

FOIA MARKER

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FBI Files Matter - Personal Data Statements [1]

Staff Office-Individual:

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CF 446

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PERSONAL DATA STATEMENT QUESTIONNAIRE FOR NON-CAREER SES APPOINTEES

As part of the clearance procedures for your prospective position, please answer all of the following questions (please do not respond "not applicable" or "N/A" if your response is "no" or "none"). In responding to the questions, please supply any information regarding your spouse or relatives that you deem to be relevant. Your responses to this questionnaire, which is not subject to public disclosure, are confidential.

You can type your responses in memorandum form on a separate sheet of paper with each answer corresponding to the number of the question. You do not need to retype the questions, but please sign and date the response.

If you have any questions or need assistance in responding to this questionnaire, please do not hesitate to contact this office. Thank you for your cooperation.

Personal and Family Background

1. Please list your full name; home address and telephone number; office address and telephone number; date and place of birth; citizenship; and social security number.

2. Please identify your current marital status; spouse's name, citizenship, occupation, and current employer; and the names and ages of your children.

3. Do you have any medical conditions that could interfere with your ability to fulfill your duties? Please explain.

Professional and Educational Background

4. Please list each high school, college, and graduate school you attended; the dates of your attendance; and degrees awarded.
5. Please furnish a copy of your resume and a brief biographical statement.
6. Please chronologically list activities, other than those listed on your resume, from which you have derived earned income (e.g., self-employment, consulting activities, writing, speaking, royalties, and honoraria) since age 21.
7. Please list each book, article, or publication you have authored, individually or with others.
8. Identify each instance in which you have testified before Congress in a non-governmental capacity and specify the subject matter of each testimony.
9. Please list all corporations, partnerships, trusts, or other business entities with which you have ever been affiliated as an officer, director, trustee, partner, or holder of a significant equity or financial interest (i.e., any ownership interest of more than 5%), or whose decisions you had the ability to influence. Please identify the entity, your relationship to the entity, and dates of service and/or affiliation.

10. Please provide the names of all corporations, firms, partnerships, trusts, or other business enterprises, and all non-profit organizations and other institutions with which you are now, or during the past five years have been, affiliated as an advisor, attorney or consultant. It is only necessary to provide the names of major clients and any client matter in which you and your firm are involved that might present a potential conflict of interest with your proposed assignment. Please include dates of service.
11. With regard to each of the entities identified in the preceding question, please identify your relationship or duty with regard to each. Please include dates of service.
12. Other than the entities identified in question number 10 above, please provide the names of organizations with which you were associated which might present a potential conflict of interest with your proposed assignment. For each entity you identified in your response to this question, please provide your relationship or duty with regard to each. Please include dates of service.
13. Please describe any contractual or informal arrangement you may have made with any person or any business enterprise in regard to future employment or termination payments or financial benefits that will be provided you if you enter government employment.
14. If you are a member of any licensed profession or occupation (such as lawyer, doctor, accountant, insurance or real estate broker, etc.), please specify: the present status of each license; and whether any such license has ever been withdrawn, suspended, or revoked, and the reason therefor.

15. Do you have a significant interest in any relationship with the government through contracts, consulting services, grants, loans or guarantees? If yes, please provide details.
16. Does your spouse or any family member or business in which you, your spouse or any family members have a significant interest have any relationship with the federal government through contracts, consulting services, grants, loans or guarantees? If yes, please provide details.
17. If you have performed any work for and/or received any payments from any foreign government, business, or individual in the past 10 years, please describe the circumstances, and identify the source and dates of services and/or payments.
18. Please list any registration as an agent for a foreign principal, or any exemption from such registration. Please provide the status of any and all such registrations and/or exemptions (i.e., whether active and whether personally registered).
19. Have you ever registered as a lobbyist or other legislative agent to influence federal or state legislation or administrative acts? If yes, please supply details including the status of each registration.

Tax and Financial Information

20. As of the date of this questionnaire, please list all assets with a fair market value in excess of \$1,000 for you and your spouse and provide a good faith estimate of value.

21. As of the date of this questionnaire, please list all liabilities in excess of \$10,000 for you and your spouse. Please list the name and address of the creditor, the amount owed to the nearest thousand dollar, a brief description of the nature of the obligation, the interest rate (if any), the date on which due, and the present status (i.e., is the obligation current or past due).
22. Please describe all real estate held in your name or in your spouse's name during the last six years. Please include real estate held in combination with others, held in trust, held by a nominee, or held by or through any other title-holding entity. Please also include dates held.
23. Have you and your spouse filed all federal, state and local income tax returns?
24. Have you or your spouse ever filed a late income tax return without a valid extension? If so, describe the circumstances and the resolution of the matter.
25. Have you or your spouse ever paid any tax penalties? If so, describe the circumstances and the resolution of the matter.
26. Has a tax lien or other collection procedure ever been instituted against you or your spouse by federal, state or local authorities? If so, describe the circumstances and the resolution of the matter.

Domestic Help Issue

27. Do you presently have or have you in the past had domestic help? (i.e., a housekeeper, babysitter, nanny, or gardener) If yes, please indicate years of service for each individual and also give a brief description of the services rendered.

Public and Organizational Activities

28. Please list current and past political party affiliations.

29. Have you ever run for public office? If yes, does your campaign have any outstanding campaign debt? If so, are you personally liable? Please also provide complete information as to amount of debt and creditors.

30. Please list each membership you have had with any civic, social, charitable, educational, professional, fraternal, benevolent or religious organization, private club, or other membership organization (including any tax-exempt organization) during the past 10 years. Please include dates of membership and any positions you may have had with the organization.

31. Have you or your spouse at any time belonged to any membership organization, including but not limited to those described in the preceding paragraph, that as a matter of policy or practice denied or restricted affiliation (as a matter of either policy or practice) based on race, sex, ethnic background, religious or sexual preference?

Legal and Administrative Proceedings and Filings

32. Please list any lawsuits you have brought as a plaintiff or which were brought against you as a defendant or third party. Include in this response any contested divorce proceedings or other domestic relations matters.
33. Please list and describe any administrative agency proceeding in which you have been involved as a party.
34. Please list any bankruptcy proceeding in which you or your spouse have been involved as a debtor.
35. Have you or your spouse ever been investigated by any federal, state, military or local law enforcement agency. If so, please identify each such instance and supply details, including: date; place; law enforcement agency; and court.
36. Have you or your spouse ever been arrested for, charged with, or convicted of violating any federal, state or local law, regulation or ordinance (excluding traffic offenses for which the fine was less than \$100)? If so, please identify each such instance and supply details, including: date; place; law enforcement agency; and court.
37. Have you or your spouse ever been accused of or found guilty of any violations of government or agency procedure (specifically including security violations and/or any application, or appeal process)?

38. Please list any complaint ever made against you or by any administrative agency, professional association or organization, or federal, state or local ethics agency, committee, or official.

39. Please list any and all judgments rendered against you including the date, amount, the name of each case and subject matter of the case, and the date of satisfaction. Please include obligations of child support and alimony and provide the status of each judgement and/or obligation.

40. With regard to each obligation of child support and/or alimony, please state the following: Have any payments been made late or have there been any lapses in payment? Have any motions or court actions for modification of child support or alimony been filed or instituted? Have any actions or motions to compel payment or initiate collections of late payments and/or past due amounts been filed or threatened? Have any writs of garnishment been issued? If your response was yes to any of the above questions, please provide details.

Miscellaneous

41. Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for a government position?

42. Do you know anyone or any organization that might take any steps, overtly or covertly, fairly or unfairly, to criticize your appointment, including any news organization? If so, please identify and explain the basis for the potential criticism.

43. Please provide any other information, including information about other members of your family, that could suggest a conflict of interest or be a possible source of embarrassment to you, your family or the President.

THE WHITE HOUSE

WASHINGTON

PERSONAL DATA STATEMENT QUESTIONNAIRE

FROM: BERNARD NUSSBAUM ^{BK}
 COUNSEL TO THE PRESIDENT

As part of the clearance procedures for your prospective position, please answer all of the following questions (please do not respond "not applicable" or "N/A" if your response is "no" or "none"). In responding to the questions, please supply any information regarding your spouse or relatives that you deem to be relevant. Your responses to this questionnaire, which is not subject to public disclosure, are confidential.

You can type your responses in memorandum form on a separate sheet of paper with each answer corresponding to the number of the question. You do not need to retype the questions, but please sign and date the response.

Because your appointment cannot be finalized until all necessary reviews have been completed, please supply this information within 24 hours to Edgar Bueno of my staff. (Old Executive Office Building, Room 136, Washington, D.C. 20500; fax number: (202) 456-2146).

If you have any questions or need assistance in responding to this questionnaire, please do not hesitate to contact this office at 202-456-6229. Thank you for your cooperation.

Personal and Family Background

1. Please list your full name; home address and telephone number; office address and telephone number; date and place of birth; citizenship; and social security number.

2. Please identify your current marital status; spouse's name, citizenship, occupation, and current employer; and the names and ages of your children.

3. Do you have any medical conditions that could interfere with your ability to fulfill your duties? Please explain.

Professional and Educational Background

4. Please list each high school, college, and graduate school you attended; the dates of your attendance; and degrees awarded.
5. Please furnish a copy of your resume and a brief biographical statement.
6. Please chronologically list activities, other than those listed on your resume, from which you have derived earned income (e.g., self-employment, consulting activities, writing, speaking, royalties, and honoraria) since age 21.
7. Please list each book, article, or publication you have authored, individually or with others.
8. Identify each instance in which you have testified before Congress in a non-governmental capacity and specify the subject matter of each testimony.
9. Please list all corporations, partnerships, trusts, or other business entities with which you have ever been affiliated as an officer, director, trustee, partner, or holder of a significant equity or financial interest (i.e., any ownership interest of more than 5%), or whose decisions you had the ability to influence.

10. Please provide the names of all corporations, firms, partnerships, trusts, or other business enterprises, and all non-profit organizations and other institutions with which you are now, or during the past five years have been, affiliated as an advisor, attorney or consultant. It is only necessary to provide the names of major clients and any client matter in which you and your firm are involved that might present a potential conflict of interest with your proposed assignment. Please identify the clients by name unless it would breach a confidential relationship or duty respecting a privileged matter. In addition, please provide the names of any other organizations with which you were associated which might present a potential conflict or the appearance of conflict of interest with your prospective appointment.

11. Please describe any contractual or informal arrangement you may have made with any person or any business enterprise in regard to future employment or termination payments or financial benefits that will be provided you if you enter government employment.

12. If you are a member of any licensed profession or occupation (such as lawyer, doctor, accountant, insurance or real estate broker, etc.), please specify: the present status of each license; and whether any such license has ever been withdrawn, suspended, or revoked, and the reason therefor.

13. Does your spouse or any family member or business in which you, your spouse or any family members have a significant interest have any relationship with the federal government through contracts, consulting services, grants, loans or guarantees? If yes, please provide details.

14. If you have performed any work for and/or received any payments from any foreign government, business, or individual in the past 10 years, please describe the circumstances, and identify the source and amount.

15. Please list any registration as an agent for a foreign principal, or any exemption from such registration.

16. Have you ever registered as a lobbyist or other legislative agent to influence federal or state legislation or administrative acts? If yes, please supply details.

Tax and Financial Information

17. As of the date of this questionnaire, please list all assets and liabilities with a fair market value in excess of \$5,000 for you and your spouse and provide a good faith estimate of value. As to liabilities, please list the name and address of the creditor, the amount owed to the nearest thousand dollar, a brief description of the nature of the obligation, the interest rate (if any), and the date on which due.

18. Please describe all real estate held in your name or in your spouse's name during the last six years, including any real estate held in combination with others or on your or your spouse's behalf in trust, by a nominee, or through any other title-holding entity.

19. Have you and your spouse filed all federal, state and local income tax returns?

20. Have you or your spouse ever filed a late income tax return without a valid extension? If so, describe the circumstances and the resolution of the matter.

21. Have you or your spouse ever paid any tax penalties? If so, describe the circumstances and the resolution of the matter.

22. Has a tax lien or other collection procedure ever been instituted against you or your spouse by federal, state or local authorities? If so, describe the circumstances and the resolution of the matter.

Public and Organizational Activities

23. Please list current and past political party affiliations.

24. Please list each membership you have had with any civic, social, charitable, educational, professional, fraternal, benevolent or religious organization, private club, or other membership organization (including any tax-exempt organization) during the past 10 years.

25. Have you or your spouse at any time belonged to any membership organization, including but not limited to those described in the preceding paragraph, that as a matter of policy or practice denied or restricted affiliation (as a matter of either policy or practice) based on race, sex, ethnic background, religious or sexual preference?

Legal and Administrative Proceedings and Filings

26. Please list any lawsuits you have brought as a plaintiff or which were brought against you as a defendant or third party. Include in this response any contested divorce proceedings or other domestic relations matters.

27. Please list and describe any administrative agency proceeding in which you have been involved as a party.

28. Please list any bankruptcy proceeding in which you or your spouse have been involved as a debtor.

29. Have you or your spouse ever been investigated by any federal, state, military or local law enforcement agency, or arrested for, charged with, or convicted of violating any federal, state or local law, regulation or ordinance (excluding traffic offenses for which the fine was less than \$100)? If so, please identify each such instance and supply details, including: date; place; law enforcement agency; and court.

30. Please list any complaint ever made against you or by any administrative agency, professional association or organization, or federal, state or local ethics agency, committee, or official.

Miscellaneous

31. Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for a government position?

32. Do you know anyone or any organization that might take any steps, overtly or covertly, fairly or unfairly, to criticize your appointment, including any news organization? If so, please identify and explain the basis for the potential criticism.

33. Please provide any other information, including information about other members of your family, that could suggest a conflict of interest or be a possible source of embarrassment to you, your family or the President.

THE WHITE HOUSE
WASHINGTON

PERSONAL DATA STATEMENT QUESTIONNAIRE

FROM: JACK QUINN
COUNSEL TO THE PRESIDENT

As part of the clearance procedure for your prospective position, please answer all of the following questions (please do not respond "not applicable" or "N/A" if your answer is "no" or "none"). In responding to the questions, please supply any information regarding your spouse or relatives that you deem to be relevant. Your responses to this questionnaire, which is not subject to public disclosure, are confidential.

You can type your responses in memorandum form on a separate sheet of paper with each answer corresponding to the number of the question. You do not need to retype the questions, but please sign and date any attachments.

Because your appointment cannot be finalized until all necessary reviews have been completed, please supply this information within 24 hours to Craig Livingstone, Director White House Security. (Old Executive Office Building, Room #84, Washington, D.C. 20500; fax number: (202) 456-7029).

If you have any questions or need assistance in responding to this questionnaire, please do not hesitate to contact this office at (202) 456-2345. Thank you for your cooperation.

Personal and Family Background

1. Please list your full name; home address and telephone number; office address and telephone number; date and place of birth; citizenship; and social security number.

2. Please identify your current marital status; spouse's name, citizenship, occupation, and current employer; and the names and ages of your children.

3. Do you have any medical conditions that could interfere with your ability to fulfill your duties? Please explain.

Professional and Educational Background

4. Please list each high school, college, and graduate school you attended; the dates of you attended; the dates of your attendance; and degrees awarded.

5. Please furnish a copy of your resume and a brief biographical statement.

6. Please chronologically list activities, other than those listed on your resume, from which you have derived earned income (e.g. self-employment, consulting activities, writing, speaking, royalties, and honoraria) since age 21.

7. Please list each book, article, or publication you have authored, individually or with others.

8. Identify each instance in which you have testified before Congress in a non-governmental capacity and specify the subject matter of each testimony.

9. Please list all corporations, partnerships, trusts, or other business entities with which you have ever been affiliated as an officer, director, trustee, partner, or holder of a significant equity of financial interest (i.e. any ownership interest of more than 5%), or whose decisions you had the ability to influence.

10. Please provide the names of all corporations, firms, partnerships, trusts, or other business enterprises, and all non-profit organizations and other institutions with which you are now, or during the past have years have been, affiliated as an advisor, attorney, or consultant. It is only necessary to provide the names of major clients and any client matter in which you and your firm are involved that might present a potential conflict of interest with your proposed assignment. Please identify the clients by name unless it would breach a confidential relationship or duty respecting a privileged matter. In addition, please provide the names of any organizations with which you were associated which might present a potential conflict or the appearance of conflict of interest with your prospective appointment.

11. Please describe any contractual or informal arrangement you may have made with any person or any business enterprise in regard to future employment or termination payments or financial benefits that will be provided to you if you enter government employment.

12. If you are a member of any licensed profession or occupation (such as lawyer, doctor, accountant, insurance, or real estate broker, etc.), please specify: the present status of each license; and whether any such license has ever been withdrawn, suspended, or revoked, and the reason therefor.

13. Does your spouse or any family member or business in which you, your spouse or any family members have a significant interest have any relationship with the federal government through contracts, consulting services, grants, loans, or guarantees? If yes, please provide details.

14. If you have performed any work for and/or received any payments from any foreign government, business, or individual in the past 10 years, please describe the circumstances, and identify the source and amount.

15. Please list any registration as an agent for a foreign principal, or any exemption from such registration.

16. Have you ever registered as a lobbyist or other legislative agent to influence federal or state legislation or administrative acts? If yes, please supply details.

Tax and Financial Information

17. As of the date of this questionnaire, please list all assets and liabilities with a fair market value in excess of \$5,000 for you and your spouse and provide a good faith estimate of value. As to liabilities, please list the name and address of the creditor, the amount owed to the nearest thousand dollar, a brief description of the nature of the obligation, the interest rate (if any) and the date on which due.

18. Please describe all real estate held in your name or in your spouse's name during the last six years, including any real estate held in combination with other or on your or your spouse's behalf in trust, by a nominee, or through any other title-holding entity.

19. Have you and your spouse filed all federal, state, and local income tax returns?

20. Have you or your spouse ever filed a late income tax return without a valid extension? If so, describe the circumstances and the resolution of the matter.

21. Have you or your spouse ever paid any tax penalties? If so, describe the circumstances and the resolution of the matter.

22. Has a tax lien or other collection procedure ever been instituted against you or your spouse by federal, state, or local authorities? If so, describe the circumstances and the resolution of the matter.

Public and Organizational Activities

23. Please list current and past political party affiliations.

24. Please list each membership you have had with any civic, social, charitable, educational, professional, fraternal, benevolent, or religious organization, private club, or other membership organization (including any tax-exempt organization) during the past 10 years.

25. Have you or your spouse at any time belonged to any membership organization, including but not limited to those described in the preceding paragraph, that as a matter of policy or practice denied or restricted affiliation (as a matter of either policy or practice) based on race, sex, ethnic background, religious or sexual preference?

Legal and Administrative Proceedings and Filings

26. Please list any lawsuits you have brought as a plaintiff or which were brought against you as a defendant or third party. Include in this response any contested divorce proceedings or other domestic relations matters.

27. Please list and describe any administrative agency proceeding in you have been involved as a party.

28. Please list and describe any bankruptcy proceeding in which you or your spouse have been involved as a debtor.

29. Have you or your spouse ever been investigated by any federal, state, military, or local law enforcement agency, or arrested for, charged with, or convicted of violating any federal, state, or local law, regulation, or ordinance (excluding traffic offenses for which the fine was less than \$100)? If so, please identify each such instance and supply details, including: date; place; law enforcement agency; and court.

30. Please list any complaint ever made against you or by any administrative agency, professional association or organization, or federal, state, or local ethics agency, committee, or official.

Miscellaneous

31. Have you ever had any association with any person, group, or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for a government position?

32. Do you know of any person or organization that might take any steps, overtly or covertly, fairly or unfairly, to criticize your appointment, including any news organization? If so, please identify and explain the basis for the potential criticism.

33. Please provide any other information, including information about other members of your family, that could suggest a conflict of interest or be a possible source of embarrassment to you, your family, or the President.

THE WHITE HOUSE
WASHINGTON

PERSONAL DATA STATEMENT QUESTIONNAIRE

FROM: OFFICE OF THE COUNSEL TO THE PRESIDENT

As part of the clearance procedures for your prospective position, please answer all of the following questions (Please do not respond "Not Applicable" or "N/A" if your answer is "No" or "None"). In responding to the questions, please supply any information regarding your spouse or relatives that you deem to be relevant. Your responses to this questionnaire, as it is not subject to public disclosure, are confidential.

You can type your responses in memorandum form on a separate sheet of paper with each answer corresponding to the number of the question. You do not need to retype the questions, but please sign and date the attachment.

Because your appointment cannot be finalized until all necessary reviews have been completed, and because our review begins with the receipt of your paperwork, please supply this information within 30 days to Craig Livingstone, Director White House Security, at OEOB 84, Washington DC, 20500.

If you have any questions or need assistance in responding to this questionnaire, please contact Cliff Mauton, White House Counsel's Office at (202)456-6229. Thank you for your cooperation.

Personal and Family Background

1. Please list your full name; home address and telephone number; office address and phone number; date and place of birth; citizenship; and social security number.
2. Please identify your current marital status; spouse's name, citizenship, occupation, and current employer; and the names and ages of your children.

3. Do you have any medical conditions that could interfere with your ability to fulfill your duties? Please explain.

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4. Please list each high school, college, and graduate school you attended; the dates of your attendance; and degrees awarded.

5. Please furnish a copy of your resume and a brief biographical statement.

6. Please chronologically list activities, other than those listed on your resume, from which you have derived earned income (e.g., self-employment, consulting activities, writing, speaking, royalties, and honoraria) since age 21.

7. Please list each book, article, column, or publication you have authored, individually or with others.

8. Identify each instance in which you have testified before Congress in a non-governmental capacity, and specify the subject matter of each testimony.

9. Please list corporations, partnerships, trusts, or other business entities with which you have ever been affiliated as an officer, director, trustee, partner, or holder of a significant equity or financial interest (i.e., any ownership interest of more than 5%), or whose decisions you had the ability to influence. Please identify the entity, your relationship to the entity, and the dates of service and/or affiliation.

10. Please provide the names of all corporations, firms, partnerships, trusts, or other business enterprises, and all non-profit organizations and other institutions with which you are now, or during the past five years have been, affiliated as an advisor, attorney, or consultant. It is only necessary to provide the names of major clients and any client matter in which you and your firm are involved that might present a potential conflict of interest with your proposed assignment. Please include dates of service.

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13. Please describe any contractual or informal arrangement you may have made with any person or business enterprise in regard to future employment. Include termination payments or financial benefits that will be provided for you if you enter government employment.

14. If you are a member of any licensed profession or occupation (i.e., lawyer, doctor, accountant, insurance or real estate broker, etc.) please specify the present status of each license; and, whether any such license has ever been withdrawn, suspended, or revoked, including the reason therefor.

15. Do you have a significant interest in any relationship with the government through contracts, consulting services, grants, loans, or guarantees? If so, please provide details.

16. Does your spouse or any family member or any business in which you, your spouse or any family members have a significant interest, have any relationship with the federal government through contracts, consulting services, grants, loans, or guarantees? If so, please provide details.

17. If you have performed any work for, and/or received any payments from, any foreign government, business, or individual in the past 10 years, please describe the circumstances. Please identify the source, as well as the dates of services and/or payments.

18. Please list any registration as an agent for a foreign principal, or any exemption from such registration. Please provide the status of any and all such registrations and/or exemptions (i.e., whether active and whether personally registered).

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Domestic Help Issue

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30. Please list each membership you have had with any civic, social, charitable, educational, professional, fraternal, benevolent or religious organization, private club or other membership organization (including any tax-exempt organization) during the past 10 years. Please include dates of membership and any positions you may have had with the organization.

31. Have you or your spouse at any time belonged to any membership organization, including, but not limited to, those described in the preceding paragraph, that as a matter of policy or practice denied or restricted affiliation based on race, sex, ethnic background, religious or sexual preference?

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34. Please list any bankruptcy proceeding in which you or your spouse have been involved as a debtor.

35. Have you or your spouse ever been investigated by any federal, state, military or local law enforcement agency? If so, please identify each instance and supply details, including: date; place; law enforcement agency; and court.

36. Have you or your spouse ever been arrested, or charged with, and/or convicted of violating any federal, state or local law, regulation or ordinance (excluding traffic offenses for which the fine was less than \$100)? If so, please identify each instance and supply details, including: date; place; law enforcement agency; and court.

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38. Please list any complaint ever made against you by any administrative agency, professional association or organization, or federal, state or local ethics agency, committee, or official.

39. Please list any and all judgements rendered against you, including the date, amount, the name and subject matter of the case, and the date of satisfaction. Please include obligations of child support and alimony, and provide the status of each judgement and/or obligation.

40. With regard to each obligation of child support and/or alimony, please state the following: Have any payments been made late or have there been any lapses in payment? Have any motions or court actions for modification of child support or alimony been filed or instituted? Have any actions or motions to compel payment or initiate collection of late payments and/or past due amounts been filed or threatened? Have any writs of garnishment been issued? If your response was "yes" to any of the above questions, please provide details.

Miscellaneous

41. Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for a government position?

42. Do you know anyone or any organization that might take steps, overtly or covertly, fairly or unfairly, to criticize your appointment, including any news organization? If so, please identify and explain the basis for the potential criticism.

43. Please provide any other information, including information about other members of your family, that could suggest a conflict of interest, or be a possible source of embarrassment to you, your family or the President.

JUN 9 1995

THE WHITE HOUSE
WASHINGTON

PERSONAL DATA STATEMENT QUESTIONNAIRE

FROM: OFFICE OF THE COUNSEL TO THE PRESIDENT

As part of the clearance procedures for your prospective position, please answer all of the following questions (Please do not respond "Not Applicable" or "N/A" if your answer is "No" or "None"). In responding to the questions, please supply any information regarding your spouse or relatives that you deem to be relevant. Your responses to this questionnaire, as it is not subject to public disclosure, are confidential.

You can type your responses in memorandum form on a separate sheet of paper with each answer corresponding to the number of the question. You do not need to retype the questions, but please sign and date the attachment.

Because your appointment cannot be finalized until all necessary reviews have been completed, and because our review begins with the receipt of your paperwork, please supply this information within 30 days to Craig Livingstone, Director White House Security, at OEOB 84, Washington DC, 20500.

If you have any questions or need assistance in responding to this questionnaire, please contact Cliff Mauton, White House Counsel's Office at (202)456-6229. Thank you for your cooperation.

Personal and Family Background

1. Please list your full name; home address and telephone number; office address and phone number; date and place of birth; citizenship; and social security number.

2. Please identify your current marital status; spouse's name, citizenship, occupation, and current employer; and the names and ages of your children.

Source: Chris Cef.

—

THE WHITE HOUSE
WASHINGTON

PERSONAL DATA STATEMENT QUESTIONNAIRE

FROM: LLOYD CUTLER
COUNSEL TO THE PRESIDENT

As part of the clearance procedures for your prospective position, please answer all of the following questions (please do not respond "not applicable" or "N/A" if your response is "no" or "none"). In responding to the questions, please supply any information regarding your spouse or relatives that you deem to be relevant. Your responses to this questionnaire, which is not subject to public disclosure, are confidential.

You can type your responses in memorandum form on a separate sheet of paper with each answer corresponding to the number of the question. You do not need to retype the questions, but please sign and date the response.

Because your appointment cannot be finalized until all necessary reviews have been completed, please supply this information within 24 hours to Edgar Bueno of my staff. (Old Executive Office Building, Room 136, Washington, D.C. 20500; fax number: (202) 456-2146).

If you have any questions or need assistance in responding to this questionnaire, please do not hesitate to contact this office at 202-456-6229. Thank you for your cooperation.

Personal and Family Background

1. Please list your full name; home address and telephone number; office address and telephone number; date and place of birth; citizenship; and social security number.
2. Please identify your current marital status; spouse's name, citizenship, occupation, and current employer; and the names and ages of your children.
3. Do you have any medical conditions that could interfere with your ability to fulfill your duties? Please explain.

Professional and Educational Background

4. Please list each high school, college, and graduate school you attended; the dates of your attendance; and degrees awarded.

5. Please furnish a copy of your resume and a brief biographical statement.

6. Please chronologically list activities, other than those listed on your resume, from which you have derived earned income (e.g., self-employment, consulting activities, writing, speaking, royalties, and honoraria) since age 21.

7. Please list each book, article, or publication you have authored, individually or with others.

8. Identify each instance in which you have testified before Congress in a non-governmental capacity and specify the subject matter of each testimony.

9. Please list all corporations, partnerships, trusts, or other business entities with which you have ever been affiliated as an officer, director, trustee, partner, or holder of a significant equity or financial interest (i.e., any ownership interest of more than 5%), or whose decisions you had the ability to influence.

10. Please provide the names of all corporations, firms, partnerships, trusts, or other business enterprises, and all non-profit organizations and other institutions with which you are now, or during the past five years have been, affiliated as an advisor, attorney or consultant. It is only necessary to provide the names of major clients and any client matter in which you and your firm are involved that might present a potential conflict of interest with your proposed assignment. Please identify the clients by name unless it would breach a confidential relationship or duty respecting a privileged matter. In addition, please provide the names of any other organizations with which you were associated which might present a potential conflict or the appearance of conflict of interest with your prospective appointment.

11. Please describe any contractual or informal arrangement you may have made with any person or any business enterprise in regard to future employment or termination payments or financial benefits that will be provided you if you enter government employment.

12. If you are a member of any licensed profession or occupation (such as lawyer, doctor, accountant, insurance or real estate broker, etc.), please specify: the present status of each license; and whether any such license has ever been withdrawn, suspended, or revoked, and the reason therefor.

13. Does your spouse or any family member or business in which you, your spouse or any family members have a significant interest have any relationship with the federal government through contracts, consulting services, grants, loans or guarantees? If yes, please provide details.

14. If you have performed any work for and/or received any payments from any foreign government, business, or individual in the past 10 years, please describe the circumstances, and identify the source and amount.

15. Please list any registration as an agent for a foreign principal, or any exemption from such registration.

16. Have you ever registered as a lobbyist or other legislative agent to influence federal or state legislation or administrative acts? If yes, please supply details.

Tax and Financial Information

17. As of the date of this questionnaire, please list all assets and liabilities with a fair market value in excess of \$5,000 for you and your spouse and provide a good faith estimate of value. As to liabilities, please list the name and address of the creditor, the amount owed to the nearest thousand dollar, a brief description of the nature of the obligation, the interest rate (if any), and the date on which due.

18. Please describe all real estate held in your name or in your spouse's name during the last six years, including any real estate held in combination with others or on your or your spouse's behalf in trust, by a nominee, or through any other title-holding entity.

19. Have you and your spouse filed all federal, state and local income tax returns?

20. Have you or your spouse ever filed a late income tax return without a valid extension? If so, describe the circumstances and the resolution of the matter.

21. Have you or your spouse ever paid any tax penalties? If so, describe the circumstances and the resolution of the matter.

22. Has a tax lien or other collection procedure ever been instituted against you or your spouse by federal, state or local authorities? If so, describe the circumstances and the resolution of the matter.

Public and Organizational Activities

23. Please list current and past political party affiliations.

24. Please list each membership you have had with any civic, social, charitable, educational, professional, fraternal, benevolent or religious organization, private club, or other membership organization (including any tax-exempt organization) during the past 10 years.

25. Have you or your spouse at any time belonged to any membership organization, including but not limited to those described in the preceding paragraph, that as a matter of policy or practice denied or restricted affiliation (as a matter of either policy or practice) based on race, sex, ethnic background, religious or sexual preference?

Legal and Administrative Proceedings and Filings

26. Please list any lawsuits you have brought as a plaintiff or which were brought against you as a defendant or third party. Include in this response any contested divorce proceedings or other domestic relations matters.

27. Please list and describe any administrative agency proceeding in which you have been involved as a party.

28. Please list any bankruptcy proceeding in which you or your spouse have been involved as a debtor.

29. Have you or your spouse ever been investigated by any federal, state, military or local law enforcement agency, or arrested for, charged with, or convicted of violating any federal, state or local law, regulation or ordinance (excluding traffic offenses for which the fine was less than \$100)? If so, please identify each such instance and supply details, including: date; place; law enforcement agency; and court.

30. Please list any complaint ever made against you or by any administrative agency, professional association or organization, or federal, state or local ethics agency, committee, or official.

Miscellaneous

31. Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for a government position?

32. Do you know anyone or any organization that might take any steps, overtly or covertly, fairly or unfairly, to criticize your appointment, including any news organization? If so, please identify and explain the basis for the potential criticism.

33. Please provide any other information, including information about other members of your family, that could suggest a conflict of interest or be a possible source of embarrassment to you, your family or the President.

**U.S. DEPARTMENT OF JUSTICE
OFFICE OF LEGAL COUNSEL
WASHINGTON, D.C. 20530**

FACSIMILE TRANSMISSION SHEET

DATE: July 29, 1996

FROM: PAUL COLBORN/Donna M. Cole, Asst.

OFFICE PHONE: 202/514-2048

TO: Jane Sherburne, White House Counsel

OFFICE PHONE:

NUMBER OF PAGES 11 (W/O COVER SHEET)

FACSIMILE NUMBER: 456-1213

REMARKS:

As promised.



United States
General Accounting Office
Washington, D.C. 20548

Office of the General Counsel

January 27, 1988

B-230354

Mr. C. Christopher Cox
Senior Associate Counsel
to the President
The White House

Dear Chris:

Thank you for your letter of December 15, 1987, which responds to our request for access to White House records relating to the Presidential Commission on the HIV Epidemic ("AIDS Commission"). With one exception, the documents enclosed with your letter and the additional documents which you made available for our inspection at the White House satisfy all of our access needs. The exception is financial information submitted by Commission members which relates to conflict-of-interest reviews by the White House.

As Ms. Kleeman and I discussed with you, one of the objectives of our review for the Senate Committee on Governmental Affairs is to look at the formation and initial operations of the AIDS Commission in terms of the requirements of the Federal Advisory Committee Act. This includes determining whether the financial interests of Commission members were appropriately reviewed and whether any potential problems arising from such reviews were resolved by recusals, waivers, etc. In order to accomplish this objective we need access to the financial information submitted by the Commission members which provided the basis for the conflict-of-interest reviews. The need for this information was discussed at the December 3 hearing held by the Committee on Governmental Affairs on the Federal Advisory Committee Act and the AIDS Commission.

We understand that the White House obtains the financial information by use of a Personal Data Statement questionnaire provided to all prospective presidential appointees to advisory committees, including the members of the AIDS Commission. A copy of the questionnaire was enclosed with your December 15 letter. This questionnaire solicits, in addition to financial information, highly sensitive information that is unrelated to the purposes of our review. We

appreciate the sensitivity of the latter information and have no need or desire to obtain it. Therefore, we propose that you grant us access limited to each AIDS Commission member's responses to those questions which bear upon the conflict-of-interest reviews.

Based on our review of the Personal Data Statement questionnaire, it appears that the information we need can be readily segregated from the other information. Specifically, the information necessary to our review is covered by questions 1, 3 and 7 through 15. We have no need for the responses to the remaining 16 questions. As we told you, we would not make public any of the information from the Personal Data Statement. We would assure, in accordance with our standard practices for dealing with such information, that its confidentiality is maintained. For example, we do not request copies of this information; instead, we would review it at the White House.

We believe that the proposal outlined above will enable us to obtain the information necessary for our review while fully respecting the sensitivity and confidentiality of the Commission members' submissions to the White House. We would appreciate your early response.

Sincerely yours,



Henry R. Wray
Associate General Counsel

THE WHITE HOUSE

WASHINGTON

February 19, 1988

Dear Mr. Wray:

This responds to your letter to C. Christopher Cox, who until recently was Senior Associate Counsel to the President. Since Mr. Cox left the White House before having an opportunity to respond to your letter, I have reviewed the subject matter of your request.

You have expressed an interest in reviewing the responses to certain questions posed to members of the President's Commission on the HIV Epidemic (AIDS Commission) during the clearance procedures leading to their appointments. The process of conflict of interest review, which is part of the appointment clearance procedures, was explained to you in Mr. Cox's letter to you of December 15, 1987. That letter refers to discussions you had with Mr. Cox, explaining that the conflict of interest review documents would not be sent to you.

This denial remains our position, and the result is that we again deny your request for access to the confidential information submitted by candidates for Presidential appointment. As you can see by looking at the copy of the Personal Data Statement previously provided to you, the candidates for appointment are asked to answer the questions with the promise of confidentiality. All candidates for appointment by the President are promised this confidentiality. Release of the information would violate the confidential nature of its use promised to the individual candidates. Violation of this protection would also potentially chill the candid nature of the responses which is so crucial to Presidential advisors who review the statements and make recommendations to the President. The integrity of the clearance review process would be seriously damaged if the confidentiality promised to appointment candidates is not adhered to.

Moreover, the statements you want to review are part of the deliberative records used by the President and his advisors prior to the exercise of the Presidential appointment authority. As such, they are not subject to disclosure.

It is my understanding, that you and Mr. Cox discussed these issues prior to his departure. Should you have any further questions, please call me at 456-6611.

Sincerely,

Jay B. Stephens
Deputy Counsel to the President

Mr. Henry R. Wray
Associate General Counsel
United States General Accounting Office
Washington, D.C. 20548



United States
General Accounting Office
Washington, D.C. 20548

Comptroller General
of the United States

B-230354

March 21, 1988

Mr. Arthur B. Culvahouse, Jr.
Counsel to the President
The White House

Dear Mr. Culvahouse:

This responds to your request for additional background concerning our request for access to financial information submitted to the White House by members of the President's Commission on the HIV Epidemic (AIDS Commission).

The Senate Committee on Governmental Affairs is currently reviewing the establishment, management, and termination of federal advisory committees in the exercise of its oversight responsibility and in order to determine whether amendments to the Federal Advisory Committee Act are necessary. In support of these activities and pursuant to 31 U.S.C. § 717(b)(3), the Committee requested that GAO evaluate the formation and operation of various advisory committees, including the AIDS Commission. The Committee specifically requested that we examine, as part of our review, the process through which potential conflicts of interest on the part of advisory committee members are screened and resolved. In order to accomplish this objective in our review of the AIDS Commission, we need access to the financial information submitted by the Commission members which provided the basis for the White House's conflict-of-interest reviews.

I trust that this satisfies your question concerning the basis for our access request.

Sincerely yours,

A handwritten signature in cursive script that reads "Milton J. Socolar".

Milton J. Socolar
Special Assistant to the
Comptroller General

11:25

ABC/KDK:jck
ABCulvahouse
KDKoch
Chron.

THE WHITE HOUSE

WASHINGTON

March 31, 1988

Dear Mr. Socolar:

I am writing in response to your letter of March 24, 1988, which explained why you believe the General Accounting Office must have access to portions of the confidential Personal Data Statements submitted by candidates for appointment to the President's Commission on the HIV Epidemic (AIDS Commission). Your letter responded to this Office's denials of access to these materials, which include financial information provided by certain candidates for Presidential appointments. That confidential information is utilized to evaluate whether such candidates possess the requisite qualifications and meet the requirements for potential appointment or nomination by the President.

In conveying to you our determination to maintain the confidentiality of those documents, we have stressed the strong Executive interest in maintaining the confidentiality of the Presidential appointments process. As the Supreme Court has noted, "Human experience teaches that those who expect public dissemination of their remarks may well temper candor with a concern for appearances and for their own interests to the detriment of the decision making process." United States v. Nixon, 448 U.S. 683, 705 (1974).

During the clearance procedures which lead to recommendation of a candidate's appointment by the President to public office, it is imperative that the President and his advisors have access to complete and candid information in order to provide the soundest basis for staff recommendations and Presidential decisions. Breaching the confidentiality of the appointment process documents that you have requested would seriously impair the deliberative process and the exercise of the President's appointment authority. Therefore, we have a strong constitutionally-based interest in withholding the documents from General Accounting Office scrutiny.

At the same time, we are mindful of the obligation of each branch to seek to accommodate the legitimate, constitutionally-based interests of the other. See U.S. v. American Tel. & Tel. Co., 567 F.2d 121, 127 (D.C. Cir. 1977). Accordingly, we have already provided the General Accounting Office with a large number of documents relating to the Presidential appointment procedures for the AIDS Commission, and we have carefully considered your explanation of why you believe that gaining access to this

additional material is necessary for the fulfillment of a legitimate legislative purpose.

While we acknowledge the legitimacy of the GAO oversight interest in the formation and operation of the AIDS Commission, we do not believe that the GAO has satisfied its burden of "point[ing] to . . . specific legislative decisions that cannot responsibly be made without access to materials uniquely contained" in the requested documents. Senate Select Committee on Presidential Campaign Activities v. Nixon, 498 F.2d 725, 733 (D.C. Cir. 1974) (en banc). We believe that the GAO can fully satisfy its oversight interest in evaluating the adequacy of our conflict of interest review process by reviewing non-confidential documents. Going further to seek to determine whether conflicts of interest exist in individual cases would carry the GAO inquiry beyond legitimate oversight into the realm of law enforcement, thus twice jeopardizing the separation of powers: by undertaking an executive function and by breaching the confidentiality of the executive branch deliberative process.

I very much hope that we can accommodate each other's interests. I therefore look forward to discussing with you ways of satisfying your oversight interest while at the same time preserving the integrity of the deliberative process.

Sincerely,

Original Signed by ABC

Arthur B. Culvahouse, Jr.
Counsel to the President

Mr. Milton Socclar
Special Assistant to the
Comptroller General
General Accounting Office
441 G Street, N.W., Room 7061
Washington, D.C. 20548



United States
General Accounting Office
Washington, D.C. 20548

575840 CH

Comptroller General
of the United States

B-230354

April 13, 1988

Mr. Arthur B. Culvahouse, Jr.
Counsel to the President

Dear Mr. Culvahouse:

Pursuant to 31 U.S.C. § 716(b) (1982), we request access to financial information submitted to the White House by the individuals who were appointed to the President's Commission on the HIV Epidemic (AIDS Commission). The requested financial information is limited to that which each individual provided on the Personal Data Statement in response to questions 1, 3 and 7 through 15. This financial information is the type which must be furnished for conflict-of-interest reviews. In fact, had the Commission members been expected to serve for more than 60 days in any calendar year, much of this information would be subject to public disclosure under the Ethics in Government Act of 1978.

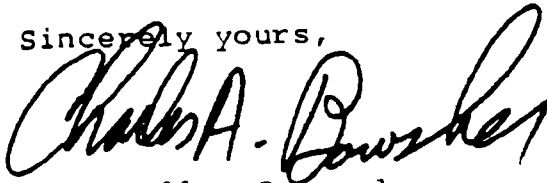
The General Accounting Office (GAO) staff will review the requested information at the White House and will take measures necessary to protect the confidentiality of the information. Again, we are interested in reviewing only the financial information submitted in response to the specific questions on the Personal Data Statement listed above. We do not seek access to the information provided in response to the other 16 questions on the Statement.

As we have advised you, the GAO requires access to the above-described financial information in order to carry out our review of the formation and operation of federal advisory committees, including the AIDS Commission. This review, requested by the Chairman of the Senate Committee on Governmental Affairs, includes an evaluation of the process by which any potential conflicts of interest on the part of advisory committee members are identified and resolved. This review is being conducted pursuant to our authority under 31 U.S.C. §§ 712 and 717 (1982). We have a right of access to the information requested under 31 U.S.C. § 716(a) (1982).

We do not agree with the characterizations of our access rights and needs in your letter of March 31. In particular, we are not engaged in a criminal investigation of the members of the AIDS Commission. Rather, as stated above, we are evaluating the reviews by the White House staff of the members' financial information. Obviously we cannot perform this evaluation without access to the information which formed the basis for the White House reviews.

Since this request is being made pursuant to 31 U.S.C. § 716, your response is due within 20 days. If full access to the requested information is not granted, you are required to furnish a description of any information withheld, and the reasons therefor.

Sincerely yours,



Comptroller General
of the United States

THE WHITE HOUSE

WASHINGTON

May 4, 1988

Dear Mr. Bowsher:

Pursuant to 31 U.S.C. § 716(b)(1), this letter constitutes the White House response to your letter of April 13, 1988.

You have requested access to specified portions of Personal Data Statements, submitted in confidence by prospective candidates for Presidential appointments to the President's Commission on the HIV Epidemic (AIDS Commission). A confidential data statement is filed by nearly all prospective Presidential appointees and is used by the President's advisors to evaluate whether the candidates have the qualifications and meet other requirements for potential nomination or appointment by the President.

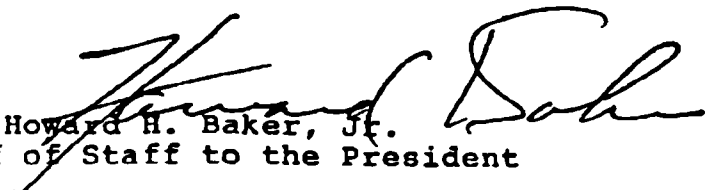
Your letter was preceded by correspondence between the Counsel to the President, Arthur B. Culvahouse, Jr. and your Special Assistant, Milton Socolar. In that exchange, we explained that the documents responsive to your request must remain confidential. The President and his advisors must have complete and candid information on candidates for appointment in order to reach conclusions on recommendations and Presidential appointment decisions. This Executive interest in the confidentiality of the Presidential appointments process is a strong constitutionally-based interest which necessitates withholding the documents from the General Accounting Office.

In an effort to accommodate your stated interest in a review of the formation and operation of federal advisory committees, we have provided the General Accounting Office with a large number of documents relating to the AIDS Commission, including those which indicate the process for identification and resolution of potential conflicts. Your further request would require a serious breach of confidentiality which would seriously impair the deliberative process and the exercise of the President's appointment authority.

Accordingly, for the foregoing reasons, your request for access

to Personal Data Statement responses, 1, 3, and 7-15 for persons appointed to the President's AIDS Commission is denied in its entirety.

Sincerely,


Howard H. Baker, Jr.
Chief of Staff to the President

The Honorable Charles A. Bowsher
Comptroller General of the United States
U.S. General Accounting Office
Washington, D.C. 20548

WILLIAM F. CLINGER, JR., PENNSYLVANIA
CHAIRMAN

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MARSHALL "MARK" SANFORD, SOUTH CAROLINA
ROBERT L. SHRIVER, JR., MARYLAND

ONE HUNDRED FOURTH CONGRESS

Congress of the United States

House of Representatives

COMMITTEE ON GOVERNMENT REFORM AND OVERSIGHT

2157 RAYBURN HOUSE OFFICE BUILDING

WASHINGTON, DC 20515-6143

July 2, 1996

CARDISS COLLINS, ILLINOIS
RANKING MEMBER
HENRY A. WAXMAN, CALIFORNIA
TOM LANTOS, CALIFORNIA
ROBERT E. WHIT, JR., WEST VIRGINIA
MAJOR R. OWENS, NEW YORK
EDDOLPHUS TOWNE, NEW YORK
JOHN M. SPYRTZ, JR., SOUTH CAROLINA
LOUISE MCINTOSH SLAUGHTER, NEW YORK
PAUL E. HANCOCK, PENNSYLVANIA
GARY A. CONDY, CALIFORNIA
COLLIN C. PETERSON, MINNESOTA
KAREN L. THURMAN, FLORIDA
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THOMAS M. BARRETT, WISCONSIN
BARBARA-ROSE COLLINS, MICHIGAN
ELEANOR HOLMES NORTON, DC
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CARRIE P. MEER, FLORIDA
CHAKA FATTAL, PENNSYLVANIA
BILL K. BREWSTER, OKLAHOMA
TIM HOLDEN, PENNSYLVANIA

BERNARD SANDERS, VERMONT
INDEPENDENT

MAJORITY—(205) 275-5074
MINORITY—(202) 775-6051

Jack Quinn, Esquire
Counsel to the President
The White House
Washington, DC

Dear Mr. Quinn:

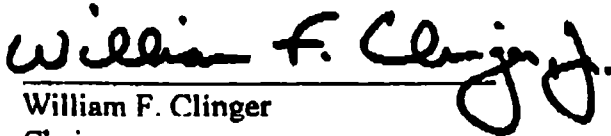
During the course of the Committee's investigation into the White House Travel Office matter, we have learned that the Office of White House Counsel developed and administered a "Personal Data Statement Questionnaire" to White House employees (see attached).

The opening statement on this questionnaire reads: "As part of the clearance procedures for your prospective position, please answer all of the following questions." The forty-three questions which follow pertain to personal data involving one's family, educational, and professional background. There is an additional section which includes questions relating to one's political party affiliation. The Government Reform and Oversight Subcommittee on Civil Service holds jurisdiction over all matters pertaining to federal employment. Thus, we request further clarification concerning who administered, received, and answered these questionnaires. Please supply the Committee with the following:

- 1) How many different versions of the Personal Data Statement Questionnaire have been used between January 20, 1993 and this date. Please enclose copies of each such version and indicate the specific period of time during which it was used.
- 2) To which employees or applicants were these questionnaires distributed? Which employees or applicants were directed to supply the information requested in the questionnaire? Please specify employees by position, by their employing office in the White House, and indicate whether each employee was appointed (or to be appointed) under title 3 or title 5 of the United States Code.
- 3) What offices at the White House used this questionnaire for employment screening purposes? Which components within the Executive Office of the President used the questionnaire for employment screening purposes.
- 4) Which offices and/or employees reviewed the completed questionnaires?

Thank you for your prompt attention to this matter. Please provide this information to the Committee by 3:00 P.M. on Friday, July 5, 1996.

Sincerely,



William F. Clinger

Chairman

Committee on Government Reform & Oversight



John L. Mica

Chairman

Subcommittee on Civil Service

DETERMINED TO BE AN
ADMINISTRATIVE MARKING
2006-1066-F

INITIALS: VZ DATE: 6/13/96

DRAFT -- Privileged & Confidential

July 17, 1996

The Honorable William F. Clinger, Jr.
Chairman
Committee on Government Reform and Oversight
2157 Rayburn House Office Building
U.S. House of Representatives
Washington, D.C. 20515

The Honorable John L. Mica
Chairman
Subcommittee on Civil Service
Committee on Government Reform and Oversight
B371C Rayburn House Office Building
U.S. House of Representatives
Washington, D.C. 20515

Dear Chairmen Clinger and Mica:

This responds to your letter of July 2, 1996 regarding the Personal Data Statement Questionnaire (PDS) provided to White House employees.

Because the White House Personnel Security Office is under seal during Independent Counsel Kenneth Starr's investigation of that Office, we are unable to provide you with copies of each version of the PDS that has been used by the Office. Nor are we able at this time to identify time periods during which particular versions have been used. As soon as we are able to access the Office, we will forward the documents and information you have requested. Of course, we are enclosing copies of all versions that we have at this time, which are enclosed at Tab 1.

Generally, the PDS has been used by the White House in conjunction with other personnel practices (e.g., security clearances and personal interviews) to determine suitability for particular positions. To the best of your knowledge, it has been provided only to employees appointed under Title 3 of the United States Code, which includes employees of the Executive Residence, and to non-career members of the Senior Executive Service (SES) within the Executive Office of the President (EOP).

The PDS is distributed to employees of the White House Office, the Office of Policy Development, and the Office of the Vice President by the White House Personnel Security Office, through the Office of Management and Administration and administrative contacts. A

7/17
Miriam -
Here's a draft.
Please comment
liberally. - Kathi

M
Please get
this to Miriam.
Thanks,
- K.

PDS is also requested during the vetting process of non-career SES who are employed by the Office of National Drug Control Policy (ONDCP), the Office of Science and Technology Policy (OSTP), and the United States Trade Representative (USTR).

The PDS's collected by the White House Personnel Security Office, which is part of the White House Counsel's Office, are reviewed by the Director of the Personnel Security Office and occasionally by attorneys in the White House Counsel's Office to ensure that individuals who have been selected for employment in the White House are suitable for such employment. Those collected by ONDCP, OSTP and USTR are reviewed by their respective general counsel's offices to determine suitability for appointment of their non-career SES officials.

Sincerely,

Jack Quinn
Counsel to the President

Enclosure

cc: Honorable Cardiss Collins
Honorable James Moran

PERSONAL DATA STATEMENT QUESTIONNAIRE

FROM: BERNIE NUSSBAUM
 COUNSEL TO THE PRESIDENT

As part of the clearance procedures for your prospective position, please answer all of the following questions (please do not respond "not applicable" or "N/A" if your response is "no" or "none.") In responding to the questions, please supply any information regarding your spouse or relatives that you deem to be relevant. Your responses to this questionnaire, which is not subject to public disclosure, will remain confidential.

You can type your responses in memorandum form on a separate sheet of paper with each answer corresponding to the number of the question. You do not need to retype the questions, but please sign and date the response.

Because your appointment cannot be finalized until all necessary reviews have been completed, please supply this information within 72 hours to **Angelina Walker** in my office. (Room 128, OEOB).

If you have any questions or need assistance in responding to this questionnaire, please do not hesitate to contact this office at 202-456-7900. Thank you for your cooperation.

Personal and Family Background

1. Please list your full name; home address and telephone number; office address and telephone number; date and place of birth; citizenship; and social security number.

2. Please identify your current marital status; spouse's name, citizenship, occupation, and current employer; and the names and ages of your children.

3. Do you have any medical conditions that could interfere with your ability to fulfill your duties? Please explain.

Professional and Educational Background

4. Please list each high school, college, and graduate school you attended; the dates of your attendance; and degrees awarded.
5. Please furnish a copy of your resume.
6. Please list chronologically activities, other than those listed on your resume, from which you have derived earned income (e.g., self-employment, consulting activities, writing, speaking, royalties, and honoraria) since age 21.
7. Please list each book, article, or other publication you have authored, individually or with others.
8. Identify each instance in which you have testified before Congress in a non-governmental capacity and specify the subject matter of each testimony.
9. Please list all corporations, partnerships, trusts, or other business entities with which you have ever been affiliated as an officer, director, trustee, partner, or holder of a significant equity or financial interest (i.e., any ownership interest of more than 5%), or whose decisions you had the ability to influence.

10. Please provide the names of all corporations, firms, partnerships, trusts, or other business enterprises, and all non-profit organizations and other institutions with which you are now, or during the past five years have been, affiliated as an advisor, attorney or consultant. It is only necessary to provide the names of major clients and any client matter in which you and your firm are involved that might present a potential conflict of interest with your proposed assignment. Please identify the clients by name unless it would breach a confidential relationship or duty respecting a privileged matter. In addition, please provide the names of any other organizations with which you were associated which might present a potential conflict or the appearance of conflict of interest with your prospective appointment.

11. Please describe any contractual or informal arrangement you may have made with any person or any business enterprise in regard to future employment or termination payments or financial benefits that will be provided you if you enter government employment.

12. If you are a member of any licensed profession or occupation (such as lawyer, doctor, accountant, insurance or real estate broker, etc.), please specify: the present status of each license; and whether any such license has ever been withdrawn, suspended, or revoked, and the reason therefor.

13. Does your spouse or any family member or business in which you, your spouse or any family members have a significant interest have any relationship with the federal government through contracts, consulting services, grants, loans or guarantees? If yes, please provide details.

14. If you have performed any work for and/or received any payments from any foreign government, business, or individual in the past 10 years, please describe the circumstances, and identify the source and amount.

15. Please list any registration as an agent for a foreign principal, or any exemption from such registration.

16. Have you ever registered as a lobbyist or other legislative agent to influence federal or state legislation or administrative acts? If yes, please supply details.

Tax and Financial Information

17. Please list all assets with a fair market value in excess of \$5,000 for you and for your spouse.

18. Please describe all real estate held in your name or in your spouse's name during the last six years, including any real estate held in combination with others or on your or your spouse's behalf in trust, by a nominee, or through any other title-holding entity.

19. Have you and your spouse filed all federal, state and local income tax returns?

20. Have you or your spouse ever filed a late income tax return without a valid extension? If so, describe the circumstances and the resolution of the matter.

21. Have you or your spouse ever paid any tax penalties? If so, describe the circumstances and the resolution of the matter.

22. Has a tax lien or other collection procedure ever been instituted against you or your spouse by federal, state or local authorities? If so, describe the circumstances and the resolution of the matter.

Public and Organizational Activities

23. Please list current and past political party affiliations.

24. Please list each membership you have had with any civic, social, charitable, educational, professional, fraternal, benevolent or religious organization, private club, or other membership organization (including any tax-exempt organization) during the past 10 years.

25. Have you or your spouse at any time belonged to any membership organization, including but not limited to those described in the preceding paragraph, that as a matter of policy or practice denied or restricted affiliation (as a matter of either policy or practice) based on race, sex, ethnic background, religious or sexual preference?

Legal and Administrative Proceedings and Filings

26. Please list any lawsuits you have brought as a plaintiff or which were brought against you as a defendant or third party. Include in this response any contested divorce proceedings or other domestic relations matters.

27. Please list and describe any administrative agency proceeding in which you have been involved as a party.

28. Please list any bankruptcy proceeding in which you or your spouse have been involved as a debtor.

29. Have you or your spouse ever been investigated by any federal, state, military or local law enforcement agency, or arrested for, charged with, or convicted of violating any federal, state or local law, regulation or ordinance (excluding traffic offenses for which the fine was less than \$100)? If so, please identify each such instance and supply details, including: date; place; law enforcement agency; and court.

30. Please list any complaint ever made against you or by any administrative agency, professional association or organization, or federal, state or local ethics agency, committee, or official.

Miscellaneous

31. Have you ever had any association with any person, group or business venture that could be used, even unfairly, to impugn or attack your character and qualifications for a government position?

32. Do you know anyone or any organization that might take any steps, overtly or covertly, fairly or unfairly, to criticize your appointment, including any news organization? If so, please identify and explain the basis for the potential criticism.

33. Please provide any other information, including information about other members of your family, that could suggest a conflict of interest or be a possible source of embarrassment to you, your family or the President.