

1 and evidence support that not only is this happening in
2 the United States, but in Europe, as well. We believe
3 there is a critical need to obtain valid, accurate,
4 clinical information for the public's decisionmaking.

5 In my opinion, there are two problems. The
6 first is a lack of acceptance by most physicians towards
7 the research and use of complementary medicine, and the
8 other is the lack of basic and clinical research in this
9 country. Researchers are not responding to the public
10 who are utilizing complementary medicine without
11 benefiting from clinical and scientific studies.

12 Because we feel this is so important, the
13 Samueli Foundation has funded two major programs. The
14 first, we created the Susan Samueli Center for
15 Complementary Medicine at the University of California in
16 Irvine. The center status was awarded in December 1999,
17 and we are presently in the second full year of
18 operation.

19 The mission of the center is twofold. One is
20 to focus on high quality scientific research, and the
21 other is the education of medical students, health care
22 professionals, and the community about complementary

1 medicine.

2 There are two key approaches to the research
3 component. One is the awarding of competitive grants in
4 basic research and complementary and alternative medicine
5 to members of the faculty of the College of Medicine.

6 These projects are expected to be focused on
7 integrative biology and outcomes research that have
8 direct clinical applicability. Amounts of up to \$100,000
9 can be awarded and thereby serve as seed money. The
10 intent is to help the investigator develop enough
11 preliminary data to strengthen subsequent proposals
12 submitted to other research-funding agencies, such as the
13 NIH.

14 The response of the faculty to this opportunity
15 has been impressive. Nineteen applications were received
16 in February 2000, of which the peer review committee
17 awarded 6. This calendar year, 14 grants were submitted,
18 and 5 were awarded.

19 The other key approach is the research
20 component in the creation of an analysis unit team. This
21 group conducts systematic reviews of the literature,
22 assessing all of the various media now available for the

1 purpose of identifying and writing a variety of
2 complementary and alternative therapies.

3 Their findings are archived with the potential
4 for dissemination to both health professionals and the
5 public. The education aspect of the center also has both
6 a public and a health professional purpose. The latter
7 includes a commitment to curriculum development for
8 medical students, integration of complementary and
9 alternative medicine skills and knowledge into the
10 appropriate primary care residency programs and an annual
11 symposium for the medical and nursing community.

12 The public education programs provide
13 information through a combination of community forums, a
14 website, and position papers, which analyze research
15 reports.

16 Now to our second major effort. This has been
17 our creation of the Samueli Institute for Information
18 Biology. This institute is currently being created as a
19 free-standing entity without direct or indirect
20 affiliation with any other academic or other nonprofit
21 organization or facility.

22 We anticipate it will be operational July 1st

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1 of this year, and you have in your notebooks a single-
2 page handout, which is the current vision statement of
3 the Samueli Institute. As you know, Dr. Wayne Jonas, a
4 member of this commission, will be the director of the
5 institute, and Dr. Ronald Chez, who is here with me
6 today, will be the deputy director.

7 At the present time, these two physicians serve
8 as consultants while completing their tenure and full-
9 time academic positions in their respective medical
10 schools.

11 Per the handout, we are using the term
12 "information biology" to refer to the interaction of
13 biological systems with non-molecular signals,
14 particularly those signals which are separated from their
15 molecular and electromagnetic carriers. The five bullets
16 indicate the major categories under that definition.

17 We will not be exclusively focusing on
18 supporting research only in complementary medicine,
19 rather, research in these categories lend themselves to
20 overlapping between complementary and conventional basic
21 science.

22 For instance, conventional science research

1 could include biophysics, cell signaling, and
2 neuroscience. The primary purpose of the institute will
3 be to support basic research in the four areas noted
4 under the heading of Science and Discoveries.

5 We are particularly interested in supporting
6 the work of senior authoritative investigators who have a
7 proven track record in their respective areas of
8 research. We believe these grants could be as long as
9 three to five years and be designed in such a way that
10 they investigators can enjoy a relative freedom of
11 exploration as a research evolves.

12 Initially, we will be soliciting grant
13 applications from a number of colleagues with whose work
14 we are familiar. These applications will be reviewed by
15 a Scientific Advisory Council in the fall of this year.
16 The Scientific Advisory Council will be composed of
17 individuals with outstanding credentials in research and
18 scientific method, although they may not necessarily be
19 identified primarily with complementary and alternative
20 medicine.

21 Similar to the NIH system of study sections,
22 each application will be critiqued by a primary and a

1 secondary reviewer, and this information will be
2 presented to the entire council. In some instances,
3 because of the area and the need for specific expertise,
4 the primary reviewer may not be a member of the
5 Scientific Advisory Council.

6 Different from the NIH system, the principal
7 investigator will be invited to his or her portion of the
8 meeting for the purpose of making a presentation and to
9 respond to questions. If the grant is approved, we will
10 assign a experienced investigator in the same field to be
11 the primary reviewer of the investigator's progress
12 reports. The Scientific Advisory Council will review
13 each grant on a yearly basis for the purpose of deciding
14 on continuation of financial support.

15 We are designing a plan that extends over a 10-
16 year framework. Our dominant goal is to grow the number
17 of investigators over that time frame. One of our long-
18 term goals is a translate the information obtained from
19 this funded research to human applications.

20 This will most likely involve the field of
21 biosensors, digital pharmacology, conditioned healing
22 spaces, and perhaps electromagnetic and homeopathic

1 treatments. The ultimate focus of the Samueli Institute
2 is not only to advance knowledge, but also to enhance
3 patients' quality of life, support their wellness, and
4 treat their illness.

5 In addition, the other activities of the
6 Samueli Institute will include the implementation and/or
7 co-sponsorship of annual or semiannual meetings of
8 relatively small groups of scientists, visionaries, and
9 philosophers, and just plain thinkers to explore various
10 topics.

11 As you see listed in your one-page handout,
12 these topics may include aspects of causation, placebo
13 effect, homeopathic action, spirituality, and
14 multidimensional spaces.

15 There were several other questions in your
16 invitation that I would like to address at this time.

17 I believe there are several real and potential
18 obstacles in achieving our goals. Access to money is
19 always a primary one. But second to money is the ability
20 for productive investigators to publish their research in
21 peer-reviewed journals.

22 I have been told that extremely competent,

1 world class experts in complementary and alternative
2 medicine have repeatedly had their data and even their
3 analysis and reviews of the literature rejected by peer-
4 reviewed journals whose primary readers are allopathic
5 physicians.

6 Therefore, I am delighted to see on your agenda
7 a commitment of time and thought to this fundamental
8 issue. At the Samueli Institute, we have every intention
9 of working together with other foundations in our areas
10 of research interest.

11 We anticipate most of the senior investigators,
12 who will be applying for funds from us, are already
13 supported by other sources. We, ourselves, will be
14 seeking partnerships with other foundations to pursue
15 specific projects of mutual interest.

16 Our approach to working with the federal
17 government is a proactive one, and we will engage in
18 specific liaison activity. We know that aspects of
19 information biology have specific interests for various
20 branches of our government, and we want to ensure
21 awareness of our efforts and potential.

22 In closing, please allow me to thank you for

1 this opportunity to present this information, and thank
2 you all for the important work you are doing.

3 **Panel Discussion**

4 DR. GORDON: Great. Thank you very much. It
5 is wonderful to feel the energy, feminine energy, sort of
6 moving out ahead, and not waiting for others, including
7 the government, to lead. So, I really appreciate that
8 tremendously.

9 I wanted to ask one question to begin with and
10 then open it up, and that is, Susan Braun, you raised the
11 issue of collaboration, and I know Bridget Duffy is very
12 interested. I wonder if the four of you could talk a
13 little bit about how you would see foundations working
14 together to set agendas and help move the whole field
15 ahead.

16 MS. BRAUN: With what we do, for example, in
17 breast cancer research, we are a part of a couple of
18 different consortia of funders where we get together on a
19 formal and an informal basis to discuss priorities in
20 funding, for example, criteria for review.

21 I think there are certainly those possibilities
22 that are somewhat conventional. I think there are

1 opportunities, though, that might even be a little bit
2 less conventional, for us to talk about, what the various
3 areas of research themselves really need to be, and
4 specifically, who, by virtue of their mission and to whom
5 they might be answerable, would be able to fund such
6 things.

7 As I talk about the Komen Foundation, we are
8 fairly unencumbered in a remarkable way in what we can
9 fund because we answer to the public primarily. We don't
10 have government funds, and we aren't funded by any
11 particular industry, and I think that is a type of
12 collaboration that we could look at within these groups
13 to say, okay, what does the whole puzzle look like or as
14 much of it as we can define, and who is best suited to
15 pose certain questions and/or fund the answering of those
16 questions.

17 DR. MILLER: When you take a look at the field
18 of health philanthropy, you will find organizations that
19 have varied agendas, some that focus just on health, some
20 that focus on community organizations, et cetera, but I
21 think that there is an opportunity for the Commission to
22 look at ways in which they can provide education for the

1 field of health philanthropy through an affinity
2 organization called Grantmakers in Health.

3 It is an organization that the Robert Wood
4 Johnson Foundation has been very active in, Medtronic, as
5 well, and also Susan G. Komen. They have a series of
6 policy and issue briefings that take place three to four
7 times a year, usually based here in Washington, that give
8 the opportunity for committees or commissions, such as
9 yourselves, to sit down and to talk with health
10 philanthropies about interest, evidence based, so that
11 there can be an educational process there, so that we can
12 I think develop more interest in CAM, and I would take a
13 look at that recommendation.

14 DR. GORDON: I would right now, on behalf of
15 the Commission, like to say we would welcome that
16 opportunity for all of us or a small group of us to come.

17 DR. DUFFY: We are already working to put a
18 panel together on this subject soon.

19 DR. MILLER: Yes, and I am on the board of
20 directors for Grantmakers in Health, if anyone would like
21 to have any individual discussions about that.

22 DR. GORDON: That would be great. Thank you.

1 DR. DUFFY: In answer to your question, I think
2 there is great opportunity for philanthropy to
3 collaborate, and I am just struck by the number of
4 philanthropists, large organizations, small
5 organizations. I think that there is a need for a
6 clearinghouse, so that we know who we are and where we
7 are, and can identify our specific areas of interest, and
8 then divide and conquer.

9 Several of us here in the room had an
10 opportunity to participate in something like that three
11 weeks ago, where one philanthropist in particular, a
12 woman, Penny George, was frustrated, she felt like
13 Sisyphus holding the boulder at the side of the hill with
14 her grant dollars, and she said I want to do more and I
15 want to make it happen more quickly in health care.

16 So, we convened 15 philanthropists and 15
17 leaders in health care to have a conversation about that
18 very question, how could this collaboration help move
19 this faster.

20 So, I think there is a need for that and we
21 need to do something about it.

22 MS. SAMUELI: We are the new kids on the block,

1 so I think we are hoping because of what we are doing is
2 so innovative and creative that we are going to be able
3 to draw from other foundations to collaborate with us.

4 DR. GORDON: Great. Thank you very much.
5 Dean.

6 DR. ORNISH: My first comment is just one of
7 appreciation, how exciting it is for me, and I think for
8 most of us here, just to hear of the work you are doing,
9 and just acknowledge it, how important it is and what a
10 difference you are making. So, thank you.

11 I was also going to ask about this issue about
12 the consortia. Bridget and I talked about this briefly
13 before, but if there is any kind of forum, whether it is
14 Grantmakers in Health or in any other format, it would be
15 wonderful if it could be both experiential, as well as
16 didactic, because I think that one of the things that is
17 the most influential in getting people motivated and
18 understanding some of the power of these simple
19 techniques is to experience them themselves, and I would
20 just ask you to consider that.

21 DR. DUFFY: Dean, my greatest obstacle in
22 building this was really internal, and so for a year and

1 a half I tried to get this big device company on-board,
2 and it wasn't until we did a site visit to a center
3 where, instead of sitting in a boardroom all day looking
4 at slides on integrative medicine, these enlightened
5 physicians had my board lay on the table.

6 They came out that afternoon, they are in the
7 back of the limo on the way to the airport, and their
8 ties are to the side, and they said to me, "Bridget, we
9 finally get what you are doing and what this is about,"
10 and I was like yes.

11 So, that is when I realized it has to be
12 experiential. You know, in an airport Hilton, I can't
13 inform 200 sales guys from Medtronic about what it is
14 their patients are seeking. I think people have to
15 experience what it is that their patients are seeking and
16 paying a lot of money for.

17 DR. GORDON: Thank you.

18 Joe.

19 DR. PIZZORNO: I would like to ask for your
20 help. I think what you are doing is laudable, and I
21 think all of us in this room want to see medicine advance
22 and see conventional medicine regain some of its soul.

1 The reality is that 600 million visits to
2 complementary and alternative medicine care providers is
3 being provided by CAM providers, over 200,000 of them in
4 the United States, and they are the ones who are experts
5 in this, they are the ones who have kept this medicine
6 alive for a century and a half, when conventional
7 medicine has done everything it could to destroy it.

8 Now, we have talked about integrative care
9 clinics. That is a great idea, but in reality, this care
10 can be provided within communities, integrated within
11 communities, which means conventional practitioners
12 working with CAM practitioners and physicians.

13 We need your foundations to pay attention to
14 the CAM professionals and the CAM institutions, as well,
15 because we need to advance these healing arts. Again,
16 the majority of the care will be provided by us CAM
17 professionals. We need your assistance also. How can
18 that happen?

19 I am speaking from experience as the Founding
20 President of Bastyr University that foundations have
21 virtually always said no to every request that we have
22 made. This has to change. How can we do that?

1 MS. BRAUN: I realize that is probably a
2 rhetorical question, but one comment about that, again,
3 as we look at our mission, it is to fund those issues of
4 science, but that are of most pressing concern and need
5 to our lay constituents, and within the world of cancer
6 and within the world of breast cancer that we
7 specifically operate in, we know that some of the most
8 pressing questions are what else is there in my healing.

9 I understand a bit about chemotherapy, a
10 patient might say, and chemotherapy is perhaps one of the
11 most toxic of all of the kinds of medicine, traditional
12 medicine that are employed, so they seek to have, not
13 only an understanding of their healing, but how do they
14 deal with the traditional healing.

15 So, we know that these are people, we know from
16 studies and we know from anecdote, these are people who
17 make a great deal of use of complementary and alternative
18 providers. So, we are pushed by them, and I think what
19 we can do is tap into what is truly a public demand if we
20 are going to then embrace and wrap the science around it,
21 so that we come at it from both sides.

22 DR. DUFFY: We have to fund some pilots that

1 work, and there is one we are trying to build in
2 Minneapolis. There is a Powder Horn Community Clinic
3 that I think is probably one of the best models in the
4 world, and it is the only one that doesn't require you to
5 see a physician, it is not just a bunch of CAM
6 practitioners with a bunch of M.D.'s all put in a box
7 together and trying to alter reimbursement, which is what
8 a lot of the models are.

9 So, I think what the goal is, is how do we
10 connect individuals to the community of healers that they
11 need to heal, and it may not be that we have to open a
12 clinic that has an M.D., an acupuncturist, chiropractor
13 all in the same place. It may be a way that we connect
14 them in different ways and we have to figure that out.

15 I can't agree with you more, and I don't know
16 the answer to your question, but we need to try.

17 DR. MILLER: I think from the perspective of
18 Robert Wood Johnson, as I said, we have a translational
19 agenda in terms of our programmatic funding where the
20 evidence base exists. We are very interested in funding
21 projects that will help in widespread implementation.

22 If you take a look at the projects that are in

1 your appendix in terms of both mind-body and other areas
2 where an evidence base exists, that we have done some
3 work in that area, but I think that you pose a very
4 important challenge and possibly we need to do more.

5 DR. GORDON: Wayne.

6 DR. JONAS: I want to echo Dean and other's
7 sentiments, thanking you all for the spirit in which you
8 operate, one of philanthropy and generosity. I think the
9 quality of the resources has somehow changed by having
10 that spirit and may, in fact, go farther than other types
11 of resources in getting towards understanding healing and
12 having healing as a fundamental concept.

13 I was glad to hear that I heard at least four
14 times the word "healing" and focusing on healing to help
15 us understand what it is all the way from the basic
16 science level up to the actual application level in terms
17 of personal interactions because I think if anything is
18 going to help us in our current chronic illness, it will
19 be supplementing our current medical system with the
20 practice of healing as a way of approaching and treating
21 chronic disease.

22 My question to you is how is your effort any

1 different than what goes on from the government, or how
2 does it relate to what goes on from the government. We
3 are primarily involved in making policy that comes from
4 the government. Are you in some sense supplementing what
5 the government can't provide, but going along the same
6 lines, or are you doing something fundamentally
7 different, or is your process in some way fundamentally
8 different that makes it more likely that there will be
9 breakthroughs or utility? Any, all?

10 MS. BRAUN: Two things. One is at the Komen
11 Foundation we follow and we are one of the NIH-
12 accredited, I guess, grantmaking organizations, so we do
13 follow the model with respect to our basic peer reviewed
14 grant program, but in addition to that, we have a couple
15 of other mechanisms for grantmaking, so it may be that
16 that is one differential.

17 We have an ability also to change topics, not
18 on a whim by any means, by certainly in keeping with the
19 demand of the public. So, I believe that that is a
20 little bit different is that ability to change direction
21 perhaps a bit more quickly.

22 Then, the other thing again, as I have said, is

1 our funds are for the most part unrestricted, so that we
2 can work in the precise needs that have been identified,
3 and I guess complementary to what the government does, at
4 least in our model, we work with especially the National
5 Cancer Institute and the Department of Defense in our
6 case because they are both the major funders of breast
7 cancer research, and work them to see what they are
8 doing, and not to be duplicative.

9 There are also some things that they will
10 forthrightly say to us we can't fund this, can you,
11 because it is not something as a government agency we can
12 do, but we feel it is important to be done. So, we work
13 in that way collaboratively.

14 But I think back to the community and the
15 question that was raised. We also fund a huge number of
16 programs within the 115 communities where we exist, and I
17 think that is also something that is remarkably different
18 from the government model, is that reach within the
19 community and the way that things bubble up, as well as
20 filter down, so perhaps that is a mechanism.

21 I think you will see that most philanthropic
22 organizations do have that strong reach into the

1 community.

2 DR. GORDON: I wanted to say just a couple of
3 words about that. As I chaired the Committee on Research
4 Grants and CAM last year, I think that is precisely a
5 group that CAM practitioners should apply to. We looked
6 at the grants, there was no particular discrimination or
7 prejudice because of the degrees, it was simply the
8 expertise of the participants.

9 So, I think part of the issue is making more
10 widely available some of the information about
11 foundations that is out there, so that a dialogue can
12 start about some of these issues, and some of the
13 misconceptions can be cleared up.

14 The other thing that I want to say about Komen
15 is that your turnaround time is about 1/50th of the
16 government's.

17 MS. BRAUN: I didn't really want to raise that.

18 [Laughter.]

19 DR. GORDON: So, it is very effective. You
20 apply, you either take the grant or you don't take the
21 grant, and you know in a few weeks. I think that is a
22 great blessing especially in this field where things are

1 moving very fast and we are really trying to go with what
2 is important at that time. So, I just wanted to mention
3 that.

4 George, you had a question.

5 DR. BERNIER: Yes. I would like to comment
6 that it is very striking that three of the four of the
7 presenters really deal with fairly narrow, potentially
8 solvable problems, and I am sure that is part of the
9 attraction.

10 I do wonder, though, if down the road, you
11 would consider, and I guess, Dr. Duffy, you would be the
12 most likely one, that you have gone from a one area of
13 interest to two areas in the relatively recent past.

14 Do you see this as an evolving process whereby
15 you may well start touching territory in areas outside
16 neurosciences or cardiology?

17 DR. DUFFY: I think most likely not, because
18 the core mission of the company is to provide life-long
19 solutions for people with heart and brain disease, so our
20 foundation tries to do things complementary to what the
21 corporate side does, however, I think the volume of
22 people that we impact with heart disease and neurologic

1 conditions is so large that I don't see that we can
2 hardly make a dent in it, so we have got a lot to do.

3 So, it is frustrating for me because I do see
4 people within the community and a lot of grass-roots
5 efforts who I believe are really making a difference in
6 building the model, but because of the people we serve, I
7 tend to have to go with cardiovascular centers and
8 neurologic centers because it is in line with our
9 mission.

10 But I believe that through collaboration with
11 other foundations and philanthropy that we could identify
12 some key next partners or projects to fund that would be
13 complementary to what we are doing, so I would see a role
14 within a hub of people that looked at exploring, reaching
15 out more broadly.

16 DR. BERNIER: I would like very much to
17 compliment you and thank you for your contributions
18 today.

19 MS. BRAUN: May I just add one comment to that,
20 as well, that we found because the interest in breast
21 cancer, I guess, has remained so strong, and we do focus
22 exclusively on breast cancer, but because we have been

1 relatively successful in garnering public interest and
2 support, that it is also incumbent upon us to, as we do
3 things within the world of breast cancer, structure them
4 such that they can either serve as models for other
5 diseases or for other cancers, and pave the way for
6 others to hopefully, as they go along, whether it is
7 within research of community outreach, to have to do less
8 work, and we have actually structured our organization
9 such that the core competencies that we have will be made
10 available to other organizations, so that they will not
11 have to reinvent wheels as they go along, but can focus
12 upon their mission.

13 So, I think that may be a way rather than
14 reaching out specifically into other diseases or areas
15 that you will see foundations working, is to trying to
16 provide what they have learned to those entrepreneurs who
17 are coming into other areas.

18 DR. GORDON: Wayne.

19 DR. JONAS: I was hoping some of the others
20 would comment on whether there is any kind of qualitative
21 difference in what you are doing compared to what the
22 government is doing. I mean you often hear from, not

1 just CAM researchers, but a lot of people in research who
2 are trying to do very innovative things that they can't
3 get it from the normal processes because they are rather
4 restricted in terms of what they will fund, not really
5 into high-risk type of innovative areas.

6 I am just wondering, are your foundations
7 addressing this in any way.

8 DR. MILLER: A lot of it depends upon the
9 foundation, and because of my work in GIH, I have got a
10 bit of a scope on what philanthropies do and their
11 approach to grantmaking. At RWJ, 75 percent of the work
12 that we do is through a targeted, solicited
13 authorization, usually programs that have been developed
14 in-house, but we do reserve 25 percent of our annual
15 grantmaking for unsolicited, over-the-transom proposals
16 as long as they fit into one of the general goal areas of
17 access - chronic care or substance abuse.

18 The issue that Commissioner Gordon raised a
19 little while ago regarding flexibility is a very
20 important one in terms of turnaround time for proposals.
21 We are not as fast as Komen, but we are certainly not as
22 slow as the federal government in terms of funding

1 projects.

2 But the other issue that I think is a very
3 important one for health philanthropy is the issue of
4 risk taking, and funding things that the federal
5 government cannot fund.

6 We have done a lot of work and actually at one
7 point were the sole founders in the areas of both tobacco
8 and also end-of-life work at the Robert Wood Johnson
9 Foundation, and I think that if you are able to create
10 that kind of leadership or niche within a particular
11 foundation -- I know that Fetzner was not able to attend
12 the meetings today, but think about that kind of focus
13 and helping to lead a foundation and a foundation's board
14 in a way that can help to promote the field would be very
15 useful.

16 DR. DUFFY: I agree. I think that we target
17 people that take risk and are innovative, and, in fact, I
18 think that what you are doing is really cutting edge, and
19 one center that we are funding couldn't get funding from
20 any other place because they are looking at issues of
21 energy research.

22 I think there is an opportunity, when coupled

1 in an organization that there is very conventional
2 treatment and they have visionary people who are looking
3 on the edge, that we can help get them to a place where
4 they can then qualify for funding through the government
5 to take that research to another level.

6 I think that the other thing that we can do is
7 help make inroads to other entities that are doing
8 research in this. For example, I presented on a panel
9 with Dr. Eisenberg and some others at the National
10 Academy of Engineering and Science a month or two ago.

11 There were 400 people in the audience, mostly
12 men, and we were on after the talk on nanoelectrical,
13 microprocessor chip something, and I thought we are going
14 to get laughed off the stage. Our talk was forces
15 driving patients into models of health care, and at the
16 end of our presentation, we were mobbed.

17 I came home with like 20 cards in my pocket
18 from these basic science researchers who are looking at
19 the bioelectrical chemical mechanism of connections
20 between the heart and the brain, and energy, and I was
21 just blown away by what is already going on out there.

22 I think that we can play a role in connecting

1 these people doing the research that can get to a level
2 where the government will support it.

3 MS. SAMUELI: If I could add just one more
4 thing, the creation of the institute was to do just that,
5 we wanted to seed innovative and creative projects, so
6 that the government could see what is out there and that
7 people were willing to seed these projects to add to the
8 credibility of CAM.

9 So, that is exactly what we are trying to do is
10 to be innovative and flexible.

11 DR. JONAS: Dr. Duffy, would you mind giving
12 those cards to Dr. Samueli?

13 [Laughter.]

14 DR. GORDON: I want to thank you all. I want
15 to make a couple of suggestions and ask for your ongoing
16 help. The suggestions are one of the things that is very
17 important to us in reaching out to foundations, and
18 several people have said it or hinted at it, is that if
19 we can be there to share with you, and share the
20 experience, as well as the knowledge, hopefully, the
21 wisdom as well as the knowledge, that that will go a long
22 way, and that can be very important to all of us.

1 Secondly, I think that you are in a wonderful
2 position, all of you, to speak with other foundations,
3 and the foundation people, as you know, trust each other
4 or at least get along with each other in a way that is
5 different from people who are potentially coming for
6 money from you.

7 So, part of what we are doing in a sense is
8 entrusting part of our mission to you, is to reach out
9 and to share with people your experience and the
10 possibilities that you see, and the implications, not
11 only for complementary and alternative medicine, but for
12 informing medicine and health care and healing that you
13 see.

14 Finally, we would love to talk with you further
15 because as we come out with recommendations in the
16 Interim Report and in the Final Report, that is only
17 going to go as far as the people in this country take it,
18 and you are, in various ways, in touch with many people
19 in many different ways.

20 So, we would like to have the opportunity to
21 share with you that information to get your input about
22 it and for all of us to partner in trying to move the

1 field ahead.

2 So, thank you very much for coming and for
3 sharing so much with us.

4 [Applause.]

5 DR. GORDON: We are going to take a 15-minute
6 break and then we will come back with the next panel.

7 [Recess.]

8 **Panel Session III**

9 **Approaches to Evaluating Research Literature**

10 **Presenter: Donald A. Lindberg, M.D.**

11 DR. LINDBERG: Good afternoon. I am happy to
12 appear before you. If you like, I will make a statement
13 and then take questions. Is that suitable? Okay.

14 In writing, you asked about the policies of the
15 National Library of Medicine with respect to scientific
16 literature on complementary and alternative medicine.

17 At a previous hearing, you already met with
18 Sheldon Kotzin, one of our technical people at the
19 Library, and he has given you a briefing, so I will
20 attempt to go somewhat beyond that in what I say, but I
21 would be happy to take questions on any portion of our
22 work.

1 The National Library of Medicine is the biggest
2 medical library in the world. It actually began its
3 existence in the United States in 1836, so it has been in
4 the business of collecting physical prints and photos and
5 journals and books for all of these many years.

6 It pioneered the use of electronics and
7 indexing that literature starting in 1964, and made those
8 available throughout the world basically starting in
9 1970. I will say a little bit more about recent
10 advances.

11 But I mention this because it is the mission of
12 the Library to acquire, organize, and disseminate the
13 biomedical knowledge of the world for the benefit of the
14 public health, so we, of course, include the area of your
15 concern along with the other older areas.

16 In fact, I have been there only since 1984, but
17 in those roughly 16 years, a number of new institutes
18 have been created, a number of new fields have been
19 created, a number of regrettable elements like AIDS have
20 been discovered and are being attacked. We are returning
21 to the attack on malaria, an old enemy.

22 So, things have changed and the Library changes

1 with them, and we always ask ourselves two things when we
2 recognize a new field, as we did with complementary and
3 alternative medicine - firstly, what is the status of our
4 collection; secondly, what is the status of the
5 electronic indexing; thirdly, is the terminology we are
6 using to describe this field suitable.

7 So, that is sort of our approach to it. As
8 you, I am sure, realize and would approve, libraries
9 don't write books, they organize them, and we don't write
10 journals, we organize the literature.

11 The literature is pretty big. We add, for
12 instance, the MEDLINE 450,000, say, half a million
13 articles per year, and the terminology to organize those
14 things is roughly 500,000 to a million terms or concepts.

15 In recent times, the advent of Internet and
16 World Wide Web and the electronic technologies have
17 allowed us to include in the list of users, patients,
18 families and the public, so that since starting to make
19 MEDLINE available on Internet in '97, and making it free
20 since 1998 -- Mr. Gore did the first search, as a matter
21 of fact, first free search -- our usage has gone from 7
22 million a year to, within a year, 75 million, the next

1 year 120 million, the next 240 million searches per year
2 on the database.

3 Mostly, this is the United States, but in areas
4 like molecular medicine, it is half and half, perhaps a
5 little bit larger overseas, but, of course, half the data
6 comes from overseas, too.

7 So, more specifically with respect to the CAM
8 area, at the time that Wayne Jonas was director of the
9 National Center, we offered him the opportunity to talk
10 with our Board of Regents, and in the course of that, he
11 described to us a list of journals, which was then 695
12 journals that he and his colleagues had felt were
13 important for CAM.

14 So, we sent that list. We did two things. We,
15 first of all, began to examine our own collection, asking
16 the questions that I mentioned to you, do we own these
17 things, and so forth, and then we sent those out to 14
18 research centers in CAM, in the U.S. and abroad, to get
19 an opinion on which are most important and give us some
20 guidance.

21 Well, it turned out that we already owned 79
22 percent of all those journals and had since their

1 inception, and it is a big library.

2 Based on consultation from the Centers, we
3 immediately added roughly a dozen journals to the routine
4 collection and reviewed the question of which are to be
5 indexed electronically. In more recent days, of course,
6 Dr. Strauss has taken over the Center, and working with
7 NCCAM and NIH, we have jointly produced a database aimed
8 at the public for the most part, but also practitioners
9 and scientists, of complementary and alternative medicine
10 articles on MEDLINE, so that is to say we are working as
11 partners.

12 This has been very, very helpful to us because
13 we can look to him and his colleagues and consultants and
14 funded centers for guidance as to the quality, if you
15 will, and we know how to do the electronic part. So, I
16 think it is a pretty good result.

17 There are roughly 230,000 citations on that
18 sort of pared-down, high-quality database.

19 Now, questions that, Mr. Chairman, you asked in
20 writing to me had to do with the process for selecting
21 new journals either for the collection or for inclusion
22 in MEDLINE, so perhaps just for the moment I will tell

1 you about that.

2 There is an NIH-chartered committee, that is to
3 say, compliant with the various federal advisory
4 committee rules and regulations, proper committee, in
5 other words, called the Literature Selection Technical
6 Review Committee, LSTRC:

7 It reviews all new journal titles that we
8 undertake to include. They meet three times a year. The
9 skills and backgrounds of the persons vary, but they
10 include a host of medical specialties, medical
11 librarianship, public health, basic science.

12 It isn't, of course, like any study section,
13 necessary to include all knowledge in the heads of a few
14 people around a table or a dozen people around the table,
15 so they will also get collateral reviews whenever a
16 particular journal warrants it.

17 In addition to that, each year we review one or
18 two major fields. So, for instance, last year we did
19 pharmacology, and this year we are doing public health,
20 and I can describe in more detail how that is
21 accomplished.

22 What else would be of interest to you - the

1 success rate. I think I mentioned that they look at
2 roughly 140 new journals each quarter that they meet. I
3 mean it is rather amazing how fast journals come into
4 existence.

5 They don't go out of existence with quite the
6 same speed, so there is an accumulation, but there is a
7 lot to look at, and the success rate is roughly 25 to 30
8 percent, so it is almost like grants, and we will
9 undertake to include those which are the most important
10 or of highest priority, perhaps I should say.

11 You also asked, Mr. Chairman, in writing, what
12 are the criteria which we would use to judge a journal,
13 and so forth, so I should tell you that the most
14 important one centers on the content of the journal, the
15 scientific content of the journal, and it is desirable,
16 of course, that -- I guess the terminology we would use
17 in our published criteria are we look at the validity,
18 importance, and originality of the contribution.

19 My colleague, George Lindberg, who used to be
20 editor-in-chief of JAMA, said it in a much snappier way.
21 He said when we get a submission of an article, I ask is
22 it new, is it true, is it important, and if it is all

1 three, it might get in JAMA, also won't. So, I suppose
2 we are really looking at much the same criteria.

3 In general, the editorial work on a publication
4 is of some interest, as well. You want it to be well
5 presented, you want it to be on acid-free paper, for
6 example. There is no point in subscribing to and buying
7 stuff that is just a liability.

8 I think I mentioned that 55 percent of the
9 journals themselves in MEDLINE are from outside the
10 United States, so we attempt, as I said, to bring the
11 knowledge of the world to the U.S.

12 In closing, there is an additional effort that
13 NLM is making that you might possibly have an interest
14 in, even though, of course, you didn't ask for this
15 specifically. We have taken a great shine to the idea
16 that we would like to try to do as good a job for the
17 public, for patients, families, and the public as we have
18 historically done for scientists and doctors. I mean it
19 is much more difficult to serve the public. So, we are
20 still learning quite how to do that.

21 Most recently, we have started working with
22 public libraries because there are databases that we

1 produce - MEDLINEplus is aimed at the public,
2 clinicaltrials.gov is aimed at the public, but it is said
3 that only about half of Americans actually have computers
4 and connectivity, so the others we wish to serve, as
5 well, and so partly the public library program is aimed
6 in that direction.

7 In each case, we will have a medical library, a
8 public library, and then a study to see how can we -- we
9 are trying to help both of those -- enhance the ability
10 of members of the public to get biomedical information.
11 There are more public libraries than medical libraries,
12 obviously. So, we have funded I think it is 64 grants in
13 34 states, thus far with a very encouraging result.

14 While we are not pushing CAM or pushing
15 anything else, we are pushing ourselves to try to
16 increase the likelihood that a user can get what he or
17 she wants in the way of information about science and
18 health from the library system.

19 I think you probably share this goal with us,
20 and I thank you for the chance to be here.

21 DR. GORDON: Thank you very much for your work
22 and for coming here, Dr. Lindberg.

1 Douglas Kamerow.

2 **Presenter: Douglas B. Kamerow, M.D., M.P.H.**

3 DR. KAMEROW: Thank you, Mr. Chairman. I am
4 pleased to be here representing the Agency for Healthcare
5 Research and Quality, which is the smallest agency of the
6 Public Health Service.

7 If you look at your list of speakers, you will
8 see that you have listed the old name of the agency,
9 which is the Agency for Health Care Policy and Research,
10 so we have changed our name, and my e-mail address is
11 also wrong in there, so if you want to e-mail me, the
12 correct e-mail address is my name at AHRQ.gov.

13 I have got handout that I think was distributed
14 to you as a set of PowerPoint slides, and I want to go
15 over that very briefly. I will skip around a little bit
16 because I don't think I can cover it all in 10 minutes,
17 and I do want to get to the questions that you asked us.

18 As I said, the agency is the smallest in the
19 Public Health Service, and our job, like NIH's, is to do
20 research, but we focus specifically on health services
21 research. As you can see from the third slide,
22 basically, what we do is support the research that

1 improves access to care, reduces its cost, improves its
2 outcomes, tries to improve its quality, and appropriate
3 use of health care services.

4 There is a cute little water faucet down there
5 a little below that tries to show the pipeline of our
6 research, and if you have good eyes, you can read what is
7 inside of that. If you can't, the idea is that we move
8 through new research on priority health issues, through
9 creating tools and training folks, then translating that
10 research into practice, and the goal coming out of the
11 faucet is improved outcomes, better quality, greater
12 access, and appropriate cost and use.

13 The agency really has focused very little on
14 CAM activities. We have done a bit of research in that
15 area. What we are doing mainly now is two things. One
16 is surveys. That is, we have a large Medical Expenditure
17 Panel Survey that is the biggest health expenditure
18 survey in the country and the most accurate.

19 One of the nice parts of that survey is we ask
20 people about their use of various practitioners, and I
21 have got some slides on that, so if you want to know
22 about that, it is a useful way. As the survey comes out

1 in various iterations, we are now in the '97 survey that
2 is being released, '98 will be out soon, and then
3 followed by '99 as they lag a little bit, but you can
4 find a fair amount about who uses CAM practitioners and
5 for what concerns that they saw them.

6 There is nothing on outcomes of care in that
7 survey. We have done some outcomes research, but not a
8 whole lot. It has mainly been in the area of
9 chiropractic, mainly in the area of back pain, looking at
10 acupuncture and chiropractic medicine.

11 The focus of our activities besides those two
12 has been on what we call synthesis here, and the part of
13 the agency that I am in charge of does that kind of
14 synthesis. If you turn over the page to the next one, to
15 the very bottom of that page, that is page 2 in the
16 handout, you can see that it has a little logo and
17 describes our evidence-based practice centers.

18 About four years ago now, we created 12 centers
19 around North America, and their job is to produce
20 systematic reviews. Systematic reviews is not like the
21 kind of general literature review that you see in a
22 journal, at least not the kind that you used to see in

1 journals, and if you go to the middle of page 3, you will
2 see a definition of the word "systematic review."

3 They are concise summaries of the best
4 available evidence addressing sharply defined clinical
5 questions, using explicit and rigorous methods to
6 identify, then appraise, and then synthesize the studies.

7 So, you don't just gather them up. First of
8 all, you systematically gather them up. You have to in a
9 lot of cases -- and CAM is a good example -- really dig
10 around to find things, and I will come back to that in a
11 minute.

12 Then, you have to set up some sort of rating
13 scale to decide how you are going to evaluate those
14 studies. Once you do that, you are going to rate the
15 studies and then come to some sort of conclusion.

16 Sometimes that means using fancy techniques,
17 such as meta-analysis or decision analysis. Sometimes
18 you can't do that for various technical reasons. You
19 basically just display in a series of tables what you
20 have found and try to come to some conclusions.

21 We call these things evidence reports because
22 we don't make recommendations. The agency used to make

1 clinical practice guidelines that had recommendations,
2 but what we do now is try to supply what we think is the
3 front end of these kinds of recommendations, so that
4 organizations, whether they are clinical organizations or
5 states, or whether they are health plans, whether they
6 are groups of practitioners, whoever they are, they can
7 then take the stuff that they ask for, because we do
8 these when we are requested to do them, and they can make
9 their own recommendations.

10 What we do is try to supply to them and to
11 everybody on the web, evidence reports and good
12 syntheses, so what they look like - here are a few that
13 have been done, and I will be glad to pass them around.

14 Here is one on garlic. They come in two
15 versions, the turgid and the brief, the mercifully brief.
16 So, I will pass the two around, and you can take a look.
17 Here is one on milk thistle and it is whether it works to
18 help liver disease, and we have some other ones that are
19 coming out, as well. I will just pass them around.

20 I guess the point that I want to conclude with,
21 and I will be glad to answer your questions after we have
22 finished, are a couple of things. First of all, we have

1 got an agreement with NCCAM at NIH to do this work on
2 selected topics that they are interested in, and you will
3 see on the last page of my slides that we have chosen one
4 of our evidence-based practice centers.

5 This happens to be the one in Southern
6 California, which is a consortium of folks led by Rand,
7 to do a number of topics for NCCAM when they ask for
8 them, and you can see the topics that we are working on
9 now, some of which are done, some of which are in
10 process.

11 They have asked us for these, not so much
12 because they expect to find the answer, that is, they
13 don't really expect that after a systematic review, they
14 are going to find that this particular substance is
15 perfect and should be used for treating that, although
16 that may happen, and occasionally it will.

17 What they are really looking for, and I think
18 in some ways most excited about, is to find areas where
19 more research is needed, the areas where there is good
20 beginnings of evidence, something that lets people know
21 that there is probably something to this idea that people
22 are talking about, whether they are maybe small trials or

1 other kinds of case series that really wouldn't pass the
2 test of very strong evidence, but something that NIH can
3 then turn around and do a carefully done trial, because
4 we don't have the resources at the agency, we don't do
5 many clinical trials. It takes a lot of money to do
6 clinical trials obviously. But we help them, we think,
7 set their research agenda for doing that.

8 The third thing we can help them with is
9 methods and bibliographic research, so that we can try to
10 push forward the ideas of how you go about analyzing data
11 like this, because there is definitely an art, as well as
12 a science, to finding the CAM literature.

13 For instance, we just completed one on
14 Ayurvedic medicine, which is, at least in its origins and
15 still a lot of it is practiced in India, so Rand had to
16 get someone to India and go through libraries there to
17 find language, English language and other kinds of
18 literature about Ayurvedic medicine.

19 They found a lot, and they found more on
20 diabetes than anything else, but still there was no true
21 answer, but there was a lot of interesting indications in
22 a report that is still forthcoming, we are not quite

1 finished with it.

2 But it is an interesting process, it has taken
3 us a little bit of a departure from how we set up this
4 program, but we think it is one that has been useful in
5 NIH and we hope useful to others, as well.

6 So, that is all I wanted to say and I am glad
7 to answer questions when we are done.

8 DR. GORDON: Great. Thank you very much. I
9 certainly understand more now. That is great.

10 Brian Berman is next. Brian is going to assume
11 two different identities or two slightly different
12 identities. He is going to talk both about the Cochrane
13 Collaborative and also about doing research in an
14 academic medical center, so he will have more time than
15 the other presenters.

16 Brian.

17 **Presenter: Brian Berman, M.D.**

18 DR. BERMAN: Thank you, Mr. Chairman, members
19 of the Commission. I am honored to be given the
20 opportunity to speak with you today on the evaluation of
21 CAM research literature and the role of the Cochrane
22 Collaboration, and as Jim said, the issues in bringing in

1 research into an academic health center.

2 I am a board-certified family physician and
3 pain management specialist who has been integrating a
4 number of these CAM modalities into my practice over the
5 past 18 years.

6 I am also Director of the University of
7 Maryland's Complementary Medicine Program and principal
8 investigator on an NIH specialized center research in
9 complementary medicine focused on arthritis and principal
10 investigator on one of the large clinical trials in
11 osteoarthritis and acupuncture, so today I will be
12 wearing more of my research hat.

13 One of the main activities of our center is the
14 investigation of the safety, efficacy, and mechanisms of
15 CAM therapies for pain-related problems. We design and
16 conduct original research trials in addition to
17 systematically distilling the best evidence available
18 worldwide and disseminating these also to the public and
19 scientific communities.

20 So, the first half of what I will be talking to
21 you about is the Cochrane Collaboration and evaluation of
22 the literature. Some of you may be familiar with the

1 Cochrane Collaboration. It is an international nonprofit
2 organization that prepares, maintains, and disseminates
3 systematic up-to-date reviews of health care
4 interventions.

5 It started in '93 from a challenge by Archie
6 Cochrane, an epidemiologist in Oxford, England, who
7 recognized that people who want to make more informed
8 decisions about health care do not have access to
9 reliable reviews of the available evidence.

10 So, the Cochrane Collaboration aims for
11 information that is evidence based, easily accessible,
12 internationally developed, quality controlled, clinically
13 useful, and periodically updated.

14 There are a couple of points there.
15 International, we have researchers, clinicians, consumers
16 from all over the world working together in this
17 collaboration. It truly is a collaboration. It is
18 especially important in this area of complementary
19 medicine where about 80 percent of the world uses it as
20 their primary form of care.

21 Evidence based, the reviews, as was mentioned
22 before, they are prepared systematically, and a special

1 feature is that they are kept up to date and to account
2 of the new evidence.

3 I would say that the Cochrane Collaboration
4 has embraced this field's approach to look at what is
5 effective and not effective, and many of the leaders from
6 this group have really taken this on-board.

7 A couple of years ago, in Lancet, it was
8 compared, the Cochrane Collaboration in general compared
9 to the Genome Project.

10 One of the questions was the role of consumers
11 in the Cochrane Collaboration, and I would say they place
12 a high priority on consumer input throughout all levels
13 of activity. There is a consumer network that has been
14 developed based out of Australia, and they have many
15 roles.

16 They make abstracts and reviews more readable
17 and more accessible, so they have developed a way of
18 having a synopsis of these systematic reviews, sort of
19 half-page, and they by now have done about one-third of
20 the thousand systematic reviews that exist in the
21 database.

22 They also have a seat on the Executive

1 Committee. They help research identify important
2 questions to answer, they comment on drafts of reviews,
3 and they help disseminate the results.

4 For the complementary medicine field, the
5 consumer representative is Clara Allen from the U.K., and
6 she has taken a very active role in taking some of these
7 systematic reviews and writing summaries for the public.

8 The products for the Cochrane Collaboration,
9 the main products is the Cochrane Library, and this has
10 in it a database of systematic reviews, as I mentioned,
11 over 1,000 reviews are in it now.

12 In the Controlled Trials Registry, there are
13 over 300,000 randomized controlled trials. This is all
14 aspects of health care, not just complementary medicine.
15 But that is more, as I understand, since he is sitting
16 next to me, more than what is in MEDLINE to date, and not
17 bad for an organization that is only eight years old
18 right now.

19 The complementary medicine field was started in
20 1996, was registered with the Cochrane Collaboration in
21 part due to Dr. Wayne Jonas' encouragement at the time
22 for our center to really get involved in that, and it has

1 developed a specialized registry of trials in
2 complementary medicine, developed a registry of
3 systematic reviews, and facilitate new systematic
4 reviews, to coordinate the field and work with groups
5 both inside and outside the collaboration, and then
6 disseminate the results.

7 The field is coordinated by our center at the
8 University of Maryland, and we also maintain the CAM
9 registry through the work of Mac Beckner, and we receive
10 funding from the NIH, first, at the Office of Alternative
11 Medicine, and now at NCCAM.

12 One of the first aspects of what we got
13 involved with was to develop the Fields Trial Registry.
14 This registry aims to be inclusive of all randomized
15 controlled trials in complementary medicine, published or
16 unpublished, in any language.

17 The trials and tribulations of finding and
18 developing studies are outlined in the article that I
19 have included for you, the JAMA '98 article and some of
20 those difficulties of capturing all the complementary
21 medicine studies through standard MEDLINE searches and
22 the incomplete search terms up to the early 1990s.

1 There wasn't a MeSH term of alternative
2 medicine, it was actually therapeutic cults. That was
3 then changed in the early 1990s to alternative medicine,
4 and there are, I think, 25 search terms in there. We
5 used 250 search terms. So if you used one of our 25
6 terms, you would get about a yield of 45,000 articles.
7 If you use the other 250, you would get about 150,000
8 articles.

9 We also need to identify and search
10 comprehensively the electronic databases worldwide. And
11 then, many of the journals are not indexed in the
12 National Library of Medicine, although it is increasing,
13 as we have heard. There is also the need for hand-
14 searching the journals.

15 We now have 5,300 randomized controlled trials
16 in this registry and another 4,000 possible randomized
17 controlled trials have been identified.

18 One of the questions that you asked was about
19 the criteria for inclusion as complementary medicine,
20 which is a difficult area. What we did was we took the
21 definition of complementary medicine that was developed
22 in the NIH/OAM Methodology Conference back in 1995, which

1 is in your written testimony.

2 We then used this definition as an inclusion
3 criteria, and then developed three exclusion criteria.
4 So, we said that an intervention will be excluded from
5 the registry if it meets two of the following criteria:

6 One. Is the intervention, when practiced in
7 this manner, at this dose, with these patients, almost
8 exclusively practiced by those with conventional medical
9 qualifications.

10 Number two. Is the intervention, when
11 practiced in this manner, at this dose, with these
12 patients, a recommended treatment.

13 In other words, a significant number of
14 standard medical or paramedical references refer to use
15 of therapy as routine.

16 Number three. Does the intervention fail to
17 involve any theoretical divergence with mainstream
18 medicine or science.

19 The search strategy we developed and the list
20 of included the therapies are in the addendum that you
21 have. I would say the value of the search strategy is
22 seen from the graph that you also have in your testimony,

1 where, if you take a MEDLINE alternative medicine MeSH
2 search for randomized controlled trials, you will get a
3 yield of about 2,400.

4 If you take a more comprehensive MEDLINE search
5 of the 182 terms that we tried to take, you get almost
6 double that, 4,700. Then if you take the Cochrane
7 Registry, where there has been some hand-searching and
8 other electronic databases, you get about 5,300.

9 So, how does Cochrane Collaboration assess the
10 quality of the research it includes? That was one of the
11 questions for us. Well, it is accomplished through
12 systematic review, which is the main product of the
13 collaboration. The CAM systematic review registry
14 contains 208 systematic reviews to date, and as we heard,
15 these reviews answer sharply defined questions through a
16 comprehensive search, assess the quality of each trial,
17 and synthesize the data.

18 I have found that they are a powerful way to
19 keep up to date, handle the information overload, give
20 practitioners and consumers answers to what works, what
21 doesn't, what is safe, and tell us where the gaps in the
22 knowledge are.

1 One of the important steps in the systematic
2 review is to critically appraise each study to evaluate
3 the quality of the study's methods. As the computer
4 industry says, garbage in; garbage out. Often a
5 checklist in the scale, such as the Jadad scale, was
6 used.

7 This looks a three main issues. One, is
8 whether the study was randomized, and if so, was the
9 randomization process adequate.

10 Number two, blinding. Was it double-blind, and
11 did they evaluate the success of the blinding? This
12 obviously tends to favor drug trials, but you can always
13 blind the person evaluating the outcomes, as well as the
14 patient.

15 Then there is the third, the attrition rate,
16 was it reported and why did the subjects drop out.

17 The fourth one, has become very important,
18 allocation concealment. After the randomization, how
19 were the groups hidden; was it put up on a board where
20 everybody could see which groups they were going into;
21 was it computer generated; just how was that done.

22 Other features of the study often evaluated

1 are, was the primary endpoint measure defined. For all
2 studies, it is evaluated at 25 percent that that has been
3 done. That is in all conventional, as well as
4 complementary medicine.

5 Very important is the quality of treatment; was
6 there a description of the intervention; and what about
7 the qualifications of the treater. I saw that, recently,
8 in a paper that was submitted to me for acupuncture,
9 looking at rheumatoid arthritis, it was a well-designed
10 study. However, the intervention itself was sorely
11 inadequate, one or two treatments for chronic rheumatoid
12 arthritis. So the adequacy of the treatment.

13 The sample size is important. Was there
14 sufficient statistical power; was the analysis
15 appropriate; did researchers assess compliance; and what
16 about co-interventions to were other things done that
17 maybe made the difference, and then, do the conclusions
18 follow the evidence, or were the hyped up.

19 So, why do all this? Well, we know that poorly
20 executed trials tend to exaggerate treatment effects, and
21 they have important biases. Sometimes they have effects
22 as much as the treatment itself.

1 You asked for some policy recommendations, and
2 I would say that the work of CAM literature evaluation in
3 the Cochrane Collaboration field has just begun. I think
4 the importance is that these systematic reviews are
5 useful to consumers to cohere evidence that may sometimes
6 go in different directions.

7 They are useful for policymakers to define,
8 sometimes, what to fund, as well as for practice
9 guidelines, useful for practitioners to answer specific
10 clinical questions, and for researchers to summarize
11 existing data.

12 The effort in producing systematic review needs
13 to continue, and the scope of the work and its impact
14 needs to be broadened. Specifically, I would recommend
15 that the infrastructure and collaborative work with the
16 Cochrane Collaboration field be allocated ongoing
17 support, allowing for continued maintenance of the
18 registry and coordination of the field.

19 With sufficient support, the field can increase
20 its current work and significantly add to its value in
21 the following ways:

22 Number one, it can set up a working group to

1 further define and broaden the scope of modalities
2 included under alternative medicine, medical subject
3 candidates. Some of our people worked with the National
4 Library of Medicine a few years ago, and I think it still
5 needs to go a lot further than that.

6 Number two, to increase the availability of
7 reviews and their potential value in a number of ways
8 make them available in the offices of policymakers, in
9 public libraries, community health centers, through
10 online subscription of the Cochrane Library; to develop
11 executive summaries of the systematic reviews that
12 clearly and concisely state the results of the reviews;
13 to develop electronic jargon-free versions of systematic
14 reviews with hypertext links to allow readers with
15 various backgrounds to access information at different
16 levels of complexity; to expand the area of the
17 complementary medicine field website to include access to
18 review summaries and to include consumer-friendly
19 information.

20 Then, to identify the need for working with
21 organizations such as NCCAM clearinghouse to find out
22 what are people calling for, on a monthly, basis that is

1 really important to them, and then commission topics for
2 review so that the questions addressed and the
3 information developed are relevant to the users of these
4 treatments.

5 Finally, to develop methodologies to evaluate
6 non-randomized controlled trials, thus broadening the
7 pool of information that can be drawn from them. I
8 think, with support, the field would be able to undertake
9 an ambitious program of generating and disseminating
10 high-quality information to the public.

11 DR. GORDON: Do you want to take a breath and
12 put on the other hat?

13 DR. BERMAN: I actually had a Terps hat and a
14 Ravens hat, but I left them in the car.

15 The second part of the talk, a different
16 subject, is the challenge of integrating CAM research
17 into conventional academic institutions, and the training
18 of CAM practitioners as research investigators. This is
19 really focused around issues ensuring that research in
20 CAM meets the highest scientific standards, and there are
21 a couple points about this.

22 One, it is important to tap into our country's

1 academic institutions for their infrastructure and
2 intellectual wealth, and two, we must make sure those
3 involved in CAM research know about the therapies they
4 are investigating, including their unique paradigms.

5 Our center at Maryland has been in existence
6 now for 10 years, and we are well aware of some of the
7 challenges of bringing CAM research into an academic
8 medical center. I think our taking an evidence-based
9 approach to CAM has been an extremely important part of
10 our developing positive relationships with the
11 institutions and the people therein.

12 We collaborate now with Departments of
13 Epidemiology, Medicine, Pediatrics, as well as Schools of
14 Pharmacy, Dentistry, and Nursing on preclinical and
15 clinical research, as well as other people, both in this
16 country and abroad.

17 Just as important is we have built up trust and
18 respect, and nurture the common curiosity and finding out
19 what works and doesn't, and how this translates into
20 improving our patients' lives.

21 So, I was asked, well, what are some of the
22 challenges in doing this. I would say, number one, the

1 lack of knowledge and understanding of CAM amongst the
2 medical community is one of the first challenges facing
3 any CAM initiative in the medical school or university
4 and with this, a lot of bias can still occur.

5 Number two, sometimes, even if there is a
6 willingness, the interest can be driven more by chasing
7 sources of funding. This can, at times, lead to a highly
8 experienced researcher designing and being funded for a
9 study that isn't sensitive to the way a therapy is
10 practiced. Also, researchers may not have a good
11 understanding of what are the key questions that need to
12 be answered, the sort of big bang questions.

13 Another issue is the importance of a clinical
14 base in order to build a program of clinical research in
15 CAM. At our own center, we have a fully functioning
16 outpatient clinic staffed by practitioners who are duly
17 trained in conventional medicine and complementary
18 medicine, as well as a number of highly qualified CAM
19 practitioners.

20 We found the clinic to be vital as a nurturing
21 ground for research questions that are firmly based in
22 clinical reality, however, establishing a clinic of this

1 sort within an academic medical environment is not always
2 welcomed or readily supported by the institution.

3 I think complementary medicine research has
4 suffered from the lack of CAM practitioners who are
5 knowledgeable about research. The value of an academic-
6 based center is the possibility of developing a team to
7 design and conduct rigorous complementary medicine
8 studies that draws on the expertise of methodologists,
9 clinicians, and CAM practitioners, a critical mass of
10 people.

11 However, the role of the center should also be
12 to train CAM practitioners to become skilled CAM
13 researchers. These researchers, in turn, will ensure the
14 future leadership of the field. So as an example of
15 that, we have recently submitted an application, through
16 the Fogarty, to take people from Beijing University, who
17 are trained in traditional Chinese medicine and now want
18 to become clinical researchers.

19 They will come into our university, they will
20 get trained with a Master's in epidemiology, set courses
21 that are there, and then with a focus, with our people in
22 our own center, to work on particular studies in the area

1 of complementary medicine.

2 But even with the best team and with highly
3 skilled CAM investigators, there are many practical
4 issues common to almost all researchers conducting well-
5 designed studies, and these are the issues of limited
6 funding resources for the type of large randomized,
7 controlled trials that are needed.

8 Some of the many challenges of seeing a
9 research trial through to the conclusion include the
10 usual complaints of space, paperwork, especially now as
11 it get increased with IRB demands and funding bodies, and
12 the difficulties of keeping patients invested in the
13 study, especially if they are randomized into an arm of
14 the study that does not include a CAM intervention.

15 Some recommendations, that good science is a
16 key component to evaluating the role CAM can and should
17 play in the health care of Americans. In turn, education
18 and training are the key components in creating a
19 community dedicated to rigorous evaluation of CAM.

20 So, a number of recommendations for achieving
21 these aims would be, one, to fund a network of centers of
22 excellence at academic institutions dedicated to the

1 investigation of CAM.

2 Some of this work is already going on at the
3 NCCAM, and it is beginning to create the infrastructure
4 necessary to support large and far-reaching research
5 programs, as well as educate and train a growing pool of
6 investigators dedicated to the CAM field.

7 The scope and number of these centers needs to
8 grow. What I have heard here, which you are certainly
9 aware of, is to encourage collaboration between the CAM
10 community, government, industry, philanthropy, and
11 academic health centers through forged partnerships and
12 joint funding.

13 Number 3, to fund career development
14 initiatives that would include research fellowships,
15 including didactic teaching, hands-on training with
16 appropriate mentoring aimed at creating skilled CAM
17 clinical researchers, and also a Masters of Science
18 degree in epidemiology with a CAM clinical research
19 track.

20 The third, would be a critical appraisals
21 training, focused on finding and assessing the scientific
22 literature, and I think that kind of a workshop -- we

1 have had a number of those over the years -- have been
2 very successful, and I think that is the kind of thing
3 you can take as a traveling show, both for the CAM
4 community, as well as the conventional people wanting to
5 get involved with this.

6 Then the CAM tracks at medical schools and
7 residency programs, allowing interested individuals to
8 focus on CAM, which would include experiential elements,
9 as well as the clinical uses, and then methodological
10 issues in CAM research.

11 I would also put in one as the creation of a
12 centralized database of curriculum topics and information
13 material to facilitate the integration of CAM into
14 existing courses, so that people at different
15 universities who actually want to bring this into the
16 courses that exist will have ready material to draw from.

17 I think my time is up, so I thank you very
18 much.

19 Panel Discussion

20 DR. GORDON: Thank you, and thank you for being
21 so gracious. Brian couldn't be here tomorrow, so his
22 part two would have been, ordinarily, under Training of

1 Conventional and CAM Investigators.

2 So thank you for preparing so well and doing
3 them both at this time.

4 I would like to open with one question, and
5 then the Commissioners may have other questions. You
6 three represent two government and one non-government
7 agency that are very important in providing information
8 and good information about CAM.

9 Do any of you, or all of you together, have any
10 thoughts about how the responsibilities might best be
11 divided up among the various government agencies and non-
12 government?

13 For example, where is the boundary with NCCAM
14 and the National Library, the Agency, and the Cochrane
15 Collaboration? Who do you think best does what?

16 DR. LINDBERG: I think what Dr. Berman was
17 describing is the scholarly production of critical
18 reviews, and that has always been a very, very important
19 part of the scientific literature, very much admired and
20 sought. That, certainly, is what they are doing. That
21 is certainly not what the Library is doing, or will it
22 ever do.

1 On the other hand, we are sort of, I would say,
2 committed to solid information infrastructure, and with
3 respect to these clinical trials, I agree with Dr.
4 Berman, these data are hard to come by, particularly if
5 you go back in the old literature back in the turn of the
6 century. I don't spend much time back there, frankly.

7 We, NLM, and FDA are required under the 1997
8 FDA Modernization Act to create a database of all
9 clinical trials for, let's say, significant disease in
10 the U.S., and our first step has been to make
11 clinicaltrials.gov, focusing on those that have NIH
12 support. That currently numbers 5,500 trials in 5,500
13 places. I am only talking about existing ones that
14 patients can call a telephone number and enroll in, or at
15 least consider.

16 That was hard to do. Our next step, of course,
17 is the privately supported ones, drug houses and
18 otherwise, those we will go hand in hand with FDA,
19 because we want to be certain that they are properly
20 described. Beyond that, of course, is overseas.

21 So, there is plenty of work for everybody to
22 do, but our part of it, I would say, is the

1 infrastructure, and it is not writing clinical reviews.

2 DR. GORDON: What about, for example, how
3 evidence reports would relate to systematic analyses?

4 DR. LINDBERG: If they are published in proper
5 journals, they are in there.

6 DR. KAMEROW: The evidence reports that we
7 produce, our systematic reviews, when you compare them to
8 a Cochrane review, what you find in general is that the
9 evidence reports are broader. You might think of them as
10 comprising several different Cochrane reviews. That is a
11 generalization, but it is generally true.

12 We also always have someone who has suggested
13 the topic to us. So it is very important to us to take
14 the review when it is done and give it back to the folks
15 who requested it. It may be a government agency, it may
16 be a group of physicians or other practitioners, it may
17 be a health plan.

18 The hope, then, is that they are going to do
19 something with it, and we generally ask them before they
20 ask us, or as they ask us to do them, what will you do
21 with it, create a guideline coverage decision, some sort
22 of quality improvement program, something to put it into

1 action.

2 Of course, because we are a federal agency,
3 everything we do is in the public domain. So, of course,
4 it is put on the Web, and available, as well.

5 DR. GORDON: Where is the boundary with AHRQ
6 and NCCAM?

7 DR. KAMEROW: Well, they are partners with us.
8 They have asked us, just as we have groups in the private
9 sector who ask us to do topics, we have become in just
10 four years to the point where about almost a half of our
11 budget is contributed by fellow federal agencies, sister,
12 whatever the gender is.

13 DR. GORDON: How much is your budget?

14 DR. KAMEROW: We have about \$3 million a year
15 from agency funds that go to fund the evidence-based
16 Practice Centers Program. So a group such as NCCAM will
17 come to us and say, we would like reports on X, Y, and Z,
18 and we talk about trying to get some funding from them,
19 and then we do them.

20 DR. BERMAN: There are some ways that I think
21 they can work together, and are working together as well.
22 With the National Library of Medicine, I think the

1 abstracts of systematic reviews from the Cochrane
2 Collaboration now go into MEDLINE.

3 I think that started about a year ago
4 automatically, and I think they also download the central
5 registry, which is now in New England, to MEDLINE, so
6 they get that way.

7 I know a number of the Cochrane Collaboration
8 have been involved with some advising on the
9 complementary medicine field side within NLM, and the
10 same with AHRQ. When they started, there were evidence-
11 based reports. We know the field, we know the people who
12 are doing it. So some of the people, like Cynthia Murrow
13 [ph], came to us and said, who do we know that could act
14 as either content experts or involved in systematic
15 reviews in there. I think that is one of the ways to
16 work.

17 The Cochrane Collaboration has the ability
18 because they have so many people worldwide, and they are
19 interested in different things. So it depends what they
20 are interested in, but they can do many different
21 systematic reviews. So in the four or five years that it
22 has been receiving funding from NIH, I think we have

1 accomplished about 100 systematic reviews, and I know
2 there are people interested to do a lot more than that.

3 DR. GORDON: What would the next steps be in
4 collaboration, if you could look ahead?

5 DR. BERMAN: I would say, to help with NLM; how
6 do they build an even stronger, finer net to capture the
7 relevant studies might be one way of working together,
8 and then on the systematic review side, I don't think the
9 evidence-based reports are going to be able to do all the
10 systematic reviews that need to be done.

11 DR. GORDON: I'm sorry, Brian. I didn't
12 understand.

13 DR. BERMAN: You might correct me if I'm wrong,
14 but I think these evidence-based reports are in-depth
15 and, as I said, there may be several systematic reviews,
16 as well as, I think, other information. So the evidence
17 isn't really ready for that kind of a report. Yet, it is
18 ready for a systematic review. It is very necessary to
19 do that to find out where the evidence is.

20 DR. KAMEROW: We are trying to find ways to
21 work with the Cochrane Collaboration. We co-located
22 several of our evidence-based practice centers with U.S.

1 Cochrane centers four years ago, and so some of the
2 personnel are the same.

3 We always make sure that we search the Cochrane
4 database as we begin one of our evidence reports because
5 why reinvent the wheel. That is the whole point of this.
6 The Internet, of course, makes that a lot easier to do.

7 So, we are still trying to find a way that we
8 can collaborate better, I think, with the Cochrane
9 Collaboration.

10 DR. LINDBERG: It seems to me the critical
11 reviews are a very good contribution. If it is possible
12 to make nicely written explanations that patients can
13 understand, we link to those kind of things through
14 MEDLINEplus provided that they are from legitimate
15 sources, either agency or voluntary health organizations,
16 that they are kept current, that it is clear what biases
17 are present, but we welcome the opportunity to link
18 patients to good explanations.

19 So, I would say that is a possible area for
20 expansion. NLM has actually been known to ship a little
21 money to you guys, and I haven't seen any checks come
22 back, so I imagine that is a reciprocal arrangement that

1 could be continued.

2 DR. GORDON: Wayne, Joe Fins, and Bill.

3 DR. JONAS: The panel not only represents
4 government and non-government, but it represents the
5 spectrum of data, information, and knowledge. Hopefully,
6 we can take it even further, to wisdom.

7 One thing that Brian didn't mention along the
8 line of what Dr. Lindberg, you just mentioned in terms of
9 public information, is that the Cochrane Collaboration
10 now is actively working on developing lay summaries of
11 their reviews also that will go right along with the
12 evidence reports and the regular summaries specifically
13 working with public input on how to produce those
14 summaries, so that it will be easier for the public and
15 more valuable for the public.

16 Before I ask my question, I want to digress a
17 bit and thank Dr. Lindberg for the support that he gave
18 our initial attempts to try to assess this literature.
19 He had me to the Board of Regents, and began immediately
20 to assess the current journals to look at, where were
21 their quality journals that were not being indexed in
22 MEDLINE.

1 To my great surprise, the vast majority of them
2 were somewhere in the collection of NLM, or many of them
3 already indexed. It was quite a surprise to me because
4 everybody had always said, gee, there is all this stuff
5 out there that is not there, and actually, a lot of it
6 was.

7 Also, in the evidence-based centers, Doug, as
8 those were getting developed, I think we weren't sure
9 exactly what they were going to be able to do in the area
10 of complementary medicine. I am happy to see that you
11 have taken, really, a bold step in looking at something
12 as complicated as Ayurveda because one of the obstacles
13 was, is that literature accessible, and is it any good.
14 The general attitude was that it may not be good, but at
15 least we need to look, I think, and know.

16 My question relates to that now. At the time
17 it didn't appear that it was ready to really launch kind
18 of full blown into the assessment of evidence. We were
19 still trying to develop the methods for doing that
20 including in the Cochrane Collaboration.

21 I am just wondering, do you feel that the field
22 is ready to begin to do kind of an overall assessment of

1 the literature?

2 After all, it really isn't that big in terms of
3 the amount of research literature that is out there
4 relatively speaking, and I know that many of the things
5 that we had talked about here, that people have
6 requested, is if we just had some central authoritative
7 source of the current literature evidence and how it
8 existed, that this would be a useful starting point for a
9 number of activities.

10 I am just wondering, do you feel that the field
11 is ready for that, and would an infusion of support and
12 funding be able to adequately begin to address this? Or,
13 is it even needed at this time?

14 DR. KAMEROW: Is that a question for me?

15 DR. JONAS: It is for all of you, but, yes, I
16 think, Doug, you could certainly start.

17 DR. KAMEROW: I am not an expert in CAM, so I
18 can't really tell you, for sure, the answer, but it seems
19 to us that it is a step-by-step process, that we clearly
20 need to move into some new methodologic areas to be able
21 to do this right, but that we were also able to use the
22 current processes I think to do a pretty good job.

1 Ayurveda was a good example where it was kind
2 of hard for those of us trained in Western medicine to --
3 I will speak for myself -- to put my mind around the
4 concepts that were put out very carefully and pretty
5 clearly by the Rand Corporation when they did the report,
6 but you have to really shift into frames.

7 Still, though, there is the need to have a
8 defined outcome of some sort, a divine intervention of
9 some sort, and something to compare it to. I don't think
10 that you can get around that. I think that is the
11 question and can you define them and come up with ways to
12 do it.

13 One of the things that Rand is doing is trying
14 to figure out ways to statistically correctly and
15 methodologically provide an overview of non-randomized
16 controlled trials in a way that can be helpful, because a
17 lot of this literature isn't a randomized controlled
18 trial. Some would argue it can never be.

19 There are certainly some interventions for
20 which that is true, but many, that is not true, and so I
21 think that the idea of investing in one of the centers
22 over a period of a few years, giving them a number of

1 topics, getting them familiar with sometimes it is a
2 foreign language or whatever it required, a lot of the
3 language is German, that that may be necessary, can move
4 us along, both in terms of the actual topics, but also
5 the methods that are needed.

6 DR. JONAS: What I am hearing you say is that
7 resources really are not the issue even now, it is really
8 the methodological development.

9 DR. KAMEROW: Oh, NCCAM has provided us most of
10 the resources, it is not coming from AHRQ money, we just
11 don't have that much, so if they are willing to support
12 it, we, I think our centers can provide useful
13 information.

14 DR. JONAS: The assessment of observational
15 data, non-randomized trials, isn't that something,
16 though, that is of interest and is being explored all
17 over in medicine, not just in complementary medicine?

18 DR. KAMEROW: Well, it is an attempt to try to
19 figure out how to combine those data in ways that we
20 already know how to combine in randomized controlled
21 trials, and that is, at least to my knowledge, still an
22 unsolved problem.

1 DR. JONAS: So, the method really isn't
2 developed yet to begin to venture in to do good
3 evaluation using that kind of data.

4 DR. KAMEROW: But it is also one wants to
5 stimulate the kinds of research that we do know how to
6 evaluate. The problem is that is costly research because
7 if you are talking about large trials, they are going to
8 have enough power to be able to come up with the answers
9 to the questions especially if they are relatively close
10 in terms of the outcome, that, you know, it is slightly
11 better, but not a whole lot better, so a large study you
12 need.

13 DR. BERMAN: I would say, Wayne, also, that a
14 little bit of that work is happening, you know, with
15 people like Klause and the Cochrane Group where they have
16 been working for several years on a review of reviews,
17 and I think three of those have been accepted in Biomed
18 Central, you know, one in acupuncture that I am involved
19 with, and one I think in herbs, but it is piecemeal
20 because there, there is a funding issue. You know, it is
21 mainly a volunteer thing, and that would be I think
22 extremely helpful to have those kinds of overviews

1 together.

2 There is also in the Cochrane Collaboration,
3 you know, there is the Methodology Working Group, and
4 there are people that are really interested, not just
5 from the Cochrane's medicine side, but both sides, to see
6 how we can look at some of these observational studies
7 and do it properly.

8 DR. LINDBERG: I don't think that we should be
9 limited by sort of one mental model of what this field is
10 all about. I am reminded, just this morning I was
11 reading an article in Science. It may not have been the
12 latest one, but it was the latest one at hand. It had to
13 do with malaria, because NIH has taken under Harold
14 Varmis' prodding a good interest in malaria in Africa in
15 the application of molecular biology to that, and, Wayne,
16 you probably remember that.

17 So, NLM is involved in it because of our
18 ability to facilitate communication. In any case, what
19 the article described is what no doctor could ever fail
20 to recognize, the power of botanicals. In this case, it
21 is an Artemisia extract, artemisinin, which is active
22 against falciparum malaria. You don't need a big

1 clinical trial and six years of statistical analysis to
2 be excited by that.

3 We now know that you have to use those drugs in
4 combination with others, but I mean this amounts to a
5 spectacular breakthrough, and it apparently has been
6 written about using a Chinese term for the extract for 30
7 years.

8 So, I mean a lot of I think what this
9 commission is after is sort of an attitudinal change in
10 attitude, keeping an open mind to these matters, so that
11 the benefits will come from a lot of points where we are
12 not expecting them.

13 DR. GORDON: Joe Fins, and then Bill next.

14 DR. FINS: On that attitudinal theme, we have
15 heard from a number of constituencies during our
16 deliberations who just reject the evidence-based
17 approach. They see it, they cast it as their right to
18 choose whatever therapy they want. They will contest the
19 data that you folks would come up with, which I, by the
20 way, endorse your approach.

21 But how do we build trust, how do we achieve an
22 acceptance of these methodologies, as diverse as they may

1 be, consistent with our dissemination mandate, which is
2 in the executive order, and are there any lessons
3 specifically from reactions from the public to any of the
4 studies that maybe the agency has done that disappoint
5 proponents of one modality or treatment or herb or
6 another? I mean what can we do to build a trusting
7 relationship, so that we serve the public health, we
8 promote safety, and we try to bring things that do work
9 down the scientific pipeline, does anybody have any
10 thoughts on that?

11 DR. BERMAN: One way I think is to bring the
12 different groups together when we are looking at some of
13 these questions and when we are looking at the systematic
14 reviews, so there should be some consumers involved with
15 what questions particularly are important to them, and
16 then if they are involved with the whole process, as well
17 as through the dissemination, I think that is going to
18 make all the difference in the world.

19 There is not much point to have somebody in an
20 ivory tower picking out a systematic review of something
21 that really isn't relevant to your average person, but if
22 there are topics that have real value to them, and then

1 the participatory type of research then goes on, I think
2 that will make a big difference.

3 DR. KAMEROW: I would agree. I think that
4 having patients involved on these panels helping to
5 select and refine the topics is useful. There is a bit,
6 of course, always of the keys-under-the-lamppost
7 phenomenon where people who are doing reviews want to go
8 where the evidence is, where the literature is, and
9 people, whether they are physicians, other practitioners,
10 or patients, may have different questions that can't be
11 answered very well by what literature is out there. So,
12 there is always a kind of a conflict there, and it leads
13 to, one hopes, good research agendas in that area.

14 As a family doctor, you know, when I see
15 patients who are interested in these things, nothing
16 substitutes for good information and education on
17 everybody's part, and I think most of us in conventional
18 medicine don't have much education about these
19 substances, treat them with disdain, if not lack of
20 knowledge, and that doesn't help anything.

21 But we still need to have good information
22 available, so I think that is the problem, is trying to

1 get more good research, and it is nice that the Cochrane
2 Collaboration has undertaken the attempt to put into lay
3 language their systematic reviews.

4 That is something we talk about, but haven't
5 done yet. It is not a trivial matter.

6 DR. GORDON: Thank you. Bill.

7 DR. FAIR: On the other end of the spectrum
8 that Wayne mentioned, there was a great deal of
9 experience and knowledge in this area, but it seems to me
10 that this is really very impressive, but many of the CAM
11 practitioners, at least I am associated with, are over
12 the age of 25, and the computer is an intimidating object
13 to them.

14 What I am wondering is do we really need
15 something like finding CAM Information for Dummies or
16 something, because I don't think you will find it here,
17 do we need to go back, or is there such a thing?

18 DR. LINDBERG: We wouldn't use that term, I
19 don't suppose, although it does sell books, but the
20 MEDLINEplus is definitely aimed at patients, families and
21 the public, and it isn't particularly dumbed down, but it
22 is using straightforward terminology and linking to other

1 sources on the web.

2 If we can find Cochrane things to link to that
3 explain this stuff, that is great, we will for sure do
4 it. I don't think anyone quite understands the best way.
5 If I could just mention one other thing, I didn't give
6 you recommendations, but the recommendation clearly from
7 the Library is pay attention to make sure that the public
8 can get good information, can get ready access to good
9 information.

10 I agree with you, not everybody is facile with
11 a computer, so that is why we are trying to work with the
12 public libraries, hoping that, you know, what is whole
13 thousands of other sites that they could go to.

14 Thus far, it has been pretty well received, and
15 the public library people from the American Library
16 Association have embraced the idea. It may seem obvious
17 to you, but I would say 10 years ago, that the medical
18 library and the public library people really didn't have
19 that strong a partnership, but it is emerging from
20 exactly this scheme to get electronic information to the
21 patients.

22 DR. FAIR: I think it is a great idea. Are the

1 locations of those libraries on your website?

2 DR. LINDBERG: Yes, but some are cities, some
3 are little towns. Initially, our questions were, well,
4 will anyone bring medical questions to a public library,
5 and if so, what kind of questions, how good are the
6 answers, and can we help, and it has turned out that,
7 yes, they do.

8 Now, if you bring them to a place -- I visited,
9 for instance, a New York Public, Midtown Branch, 96th
10 Street -- well, those people are fantastic. They are not
11 afraid of medical questions or any other kinds of
12 questions. You will definitely leave with good answers.

13 But on the other hand, and I can't throw this
14 in CAM terms exactly, but when I was there, I tested them
15 by asking about flu shots, and their software was smart
16 and understood "flu" is influenza and "shots" is
17 immunizations.

18 So it gave all the right information. Then I
19 said, well, where do I get a flu shot, and it conjured,
20 and did its searching and all that sort of stuff, and it
21 said Albany, the Public Health Office in Albany.

22 So, I mean that revealed to us the difficulty

1 in linking to local information, which is what the real
2 individual wants, not just crawling around a Web finding
3 out who gives flu shots, but how to package it locally.

4 So, I guess it is just saying that there is a
5 lot for us to learn about how to serve the public
6 properly, and we are trying.

7 DR. GORDON: Joe.

8 DR. PIZZORNO: First, Dr. Lindberg, thank you
9 so much for putting MEDLINE on the Internet. It is an
10 incredibly useful resource.

11 DR. LINDBERG: Thanks.

12 DR. PIZZORNO: I also have a concern. There
13 was an article, I believe it was JAMA December '99, that
14 looked at abstracts and compared what was reported in the
15 abstract to what was in the journal, and looked at the
16 major journals, the New England Journal of Medicine,
17 Lancet, et cetera, and found that about 65 percent of the
18 abstracts contain inaccuracies ranging from important
19 information not reported in the abstract, to the
20 abstracts actually misreporting what was in the journal
21 article.

22 Is there anything that you folks are doing to

1 try to improve the accuracy of abstracts so they could
2 rely on our MEDLINE searches better?

3 DR. LINDBERG: No. I understand what you are
4 saying. Obviously, we don't undertake that level of
5 review. That is what they pay editors for, for heaven's
6 sake, but there has been more than one circumstance, even
7 since I have been there, in which the people entering the
8 stuff into the database have noticed that a drug dose was
9 wrong, a wandering decimal point, or even a change in
10 units, so the abstract didn't match the journal.

11 In that case, we call the editor right away,
12 but in the general case of assuring that they match, I
13 think we would go beyond our limits if we undertook to
14 guarantee that.

15 DR. PIZZORNO: Brian, I would like to ask you a
16 challenging question. The question is, at what point do
17 we decide that the case has been made for CAM therapy?

18 The reason I say that is because I took a
19 careful look at all the CAM reviews in the Cochrane
20 Collaboration, and virtually every one said, "inadequate
21 evidence to support its use."

22 So, I am wondering, where is the threshold,

1 because it is one thing to say it doesn't work, it is
2 another to say there is not enough research for us to be
3 sure about it. Yet, they both appeared to come out the
4 same as, don't use it.

5 DR. BERMAN: That is a hard question of, when
6 is there enough information. We also looked at that,
7 actually, in the Cochrane Library for conventional
8 medicine, and we looked at all the systematic reviews,
9 and did a random sampling. I think it was in three-
10 quarters of the time, it showed that it didn't work --
11 not that it didn't work, that the conclusions were
12 inconclusive.

13 There are different reasons for that, that when
14 they did trials, the quality has been improving and the
15 standards have been improving. So we are always trying
16 to catch up, I think.

17 I think a lot of things that you can say is, if
18 it was a good review, it will show where the gaps are,
19 and it will show where the flaws are and how we can do
20 things better. Oftentimes, it is not that it doesn't
21 work. I think that is a little bit misleading. It is
22 more that you can't say one way or another, because of,

1 usually, the quality of the trials and that, in what
2 direction to go further.

3 I guess in the Cochrane and evidence-based
4 medicine, they always say, we are looking for the best
5 available evidence, and it may not be the large
6 randomized, controlled trial or the meta-analysis, but we
7 have to know what it is.

8 I am sort of going around in circles because
9 there is no good answer to your question. In a
10 particular therapy, say, for osteoarthritis and
11 acupuncture, practitioners out there say it is great that
12 you guys are doing a very large randomized, controlled
13 trial, but it is not going to really change how we
14 practice one way or the other with that clinical trial.

15 DR. PIZZORNO: Could you give an example of
16 both a definitive positive evaluation of a CAM
17 intervention and a definitive negative evaluation of a
18 CAM intervention, and what the criteria or research was
19 that was adequate to make that decision?

20 DR. BERMAN: Well, definitive negative, I
21 guess, would be the one with acupuncture for smoking
22 cessation. It is used a lot for smoking cessation, but

1 when you looked at all the trials, there really wasn't
2 much evidence to show that it worked beyond any sort of a
3 controlled group in any way.

4 It was a little bit of a caveat to say, well,
5 you could look at it in a different way and do slightly
6 different studies, but basically, you have to look pretty
7 strongly at that to say out of -- I can't remember, maybe
8 it was 9 or 10 -- clinical trials, that there wasn't much
9 there. I think that was useful for that.

10 A positive one, let's see. St. John's wort
11 would be a good example of that, and that was also an
12 example. St. John's wort in '96, really wasn't on
13 anybody's radar screen, and then a systematic review by
14 Klaus Linde in Germany was performed. I think most of
15 the studies were non-English. When they looked at the
16 evidence for that, they said there is quite a bit of
17 evidence to show that St. John's wort has real value.

18 That led on to one of the TV shows, "20/20" or
19 one of them. They did something on that, and now all of
20 a sudden, it came on everybody's radar screen. Then the
21 next step, which I think was very appropriate, the NIH,
22 said, we really need to begin to look at this, and they

1 funded a large randomized, controlled trial to look at
2 St. John's wort for depression.

3 DR. GORDON: Thank you. Thank you three very
4 much. We really appreciate it and appreciate the
5 precision of some of the recommendations, as well.

6 We are going to take a five-minute stretch
7 break and then we will come back. In the meantime, the
8 next panel can come forward.

9 [Recess.]

10 **Panel Session IV: Concerns about CAM and CAM Research**

11 DR. GORDON: This will be the final panel of
12 the day, and we thank you for coming and for being with
13 us, Dr. London, Dr. Margolis, and Dr. Grollman.

14 We will begin with William London.

15 **Presenter: William M. London, Ed.D., M.P.H.**

16 DR. LONDON: Thank you. I am here representing
17 the National Council Against Health Fraud. The Council
18 bases its claim to be a consumer organization on its
19 founding principles derived from consumer protection law
20 and the scientific process.

21 Included are the beliefs that consumer is not a
22 special class, but a role played by all, everyone in the

1 free enterprise society has a stake in maintaining high
2 standards for health products and services.

3 Professionals in the health sciences, academia,
4 law, and business, as well as government agencies, share
5 a responsibility to help consumers protect themselves
6 from deception and exploitation in health-related
7 matters.

8 Scientific process is essential for discovering
9 truths and validating health claims and information, and
10 we also believe that health products and services should
11 be proved safe and effective before marketing with
12 proponents bearing the burden of such proof. Products
13 and services should be accurately labeled and fully
14 described, and they should be truthfully advertised.

15 I want to read you two working definitions of
16 health fraud, which is in the name of our organization.
17 The first one is from The Assembly Republican Task Force
18 on Health Fraud and the Elderly from the New York State
19 Assembly, June 1986.

20 "Health fraud is the promotion, for financial
21 gain, of fraudulent or unproven devices, treatments,
22 services, plans or products (including, but not limited

1 to, diets and nutritional supplements) that alter or
2 claim to alter the human condition."

3 Another definition of health fraud comes from
4 the Compliance Policy Council of the U.S. Food and Drug
5 Administration, that was announced in a letter by M.L.
6 Frazier, Director, State Information Branch, June 18,
7 1993.

8 "Health fraud is the deceptive promotion,
9 advertisement, distribution or sale of articles, intended
10 for human or animal use, that are represented as being
11 effective to diagnose, prevent, cure, treat, or mitigate
12 disease (or other conditions), or provide a beneficial
13 effect on health, but which have not been scientifically
14 proven safe and effective for such purposes. Such
15 practices may be deliberate, or done without adequate
16 knowledge or understanding of the article. Intent to
17 deceive is not the issue."

18 The keyword in both definitions is "promotion,"
19 and that is where the concern is.

20 I wanted to discuss with you my call to oppose
21 health fraud when promoted using words "complementary"
22 and "alternative."

1 According to NCCAM, complementary and
2 alternative medicine cover a broad range of healing
3 philosophies, approaches, and therapies. Generally, it
4 is defined as "those treatments and health care practices
5 not taught widely in medical schools, not generally used
6 in hospitals, and not usually reimbursed by medical
7 insurance companies."

8 The definition has little to do with what the
9 words actually mean, "complementary" and "alternative."

10 To refer to "complementary" and "alternative"
11 methods is to imply that such methods actually complement
12 other methods or serve as alternatives to other methods.
13 To refer to something as "complementary medicine,"
14 implies that it completes what some other medicine does
15 not do on its own.

16 However, just because someone refers to a
17 method as "complementary" does not mean it actually
18 complements anything else and providing benefit to
19 health. The claim that a particular method is
20 complementary to anything else is misleading unless the
21 claim can be supported by evidence. If a method doesn't
22 add to the outcome, then, it isn't truly complementary,

1 it just adds to the cost.

2 What about "alternative?" A dictionary
3 definition, American Heritage Dictionary of the English
4 Language, Third Edition, defines alternative in three
5 ways. One is "Allowing or necessitating a choice between
6 two or more things," and definition 2a is, "Existing
7 outside traditional or established institutions or
8 systems," 2b is, "Espousing or reflecting values that are
9 different from those of the establishment."

10 The definition from NCCAM suggests that
11 "alternative" is similar to "alternative" in the sense of
12 dictionary definition 2a, and to some extent this is a
13 fair characterization. However, I point out that
14 "alternative" medicine is largely traditional itself and
15 it has become its own "establishment."

16 Promoters of "alternative" medicine often
17 promote traditional systems of healing. Strong reliance
18 on tradition is one of "alternative" medicine's biggest
19 problems. When I use the term "alternative," I am using
20 it in quotation marks.

21 Tradition-bound systems resist change. Their
22 proponents selectively seek affirming evidence while

1 rejecting disconfirming evidence.

2 Science-based, evidence-based medicine has a
3 different approach. It is one in which practitioners
4 learn to discard unsafe and ineffective methods rather
5 than to cling to them.

6 My big concern is that I am unaware of efforts,
7 first, by the Office of Alternative Medicine, and later
8 by the National Center for Complementary and Alternative
9 Medicine, to identify methods promoted as complementary
10 or alternative that should be discarded.

11 In order to provide reliable and useful
12 information about complementary and alternative medicine,
13 it is necessary to identify methods that should be
14 discarded, and I ask that the Commission make
15 recommendations to accomplish this based on principles of
16 consumer protection and science.

17 I think it is also fair to note that
18 "alternative" is now an establishment. We have many
19 institutions that are part of an alternative medicine
20 establishment, so in that sense, alternative medicine is
21 misleading, as well.

22 According to a usage dictionary, "alternative"

1 may suggest adequacy for some purpose, but questionable
2 and alternative and dubious methods are not adequate for
3 their intended purpose, so calling them "alternative" is
4 misleading.

5 Now, it is unavoidable for practitioners of any
6 sort to use unproven methods. Medicine won't have all
7 the answers. Patients have complex problems. Clinical
8 judgment and innovation will always be important to
9 delivering health care.

10 The question has to do with promotion, the
11 promotion of unproven methods through methods such as
12 advertising and publicity is objectionable because it is
13 deceptive. It violates the ethical principles of
14 veracity and non-maleficence.

15 Everyone wants alternatives, but they want
16 genuine alternatives. Many promoters of "alternative"
17 medicine exploit this by calling for "freedom of choice"
18 for consumers. What these promoters really want is
19 freedom from accountability. They support the concept of
20 caveat emptor, "let the buyer beware," but they have a
21 problem with the concept of caveat vendor, "let the
22 seller beware."

1 Caveat vendor provides a rationale for consumer
2 protection laws to compensate for the disadvantageous
3 bargaining position consumers have in the health
4 marketplace. It is difficult to evaluate claims for
5 health products and services.

6 We are vulnerable to mistaken perception and
7 deception especially when we feel threats to our well-
8 being and when we are desperate for answers. It is
9 important to protect consumers from both intentional and
10 unintentional deception, and it is also important to
11 preserve true freedom of choice.

12 Thus, the model of the National Council Against
13 Health Fraud is enhancing freedom of choice through
14 reliable information. I ask the Commission to enhance
15 freedom of choice by recognizing the need to identify
16 health fraud when it masquerades as complementary and
17 alternative medicine.

18 DR. GORDON: Thank you very much. I am sure we
19 will come back and be asking for some specific
20 suggestions from you.

21 Simeon Margolis.

22 Presenter: Simeon Margolis, M.D., Ph.D.

1 DR. MARGOLIS: First, let me thank you for the
2 opportunity to testify before the Commission. Let me
3 preface my remarks by stating that as a practicing
4 physician, I would enthusiastically welcome any
5 complementary or alternative product or practice that is
6 proven to be effective.

7 The first question we were asked to address was
8 what were our major concerns about CAM practice, and as
9 you have heard, and will hear, the major problems with
10 CAM, I think are well recognized.

11 Most CAM products and practices are either
12 untested or their use is based on unreliable research.
13 Nonetheless, they are aggressively promoted, usually with
14 guarantees of success, and yet any experienced physician
15 knows that no treatment or procedure is always effective.

16 These promotions and promises mean that
17 patients may not only waste money on unproven measures,
18 but also fail to seek medical attention and obtain
19 effective medications or procedures for illnesses that
20 may have serious consequences.

21 People are urged to use CAM products because
22 they are natural, apparently forgetting that poison ivy,

1 floods, hurricanes, and tornadoes prove that natural
2 isn't necessarily good.

3 Finally, because CAM products are unregulated,
4 patients can be subjected to serious risks, and we all
5 know of studies that have shown that a number of herbal
6 products contain toxic constituents or contaminants.

7 I have chosen to discuss in more detail
8 chelation therapy as one example of CAM. Chelation
9 involves a course of two to three weekly, two-hour long
10 intravenous infusions of EDTA for a total of 30
11 treatments.

12 Originally, chelation was said to improve
13 atherosclerosis by removing calcium from plaques in the
14 arterial wall. Now, chelation therapy is reputed to
15 improve angina and painful peripheral vascular disease by
16 binding heavy metals that damage tissues and proteins by
17 oxidizing them. However, there is no data to support
18 either the theoretical benefit or any properly controlled
19 study that supports the effectiveness of chelation
20 therapy.

21 By contrast, in two randomized, blinded studies
22 done in Scandinavia and New Zealand on patients with