigations on prior administration officials.

13 The investigation includes, but is not limited to, the
14 investigation and prosecution of U.S. v. Billy Ray Dale and
15 all investigations and subsequent reviews of the Travel Office
16 firings by any agency including, but not limited to, the White
17 House Management Review, all FBI and Justice Department
18 reviews, the IRS and Treasury Department internal reviews and
19 records, the GAO Review, as well as the proposed U.S. House of
20 Representatives Resolution of Inquiry. I think in particular
21 the White House Management Review discussed at length the
22 White House passes and those type of matters.

23 Do you understand that your answers should not -- I'm
24 sorry, should not exclude any information which you recall
25 involving these subjects?

1 The Witness. Yes.

2 Ms. Comstock. The committee has been granted the
3 specific authorization to conduct this deposition pursuant to
4 House Resolution 369, which was passed by the House of
5 Representatives on March 7, 1996.. We can get the rules for
6 you if you would like to have a copy of them for you today.

7 The committee counsels will proceed with equal rounds of
8 questioning, each lasting up to 1 hour. We will alternate.
9 We will just be questioning one at a time. If you have any
10 questions for counsel, or if you want me to rephrase a
11 question, just ask if you don't understand it as we are going
12 along.

13 You are here today voluntarily and not by subpoena; is
14 that correct?

15 The Witness. That's correct.

16 Ms. Comstock. Okay. You will be given a 5-day time
17 frame in which you can come in with your attorney for the
18 Secret Service and review your deposition once it has been
19 transcribed, and to correct any technical problems that you
20 perceive occurred in the transcription, and to clarify any
21 matters. After that review period, you will be asked to sign
22 the transcript.

23 Do you have any questions before we start today?

24 The Witness. No.

25

1 EXAMINATION BY MS. COMSTOCK:

2 Q If you could just tell us your position at the
3 Secret Service and how you became involved in reviewing the
4 FBI files matter?

5 A Okay. The FBI file matter. No, I am an agent
6 assigned to the White House Division. I have been assigned
7 there since January of 1994 in that this whole matter
8 regarding the FBI background files and the production of
9 access lists are generated by my Division. That is how I am
10 involved.

11 Q Could you describe the analyses that you have done
12 on the lists? Do you have a general discussion that you could
13 provide for us?

14 A I do not have a general discussion; probably a
15 10-minute presentation. It involves charts. They are very
16 visual, along with I have some definite numbers, if you would
17 like me to just go through it.

18 Q Why don't we go through that, just so you can tell
19 us what you have done in your review of the files.

20 A Okay. If I may?

21 Q Yes.

22 Mr. Goldberg. With the charts I think you have to be as
23 descriptive as possible.

24 The Witness. I will be as descriptive as possible, and
25 then we will provide copies of these also.

1 I have two charts, and the general -- as part of my
2 analysis, what I began looking at was did we, did the United
3 States Secret Service, provide to the White House Office of
4 Personnel Security any time during 1993 a list that showed the
5 majority of these people from the list that you have here? It
6 is the same list I have.

7 I have been given -- first I will start by saying I have
8 been given two lists. One was longer than the other. There
9 is a total of 476 names on them. The two lists have one name
10 in common, and that is -- I can't remember the guy's name in
11 particular.

12 Ms. Comstock. Okay. Why don't we make that list
13 Exhibit 1 here so we can refer to it throughout the
14 deposition.

15 [Undercoffer Deposition Exhibit No. 1
16 was marked for identification.]

17 BY MS. COMSTOCK:

18 Q Exhibit 1 is the list, the initial list of 300 plus
19 names that was initially released by the White House, and then
20 we also have the second list, which I believe you referred to
21 attached as part of Exhibit 1, which has an additional number
22 of names.

23 Actually, maybe we should clarify before we start with
24 the charts that the first list of 300 or so names was the
25 initial list provided by the White House, I believe, on

1 June 7th, provided to the public. The second list was
2 provided a week or so later, and the White House has
3 represented to us that this list did, in fact, contain 70 or
4 70 something or 80 or so names that actually were properly --
5 they were active, and the files were properly sought; is that
6 your understanding?

7 A 89 names.

8 Q Okay, 89.

9 And the reason the second list came about, we have been
10 told by the FBI, is because of they noticed that there were
11 more names in the series that Mr. Marceca; is that correct?

12 A I don't know. I can only speak to the fact that I
13 was given the long list, the short list.

14 Q Okay. So we will refer to these lists that have the
15 combined numbers that you are talking as Exhibit 1, and this
16 particular copy, Exhibit 1, has the deactivation dates of all
17 of the people on the list also --

18 A Okay.

19 Q -- as provided, I understand, by the Secret
20 Service.

21 A Okay. We will call it Exhibit 1. On my charts I
22 refer to it to the list of 476 because combined, there is 476
23 individual names on the list.

24 Q Okay.

25 A I took two views of this information, and I used the

1 WAVES information as to -- because our WAVES system produced
2 the access lists. I noticed that, by looking at the
3 deactivation dates on these individuals, that 94 of the
4 individuals were not active at any time prior, or from 1989
5 and back.

6 In 1989 was the Bush-Reagan transition, and that is when
7 our E-PASS system came on line. They chose to bring it on
8 line in the transition. That is where we went from a paper
9 pass to the hard pass. It is a hard card. It has a microchip
10 in it, and each one has an individual number to it. So 94 of
11 those people were never active in the E-PASS system. They
12 were -- they had been issued paper passes in previous
13 administrations, and never a pass through the E-PASS system.

14 Now, we move up to August of 1991. I have -- we have
15 historic WAVES records. If I may refer to my notes, I have --
16 we have historic WAVES records where I have a printout dated
17 August 7th of 1991 listing inactive passholders. So that
18 printout list, it is a WAVES printout, lists all inactive
19 passholders from August 7th, 1991 backwards. And on that
20 printout, 182 of those 476 names from Exhibit 1 are included
21 on that printout.

22 I have another printout generated by WAVES on May 2nd of
23 1993. That is a printout of active passholders, active
24 passholders employed by White House operations personnel.
25 From that printout I was able to deduce -- and I will get more

:

1 into how the numbers break down when I come to the second
2 chart -- but from that printout I was able to deduce that 368
3 individuals of the 476 were inactive passholders as of
4 May 2nd, 1993.

5 Then I have a very similar printout dated July 8th of
6 1993. Again, that is a printout showing all inactive
7 passholders employed by White House operations personnel,
8 which the overwhelming majority of these 476 were. There were
9 three individuals that were not. They were employees of the
10 residence. But anyway, 379 of the individuals from the 476
11 from Exhibit 1 would have been inactive passholders as of
12 July 8th.

13 Now, to look at the information from another --

14 Q So we have just been referring to the chart entitled
15 "Inactives" in WAVES from the list of 476. And you can
16 provide us with a small copy of that so we can make that part
17 of the deposition?

18 A I will provide a small copy of that.

19 Q Thank you.

20 A And the purpose of this graphic representation of
21 the information is that it is very easy to visually see that
22 the White House Division of the Secret Service did not provide
23 a list with 476 people on it of those individuals from
24 Exhibit 1 as active, because they were, in fact, inactive.

25 Q And then would the 89 of the people having been on

1 there correctly, would that then be added to the 379?

2 A 379 -- we will add this together when we --

3 Q Okay.

4 A When I look at this information, I look at it two
5 ways. I look at it deductively and inductively, coming at two
6 different approaches. So it helps me keep my thoughts
7 straight. The numbers can get very confusing, and it helps me
8 keep my thoughts straight.

9 To take a closer look at this information --

10 Mr. Clancy. Why don't you reference the title.

11 The Witness. Okay. "Pass Deactivations from the List of
12 476."

13 Working up until 1989, I find that from the list we have
14 called Exhibit 1, one individual has not worked for any
15 administration since 1984; two individuals since 1985; four
16 individuals since 1987; three since 1988; and 84 since 1989.
17 And that brings -- again, 52 individuals have left, according
18 to our records, in 1995. In 1991, 59 individuals have left.

19 And again, my printout, the printout I have showing --
20 and I will submit this to you. I have the printout dated 8-7
21 of 1991, and attached to it I have a key, an alphabetical key,
22 referencing the file numbers, because the printout is in file
23 number order. But 182 individuals from the list of 476 that
24 we are calling Exhibit 1 are listed on this printout that says
25 "WAVES inactive passes." So there is no way post-August 7th,

1 1991 that they were on a printout reproduced that said they
2 were active.

3 Our records also show that in 1992, 75 people left and
4 were consequently made inactive. Now, in January of 1993, and
5 I have an historic WAVES document, this is a printout produced
6 by the WAVES system, and it is dated -- and what it shows
7 is --

8 BY MS. COMSTOCK:

9 Q That is dated what?

10 A January 28th of 1993.

11 What this shows are all of those passholders who became
12 inactive in the month of January of 1993. There were 18
13 individuals from Exhibit 1, the list of 476 people, included
14 on this printout.

15 Q The January 28th, 1993 printout, 18 of the people
16 that were on the Exhibit 1 list were deactivated as of that
17 date?

18 A That's correct. And our records properly show that
19 they were -- from that time forward, they carried an inactive
20 status.

21 The next historic WAVES document I used in my analysis
22 was a printout. The printout is dated 4-14 of 1993, but what
23 it shows is all of those individuals who became inactive in
24 the month of February of 1993. There are 48 individuals from
25 the list of 476, Exhibit 1, who are listed on this WAVES

1 printout showing that they have become inactive. So
2 concurrent with the first chart I have shown, the number of
3 inactive passholders grows as we move forward in time.

4 The next printout I have, it shows active passholders
5 that are employed by the White House operations personnel.
6 And I looked at this list viewing it for exclusions from the
7 list of 476, meaning that if you are on this, you are active.
8 If you are not on this and you were employed by White House
9 operations personnel, which all but three individuals on this
10 list were, you would be excluded, because you would have an
11 inactive status.

12 Now, the breakdown on this list are -- and the list is
13 dated 5-2 of 1993. So that is our cutoff in time is May 2nd
14 of 1993.

15 Now, there are 100 people -- I'm sorry, let me back up,
16 because the numbers get confusing here. There are 94 people
17 from Exhibit 1 included on this list.

18 Q Included on the May 2nd, 1993 list?

19 A Correct. On here are eight errors. The eight
20 errors, I did not write their names down. I will give them --
21 I will try to enumerate them from memory. An individual by
22 the last name of Blumenthal, an individual by the last name of
23 Carpendale, an individual by the last name of Cutshall. The
24 other five names escape me.

25 Mr. Goldberg. Is the Hagin or Eagin --

1 The Witness. I will deal with that when I am done with
2 this.

3 BY MS. COMSTOCK:

4 Q And James Baker, is he included in that?

5 A No, he is not. He is separate to that.

6 Q I think we can get those for the record. That will
7 be fine.

8 A Okay. There are eight names that E-PASS, the E-PASS
9 system showed them as inactive, and the information was not
10 passed over to the WAVES system to also show them deactivated
11 or having inactive status. And on this May 2nd list, there
12 are eight errors that we made, and they show up as active
13 when, in fact, they should have been inactive.

14 Q All right. There is also six other individuals in
15 the total number of this list that are not listed on here,.
16 Three of them are employees of the residence. Their last
17 names are Blake, Bowens and Brooks. And an additional three
18 are people that have yet to be employed by the White House, an
19 individual by the last name of Carr, who became employed -- or
20 who became active in our pass system as of 6-3-93; an
21 individual by the last name of Carpenter, who became active in
22 our pass system on 5-27-93; and an individual by the name of
23 Balfour, who became active in our pass system on 7-24-93.

24 Q Is this May 2nd, 1993 list, this is a WAVES list?

25 A This is a WAVES list showing all active passholders

1 employed by White House operations personnel.

2 Q Okay. If somebody had put somebody on an access
3 list, would they also appear on this WAVES list?

4 A No, they would not, because an access means that you
5 are permitted access into the complex. It doesn't mean you
6 have been issued a pass.

7 Q So these would be all the people who had at least a
8 temporary hard pass at this time?

9 A At least a temporary hard pass.

10 Q On the chart does it indicate whether it was a
11 temporary or a permanent?

12 A It does. The first one is White House staff, the
13 second one is temporary EOB, permanent EOB, temporary White
14 House staff.

15 Q Okay. So the "WHS" stands for "White House staff"?

16 A Correct.

17 "TEO" stands for "temporary Executive Office Building."

18 Q "OEB"?

19 A Stands for "Executive Office Building."

20 TWHS stands for "temporary White House staff."

21 Q Okay. So that covers all of our codes there on
22 that?

23 A There is also "TVOL", which is "temporary
24 volunteer."

25 Mr. Goldberg. Can I ask a clarifying question, just so

1 we don't have to come back and redo all of this again on my
2 time? I know it is not typical practice, but it would just be
3 more efficient.

4 EXAMINATION BY MR. GOLDBERG:

5 Q I want to clear up the three names that you said
6 were not to be employed. Is that three names that were taken
7 from the list of names of the White House files that were
8 somehow requested from the FBI inappropriately?

9 A No, I am not saying they were requested
10 inappropriately. I am saying that they weren't employed.

11 Q I am just trying to clarify which list you found
12 those three people on. I didn't mean to ask you to
13 characterize them.

14 A I found those three people on this list, Exhibit
15 Number 1, the list of 476 names.

16 Q Which the White House has represented as the list of
17 names that Marceca requested?

18 A If they have, yes.

19 Mr. Goldberg. Do you know the answer to that question?

20 BY MS. COMSTOCK:

21 Q You are saying the three people are on Exhibit 1,
22 but they don't appear on any of the WAVES lists?

23 A On any of the WAVES lists. And the reason they do
24 not appear is because this WAVES list is dated 5-2 of 1993,
25 and they were not hired until after 5-2 of 1993, so they

1 couldn't appear on a list that we produced.

2 BY MR. GOLDBERG:

3 Q I am confused, but not by your answer, but just by
4 why is Marceca asking for these files.

5 Ms. Comstock. The White House represented that 89 of
6 these were correctly -- there is a number of these in this 476
7 list which were correctly sought.

8 Mr. Goldberg. I am sorry. Let me just ask Barbara a
9 question before I get back to you.

10 Ms. Comstock. Why don't we go off the record for a
11 minute.

12 [Discussion off the record.]

13 BY MR. GOLDBERG:

14 Q Did you have these charts before the Senate hearing?

15 A No, I didn't. I had them made yesterday.

16 Now, where was I?

17 BY MS. COMSTOCK:

18 Q We had gone through the May 2nd, 1993 list and
19 identified what some of the codes were on there.

20 A Okay. So using this May 2nd, 1993 list, and knowing
21 that there were three employees of the residence, three
22 employees that had yet to be hired, eight individuals that
23 were our error, that were listed as active when they were not
24 active, and when you work the math, it tells me that there
25 were 368 individuals from Exhibit 1 that were listed as

1 inactive at that point in time. So any list we produced after
2 May 2nd of 1993 showed 368 of those 476 names as inactive
3 passholders to the White House complex.

4 Q So after May 2nd, 1993, anyone requesting an active
5 list of passholders would not have gotten 368 of the names
6 that are on Exhibit 1?

7 A That is correct.

8 Q All right. The next historic WAVES document I have
9 is dated 11-3 of '93, and what it shows are those passholders
10 who became inactive during the month of May 1993. There are
11 five individuals from the list of 476 that became inactive
12 during the month of May 1993.

13 Q Okay. And on this -- Billy Dale is included on this
14 list?

15 A Yes. Billy Dale is included on this list.

16 Q John Dreylinger?

17 A Billy Dale, and it shows him becoming inactive on
18 May 24th of 1993. That is when he was made inactive.

19 Q And John Dreylinger becomes inactive on May 24th
20 also?

21 A May 24th of 1993 also.

22 Q This list is just one page?

23 A This list is only one page. I only copied AA to GO
24 from our records, just to reduce on the amount of paperwork
25 that we would have had to have brought in.

1 Q And Barnaby Brasseux is also on this list as being
2 deactivated on May 24th, 1993?

3 A He is one of the five. Dreylinger, Dale, Brasseux,
4 are three --

5 Q Those are are probably the only --

6 A Flagler is four, and there is a fifth one. There
7 are five individuals.

8 Q I'm sorry, who is Flagler?

9 A He is from the list.

10 Q Oh, from the list, I see.

11 A There are five individuals from the list that we are
12 calling Exhibit 1 that are on this printout, meaning that any
13 list we would have produced after May of 1993, these five
14 individuals would also be listed as inactive.

15 Q All right.

16 A The next historic WAVES document I have is dated
17 11-3-93, and what it shows are those individuals that went
18 from an active to an inactive status in June of 1993. There
19 are six individuals from Exhibit 1 who became inactive in June
20 of 1993, and those six names are listed on this printout.

21 The final document I have is dated 7-8-93, and again,
22 this is a listing of all active passholders employed by White
23 House operations personnel. On that list there are -- here's
24 the way the numbers work: There are four people that are
25 legitimately passholders that are on the list, and they are

1 the three employees of the residence, Blake, Bowen and
2 Brooks. One employee who was yet to be hired, Elizabeth
3 Balfour, she was not hired, according to our records, until
4 after July 8th of 1993. There are 85 people from this list
5 that are common to Exhibit 1, they should be there, and there
6 are eight errors. I have given you three names. The fourth
7 name that I can recall is Danica Bizic. As the day goes on, I
8 will recall them all.

9 But what this shows me is that there are -- as of
10 May 2nd -- I'm sorry, July 8th, 1993, there are 379
11 individuals from this list that we are calling Exhibit 1 that
12 would have been inactive on any report we would have generated
13 from WAVES.

14 To confirm that the records in WAVES stay current, I
15 don't have any copies of the document at this point in time
16 because I just double-checked last night, but I have a
17 printout dated 8-19-94 of all inactive passholders. All 379
18 of these individuals are listed on that printout as inactive,
19 and there are some additional ones also that from the --

20 Q I'm sorry, did you say August 19th, 1993?

21 A August 19th, 1994.

22 Q 1994, okay.

23 A Right. But it is a logical -- it is a safe
24 assumption or a logical conclusion that if somebody was not
25 included on a printout dated July 8th, 1993 of active

1 passholders, they were included on a printout dated 8-19-94
2 showing inactive passholders.

3 Q I see.

4 A In the intermediate and the time in between, they
5 have stayed -- their status stayed as inactive.

6 Q Okay.

7 A Am I clear? Are you clear? I know I'm clear, but I
8 have been living with these numbers for quite some time. Have
9 I expressed myself adequately?

10 Q I think the bottom line is that of the names that
11 are on the list of Exhibit 1, any glitches or whatever that
12 the Secret Service had can only account for eight of those
13 names; is that correct?

14 A Okay. The scope of my analysis ran from 1984 up to
15 July 8th of 1993. I can tell you definitively that 94 of
16 those individuals -- if we were to have produced a list in
17 1989, 94 of those individuals from Exhibit 1 would have been
18 inactive.

19 Q So someone who came up with those 94 names had to
20 get them either from an inactive list or from somewhere else?

21 A Or from somewhere else. I don't know where they
22 would have come from.

23 BY MR. GOLDBERG:

24 Q Could it come from the same list and just be listed
25 as inactive?

1 A We do generate --

2 Q So it could be the same list that would have them
3 active or inactive; is that accurate?

4 A We do generate -- I tell you what, can I finish, and
5 then I will address your question.

6 At the same time after August of 1991, August 8th, I
7 believe, 182 of those people would have been listed as
8 inactive on any report or printout we produced through WAVES.
9 Again, on May 2nd of 1993, 368 would have been inactive. And
10 if you want to look at just the 1992 date, because it was a
11 transition from one administration to the other, 280 people
12 went inactive from December 31st, 1992 backwards. And then
13 that number grows as we move into 1993.

14 Q Okay.

15 A Now, outside, to speak outside of the July 8th, 1993
16 time period, because the name comes up, James Baker, let me
17 speak for a moment as to the process of how the names -- how
18 the people are added to the active list and taken off the
19 active list and made inactive.

20 The names come to us from the administration. They
21 determine who they want to be granted a pass into the White
22 House complex. They will submit the name to us along with a
23 background investigation. We review the investigation solely
24 upon looking for protective concerns. Our only concern is
25 would somebody pose a threat to any of our protective

1 concerns.

2 BY MS. COMSTOCK:

3 Q And do you actually get the summary background files
4 then, the files that were turned over to the White House?

5 A Yes, the summary, yes. We review it. If we have no
6 concerns, we sign off on the file, the individual is issued a
7 pass, and at that point in time the information goes into the
8 E-PASS system, and they become active passholders.

9 When somebody leaves, to deactivate somebody, again, this
10 is done at the behest of the administration, whichever
11 administration it is. They will tell us in one of two ways.
12 The individual himself, the passholder his or herself, will
13 come to our office, say, I'm leaving, will turn in their pass,
14 at which time we will deactivate them. Or they could just
15 leave, and we will not find out until somebody from the
16 administration -- typically it has been the Office of
17 Personnel Security -- will tell us, oh, so and so has left 2
18 months ago or even 3 months ago. Please deactivate their
19 passes. And so again, at that point in time they are
20 deactivated.

21 So we are -- we really serve the administration. We
22 issue building passes to allow people into the complex based
23 upon who they want in and who they want to exclude.

24 Q And usually is there a checkout procedure when
25 people do leave the White House, or had there been maybe in

1 the prior administration, was there a checkout procedure that
2 people went through?

3 A There is a checkout procedure in place with this
4 administration. I cannot speak to prior administrations
5 because I didn't come until 1994.

6 Q And the current checkout procedure, was that in
7 place in 1993?

8 A No, it wasn't. I know it became more formalized in
9 1994. I can't tell you exactly, really; I can't speak
10 specifically to what occurred in 1993 because I wasn't there.

11 But now, to speak to Mr. Baker. Mr. Baker,
12 Mr. Fitzwater, and two other individuals, we were not
13 requested to deactivate them until August of 1993. So
14 legitimately, because the administration hadn't told us, and I
15 know it sounds a little funny, because everybody would imagine
16 that Mr. Baker would have left with the previous
17 administration, but we are not to be so presumptuous to think
18 that he is not going to stay around to help out with the
19 transition. That is not for us to determine. So legitimately
20 he was listed as an active passholder on this July 8th
21 printout, because up until that point in time, we weren't
22 requested to remove him from the rolls of active passholders.

23 Now, in August of 1993, we were requested to remove
24 Mr. Baker and Mr. Fitzwater, two other individuals, I can't
25 recall their names, from the list of active passholders.

1 Q Okay. Now, the list that we have been referring to
2 as Exhibit 1, Mr. Fitzwater does show being inactive
3 January 20th, 1993.

4 A That date is incorrect.

5 Q Okay.

6 A In fact, I have been over this list 100 times. I
7 was told that these -- the dates on this list that you have,
8 we were given a day to come up with the dates, and so there
9 are errors on that. In the -- over the last month we have had
10 time to go through and through and through and, you know, do a
11 very, very thorough audit.

12 Q So these numbers that we are looking at on the
13 charts are based on you --

14 A These numbers are accurate.

15 Q Okay.

16 A And when I am finished with my report to submit to
17 the Senate, it has all of the dates on it, and those dates are
18 100 percent accurate. These, I found a few errors in those
19 dates. So I wouldn't use that for definitive purposes.

20 But in August of 1993, we were asked to take Mr. Baker
21 from the rolls. We did. He was listed as inactive in the
22 E-PASS system, and he, just like the other eight individuals I
23 had mentioned -- that information was lost in the transfer
24 between the E-PASS system and the WAVES system, so he was
25 carried as an active passholder erroneously into 1994.

1 In fact, I think it was February or March, it was early
2 in 1994, it was a conversation between Mr. Livingstone and
3 myself where what we do, we provide them with a printout of
4 active passholders, and the reason we provide them with the
5 printout is so that they can go through it and reconcile their
6 records with our records, because as I had stated previously,
7 we put information in at their request, we take information
8 out at their request. Consequently, we give them our records
9 so that they can go through them and verify that everything is
10 correct.

11 With Mr. Baker, he noticed, he said, hey, Mr. Baker left
12 some months ago, how come he is still here? I informed him it
13 was a problem that I was aware of since I had come to this
14 Division in 1994, we were working on a correction to the
15 problem, and I went in and the problem was corrected
16 eventually, and Mr. Baker was deleted from the rolls.

17 It is also important to note, though, that he was listed
18 as an active passholder in the WAVES system, and that
19 passholder database in the WAVES system is for our purposes.
20 It is an administrative file. The critical function of the
21 system as a totality is comprised of three computer systems,
22 an access control system, the E-PASS system, and the WAVES
23 system. The critical function is performed by the access
24 control system, and that operates 100 percent. He was
25 immediately deactivated, so that if Mr. Baker would have shown

1 up at the gate with this pass and tried to come in, it
2 wouldn't let him in.

3 Q So after August 26, 1993, he could not have gotten
4 into the building?

5 A He could not have gotten in.

6 So I think from our perspective, that is an important
7 point to stress, that the printout in WAVES, the database that
8 we keep in WAVES, is there for administrative purposes. We
9 print it out from WAVES because WAVES has high-speed printing
10 capabilities, and so we simply utilize the WAVES system to
11 generate these printouts. And again, we give them to the
12 administration for them to go through and find any errors.

13 That is the whole purpose. We traditionally give them
14 printouts, some printouts every Monday, some printouts once a
15 month, but so that they can go through them and make sure that
16 the information is accurate. It is a real cooperative
17 effort. It has always been a cooperative effort between the
18 Office of Personnel Security and the White House Division.

19 Can I answer any questions?

20 Q Yes. Maybe if we can go into a few areas. In 1993
21 when the new administration started -- when did you start in
22 this position?

23 A January of 1994.

24 Q And what were you doing prior to that?

25 A Prior to that I was assigned to our Technical

1 Security Division.

2 Q Is that at the White House also?

3 A No, it was not.

4 Q All right. So you are not familiar then with any
5 meetings in 1993 with Mr. Livingstone?

6 A No, I am not, no.

7 Q In 1994, were you aware of there being a large
8 number of new White House employees who hadn't gotten their
9 White House passes?

10 A Yes, I was.

11 Q Were you involved in any discussions regarding that?

12 A Not -- no, not directly.

13 Q Okay. And how did you become aware of it?

14 A Well, I worked in the White House Division. One of
15 my jobs was to review backgrounds. And you couldn't help but
16 know it, because it was in the newspaper. I am not being
17 facetious, I didn't learn it from the newspaper, but simply by
18 being in the office, one knew that this was an issue. The
19 particulars of the issue I was not aware of.

20 Q You yourself reviewed the FBI files when they came
21 over completed from the Counsel's Office?

22 A Yes.

23 Q And you would review them for security concerns?

24 A Yes, I did.

25 Q And after you reviewed them, who would you then pass

1 them on to?

2 A I would sign off for them for the special agent in
3 charge of the Division, and if there was -- if it was a
4 routine background, there were no concerns whatsoever, they
5 would go from me up to the front office, where the individual
6 would be informed that they could come in and receive their
7 pass.

8 Q In the front office, who would it go to in the front
9 office?

10 A To our administrative personnel in the White House
11 Division.

12 Q Who is in charge of that?

13 A In charge of the office is Arnold Cole.

14 Q When you had received those files, who had signed
15 off on them on behalf of the Counsel's Office?

16 A Typically it was Greg Livingstone. Well, the pass
17 request came from Greg's office, he signed off on it.

18 Q Was there a particular request form where he
19 would --

20 A It was a standard request: Attached is a background
21 investigation of so-and-so. We request that this type of a
22 pass be issued.

23 Q Okay. So they would -- you would get White House
24 employee X's file that says -- Greg had a memo on it saying,
25 please provide a permanent pass for this employee?

1 A Yes.

2 Q And then attached would be that person's FBI
3 summaries, the FBI summaries on them?

4 A Yes.

5 Q Would it have any financial information or any other
6 information besides the FBI background?

7 A Typically, no.

8 Q And you would then review it for security concerns?

9 A Yes.

10 Q And if there were any problems, who would you raise
11 those with?

12 A If there were problems, if it was anything more than
13 routine, then I would pass it on to my supervisor, Assistant
14 Special Agent in Charge Arnold Cole.

15 Q Generally with the files that you reviewed, do you
16 recall there being problems with individuals who hadn't paid
17 their taxes? I am not asking for any names here, I am just
18 saying generally if files that had been passed on to you where
19 there were situations that people hadn't paid their taxes.

20 Mr. Goldberg. Exclusion of congressional proceedings I
21 don't believe apply to this particular provision, and the
22 Privacy Act, I think, would cover. I would be wary of how you
23 would answer questions.

24 Mr. Clancy. Well, I think that the Privacy Act goes to
25 individuals, it doesn't go to groups at all, and I would

1 advise the witness here not to answer anything about
2 individuals. But the Privacy Act has nothing to do with
3 groups, from my understanding. Do you have a different
4 understanding?

5 Mr. Goldberg. I don't have a different understanding,
6 but if information could be put together with that answer in
7 identifying somebody, I think there could be a problem.

8 Mr. Clancy. I agree that we should be careful that the
9 information come out not to identify an individual, but just a
10 situation.

11 Ms. Comstock. Also, I think this is a copy of the
12 Privacy Act here, which I believe doesn't apply to --

13 Mr. Goldberg. I think if you read it closely, it doesn't
14 mention staff depositions. It is congressional hearings
15 within the jurisdiction of the committee. I think the
16 committee can get around this in a different setting than
17 this.

18 Ms. Olson. I am going to speak on the record since this
19 is going to come up again. I would ask that Mr. Goldberg make
20 a complete record of his understanding if the Privacy Act does
21 apply in this deposition, and let's get it very clear on the
22 record because we are going to deal with this for the next 30
23 days.

24 Mr. Goldberg. Would you like me to make a statement
25 about that now?

1 Ms. Olson. Yes.

2 Mr. Goldberg. I would say that I believe that there are
3 two questions that would relate to the Privacy Act. One, is
4 this a congressional hearing under terms of the Privacy Act
5 that would be excluded under the general exclusion of the
6 Privacy Act. Second would be, is the question being asked
7 within the scope of H.R. 369 which authorizes this
8 deposition.

9 I think both are very relevant to the issue, and I think
10 you could raise significant questions about both that would
11 lead perhaps someone to conclude the Privacy Act protections
12 and criminal protections specifically would apply. I can't
13 give you a definitive answer.

14 Ms. Olson. Okay. We have reviewed the Privacy Act. Our
15 committee counsel, Kevin Sabo, has reviewed the Privacy Act,
16 and the Chairman is signing a statement which will be made a
17 part of this record and shared with Minority counsel. This
18 deposition started before the statement was complete.

19 The Privacy Act that I am quoting from is from Title V,
20 Section 552(a), Subsection B, which says that there are
21 conditions of disclosure and, quote, "no agencies shall
22 disclose any record which is contained in a system of
23 records."

24 Then I am skipping several sentences, and it says, unless
25 disclosure of the record would be -- and if one goes down to

1 Subsection 9 -- to either House of Congress or to the extent
2 of a matter within its jurisdiction, any committee or
3 subcommittee thereof, any joint committee of Congress or
4 subcommittee of any such joint committee.

5 My understanding through communications with our
6 committee counsel is that we are a subcommittee of the House
7 of Congress, we are staff on a committee in the House of
8 Congress, and therefore, the Privacy Act does not apply to
9 these depositions insofar as an agency may disclose
10 information to us. That does not say that we may then
11 publicly turn around and disclose that information. I am only
12 making a representation as to whether information can be given
13 to us that would be normally within the Privacy Act within the
14 confines of this deposition.

15 It is my understanding and it is the Chairman's position
16 that this investigation is properly under the White House
17 Travel Office matter and that, in fact, we are authorized
18 under the House Resolution and the committee rule to conduct
19 these depositions, and we have been proceeding as such
20 throughout this investigation of the FBI files matter.

21 Mr. Goldberg. If I could just make a final statement.
22 The Minority has considered this at length, and I believe that
23 the Ranking Minority Member's own opinion is that this is not
24 excluded from the Privacy Act, and any witness answering
25 questions should proceed at their own peril, having heard the

1 statement of the Majority and the Minority.

2 Mr. Clancy. Would it be convenient to break for about 10
3 minutes?

4 Ms. Olson. Let's do that.

5 [Recess.]

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

1 RPTS STEIN

2 DCMN HERZFELD

3 [11:10 a.m.]

4 Ms. Comstock. Back on the record.

5 Mr. Clancy. Prior to going off the record, we had some
6 questions that were going in a certain area. There was
7 concern by the agency that there could be a potential
8 violation of the Privacy Act. To that extent we had some
9 discussions off the record, and I called back to my office.
10 Upon the conclusion of that, I want to make this statement
11 which I am making at this time.

12 We still continue to have some concerns, and those
13 concerns would be when a question, the response to which would
14 require information that perhaps would identify an individual,
15 we clearly do not want to do that at this time because we do
16 have concerns about the Privacy Act. To the extent the
17 questions would be general in nature, asking about perhaps a
18 general perception or general problem or general situation,
19 something of that sort, we will make responses in that
20 regard.

21 We have also indicated to both counsel, Minority and
22 Majority here, that we respectfully request the Chairman to
23 make a request if there is further information that is needed
24 after this, that they make the request to the Secretary of the
25 Treasury. We will immediately accept a copy from our

1 individuals here. I don't think there will be delay there. I
2 just propose that for the purpose that the Secretary of
3 Treasury is the leading individual in the Department of
4 Treasury. We are dealing at a fairly high level and want to
5 do everything appropriately.

6 With that on the record, we can proceed.

7 Ms. Olson. I have a memorandum from Chairman Clinger
8 dated today's date that discusses the applicability of the
9 Privacy Act to Congress. I will make it a part of this
10 deposition as Exhibit Number 2.

11 [Undercoffer Deposition Exhibit No. 2
12 was marked for identification.]

13 Ms. Olson. The Chairman states that he believes after
14 his consultation that it is clear that a government witness
15 before this committee cannot refuse to respond to pertinent
16 questions put to him on the basis of the Privacy Act.

17 He also states that he believes that law enforcement
18 officials may disclose matters in a file without a person's
19 consent for purposes of civil or criminal law enforcement.

20 We understand that the Minority has raised a question
21 whether our questions in this deposition are properly before
22 the Chairman of this committee. Therefore, what the Chairman
23 intends to do is after the questions are asked, if the
24 response is not complete because of Privacy Act concerns, he
25 will address a letter to the individual witness stating his

1 jurisdiction as Chairman of this committee and submit those
2 questions that have been asked in this deposition along with
3 any others to the witness to respond to as Chairman of the
4 committee with proper jurisdiction, and that that witness's
5 responses will go straight to the Chairman.

6 I believe that I am correct in stating that Minority also
7 believes that the Chairman does have authority once he states
8 his jurisdiction to ask the individual witness those
9 questions, and that the individual witness would not violate
10 the Privacy Act if they respond to those questions to the
11 Chairman.

12 Mr. Goldberg. As Minority staff, I believe that is an
13 appropriate and reasonable approach to this issue.

14 BY MS. COMSTOCK:

15 Q I believe the question that we had outstanding
16 before we broke was in your review of the files,
17 Mr. Undercoffer, when you received them, did you have any
18 situations where you had -- where the Counsel's Office had
19 signed off on a file and sent it to the Secret Service where
20 individuals had not paid their taxes?

21 A A resolved or an unresolved situation?

22 Q A situation where it was apparent from the file that
23 there had been unpaid taxes.

24 A Yes.

25 Q Do you recall how many times that occurred in files

1 you reviewed?

2 A A few.

3 Q Do you know of other situations outside the ones you
4 reviewed where this occurred?

5 A Specifically? No, not specifically.

6 Q Had you heard about this being a problem that people
7 hadn't paid their taxes in a number of files that came through
8 to the Secret Service?

9 A We would discuss cases amongst ourselves. There
10 were a few that I had heard, yes.

11 Q Were you aware of any situations where individuals
12 were put on some kind of tax payment plan as a result of these
13 issues?

14 A I am not -- I really, truly do not recall as to how
15 the situations were resolved.

16 Q Were there situations where people hadn't paid taxes
17 for numerous years?

18 A I can't -- I am not being evasive. I know that
19 there was that situation, but I can't recall -- when you read
20 background investigations, they have a tendency to run
21 together after time, and so I can't recall -- I can't
22 recall -- I need to be -- I am trying to frame specific
23 instances in my mind so that I can answer your question in a
24 general way, and I am having difficulty doing that. Can you
25 ask me the question again?

1 Q Did you have situations where any files that you
2 reviewed were people who hadn't paid their taxes for a number
3 of years? Do you recall any instance where someone hadn't
4 paid their taxes for more than one year?

5 A More than one, yes, there were some.

6 Q Prior to those files being sent over to the Secret
7 Service, were there -- were these tax issues resolved in some
8 way?

9 A I do believe most had been, yes.

10 Q Would Craig Livingstone or others in the Counsel's
11 Office discuss these issues with you prior to sending the
12 files over?

13 A No. They were never discussed with me.

14 Q They would just send the files to the Secret
15 Service, and you would review some of them?

16 A From my point of view, the files came in, they were
17 assigned to me, I reviewed them.

18 Q In reviewing the files, did you have instances where
19 you had levels of drug use that went beyond college age,
20 experimental use?

21 A Yes.

22 Q Can you -- of the files you reviewed, can you put a
23 percentage of -- any general number of what was beyond
24 college-age experimental drug use?

25 A There were more than a few.

1 Q Were there dozens?

2 A There were probably -- this is a very sensitive
3 question, so I am trying to be as accurate as I can be. I
4 don't want to -- there were a -- I am trying not to be
5 subjective, because when one reviews background
6 investigations, you are glimpsing into somebody's personal
7 life, and it is something I take very seriously, and I, other
8 than somebody in my immediate work environment that does the
9 same thing, we don't discuss it with anybody. Number one,
10 this is not something I am accustomed to doing; and two, I am
11 trying to be as wholly accurate as I can be because I realize
12 the subjective opinions people will form from the information
13 I am giving. So I am trying to be as accurate as I can and be
14 totally objective at the same time.

15 There were -- you know, I have reviewed literally
16 hundreds of background investigations. I would say more than
17 30, more than 40, perhaps, had drug usage.

18 Q And that would be beyond the college-age
19 experimental type of use?

20 A I am not stalling. Sometimes some individuals went
21 to college well into their thirties. They worked on masters
22 and Ph.D.s.

23 Q Of the files you reviewed, did you have situations
24 where the drug use was very recent?

25 A There was some where the drug use was recent.

1 Q Can you estimate roughly how many files you reviewed
2 were --

3 A I would say a few dozens.

4 Q Did there come a time when the Secret Service
5 instituted a particular program for people who had recent drug
6 use?

7 A Yes, there was.

8 Q And could you describe what that program was?

9 A I only know of it because whenever I would review a
10 file of this nature, it was something I did not want to sign
11 off on, so I forwarded it on to my supervisors.

12 They did initiate a procedure that was called the "drug
13 letter," and there were -- I don't know the exact parameters
14 of the procedure. I am not familiar with how the procedure
15 was developed other than I knew of its existence.

16 Q Do you know when this started?

17 A Sometime in '84. I do believe it was in 1984.
18 Mr. Goldberg. '84 or '94?

19 The Witness. I am sorry, '94. Excuse me.

20 BY MS. COMSTOCK:

21 Q Would this be a letter to your supervisor on putting
22 an individual into a program because of their recent use?

23 A No. The drug letter was a letter between the
24 administration and the Secret Service. I have seen them. I
25 have never sat and read one, but there is various conditions

1 that are agreed upon and then acknowledged by the individual
2 in question.

3 Q And the individual in question has to sign that
4 agreement?

5 A Yes.

6 Q For those individuals, the White House previously
7 provided information back in '94 that there were approximately
8 about 11 -- 10, 11, 12 people in the program in '94. Do you
9 recall that?

10 A That sounds about accurate.

11 Q I believe in '95 they said the number was around 18
12 or so?

13 A I don't know for sure.

14 Q Those situations, can you generally tell us what
15 kind of drug use we are talking about, what kind of -- if you
16 can generally give a picture of the extent and recentness of
17 that drug use?

18 A I have seen cocaine usage. I have seen
19 hallucinogenic usages, crack usages. I am not -- you know, I
20 am not that familiar with narcotics. I would say those are
21 the big three.

22 Q And were those, the people who were in this program,
23 was it generally fairly recent use?

24 A Yes, it was.

25 Q In terms of whether or not someone had a drug letter

1 or went into this particular program, were there any
2 considerations given; if they had a more senior level position
3 or not, were there considerations given what an individual's
4 duties were?

5 A I don't know.

6 Q Were these situations usually ones that you would
7 flag and send on to your supervisor?

8 A Yes, they were.

9 Q Do you know who Mr. Cole would then have to speak
10 with about this?

11 A Typically things work up the chain of command, and
12 so he -- Mr. Cole could probably best answer that question.

13 Q Just to the extent you know.

14 How many other people besides yourself reviewed these
15 files?

16 A When?

17 Q You started in '94; correct?

18 A Yes.

19 Q While you were there in '94?

20 A In '94, myself and another agent.

21 Q Who would that be?

22 A Rich Stribling.

23 Q Do you know in '93 who else would have been
24 reviewing the files?

25 A I wasn't there in '93.

1 Q Do you know if Mr. Stribling was there in '93.

2 A Mr. Stribling got there in November of '93.

3 Q Mr. Wilfred testified -- from the Senate
4 Intelligence Committee testified in the Senate that he had
5 done a report, and that it was the feeling of those who had
6 been involved in the report that Mr. Livingstone's credentials
7 were not sufficient to hold such an important office in the
8 White House.

9 Did you ever have discussions in your office about
10 concerns that you had about Mr. Livingstone's credentials in
11 his job in the Personnel Security Office?

12 A No.

13 Ms. Comstock. In the course of the pass process, there
14 were numerous extensions throughout the process of temporary
15 passes. I have a few here. I will make these part of the
16 record. This is a December 13th memo for the Secret Service
17 from White House security; subject, extension of passes. I
18 understand you were not there at that time, but this is a
19 two-page list of individuals who needed to have their passes
20 extended. Most of them were 90-day extensions. It is CGE
21 47029 through 30. Make this Exhibit 3.

22 [Undercoffer Deposition Exhibit No. 3
23 was marked for identification.]

24 BY MS. COMSTOCK:

25 Q This list appears to be extending the temporary

1 passes. There are a number of new White House staffers.
2 Mr. Stephanopoulos is included on here, Mr. Eggleston, Ira
3 Magaziner, many individuals who had been at the White House
4 from January 20th forward.

5 Did you ever have any discussions about why these
6 temporary passes continued to be extended, you know, month
7 after month?

8 A Yes.

9 Q Did there come a time when you had meetings -- could
10 you describe those discussions?

11 A The discussions, we knew that these lists were
12 processed over some period of time, and when a list like this
13 would come in, the administrative personnel would have to go
14 into the E-PASS system and extend the pass; that because they
15 didn't have permanent passes. I knew that they didn't have
16 permanent passes because background investigations and
17 requests for permanent passes had yet to be submitted to us by
18 the administration.

19 Q In fact, when you started in '94, not too many files
20 had probably come through to the office, had they?

21 A No.

22 Q So in '93, whoever was there, there weren't too many
23 files going through to them; is that correct?

24 A I couldn't say. I can only tell you what I did.

25 Q Did you discuss this with your supervisor, these

1 extensions, while you were there?

2 A Well, there is not a lot to discuss, really. These
3 are individuals that worked at the White House and needed
4 access to the White House. We would honor the
5 administration's request to extend the passes.

6 I do know that at a certain point in time, though, there
7 were some concerns because of the amount of time, the number
8 of extensions they were given where we didn't have a chance to
9 review the backgrounds, and again from a protective concern
10 that, you know -- I don't know -- because the meetings that
11 went on to address this issue really went on at a level above
12 me.

13 Q The GAO report, White House passes, which is issued
14 in October of 1995, page 16 of the report -- I have a copy of
15 the report here. Directing your attention to page 16, it is
16 towards the bottom right above step 3. I am sorry -- the
17 middle paragraph on the page.

18 A After satisfactory or --

19 Q Yes. Towards the end of that paragraph it says, in
20 the case of an extension, the Executive Office of the
21 President requests the Secret Service to extend the temporary
22 pass. After one extension the Secret Service contacts the
23 requesting office to provide a rationale for an additional
24 extension, according to Service officials.

25 Were you aware of the Secret Service contacting the

1 Counsel's Office about these additional extensions to get a
2 rationale for them?

3 A There were a lot of discussions and meetings, but
4 again that was not held by myself. That was a level above
5 me.

6 Ms. Comstock. I will make page 16 of the GAO report
7 Exhibit 4.

8 [Undercoffer Deposition Exhibit No. 4
9 was marked for identification.]

10 BY MS. COMSTOCK:

11 Q At any time did anyone bring to your attention that
12 Craig Livingstone had had a criminal complaint brought against
13 him in November 1993 regarding threats to a neighbor?

14 A I wasn't aware of that.

15 Q It has recently been made public in the newspaper
16 that he had threatened to "beat your face in," he had
17 threatened a neighbor to "beat your face in" because of her
18 dog barking. Would that have been the type of material in
19 general that would have been of concern as you reviewed a
20 file, if that kind of information had been in a file, someone
21 making threats against a neighbor?

22 A Yes, it would have.

23 Q Would that have been the kind of thing that you
24 would have raised with a supervisor, that somebody had made
25 that kind of threat?

1 A It depends upon the assessment that the FBI wrote in
2 the summary. We don't take -- because somebody has lodged a
3 police complaint against somebody, we don't take that in and
4 of itself --

5 Q Why don't I -- I am talking generally, but if the
6 person had acknowledged that they said this, would that have
7 been a concern if it was a recent event?

8 A It depends on the explanation. These things are
9 very exploratory. Again, we are looking at the whole person
10 and trying to make an assessment of the person, obviously by
11 doing little snapshots in time. But an incident like this,
12 yes, it would flag a concern, but you would have to look at
13 more of the person.

14 Q Would you have concerns about an individual who had
15 made such a threat, what their judgment would be in terms of
16 judging other people's suitability at the White House?

17 A I don't think it is very related.

18 Q In 1994, was there a large number of volunteers
19 coming into the White House, to your knowledge?

20 A Yes, there were.

21 Q Did that have an impact on the system in terms of
22 the E-PASS and the WAVES, that there were large volumes of
23 people?

24 A Not in 1994. It wasn't until 1995. There were a
25 large number of volunteers that were on the access list, and

1 so they would show up at a gate, identify themselves, provide
2 a driver's license, some other form of identification, and be
3 issued a temporary pass good for one day. They would turn the
4 pass in when they left. There were, however, some volunteers
5 that were issued permanent passes.

6 As far as having a pronounced effect on the system, no,
7 the system was able to deal with it.

8 That in and of itself -- there were many cumulative
9 things over time where it did have a pronounced effect on the
10 system, the number of visitors, the number of -- the number of
11 visitors and appointments and work tradesmen that came in
12 increased dramatically. The volunteers was another pass type;
13 interns was another pass type. So there were -- I have stated
14 previously that the system, the load on the system, increased
15 initially by 100 percent. But it spread over a wide -- many
16 categories.

17 Q When the issue of the whole FBI files and all these
18 400 some, and now there are more files we have learned about,
19 first came to public awareness, did Craig Livingstone ever
20 contact you or anyone else --

21 A Me, no.

22 Q Are you aware of him calling anyone at home or
23 others in the Secret Service to contact them about this
24 matter?

25 A At home, no.

1 Q Are you aware of him contacting individuals?

2 A As I think we are both aware, there was Agent Cole's
3 testimony as to what Mr. Livingstone told him on the 7th or
4 the 12th. I am not sure of the dates. That is the only thing
5 I am aware of.

6 Q But you weren't aware of him contacting others?

7 A No.

8 Q Do you know of him contacting any of the secretaries
9 or women who worked in the WAVES office?

10 A Not that I know of.

11 Ms. Comstock. Did you want to ask some questions?

12 Mr. Goldberg. Yes.

13 BY MR. GOLDBERG:

14 Q Mr. Undercoffer, exactly, if you remember, when did
15 you start at the White House?

16 A January 4, 1994.

17 Q What precisely are your responsibilities?

18 A Okay. Again, I am a special agent for the Secret
19 Service assigned to the White House Division. I review
20 background investigations, any investigations conducted on the
21 complex, any investigations that needed conducting on the
22 complex, investigations that we would conduct, we were
23 responsible for doing. Issues like the access control is one
24 of our responsibilities. The issues are so varied that -- and
25 responsibilities so varied -- anything that comes up.

1 Q Let me ask you about the background investigations.
2 How many do you think you would do in a given month? You
3 weren't there at the start of the administration, so the
4 numbers probably tailed off to some degree in '94; is that
5 accurate?

6 A There was at a point in '94 a lot of background
7 investigations, but then they died down.

8 Q Is that because the backlog from '93 had been
9 publicly identified , or do you have an explanation for it?

10 A I can only speak as things came into our office.
11 There were a lot of background investigations that came in all
12 at once, and we would do them.

13 Q Once the numbers got dōwn to normal, what is the
14 average per month that you would look at?

15 A We would probably do 40 a month.

16 Q When you look at a file, are you only looking at the
17 FBI summary, or do you have your own Secret Service data bases
18 that would have exclusive information you would also run a
19 name against?

20 A There is two types of backgrounds that are done.
21 One is for pass requests, and that is the FBI summaries. We
22 will do military, and they contain DIS investigations. And
23 the other is backgrounds that we ourselves do for tradesmen
24 that come in. They will -- if there is a problem with an
25 individual, they will include police reports and information

1 from our own data bases.

2 Q That last clause, "and information from our own
3 databases," that means for all three of the previous
4 categories?

5 A No. Only for background investigations that we do.

6 Q So for a hard pass you would not tap into your own
7 data base to see if you had information that the Bureau had
8 not uncovered that would be relevant?

9 A I am not sure where in the procedure it is done, but
10 a records check of an individual's name is run. Before
11 somebody is first brought into the system, when they are given
12 a temporary pass, we run their name in our system.

13 Q So at some point everybody who you have reviewed has
14 been run through your own data base?

15 A Yes.

16 Q That has nothing to do with anything. I was curious
17 about that.

18 What are your responsibilities for the data base
19 systems?

20 A Which --

21 Q The E-PASS and the WAVES?

22 A I administratively oversee them. I do some
23 technical components of them. If there is changes that need
24 done or for putting in -- for doing add-ons or going to expand
25 the system, I will help design the system.

1 Q You said you started January 4, 1994. Did you know
2 Nancy Gemmell at all?

3 A No.

4 Q Or Tony Marceca?

5 A No. No, I didn't.

6 Q So you don't have direct knowledge of any event of
7 '93. You are here more as an expert witness than a fact
8 witness?

9 A Yes.

10 Q How about Lisa Wetzl; did you deal with her?

11 A Yes, I did.

12 Q Was she the person who would bring to your attention
13 any problems with names?

14 A Lisa had brought names to my attention, as did
15 Craig.

16 Q Do you recall how often that might have happened?

17 A My estimate over the whole time period from when I
18 first became aware of the problem until we had resolved it was
19 about 20 names. This is for everybody in the system.

20 Q I want to ask you about the audit you did, because
21 you relied on a July 8th, 1993 -- I am looking at the dates
22 there -- '83 list that, correct me if I am wrong, somebody
23 found in a desk drawer at some point?

24 A No, it was in our file drawer.

25 Q Are you able from either WAVES or the E-PASS to

1 recreate lists that were provided to the Office of Personnel
2 Security in '93?

3 A We cannot do it from WAVES.

4 Q I think the testimony in the Senate was you never
5 provided a list from E-PASS because of the security --

6 A I have been told, and since -- I am not sure whether
7 I learned it exactly before or exactly after the Senate
8 hearings, on March 31 of 1993 we provided them with a listing
9 from E-PASS. Since then I have reproduced that list.

10 Q Is it consistent with your findings?

11 A Yes, it is. There are small variations in that the
12 way the systems are set up, when a pass -- all the information
13 is inputted into the E-PASS system, so when somebody is made
14 active and when somebody is made inactive, it is entered into
15 the E-PASS system.

16 The E-PASS system, typically we will wait until midnight
17 that night to transmit it to the WAVES system, and during the
18 day it immediately transmits that system to the access control
19 system, which allows somebody in and out of the White House.
20 So oftentimes you will see a delay of a day because it waits
21 until midnight at low traffic times. If we had a busy day, I
22 am saying that beginning in 1993 the load on the system
23 increased by 100 percent and then dropped down to 50 percent
24 above what it had been used to load on the system.

25 Sometimes it would take 3, 4 and 5 days for the

1 information to migrate from E-PASS over to WAVES. So to stay
2 consistent with the printout, these charts and graphs are
3 consistent with the WAVES printouts, and the status date is
4 the date that information arrived in the WAVES system.

5 Q I guess my question was consistent with the March
6 31, 1993 E-PASS you say was provided to OPS?

7 A Yes, it is. That list was a -- the parameters for
8 the search on that list were all passes that were issued prior
9 to January 20, 1993 but were still active as of March 31,
10 1993.

11 Q Have you reviewed that list recently; do you know
12 how many names were on that list?

13 A I believe there is about 6,000 names on that list.

14 Q Do you know, was it specifically requested from
15 OPS?

16 A I don't know.

17 Q Do you know who it was provided to in OPS?

18 A Again, I don't know. But I do know that the list
19 was requested. I do know that one of our programmers wrote a
20 special program for it. The reason I know it was written and
21 run on March 31st is because looking at the executable file
22 that generated the report, the compiled data stored in the
23 coded form in that -- in the executable program.

24 Q Does that E-PASS list distinguish between active and
25 inactive, or is it only the active in that time frame?

1 A It only listed active pass holders.

2 Q Let me go back to the WAVES list. Does that
3 distinguish between active and inactive?

4 A There are several types of WAVES lists. This is an
5 active only list, attachment number -- the WAVES list dated
6 7-8-93 and also the WAVES printout dated May 12 of '93 only
7 show active passholders. The lists dated for May and June of
8 '93 show people that became inactive in the month of June,
9 and the very first list I spoke of shows only inactive
10 people. We do produce a list of active and inactive people.
11 Today it is roughly 24,000 people.

12 Q That would go back some 8 years?

13 A Yes it does.

14 We can produce a list in any form you want. All we have
15 to do is -- if you tell me your search parameters, we will
16 code a report, a report generated to produce a list.

17 Q Are you aware of any parameters that Ms. Gemmell
18 requested --

19 A No. I wasn't there when Nancy Gemmell was there.

20 Q -- in your review?

21 A No.

22 Ms. Comstock. Where it says "active" and "inactive,"
23 where would that be located?

24 The Witness. Neither of these are from A and I lists,
25 but there will be a -- A and I -- there would be a column that

1 would say "status," and it would say "A" or "I," or it could
2 spell out "active" or "inactive," whatever -- a lot of these
3 are ad hoc inquiries, ad hoc reports. Typically, though, they
4 would say "A" or "I".

5 BY MR. GOLDBERG:

6 Q Let me just get through this quickly, because I know
7 you have testified ad nauseam on this. Just to clarify, but
8 you have no idea of what list Ms. Gemmell requested and what
9 form it would have been, and there is no way to recreate that
10 to your knowledge?

11 A From WAVES, no. The only list I know was given to
12 the pass office, and it was March 31, 1993. As to who in that
13 office it was given to, I can't speak to that. It was
14 generated by the E-PASS system, and that can be recreated.

15 Q You were going to explain the AGIN versus space
16 AGIN?

17 A It is a very easy one, and it is an operator error.
18 If you look at that printout, you will see that first name on
19 the list is "space, A-G-I-N". The characters on the printout
20 are "space, A-G-I-N." That tells me that when his name, this
21 name and this record, was created, rather than striking the H,
22 because obviously the first name is Joseph, the middle name is
23 White House, obviously the operator struck the space bar
24 instead of the H. The space bar has a lower numeric value
25 associated with it than does any other character. That is why

1 it pops up as the first one on the list.

2 That was an anomaly that ran around the system. If you
3 are doing searches for Hagin, type in H-A-G-I-N, you will not
4 find it, and if you do a search for A-G-I-N you are not going
5 to find that either. You have to be familiar with how things
6 work.

7 Q Does the White House list that you did your audit on
8 have "space, A-G-I-N"?

9 A No. Somebody typed "A-G-I-N" on this list. But
10 this is not a Secret Service-generated list.

11 Q But if that is a list of names that were -- requests
12 were made, it has been represented to us, if they couldn't
13 have typed in from a Secret Service list "A-G-I-N" and
14 "H-A-G-I-N," does it follow that they must just have been
15 copying it off of a list like this?

16 A It is very apparent that somebody copied this
17 mistake.

18 Q How can you account for the mistakes on the White
19 House list that has the deactivation dates that you said were
20 inaccurate? Have you gone back to find out was it operator
21 input error?

22 A On these, no. These are not mistakes in our system.
23 These are mistakes from the people that were probably up at
24 midnight with lists and lists of printouts going through
25 writing them down in pencil. These are all -- these kinds of

1 errors would be typos and somebody else taking the list and
2 trying to read handwriting and type them on here.

3 Mr. Goldberg. That is all I have. Thank you.

4 BY MS. COMSTOCK:

5 Q We are just about finished here. This is
6 CGE 47837. It is a memo to Craig Livingstone from Claude
7 Taylor of May '94 regarding security violations. Is Claude
8 Taylor someone who is at the Secret Service?

9 A No. Claude Taylor used to be in charge of the
10 volunteer office, and he was charged with taking care of all
11 the volunteers.

12 Q Security violations by volunteers that were brought
13 to your attention in your office?

14 A No. I am at a loss to speak to this.

15

16

17

18

19

20

21

22

23

24

25

1 RPTS BRYAN

2 DCMN HERZFELD

3 [12:15 p.m.]

4 BY MS. COMSTOCK:

5 Q This isn't something you have ever seen before, this
6 document?

7 A No.

8 Ms. Comstock. I did want to make a document we had
9 previously discussed, which was CGE 470239, which was the
10 extension of passes memo of December 13, 1993 -- we discussed
11 that as an example of the lists of extensions you had
12 received. I wanted to make that Exhibit 5.

13 [Undercoffer Deposition Exhibit No. 5
14 was marked for identification.]

15 BY MS. COMSTOCK:

16 Q Then I did want to just briefly return to, in the
17 backgrounds that you reviewed, were there ever arrests, arrest
18 records in any of those backgrounds that had been forwarded to
19 you?

20 A Yes, there were.

21 Q And did any of the arrests involve drugs?

22 A I honestly don't recall.

23 Q Do you know if the arrests involved any tax
24 violations?

25 A I don't believe so.

1 Q Or any violent -- any kind of assaults or violent
2 arrests that were forwarded to you?

3 A I would say typically no on violence. Violence are
4 things -- you know, any -- like I said, we reviewed the
5 background investigations looking for, you know, tendencies
6 towards violent behavior, and I am answering it in a negative
7 because it is not jogging my memory.

8 Q Okay. So that would include, you know, any kind of
9 assaults or rape or anything like that would be in sort of the
10 violent category?

11 A I really don't recall.

12 Q Okay. This is a May 5th, 1993 memo for James P.
13 Farrell, security officer at the National Security Council,
14 from William Kennedy, and the subject was compartmented
15 clearances. It specifically refers to allowing Craig
16 Livingstone to have such a clearance and notes his full field
17 background investigations having been conducted.

18 Do you have any knowledge of whether Mr. Livingstone's
19 background had been done in May of 1993? I know you
20 previously stated you weren't there in 1993, but just to the
21 extent that you may know.

22 A I don't know.

23 Q Do you know what compartmented clearances were?

24 A Yes, I know what that is.

25 Q Can you describe what that is?

1 A It is a special access clearance.

2 Q And what does that mean?

3 A Well, what it means is because somebody -- what it
4 means is because somebody might have a secret or a top secret
5 clearance, it doesn't give them access to a whole spectrum of
6 information. There are some things that are
7 compartmentalized.

8 Like within our agency, a good example would be within
9 our agency there may be things that Mr. Clancy would -- he and
10 I would have the same level of clearance, but there are some
11 things that are special access that he has -- he is allowed to
12 know and I'm not, because I don't have a need to know. But we
13 don't -- the Secret Service at the White House, we have
14 nothing to do with security clearances for the administration.

15 Q Okay. That is entirely separate from anything that
16 you are working with?

17 A Yes. Again, we issue passes for access to the
18 complex.

19 Ms. Comstock. I believe that is all we have for today.
20 Thank you. If we could get some of those charts in the
21 smaller version to include in the report, that would be
22 great. Can we get copies of those also?

23 The Witness. You will get copies of these also.

24 [Whereupon, at 12:18 p.m., the deposition concluded.]

25

1	EXHIBITS	
2		PAGE
3		
4	Undercoffer Deposition Exhibit No. 1	
5	was marked for identification.....	7
6		
7	Undercoffer Deposition Exhibit No. 2	
8	was marked for identification.....	36
9		
10	Undercoffer Deposition Exhibit No. 3	
11	was marked for identification.....	44
12		
13	Undercoffer Deposition Exhibit No. 4	
14	was marked for identification.....	47
15		
16	Undercoffer Deposition Exhibit No. 5	
17	was marked for identification.....	60
18		
19		
20		
21		
22		
23		
24		
25		

2024561213

JUN-18 96 15:29 FROM: COMMUNICATIONS

2024561213

TO: 202 225 2124

PAGE: 02

I. WHITE HOUSE PERSONNEL SECURITY FILES STAFF PRIOR TO
01/20/93.

ABDOO, HELEN THERESA 02-02-89
ADAIR, DOUGLAS CONRAD 09-25-91
AGIN, JOSEPH WHITEHOUSE
AHEARN, FREDERICK LEONARD 02-03-89
ALVAREZ, RICHARD GUS 03-08-89
ANDERSON, ANN ELIZABETH 07-26-89
ANDERSON, CURTIS WILEY 02-03-89
ANDERSON, MARCY JEANNE 07-30-90
ARCHAMBAULT, MICHELE LORRAINE 03-30-89
AREY, LINDA LUCENIA 11-10-87
ARRONSON, PATRICIA SUE 05-14-90
ARSH, LESLYE ALENE 02-02-89
ASARE, MARION LOUISE 10-20-93
AUEL, LISA EDKERT 02-10-84
AUTHER, SUSAN MARIE 09-25-91
AVRASHOV, LEONID 12-07-92
BACARISSE, CHARLES EDWARD 12-30-90
BACH, CRISTINA LYNN 02-03-89
BAILEY, MARY SMALLPAGE 11-16-92
BAKER, JAMES ADDISON 08-26-93
BALESTRIERI, JEAN ANN 03-93
BALFOUR, DEBORAH 02-02-89
BARLETTA, KATHRYN ANNE 01-31-89
BARREAU, THEODORE CHARLES 09-17-90
BARRON, DONNA LOUISE 01-29-93
BATES, CHARLES EDWARD 05-05-92
BATES, LOBRI JEANINE 12-17-91
BATT, ROCHELLE HEIDI 12-30-91
BATEMAN, PAUL WILLIAM 04-15-91
BATTAGLIA, LISA MARIA 03-23-92
BAUGHMAN, JULIA HARMON 04-07-93
BAUMEYER, MATTHEW SCOTT 01-25-93
BAUMSTEIN, AMY MEREDITH 04-22-91
BAYNARD, BRIAN CALLAWAY 08-13-91
BEATTY, JAYSON FRANK 08-20-93
BECKER, JEAN LORETTA 02-04-93
BECKER, JEROME DAVID 02-02-89
BEERS, PATRICK ADAM 08-25-89
BELBY, KATERI RAY 12-30-91
BELL, LILLIE MAE 08-11-93
BELL, LOUISE HELEN 02-02-89
BESERNA, RUDY MAX 02-03-88
BEVACQUA, ANITA CAROL 08-05-92
BISIC, DANICA 01-15-93
BLACK, DAVID LEE 07-27-90

Exhibit 1

2024561213

18 95 15:38 FROM:COMMUNICATIONS

2024561213

TO:802 225 0124

PAGE:10

01-16-90 CARMACK, TERRY ALAN
 01-18-92 CARNEY, DAVID MITCHELL
 11-12-85 CARNEY, HOWARD ALBION
 08-18-91 CARNEY, LUCY COLE
 09-27-90 CAROLINA, JEFFERY KEITH
 01-15-93 CARPENDALE, ANDREW MICHAEL
 08-17-92 CARR, BOBBY GENE
 09-05-89 CARR, SALLIE WENNER
 04-05-91 CARRIERE, JOHN GERARD III.
 11-20-93 CARROLL, FLORA JENICE
 06-05-92 CARROLL, JEREMY ETHELIDGE
 09-15-93 CARROLL, MARY KATE
 04-30-91 CARROLL, RITA RAVEL
 01-26-92 CARTER, ALLYSON WEBB
 03-24-92 CASEY, ERLINDA ELIZABETH
 11-28-90 CASSE, DANIEL ANTHONY
 01-29-93 CASTLE, SHARA ANN
 11-24-93 CAUDILL, GEORGE GRAY JR.
 07-17-91 CAWLEY, CAROLYN MARIE
 04-27-92 CELENTANO, GREGORY PHILLIP
 07-26-93 CHACON, DOLORES LUMINA
 04-20-92 CHANG, ALFRED WEL-KAUNG
 08-15-89 CHAPMAN, JOHN CRANBROOK
 06-09-93 CHAPMAN, ROBERT THOMAS
 01-25-93 CHARLES, ROBERT BRUCE
 04-20-92 CHEN, KEREIDITH FERGUSON
 03-11-93 CHILDS, MARY ELIZABETH
 01-05-93 CHIRDON, DOUGLAS WAYNE
 01-29-93 CHODOROV, JILL MELISSA
~~CHOWLA, FLORIAN JENNY~~
 01-28-92 CHRISTOFF, THERESA MARIE
 02-02-89 CHUMACHENKO, KATHERINE CLARE
 01-31-89 CIARLANTE, MAJORIE HEINS
 01-06-93 CLARK, SHARON ELIZABETH
 08-31-90 CLADWELL, GEORGE MARVIN
 10-05-93 CLARKE, PAUL
 02-02-89 CLAYTON, ELIZABETH HOPE
 05-08-90 CLEALE, CATHERINE
 01-25-93 CLINE, JOHN ANTHONY
 01-19-89 COCKING, JANE RUSK
 01-20-89 COFFINA, SCOTT ANDREW
 10-15-87 COHEN, BENEDICT SIMMS
 09-10-92 COHN, KAREN JOYCE
 01-29-93 COLDWELL, LISA TOWER
 06-14-90 COLEMAN, HERBERT MOLT
 01-29-93 COLLEY, CHRISTOPHER DAVID
 05-08-92 COLLINS JR., PAUL JOSEPH
 01-29-93 COLLINS, TRACEY REGENE
 05-08-90 COMPTON, ELIZABETH MARGARET
 02-08-93 CONNELL, KAREN ANN
 07-20-92 CONRAD, PATRICIA LYNN
 01-29-93 COOK, DAVID LAWRENCE
 03-31-91 COOK, MICHELLE DIANE
 02-01-93 COOKE, JULIE

2824561213

96 15:32 FROM: COMMUNICATIONS

2824561213

TO: 282 225 2124

PAGE: 11

08-01-89 COOPER, B. JAY
 06-19-91 COOPER, JANET FELTON
 07-17-91 COOPER, MARSHALL
 01-30-90 COSTER, MICHELLE LYNN
 03-07-91 COTTRELL, JULIA MARIE
 07-05-91 COUGHLIN, CATHERINE CROWLEY
 04-11-90 COVINGTON, FANOLA JEAN
 06-15-92 COX, EDNA JEAN
 01-15-93 COX, PATRICIA HELEN
 02-01-89 COYLE, SUAN ALPERT
 12-02-91 CRAIG, GRAVEN WINSLOW
 05-04-93 CRAIG, JUDY A.D.
 08-13-91 CRITCHFIELD, CAROLINE MADDEN
 07-08-91 CROFT, FRANCES GARREY
 01-24-91 CROHNHEIM, CAROL CATHERINE
 08-31-92 CROUSE, JANICE SHAW
 10-04-88 CROW, MATTHEW
 05-25-93 CROW, SHELLEY LYNN
 10-17-88 CRIPPEN, BARRY LEE
 12-05-91 CROTON, TRACI MICHELLE
 01-12-93 CRYOR, ALLISON WHEATLAND
 01-12-93 CHORBA, LASELO THOMAS
 07-26-89 CUDD, CONNIE KAY
 Active CULBREATH, LUE ADDIE
 08-07-91 CULLEN, LESLEE BLAIR
 03-27-87 CULVAHOUSE, ARTHUR BOGGESS
 07-23-91 CONKINS, CLAUDIA LYNN
 12-18-92 CONNINGHAM, ERIN MICHELLE
 03-09-90 CONSEEN, JOSEPH PHILMORE
 04-16-92 CURTIN, THEODORE CHARLES
 Active CURTIS, JANET FLORA
 07-19-93 CURTIS, RICHARD EDWARD
 09-11-91 CUSHMAN, JEFFERY ANDREW
 05-09-92 CUATER, ELLEN MARY
 01-20-93 CUTSHALL, JENNIFER LEE
 12-31-92 CUTSHALL, RACHEL RAE
 01-29-93 DAILEY, BRIAN DANIEL
 05-19-93 DALE, BILLIE RAY
 09-01-89 DALE, SHARON KATH
 01-24-91 DALEY, JOHN JOSEPH
 12-04-89 DALY, ALISON MICHELLE
 01-29-93 DALY, DOLORES MARGOT
 08-28-93 DALY, JOHN AUGUSTINE
 06-11-93 DAM, DAVID T.
 08-13-91 DAMIARD, JULIE HEAD
 12-06-92 DANICO, KRISTIN ANN
 04-19-91 DANA, TIMOTHY EDWARD
 07-20-92 DANCE, STEPHANIE CLONE
 09-17-90 DANDREA, JUSTINE
 Active DANIELS, HILLIARD JR.
 02-89 DANNERBECK, JOHN DAVID
 04-30-91 DANKEANSKY, STEPHEN IRA
 03-93 DAVIDSON, DOUGLAS ALEXANDER

I. WHITE HOUSE PERSONNEL SECURITY FILES STAFF PRIOR TO 01/20/93 CONTINUED.

01-14-91 DAVIS, MARK WILLIAM
 01-28-92 DAVIS, PATRICK JOSEPH
 02-04-93 DAVIS, PORTER MANVEL
 01-30-89 DAVIS, WILLIAM HAL
 02-02-89 DAWSON, RHETT BREWER
 04-16-92 DAWSON, SUSAN BRADSHAW
 06-21-94 DEAN, DONALD RAY
 01-16-93 DECAIN, JOAN CHENERY
 11-05-90 DECAP, SARAH GWATHMEY
 01-26-93 DEK, KRIS MARIE
 03-12-93 DEHART, LINDA SUSAN
 01-28-92 DEL GROSSO, STACEY KAY
 01-19-89 DELLINGER, DOROTHY RHEA
 01-29-93 DENAREST, DAVID FRANKLIN
 01-19-93 DENNISTON, SUSAN ROSSSELL
 02-01-89 DEWHIRST, MARY KATHERYN
 01-30-90 DIETZ, FRANCIS JOSEPH
 01-23-89 DILLER, DANIEL CLIFFORD
 02-10-92 DILLON, DIANA ELIZABETH
 04-04-91 DOFFERMYRE, FAITH ELAINE
 03-27-90 DOGGETT, JUANITA MAE
 02-08-89 DOHERTY, WILKIN S
 02-17-89 DOLAN, ANTHONY ROSSI
 01-15-93 DONAHUE, MARLA MURPHY
 02-01-89 DONATELLI, FRANK JOSEPH
 01-29-93 DONOVAN, CHARLES ANTHONY
 01-29-93 DONOVAN, TERESA ANN
 09-16-91 DOOLEY, PEGGY ANN
 08-28-92 DORSEY, CELESTINE SMITH
 05-09-92 DORSEY, MATTHEW JOHN
 09-25-92 DOUGLAS, KERI ANN
 08-22-90 DRACOS, DIANE ELIZABETH
 05-19-93 DREYLINGER, JOHN PAUL
 Active DROEHE, PHILIP CHARLES
 01-28-92 DUBE, CHRISTOPHER MORIN
 01-19-89 DUBERSTEIN, KENNETH MARC
 01-13-92 DUGAN-PIGOTT, PATRICIA JOAN
 08-31-92 DUGGAN, JOSEPH PATRICK
 06-29-90 DUGGAN, JUANITA DONAGHEY
 06-05-92 DUNN, DEBRA ROMA
 08-11-93 DUNN, ELIZABETH BROOKS
 02-21-89 DUTCHER, CHARLES KERWIN
 02-21-89 DUVAL, DOUGLAS PATTON
 01-08-90 DUVAL, JACQUELINE ANDREA
 10-30-90 DUNCAN, ROBERT MICHAEL
 09-27-93 ELLIS, CATHLEEN MARIE

2824661213

96 15:31 FROM: COMMUNICATIONS

2824561213

TD:222 225 0124

PAGE:13

09-27-93 ELLIS, NANCY GAYLON
 01-26-90 ELMETS, PAMELA KOEHLER
 02-03-89 ENGLER, DANIEL JOSEPH
 01-29-93 ENTHOVEN, MARCIA JEAN
 12-18-92 ERBEN, AMY HEYDENEICH
 01-19-89 ERKENBECK, JANE ISAACSON
 05-17-90 ERLAND, CHRISTINA LUCILLE
 07-17-91 ERVIN, CLARK KENT
 08-31-92 ESQUIVEL, AUDREY
~~EVANS, MARGARET E.~~
 11-13-90 EVANS, THOMAS C.
 02-08-89 FACKELMAN-MIN, MARY ANNE
 12-18-92 FARIER, WILLIAM STAMPS
 06-02-89 FARMER, CHAD DALEN
 12-31-90 FARMER, HENRY EDWARD
 02-04-91 FARMER, LAURA RICH
 01-15-93 FAULK, JULIE ELIZABETH
 08-24-94 FAULKNER, LINDA
 01-28-92 FADNCE, JILL SUZANNE
 12-17-91 FEARING, JENNIFER LYNN
 05-01-90 FENDLER, GARY ELLIS
 FEN, JOHN
 09-03-91 FEHRER, SARAH SKILL
 12-30-90 FELEMBERG, ALVIN STEPHEN
 05-04-92 FEENEY, ANNE CLAUD
 11-29-93 FENTON, CATHERINE SCHARFEN
 11-19-93 FERGUSON, ANDREW
 05-11-92 FERRARA, VINCENT JASON
 1-04-90 FERTIG-DYKES, SUSAN BEATRICE
 08-24-89 PETRON, VALRY KEE
 1-29-93 FERG, JEANIE LUCILLE
 1-29-93 FINCKEN, HEIDI ANN
 0-05-92 FINDLAY, DONALD CAMERON
 1-19-93 FINGER, AILEEN BETH
 2-01-93 FIRESTONE, LAURIE ANN
 2-21-91 FISH, JOHN EDWARD
 2-04-93 FITCH, GREGORY HARLAND
 -26-93 FITEHENRY, JAMES ALAN
 -16-92 FITEPATRICK, SHARON ANN
 -20-93 FITZWATER, MARLIN
 -03-93 FLAHER, NICHOLAS RUSTER
 -07-93 FLANNES, MARTIN ALVIN
 -27-93 FLAATT, FRANCES STEELE
 -06-92 FLEMING, SHELL ESTELLE
 -13-90 FLETCHER, JEANNE DIANE
 -10-89 FLETCHER, LEE
 -19-91 FLETCHER, LYNN MARGARET
 -15-89 FLICK, HEATHER GWEN
 -15-90 FLIPPEN, JOHN ALLISON
 -17-92 FOGEL, DAVID LOUIS
 -13-91 FOLEY, JOHN PATRICK
 -17-89 FOLBY, MATTHEW TODD
 29-93 FONG, CLAYTON BEN
 05-91 FONG, JESSIE TSOI-SHIN

2224561213

12 96 15:32 FROM: COMMUNICATIONS

2224561213

TO: 202 225 8124

PAGE: 14

12-05-89 POONBERG, STEVEN MARK
Active FORD, JOSEPH KENNETH
04-11-90 FORT, MICHELLE CATHERINE
05-19-92 FOSTER, GARY LAYNE
07-26-89 FOSTER, PAUL THOMAS
01-29-93 FRANTE, MARK ALEXANDER
01-13-92 FREEMAN, JUDITH BJORKMAN
08-03-90 FRITE, MARY ELIZA
01-19-89 FULTON, YVELIA AYN
01-29-93 FURCHTGOFF-ROTH, DIANA ELIZABETH
07-23-91 GABLE, ELIZABETH BRINTON
05-19-89 GALEN, CHRISTOPHER WILLIAM
01-24-90 GALLETTA, JOHN DAVID
08-05-92 GANNON, KELLEY LYNN
08-25-92 GARDNER, JOHN STEPHEN
05-30-90 GARIKES, MARGARET DANAHAR
02-24-92 GARRETT, TRACY DAVIS
01-29-93 GARVENS, TYLER
05-22-89 GAY, CAROLYN SUZ
03-09-92 GEAR, KRISTEN MOREAU
09-11-91 GELSHINGER, SPENCER EVAN
01-29-93 GEORGE, JOEY ROSSE
09-24-91 GERAGHTY, LEAH MERCER
01-29-93 GERSEHOWITZ, GARY JAY
12-18-92 GIBSON, JOAN GERRING
10-13-89 GIBSON, STEVAN WILLIAM
2-01-93 GIORNO, KAREN ROSALIE
12-05-89 GITLIN, DAVID LAWRENCE
5-05-91 GLASSMAN, JON DAVIS
2-18-92 GOSER, ELLEN JANICE
2-24-92 GOFF, KAREN LYNN
3-09-89 GOLAY, GAIL THERESA
2-01-89 GOLDBERG, CATHERINE ANNE
-15-93 GOLDBERG, JULIE ANNE

UPDATE PROJECT AS OF 1/94-MARCECA

NAME

BARNES, CAROL ELYN - 5-8-90
 BARRERA, LINDA LISA SORALTA - 10-25-93
 BASTIN, DAVID SPEARS - 1-19-89
 BASTIN, CLIFFORD THOMAS - 1-28-93
 BASTIN, CARA LINDA - 10-5-92
 BASTIN, JAMES HENRY - 8-17-90
 BASTIN, MELISSA CO - 8-17-90
 BASTIN, DAVID ERNEST - 1-29-93
 BASTIN, DEBRA ANN - 10-4-91
 BASTIN, DEAN MARIE - 3-97
 BASTIN, L. LINDA ACTIVE
 BASTIN, DEBRA ANN - 5-6-92
 BASTIN, REBECCA LEE - 1-26-93
 BASTIN, STANTON BRYAN - 1-29-93
 BASTIN, STAN ELIZABETH - 9-28-90
 BASTIN, GARY JOHN - 12-30-92
 BASTIN, KIRSTEN CLARK - 1-29-93
 BASTIN, JACQUELINE GRACE - 1-28-93
 BASTIN, REBECCA ANN - 2-24-93
 BASTIN, ROBERT KELLY - 6-1-89
 BASTIN, MARK ANTHONY - 12-30-90
 BASTIN, M. ADRIAN - 1-29-93
 BASTIN, MICHAEL JAMES - 7-10-89
 BASTIN, DEBRA MAURICE - 5-24-91
 BASTIN, CAROLYN FLORENCE - 1-29-93
 BASTIN, BARBARA MCCOY - 2-8-93
 BASTIN, TAMMY BLOO - 1-28-93
 ✓ BASTIN, CHRISTINE ANN - ACTIVE
 BASTIN, YVONNE GIL - ACTIVE
 BASTIN, BARBARA MARK - 4-5-93
 BASTIN, KATHLEEN MARGARET - 9-93
 BASTIN, BOY KENNETH - 6-29-90
 BASTIN, KAREN LEE - 1-29-93
 BASTIN, JANE ELIZABETH - 1-25-93
 BASTIN, BART CHRISTOPHER - 8-31-92
 BASTIN, EMANUEL MICHAEL - 1-29-93
 BASTIN, GREGORY DAVID - 8-17-92
 BASTIN, DAVID QUENTIN - 6-1-90
 BASTIN, MELINDA MADAM - ACTIVE
 BASTIN, GAYLE - 4-12-94
 BASTIN, KATHLEEN ELIZABETH - 4-12-94
 BASTIN, CHESTER PAUL - 1-29-93
 BASTIN, EILEEN FERN - ACTIVE
 BASTIN, THOMAS LUTHER - 6-19-93
 ✓ BASTIN, HEATHER MARIE - 5-1-95
 BASTIN, CATHERINE THERESE - 1-29-93
 BASTIN, ELIZABETH EDNA - 6-27-94
 BASTIN, MARTIN MCCOY - 2-2-89
 ✓ BASTIN, ROBERT GREGORY - ACTIVE

NAME

BENNETT, ANTONIO 2-2-93
 BENNETT, MARY LEE 4-12-94
 BENNETT, CAROLINE CLARE 7-17-91
 BENTON, ELIZABETH ANN 12-31-92
 BIRDS, MARY URSULA Active
 ✓ BIRD, DEBRA Active
 BISHOP, MARK GUSTAV (S) 3-12-93
 BLACK, JUDY ANN 2-2-89
 BALCHUN, SARAH ANN 12-31-92
 BLAKE, PEARLINA Active
 BLANEY, MARION CLIFTON 2-2-89
 ✓ BLUMENFELD, GARY ROBERT 9-6-91
 ✓ BOMER, GEORGE ROBERT Active
 BOSTICK, GLADYS REBECCA 9-15-93
 ✓ BOWEN, SHARON MARIE 1-12-95
 BOWEN, JANET VIRGINIA Active
 BRACKEN, ANN ROSEMARY 6-7-91
 BRADY, PHILLIP DONLEY 1-27-93
 BRANSTON, BARBARA LAIR 5-19-93
 BROCKE, SYLVIA CARTER Active
 CAMPBELL, FRANCES LORETTA Active
 CARLSON, KATHLEEN SHADDOENESSY 8-12-93
 CARLSON, DANIEL LEE 6-23-93
 CARMICHAEL, ANN MILDRED 9-19-95
 CARRAS, KELLY HANKINS 8-11-93
 CARPENTER, JUDITH LEE 10-25-93
 CARPENTER, MARGARET VAN WAGENEN 5-25-94
 CARR, CHRISTOPHER STEVEN 10-17-90
 CARR, EDWIN GEORGE 3-14-94
 CARR, MICHAEL DANNON 3-25-93
 CARROLL, SALLY CLAUD 5-24-89
 CARVILLE, JAMES 2-13-95
 CASHIN, SHIRLEY DENISE 6-6-96
 CASTAGNETTI, ANN MARIE 10-11-95
 CATE, JOSEPH NELSON 6-18-90
 CATTALINI, ANN MARIE Active
 CAVE, JULIAN ATTAWAY 6-26-93
 CAVENDISH, SARA JOAN 9-15-93
 CEDA, CLARRISA 7-24-95
 GERRELL, JOSEPH WALTER Active
 CHADWICK, RAYSON HILLARY 9-24-93
 CHAMBERS, RICHARD LEE 8-17-92
 CHAMOVITE, JULIA EDEN Active
 CHAMPAGNE, FLORENCE 3-7-95
 CHANG, JENNIFER 10-27-93
 CHAPMAN, JAMES DANIEL 8-17-92
 CHAPPELL, TOOMAN STANLEY 9-27-93
 CHARLES, PETER FARNAM 8-3-90
 CICCONI, JAMES WILLIAM 1-14-91
 CIPRIANI, AYDA MARIE 3-30-92
 CLEBY, GILTFORD WILLIAM 10-04-91
 CUNICK, HILAN ANN 12-18-92

ackburn

NAME

COURTNEY, JACK L.	5-5-89
COURTNEY, CHARLTON C.	Active
CRAIG, LARRY ALLISON	Active
CRAWFORD, KELLY ANN	09-12-95
CROSS, STEPHANIE MARGUERITE	01-23-95
CROWLEY, AMANDA FAITH	Active
CURTIS, CAROLYN	Active
CURTIS, BETTY WILLIAMS	Active
CURRY, DOROTHY JANE	08-11-93
CURTIS, EMILY JANE	Active
CUTLER, W. BERNARD	04-04-96
DANIELS, BRENDA JOYCE	Active
DEAN, BENJAMIN PAUL	03-05-90
DEAN, JULIA LYNN	07-25-95
DEWEY, KRISTINE MARIE	01-13-92
DONALDSON, HELEN COLLE	03-04-93
DORN, NANCY PATRICIA	02-06-90
DOYLE, MORGAN EILEEN	11-22-89
EDDY, LAURA HERSCOFF	09-24-91
ELKINS, LUCY MAE	08-09-93
EMERY, SARA GUERRECE	Active
EMERY, GWYN LORRAINE	05-94
EVANS, GREGORY CHARLES	05-05-89
EVANS, MADELINE E.	Active
FEDLER, GARY ELLIS	05-01-90
FISHER, EVERLENE BEATRICE	Active
FLETCHER, MARILYN ANNE	Active
FOSTER, PATRICIA HELEN	07-20-93
FREE, CHALRES MARTIN	01-29-93
FREEMAN, MYRA BEIGHT	Active
FULLER, KAREN MAE	03-01-89
GARLINGTON, AUDREY JOYCE	05-31-89
GARNER, BARBARA JEAN	Active
GEISLER, RONALD RUDOLPH	05-03-94
GERARD, CONSTANCE	02-27-89
GILLETTE, KATHERINE JAMES	01-29-93
GLEN, ALICE BEED	01-14-91

WILLIAM F. CLINGER JR. PENNSYLVANIA
CHAIRMAN

BENJAMIN A. GILMAN NEW YORK
DAN BURTON INDIANA
J. DENNIS HASTERT ILLINOIS
CONSTANCE A. MORELLA MARYLAND
CHRISTOPHER SHAYS CONNECTICUT
STEVEN SCHIFF NEW MEXICO
ILEANA ROS-LEHTINEN FLORIDA
WILLIAM H. ZELIFF JR. NEW HAMPSHIRE
JOHN M. McHUGH NEW YORK
STEPHEN HORN CALIFORNIA
JOHN L. MICA FLORIDA
PETER BLUTE MASSACHUSETTS
THOMAS M. DAVIS VIRGINIA
DAVID M. MCINTOSH INDIANA
JON D. FOX PENNSYLVANIA
RANDY TATE WASHINGTON
DICK CHRYSLER MICHIGAN
GIL GUTKNECHT MINNESOTA
MARK E. SOUDER INDIANA
WILLIAM J. MARTINI NEW JERSEY
JOE SCARBOROUGH FLORIDA
JOHN SHADEGG ARIZONA
MICHAEL PATRICK FLANAGAN ILLINOIS
CHARLES F. BASS NEW HAMPSHIRE
STEVE C. LATOURETTE OHIO
MARSHALL "MARK" SANFORD SOUTH CAROLINA
ROBERT L. EHRLICH JR. MARYLAND

ONE HUNDRED FOURTH CONGRESS

Congress of the United States

House of Representatives

COMMITTEE ON GOVERNMENT REFORM AND OVERSIGHT

2157 RAYBURN HOUSE OFFICE BUILDING

WASHINGTON, DC 20515-6143

July 10, 1996

CARDISS COLLINS ILLINOIS
RANKING MINORITY MEMBER
HENRY A. WAXMAN CALIFORNIA
TOM LANTOS CALIFORNIA
ROBERT E. WISE JR. WEST VIRGINIA
MAJOR R. OWENS NEW YORK
EDOLPHUS TOWNS NEW YORK
JOHN M. SPRATT JR. SOUTH CAROLINA
LOUISE MCINTOSH SLAUGHTER NEW YORK
PAUL E. KANJORSKI PENNSYLVANIA
GARY A. CONDIT CALIFORNIA
COLLIN C. PETERSON MINNESOTA
KAREN L. THURMAN FLORIDA
CAROLYN B. MALONEY NEW YORK
THOMAS M. BARRETT WISCONSIN
BARBARA-ROSE COLLINS MICHIGAN
ELEANOR HOLMES NORTON DC
JAMES P. MORAN VIRGINIA
GENE GREEN TEXAS
CARRIE P. MECK FLORIDA
CHAKA FATTAH PENNSYLVANIA
BILL K. BREWSTER OKLAHOMA
TIM HOLDEN PENNSYLVANIA

BERNARD SANDERS VERMONT
INDEPENDENT

MAJORITY—(202) 225-5074
MINORITY—(202) 225-5051

MEMORANDUM TO FILE

RE: Applicability of Privacy Act to Congress

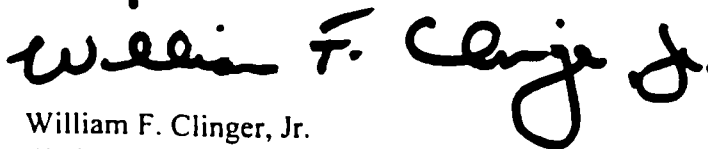
After having consulted with the House Parliamentarian and the Congressional Research Service's American Law Division, it appears quite clear that a government witness before this Committee cannot refuse to respond to pertinent questions put to him on the basis of the Privacy Act. That act specifically provides that disclosure of otherwise protected information to a Committee of the Congress is authorized. See, 5 U.S.C. 552a(b)(9).

Because Mr. Craig Livingstone disclosed the contents of his Federal Bureau of Investigation file in his deposition before this Committee, a government witness may discuss the contents of his file without regard to the general prohibition on disclosures of covered records included in the Privacy Act. See, *Reyes v. Drug Enforcement Administration*, 834 F. 2d 1093, 1096, (1st Cir. 1987) ("A communication is not a 'disclosure' subject to the Act, unless it imparts information to someone who does not already know it."). See also, *Hollis v. Dept. of the Army*, 856 F. 2d 1541, 1545 (D.C. Cir. 1988).

Finally, without regard to any considerations of consent or waiver, law enforcement officials may disclose matters in a file without a person's consent for the purposes of civil or criminal law enforcement. See, 5 U.S.C. 552a(b)(7).

Witnesses participating in depositions or appearing before the Committee should be aware that they can be held in contempt of Congress if they fail to fully response to pertinent questions posed to them by the Committee.

Sincerely,



William F. Clinger, Jr.
Chairman

EXHIBIT 2

THE WHITE HOUSE
WASHINGTON

December 13, 1993

MEMORANDUM FOR: US SECRET SERVICE PASS SECTION
FROM: WHITE HOUSE SECURITY
SUBJECT: EXTENSION OF PASSES

Please extend passes for the following individuals for the indicated time period:

NAME	EXPIRATION	TYPE OF PASS	30-DAY	90-DAY
AAMODT, Richard V.	12/19/93	TEOB		XX
AKEL, Deborah C.	12/20/93	TWHV		XX
ANDERSON, John Ward	12/16/93	TECO		XX
ANDRESS, S. Collier	12/16/93	TWHS		XX
BARTLEY, Anne	12/15/93	TWHV		XX
BATES, Melinda N.	12/16/93	TWHS		XX
BAYER, Jennifer Tara	12/19/93	TWHV		XX
BEARDSLEY, Tyler Slayton	12/16/93	TWHS		XX
BECKEL, Heather Marie	12/16/93	TWHS		XX
BOORSTIN, Robert O.	12/16/93	TWHS		XX
BROOKTER, Marie Louise	12/15/93	TVOL		XX
BROWN, Lillian B.	12/16/93	TNGS		XX
BUTLER-BUSH, Tonia D.	12/16/93	TEOB		XX
CAMERON, Rebecca Ann	12/15/93	TWHV	thru 1/31/94	
CARR, Edwin George	12/14/93	TWHV		XX
CASTAGNETTI, Ann Marie	12/15/93	TWHS		XX
CHOW, Barbara Ann	12/16/93	TWHS		XX
CHUPKA, Marc W.	12/16/93	TEOB		XX
COOPER, Claudia	12/15/93	TWHS	XX	
COTHAM, Elizabeth Jane	12/16/93	TEOB		XX
CRAWFORD, Kelly A.	12/16/93	TWHS		XX
CRUMLEY, Amanda Faith	12/16/93	TWHS		XX
CURIEL, Carolyn	12/16/93	TWHS		XX
CUSACK, Margaret Mary	12/16/93	TWHS		XX
DARBY, Melanie B.	12/16/93	TWHS		XX
DIGIACOBBE, Marilyn	12/16/93	TWHS		XX
DIMEL, Marsha Louise	12/16/93	TEOB		XX
DYK, Sally Katzen	12/18/93	TWHS		XX
EGGLESTON, W. Neil	12/16/93	TWHS		XX
ELLERTSON, Natalie A.	12/17/93	TWHS		XX
INE, Deborah Lauren	12/16/93	TWHS		XX

Inhibit 3
CGE 047029

FRIENDLY, Andrew	12/16/93	TWHS	XX
GEORGE, Suzanne Amelia	12/19/93	TWHV	XX
GIBBONS, John Howard	12/16/93	TWHS	XX
GIRE, Cynthia Lynne	12/16/93	TWHS	XX
GLASER, Henry Felix	12/16/93	TVOL	XX
GREENBERG, Stanley Bernard	12/20/93	TNGS	XX
HALBERT, Nikole Stephanie	12/16/93	TECO	XX
HALEY, Maria L.	12/16/93	TWHS	XX
HAWKINS, Amelia Cain	12/16/93	TEOB	XX
HERNREICH, Nancy V.	12/16/93	TWHS	XX
HOLT, Holly Hughes	12/16/93	TWHS	XX
HOLTON, Dwight Carter	12/16/93	TWHS	XX
INADOMI, LeeAnn	12/16/93	TWHS	XX
CAMENSKY, John Michael	12/16/93	TEOB	XX
CHAPRA, Yusuf Ahmed	12/15/93	TWHV	XX
CIM, Saehun	12/16/93	TEOB	XX
CING, Michael Thomas	12/15/93	TWHV	XX
CUPCHAN, Charles A.	12/16/93	TWHS	XX
CANDGARTEN, Susan Joyce	12/16/93	TEOB	XX
CAUGHLIN, Keith Eugene	12/14/93	TEOB	XX
CI, Gordon	12/16/93	TWHS	XX
CINDAHL, Virginia Holmes	12/20/93	TWHV	XX
CAGAZINER, Ira Charles	12/16/93	TWHS	XX
CARSHALL, Capricia P.	12/16/93	TWHS	XX
CATSUI, Doris O.	12/16/93	TWHS	XX
CATTHEWS, Sonyia	12/16/93	TEOB	XX
CATTIES, Doug Robert	12/16/93	TWHV	XX
CAFEE, Floydetta M.	12/16/93	TWHS	XX
CCREARY, Doris Anita	12/16/93	TEOB	XX
CLEES, Catherine C.	12/18/93	TEOB	XX
COFFETT, Julia	12/14/93	TWHS	XX
COUGHLIN, Katherine	12/20/93	TWHS	XX
COYNE, Lawrence Martin	12/17/93	TWHV	XX
CETERSON, Karen Williams	12/20/93	TWHV	XX
COED, Jeffrey Alan	12/14/93	TEOB	XX
COICH, Emily Rebecca	12/15/93	TWHV	XX
COUDNICK, Marc J.	12/15/93	TWHV	XX
COHEA, Maureen Townsend	12/16/93	TVOL	XX
COHITH, Barbara Jeanne	12/14/93	TNGS	XX
COHITH, Diane Marie	12/18/93	TWHS	XX
COEINBERG, Donald K.	12/16/93	TWHS	XX
COEPHANOPOULOS, George	12/19/93	TWHS	XX
COUGGS, Elbert	12/17/93	TVOL	XX
COIBBETTS, Geoffrey L.	12/15/93	TWHV	XX
COAGNER, Regina Barbara	12/14/93	TNGS	XX

XX



United States General Accounting Office

Report to Congressional Requesters

October 1995

PERSONNEL SECURITY

Pass and Security Clearance Data for the Executive Office of the President



Step 2: Obtaining a Temporary Pass

Each new employee who is expected to work 90 days or more has 30 days from the date entered on duty to complete the SF-86, an FBI investigation consent form, a tax check waiver, and a personal data statement questionnaire (for employees of certain offices) and provide other personal data as needed. This 30-day standard was established by the White House on March 14, 1994. Employees not meeting this standard are to have their access to the White House revoked and are to be placed on leave without pay until the paperwork is completed. Prior to March 1994, there were no written standards for completing the SF-86.

If the person has completed the SF-86 and related paperwork, the results of the initial name check are satisfactory, and the FBI name check has been submitted, the person is placed on the White House access list. This means the person must present positive identification each time he or she enters the White House complex. Prior to this time, the person is allowed into the complex only with an appointment and identification.

After a satisfactory FBI name check is returned, the relevant Executive Office of the President security office recommends to the Secret Service that a temporary pass be issued to the employee for a period not to exceed 90 days, although 30-day extensions are allowed. The person is fingerprinted by the Secret Service, and the fingerprints are sent through the national database. Each new employee is required to attend a security briefing, and his or her attendance is documented. In the case of an extension, the Executive Office of the President requests the Secret Service to extend the temporary pass. After one extension, the Secret Service contacts the requesting office to provide a rationale for an additional extension, according to Service officials.

The SF-86 forms from White House Office, Office of the Vice President, and Office of Policy Development staff go to the White House Counsel's office. A security officer in the Office of Administration reviews the SF-86s of individuals from the Office of Administration and the Office of National Drug Control Policy. According to White House officials, each SF-86 is reviewed to verify its correctness and completeness and to determine if any immediate issues need to be addressed.

Step 3: Obtaining a Permanent Pass

Before a permanent pass or a security clearance can be issued, the FBI, or some other agency, must conduct a full-field background investigation. The Executive Office of the President's request to the FBI for such an investigation includes the SF-86 and related documents.

Exhibit 4

THE WHITE HOUSE
WASHINGTON

December 13, 1993

MEMORANDUM FOR: US SECRET SERVICE PASS SECTION
FROM: WHITE HOUSE SECURITY
SUBJECT: EXTENSION OF PASSES

Please extend passes for the following individuals for the indicated time period:

<u>NAME</u>	<u>EXPIRATION</u>	<u>TYPE OF PASS</u>	<u>30-DAY</u>	<u>90-DAY</u>
AAMODT, Richard V.	12/19/93	TEOB		XX
AKEL, Deborah C.	12/20/93	TWHV		XX
ANDERSON, John Ward	12/16/93	TECO		XX
ANDRESS, S. Collier	12/16/93	TWHS		XX
BARTLEY, Anne	12/15/93	TWHV		XX
BATES, Melinda N.	12/16/93	TWHS		XX
BAYER, Jennifer Tara	12/19/93	TWHV		XX
BEARDSLEY, Tyler Slayton	12/16/93	TWHS		XX
BECKEL, Heather Marie	12/16/93	TWHS		XX
BOORSTIN, Robert O.	12/16/93	TWHS		XX
BROOKTER, Marie Louise	12/15/93	TVOL		XX
BROWN, Lillian B.	12/16/93	TNGS		XX
BUTLER-BUSH, Tonia D.	12/16/93	TEOB		XX
CAMERON, Rebecca Ann	12/15/93	TWHV	thru 1/31/94	
CARR, Edwin George	12/14/93	TWHV		XX
CASTAGNETTI, Ann Marie	12/15/93	TWHS		XX
CHOW, Barbara Ann	12/16/93	TWHS		XX
HUPKA, Marc W.	12/16/93	TEOB		XX
COOPER, Claudia	12/15/93	TWHS		XX
COTHAM, Elizabeth Jane	12/16/93	TEOB	XX	
RAWFORD, Kelly A.	12/16/93	TWHS		XX
RUMLEY, Amanda Faith	12/16/93	TWHS		XX
URIEL, Carolyn	12/16/93	TWHS		XX
USACK, Margaret Mary	12/16/93	TWHS		XX
ARBY, Melanie B.	12/16/93	TWHS		XX
IGIACOBBE, Marilyn	12/16/93	TWHS		XX
IMEL, Marsha Louise	12/16/93	TEOB		XX
YK, Sally Katzen	12/18/93	TWHS		XX
GGLESTON, W. Neil	12/16/93	TWHS		XX
LLERTSON, Natalie A.	12/17/93	TWHS		XX
INE, Deborah Lauren	12/16/93	TWHS		XX

EXHIBIT 5

CGE 047029

FRIENDLY, Andrew	12/16/93	TWHS	XX
GEORGE, Suzanne Amelia	12/19/93	TWHV	XX
GIBBONS, John Howard	12/16/93	TWHS	XX
GIRE, Cynthia Lynne	12/16/93	TWHS	XX
GLASER, Henry Felix	12/16/93	TVOL	XX
GREENBERG, Stanley Bernard	12/20/93	TNGS	XX
HALBERT, Nikole Stephanie	12/16/93	TECO	XX
HALEY, Maria L.	12/16/93	TWHS	XX
HAWKINS, Amelia Cain	12/16/93	TEOB	XX
HERNREICH, Nancy V.	12/16/93	TWHS	XX
HOLT, Holly Hughes	12/16/93	TWHS	XX
HOLTON, Dwight Carter	12/16/93	TWHS	XX
INADOMI, LeeAnn	12/16/93	TWHS	XX
KAMENSKY, John Michael	12/16/93	TEOB	XX
KHAPRA, Yusuf Ahmed	12/15/93	TWHV	XX
KIM, Saehun	12/16/93	TEOB	XX
KING, Michael Thomas	12/15/93	TWHV	XX
KUPCHAN, Charles A.	12/16/93	TWHS	XX
LANDGARTEN, Susan Joyce	12/16/93	TEOB	XX
LAUGHLIN, Keith Eugene	12/14/93	TEOB	XX
LI, Gordon	12/16/93	TWHS	XX
LINDAHL, Virginia Holmes	12/20/93	TWHV	XX
MAGAZINER, Ira Charles	12/16/93	TWHS	XX
MARSHALL, Capricia P.	12/16/93	TWHS	XX
MATSUI, Doris O.	12/16/93	TWHS	XX
MATTHEWS, Sonyia	12/16/93	TEOB	XX
MATTIES, Doug Robert	12/16/93	TWHV	XX
MCAFEE, Floydetta M.	12/16/93	TWHS	XX
MCCREARY, Doris Anita	12/16/93	TEOB	XX
MCLEES, Catherine C.	12/18/93	TEOB	XX
MOFFETT, Julia	12/14/93	TWHS	XX
O'LOUGHLIN, Katherine	12/20/93	TWHS	XX
PAYNE, Lawrence Martin	12/17/93	TWHV	XX
PETERSON, Karen Williams	12/20/93	TWHV	XX
REED, Jeffrey Alan	12/14/93	TEOB	XX
REICH, Emily Rebecca	12/15/93	TWHV	XX
RUDNICK, Marc J.	12/15/93	TWHV	XX
SHEA, Maureen Townsend	12/16/93	TVOL	XX
SMITH, Barbara Jeanne	12/14/93	TNGS	XX
SMITH, Diane Marie	12/18/93	TWHS	XX
STEINBERG, Donald K.	12/16/93	TWHS	XX
STEPHANOPOULOS, George	12/19/93	TWHS	XX
SUGGS, Elbert	12/17/93	TVOL	XX
TIBBETTS, Geoffrey L.	12/15/93	TWHV	XX
WAGNER, Regina Barbara	12/14/93	TNGS	XX

XX

