

1 that, in other words. That is a claim, and professional
2 associations make that.

3 If I take a consumer perspective, the question
4 is, can anyone tell me whether the outcomes are any
5 better if it is done through the massage therapists
6 versus some other group?

7 And so, I would say that at various times I
8 thought that national certification, which some groups
9 have, and potentially nationally licensure, would be a
10 good thing where there is a modality that is uniformly
11 practiced. The dilemma is that it is much more complex
12 than that, and modalities fray at the edge.

13 You asked whether technology is, in effect,
14 fragmenting, and it clearly is, and it will become more
15 so. Yet, many of the modalities have an internal
16 coherence, which you have to rise up to appreciate and
17 hold. They rightly argue that they can certify who rises
18 up and holds that integrity. Things will get more
19 complex.

20 MR. CHAPPELL: Could we have Dr. Olson comment
21 on that as well? Thank you.

22 MR. OLSON: I am not a doctor, but --

1 MR. CHAPPELL: Sorry.

2 MR. OLSON: I think Clem really did a nice job.
3 Is there something particular you would like to hear from
4 the world of massage?

5 MR. CHAPPELL: Do you see an increasingly
6 fragmented range of services over the next 10 years? Or,
7 10 years from now, do you see the focus of AMTA having
8 greater or less relevance to the profession?

9 MR. OLSON: I am going to answer by going back
10 to 1990, where there was more of a cohesion within the
11 massage and bodywork world. At that time, when we
12 established national certification, everybody wanted into
13 national certification and into licensing. Since then,
14 we have seen the fragmenting occur. People want to go in
15 different directions now. They want to be exempted from
16 licensure.

17 MR. CHAPPELL: Exempt from licensure but not
18 credentialing.

19 MR. OLSON: Some of them want to establish
20 their own credentials, like the NCCAOM exam for
21 bodyworkers. So they are trying to do that.

22 Now, that exam is very expensive compared to

1 the national certification exam for therapeutic massage
2 and bodyworkers because it serves a lower number of
3 people. So they are trying to institute some of these
4 certifications.

5 I think there is going to be a closing in
6 again. I think there will be uniting of the professions,
7 and we are going to find a way to do that. I don't know
8 the answer, but I think this body is part of what is
9 going to bring it there.

10 DR. GORDON: I have Charlotte, Wayne, George
11 Bernier, and Don.

12 SISTER KERR: Dr. Bezold, I was a little bit
13 surprised to hear such an emphasis in your visioning for
14 the future in terms of high tech. At least, I was
15 wondering, because of CAM there seems to be, in my
16 opinion, an emphasis on high touch/low tech.

17 You spoke about the values driving the system,
18 where was the caring component emphasis. Some of us feel
19 that life is a mystery to be lived and not a problem to
20 be solved, and I did not hear that emphasis in your
21 futurist presentation.

22 DR. BEZOLD: In terms of individuals or

1 practitioners sort of living or practicing in a higher
2 touch reality or situation, I am all for that. The
3 question is, in terms of credentialing and licensure, the
4 issue of the day, what is the context for doing that?

5 For me, part of the big context is, we have a
6 system, very elaborate systems of licensure and
7 credentialing, that if you ask, what is the failure rate,
8 they are relatively high. If you ask, can consumers
9 choose effectively, they can't choose very well.

10 In that context, the technologies that I see
11 may be helpful, with the caveats that, in terms of the
12 integrity of practice, in terms of the relationship
13 between the practitioner and the individual, some of
14 those may not be very well captured in terms of outcomes.

15 But in the marketplace, and what I didn't get
16 into was the public policy justification for licensure is
17 market failure, I would argue that, in general, what we
18 should try to do is make markets work, give consumers the
19 ability to choose effectively and wisely what they want.
20 We don't have that now.

21 Adam Smith defined a market as producers having
22 equivalent power to consumers and vice versa. In that

1 arena, we don't have markets, but we are approaching a
2 time when we may.

3 DR. GORDON: Wayne?

4 DR. JONAS: Dr. Winn, it appears to me that
5 there has been a trend towards trying to get a number of
6 nonstandard, unconventional types of practitioners that
7 physicians deliver classified as experimental under IRBs
8 that are set up, and this type of thing.

9 I am just wondering, is this of concern to the
10 Federation, and have they been looking at this or thought
11 about how to address this in some way?

12 DR. WINN: I think the answer to that is, it
13 concerns us if they are just labeled experimental,
14 without going through the process, as you mentioned, of
15 having institutional review boards and so forth, that, in
16 fact, are there to advocate for the patient so that these
17 treatments are not fostered upon people who don't
18 understand that they are being subjected to an
19 experimental therapy.

20 So, in the context of the Federation, our focus
21 is really to make certain that patients, if they are
22 taking a risk, that they understand that risk, and they

1 understand that less risky therapies are, in fact,
2 probably not of any advantage to them, that their
3 advantage lies on the experimental side or that there is
4 very little risk in this experiment.

5 They may have a condition that doesn't threaten
6 their life or is of no great concern. Let's take an
7 example of hay fever -- troublesome, but probably very
8 unlikely to cause problems unless associated with asthma
9 or so forth. They may choose to say, well, I am willing
10 to accept this therapy, knowing that it is probably not
11 going to hurt me, and I might find that it helps me, but
12 I am doing it with my eyes wide open. I know what the
13 doctor is engaged in.

14 Also, there is also this sort of side of
15 ethical behavior on the part of the physician in that a
16 physician should say, "You are part of a research study.
17 I am receiving funds for this research study, and
18 therefore you are enrolled in this at no cost."

19 I think it is troublesome if you have a doctor
20 who claims that they are doing research, and at the same
21 time, if you ask the patient, they have no clue they are
22 part of a research project, and they are paying good

1 money out to the doctor for that therapy. So those are
2 the kind of behaviors that we would be particularly
3 concerned about.

4 DR. JONAS: I guess I am concerned about IRBs
5 that are set up precisely to be kind of umbrella
6 organizations to say, yes, we are doing this in the
7 context of an experiment, when actually their real
8 motivation is to simply provide the therapy.

9 DR. WINN: We would view those kind of things
10 as being fraudulent because that is not the real purpose,
11 and so we would take a very dim view of an IRB that was,
12 in fact, just a sham.

13 DR. JONAS: Right, but that would not be within
14 your jurisdiction to judge, that would be FDA or some
15 other group?

16 DR. WINN: Although we could, in fact, through
17 the licensing board. You know a doctor's privilege of
18 practicing medicine subjects them to broad evaluation of
19 professional conduct, and the doctor who knowingly enters
20 into a process of approving therapies that he or she
21 knows not to fall within the context of what they are
22 supposed to be doing, we would consider that to be an

1 unprofessional act.

2 DR. GORDON: George?

3 DR. BERNIER: I have a question for Dr. Winn.
4 In your concluding remarks, you said that "The Federation
5 also recognizes that the scope of this issue," that is,
6 the risk-benefit one, "reaches far beyond the
7 jurisdiction of state medical boards. Therefore, we are
8 committed to collaborating with members of the medical
9 profession, and other agencies and organizations in
10 promoting and supporting research."

11 If you were to be a futurist, what would you
12 see that collaboration -- how would you see that being
13 played out?

14 DR. WINN: I think that collaboration certainly
15 could involve not only the medical profession, but other
16 professions. For instance, if the massage therapy is, in
17 fact, believes that a certain condition could be treated
18 safely, more effectively and at less cost through their
19 therapy than other conventional therapies that physicians
20 might offer, then I think it is appropriate that we
21 encourage research into that area so that doctors that we
22 license could, in good conscience, say, I don't have the

1 skills and the techniques to treat that problem with my
2 therapies. I would refer you to somebody who I know is
3 competent to do that. And that referral would be
4 appropriate, and it would not subject the physician to
5 scrutiny by a licensed authority as being inappropriate
6 or placing the patient at risk.

7 So I think we have to find out what kind of
8 therapies work and who is best trained and qualified to
9 deliver those therapies. Unfortunately, what tends to
10 happen is people who have one hammer, you know, then
11 everything looks like the same nail. And so
12 consequently, if you are in one area, then you sort of
13 start pushing those boundaries and say, well, we can
14 treat this and we can treat that, when the fact is there
15 is no proof that those therapies would be efficacious for
16 that condition.

17 DR. BERNIER: Thank you.

18 DR. GORDON: Don?

19 DR. WARREN: Well, this is kind of confusing a
20 little bit. I am a dentist, and I am going to ask Dr.
21 Winn a question.

22 A couple of years ago, maybe three years ago or

1 four years ago, there was a meeting held in Fort Worth,
2 Texas, that dealt with the FDA, the state attorneys
3 general and the state boards to aggressively go after CAM
4 practitioners. Is your group part of that? If it is,
5 what about the 52 percent of medical diagnoses that were
6 reported to be incorrect, do you go after those
7 practitioners for making a misdiagnosis?

8 DR. WINN: Let me try to break that down. If
9 you are talking about a meeting that was held in Dallas
10 several years ago, not Fort Worth, I am trying to think
11 of the acronym, but I think it is the group of attorneys
12 general, National Association of Attorneys General, NAAG
13 I think is the organization.

14 [Laughter.]

15 DR. WINN: And NAAG asked us to help
16 participate in the forum, and it wasn't so much to go
17 after practitioners of CAM, but to go after people who
18 were basically, under the rubric of CAM therapies,
19 fostering unsafe or deceptive-type practices, where they
20 were promising things that were not true, where the
21 public was believing that they were getting some benefit
22 they would not receive and so forth.

1 I think I would have characterized it more as a
2 discussion of where those efforts should go, if they
3 should go at all. I think it was an educational effort
4 on the part of NAAG and the participants in that regard.

5 Now you asked about incorrect diagnoses. I am
6 unfamiliar with the study that you are using to say that
7 52 percent of all diagnoses are erroneous.

8 DR. WARREN: It is just one of the few things
9 that I saw in JAMA that reported that 52 percent of all
10 medical diagnoses were incorrect, and the inference was
11 that 52 percent of all therapies rendered were incorrect.
12 So I just wondered --

13 DR. WINN: My response to that was that any
14 practitioner who demonstrates a pattern of not meeting
15 the standard of care of doing the proper diagnostic
16 tests, the proper examinations, and following up with
17 appropriate therapies is subject to evaluation and
18 possible discipline by a medical board for putting the
19 patient at risk. So, yes, the answer is if medical
20 boards know that doctors consistently engage in
21 substandard care, substandard practice, which I would
22 assume somebody who misses 50 percent of the diagnoses

1 and institutes the wrong therapy, if that came to my
2 knowledge, I would question that. So I think the answer
3 is correct.

4 DR. GORDON: Dr. Winn, one of the issues that
5 has come up, both here, but especially as we have gone
6 around the country and done Town Halls, is physicians
7 have come and testified before us who have said that
8 state medical boards are calling them up on charges, even
9 though there have been no patient complaints, even though
10 there has been no demonstrable harm done, even though
11 there have been no false promises.

12 I know this is an issue of concern. We heard
13 three physicians in New York State, we heard about the
14 case of Dr. Sanaiko, both in California and in Washington
15 State, where even in California, where the California
16 Medical Society testified on his behalf, and he was doing
17 kind of allergy and food elimination therapy for
18 attention deficit hyperactivity disorder.

19 So there are real concerns out there. And I
20 appreciate what you are doing and what you are doing
21 bringing people who are expert in CAM into the decision-
22 making process, but I am wondering what the Federation is

1 doing to take a closer look at what at least seem to us
2 possible abuses in terms of the disciplinary system.

3 DR. WINN: Let me try to answer what seems to
4 be several different questions that you have posed.
5 Number one is I think the guidelines that we were trying
6 to develop now will go a long way in both educating
7 members of state licensing authorities and putting the
8 doctors at ease that this is the expectations. If you
9 know what you are expected to do, then you can achieve
10 that. If you are concerned that I don't know whether I
11 should be doing this or not because I don't know whether
12 the medical board would view this as favorable, it
13 discourages doctors from going forward in an appropriate
14 way and using new therapies. So I think that that is
15 going to be a big effort on the part of the Federation.

16 Now, it is not only patients that complain, but
17 practitioners complain. Other physicians, nurses, other
18 types of practitioners have lodged complaints with state
19 medical boards. Insurance organizations may lodge a
20 complaint with a state medical board because they are
21 being billed for these kind of services, and they believe
22 that there is some question about those. Medical boards

1 get complaints from different sources, other than just
2 the public or the patient, and they are obligated by law
3 to investigate those complaints.

4 So when you say "being called up on by
5 charges," my sense is that there are not very many people
6 each year that are called up specifically on charges that
7 they engaged in CAM therapy, and I have data that would
8 pretty well back up what I have just told you.

9 Would they be investigated? Yes. If a
10 complaint comes to the medical board, they are obligated,
11 by law, to conduct an investigation to find out what that
12 -- so some doctors assume that, well, I am being
13 investigated, I am in trouble, when the fact is, it is
14 only because a complaint has been lodged against him, and
15 the board has no other recourse, except to at least open
16 up a preliminary review.

17 Now, in regard to what frequently happens I
18 think is that -- and I don't want to talk about the
19 specific cases that you are mentioning because I have
20 limited knowledge of some of those -- but frequently it
21 is not the fact that they used a certain therapy or took
22 care of certain type patients, but that in doing so that

1 they had disregard for basic principles of medical
2 practice and standards of care.

3 So it doesn't excuse an individual, just
4 because they have chosen to use an alternative therapy,
5 for making certain that that patient is being observed,
6 followed up, and if the therapy is not working, that it
7 is changed or further evaluations made.

8 Frequently, what we have are cases -- I can
9 remember one case where the doctor was concerned that the
10 board was being overly harsh because that he engaged in
11 an alternative therapy, but, in fact, the problem was
12 there were a couple of patient deaths while this doctor
13 was absent and had turned over the care of the patients
14 to just basically a layperson that he had trained. So,
15 consequently, the charge there is really that you have
16 not provided the oversight, not met the standard of care,
17 and you were in Europe when your therapy was being
18 provided to the patient, and there were, as I said, some
19 patient deaths.

20 So that is the kind of thing I think that we
21 are seeing the boards taking action against. It is
22 really easy when you are going out to the public, and you

1 are trying to defend your actions, to sort of blame it
2 all on that they are prejudiced or biased against the
3 type of medical practice that you have engaged in.

4 Does that mean that all of the medical boards
5 are educated, as they should be, in CAM therapies and
6 what is to be expected? No. I think that you are going
7 to see some differences from medical boards to medical
8 boards.

9 Part of the work of the Federation is to raise
10 the level of education of board members about these
11 therapies. That is why, in my presentation, I said we
12 believe that there should be further research done and
13 further delineation of those therapies that are
14 considered to be efficacious or at least not harmful and
15 a certain realization that just because something is
16 labeled "CAM," doesn't make it, I guess, doesn't insulate
17 the doctor from meeting the standard of care.

18 DR. GORDON: Is there any way that you think
19 that we, as a commission, can help -- you are helping us
20 understand your process -- is there a way that we can
21 help you with your efforts to enlarge the understanding
22 of CAM in state medical boards?

1 DR. WINN: Certainly, I think the provision of
2 information, as to your knowledge of those kind of
3 therapies and practitioners that render such therapies
4 that would be efficacious and for what conditions that
5 they are effective, would be of great benefit, and the
6 reasonings, for instance, of why you have reached that
7 conclusion or what the research studies and so forth are.
8 So all of the information we can get about that would be
9 very helpful.

10 DR. GORDON: Great. One thing you said really
11 heartened me, that there are some therapies -- several
12 things you said, but one just recently -- you said that
13 there are therapies which, as long as they are not
14 harmful, and there is no pretense that they are any more
15 than they are, that you would not immediately see those,
16 even though they are not the standard of care, which of
17 course, is always changing, that you would not
18 immediately see those as cause for investigation or for
19 concern.

20 DR. WINN: Yes. I think that certainly a
21 therapy that the patient knows probably has very little
22 benefit, and the doctor believes that it to be not

1 harmful, I don't think that the boards are going to spend
2 a lot of time chasing that kind of situation.

3 I can give an example from my own days of
4 family practice. I had patients who came in who wanted a
5 B-12 shot, and they would say, "You know, this seems to
6 perk me up and everything."

7 I would tell them, "I don't have any reason to
8 think you need B-12. There is no evidence you have a
9 deficiency, and I don't think that there is any
10 indication that this really is going to do that. If you
11 understand that I am not prescribing it for you, I think
12 it is relatively harmless," and so with that
13 understanding with the patient, then it was administered,
14 but I didn't turn around and bill the insurance company
15 for a B-12 for some pernicious anemia or something else.
16 That clearly would be a fraud.

17 So I think there are those kind of situations
18 that there can be a trade-off in what the doctor is
19 using.

20 DR. GORDON: I don't know if you know, there
21 was a very interesting paper in the "New England Journal"
22 about 10 years ago, and I can get you the reference, with

1 people with normal B-12 levels who had symptoms of B-12
2 deficiency. So you may have been, really, a major help
3 to --

4 DR. WINN: Well, that is true.

5 [Laughter.]

6 DR. GORDON: And the symptoms went away when
7 they got B-12 injections.

8 I just have one quick follow-up for you. We
9 talked last time about chelation, and one of the things
10 that you said to us, which we really appreciated, was
11 that you were open to the creation and the collaborative
12 working, you were open to working collaboratively with
13 people who wanted to do legitimate chelation studies.

14 I am wondering, has that moved ahead at all?
15 Where are you with that?

16 DR. WINN: We continue to support that quite
17 strongly. The fact is our committee again made a plea
18 that those studies move forward. Dr. Eisenberg also has
19 been trying to get some grant money and get the
20 government interested in doing those studies.

21 So, at this point, I don't know that I can tell
22 you that any progress has been made since the last time I

1 was here, but we continue to welcome the integration of
2 people because, I think, as I said before, that in order
3 for the study to be recognized by all parties, you have
4 got to have people who do this on a regular basis buy
5 into the study and say, we want to make sure this is done
6 correctly, because otherwise you end up with charges,
7 well, those guys did the study, but they don't do it
8 normally, and so they don't know how to do it just right.

9 DR. GORDON: Right.

10 DR. WINN: And our point is that we want good,
11 solid information, one way or the other, and I think that
12 the people and the public really demand that.

13 DR. GORDON: That is great.

14 Have Drs. Bruce Dooley and Tammy Borne [ph]
15 been in touch with you about this? Because they have
16 been in touch with us several times.

17 DR. WINN: About the study --

18 DR. GORDON: Doing a chelation study.

19 DR. WINN: I haven't had any direct
20 communications with Tammy. She is the chairman of the
21 Michigan Board of Osteopathic Medicine, I believe. In
22 that regard, I do interact with her and her board from

1 time to time, but I don't recall a direct communication
2 to me for --

3 DR. GORDON: I will just remind them of this
4 because I heard you pretty clearly last time.

5 DR. WINN: Absolutely.

6 DR. GORDON: I am really glad to hear this
7 openness.

8 We have a couple minutes more. Are there any
9 last questions?

10 Yes, David?

11 DR. BRESLER: Just again for Dr. Winn. For a
12 period of time the FDA, in terms of the food
13 manufacturing industry, developed a grass list of
14 substances that were generally recognized as safe, and
15 this was a broad consensus amongst their industry, and
16 kind of side-stepped a lot of regulation on some of those
17 substances because they are so widespread, so widely
18 used.

19 Is it conceivable that following some consensus
20 meetings or other things, that there would be some of
21 these CAM modalities the licensing boards would generally
22 recognize as safe and sort of focus on those that were

1 much more questionable?

2 DR. WINN: My answer to that is I would expect
3 so. I think if you had legitimate bodies who have
4 studied the issue come forward and say, these are widely
5 accepted, they appear to have benefit, there is no reason
6 to suspect they are harmful, then I think that the
7 medical boards are going to say, okay, that there is no
8 reason for us to spend our time and energy chasing
9 something that is not hurting people and can wait until
10 which time that they are found to be efficacious and
11 totally integrated or not.

12 DR. GORDON: Thank you. Thank you very much,
13 Dr. Winn, and Clem Bezold, and Steve Olson.

14 Did you want to ask a last question?

15 COMMISSION MEMBER: I just wanted to say that
16 if there is a way in which a federal panel like this can
17 be involved in assisting with some of the states' issues,
18 one of the ways would be to stay in close contact with
19 these overall guidelines that you are trying to provide
20 for the states so that we can at least be cognizant of
21 those and consistent with some of those.

22 DR. WINN: I will be happy, as we move along,

1 which I expect those guidelines to start taking pretty
2 good substance in the next six months or so, to try to
3 send you a draft for your comment, if you would like to
4 do that.

5 DR. GORDON: That would be wonderful. We
6 really would appreciate that. Thank you all very much.

7 Rather than break at this moment, what we are
8 going to do is, I am going to give you our charge -- how
9 is that for a word -- our charge for the rest of the
10 afternoon and tomorrow, and then we will break and go to
11 the separate rooms. Everybody else is, of course,
12 invited to listen to us as we get our charge, so to
13 speak.

14 The remainder of the work that we are going to
15 be doing is building on what we have heard so far today,
16 making use of the documents that have been provided to us
17 by 150 different organizations and individuals. We are
18 going to be meeting in small groups.

19 All of you know which small group you are in
20 and who is the leader of the small group. The four small
21 groups are going to meet and discuss the three areas that
22 we have been considering today in order.

1 So the first area we will discuss will be the
2 issue of undergraduate and postgraduate education, then
3 continuing education, and finally credentialing and
4 licensure. Each of the meetings in the group will be for
5 an hour and a half. Toward the end of that meeting, the
6 charge of the group leader is to get recommendations from
7 the group.

8 Now, one more word about the recommendations.
9 These are not final recommendations. These are what are
10 coming up from your discussion. What we are going to do,
11 after we have finished with the three breakout sessions,
12 is, tomorrow afternoon, from 12:30 to 4:00, we will have
13 reports on each of these aspects, that is, undergraduate
14 and graduate education, continuing education, and
15 credentialing, from each of the groups.

16 We will put up the recommendations, the
17 concerns, the considerations, on the board, and we will
18 have a discussion about them. We will discuss each
19 segment in turn. And so, at that point, people will be
20 able to raise all kinds of questions. Remember, we are
21 not coming to final conclusions today, but we are moving
22 closer to our recommendations.

1 What we will do today is just come back here.
2 We will have about a 10-minute break, and then we will
3 come back at 5:45 here, and we will just hear for a
4 couple of minutes from each of the group leaders just to
5 see how things are going and if there are any adjustments
6 that we need to make. We will just do that for 15
7 minutes, and then we will break for the day.

8 We will come back tomorrow morning. Instead of
9 meeting here first thing tomorrow morning, we will be
10 having the group sessions at 8:15 to 9:45, and then as
11 you see on the schedule, a break, and then Breakout
12 Session III, then lunch break, and then coming back here
13 at 12:30 for the full panel and the full three and a half
14 hours of discussion.

15 I am going to read off where the different
16 groups go. Any questions about that at this point?

17 [No response.]

18 DR. GORDON: Okay. Great. We will be having
19 some dinner together, an informal dinner.

20 Discussion Group No. 1 is in Room 405-A; No. 2
21 is 505-A; No. 3 is 640-H; No. 4 will be right here in
22 Room 800; and then Michele and Steve and I will be in

1 Room 634-F.

2 So, at least for the first 45 minutes, any of
3 you who are interested in meeting with us, are welcome to
4 come. You are all invited to come to the small group
5 meetings.

6 COMMISSION MEMBER: Can you identify the final
7 subjects of our group here, Breakout Session I?

8 DR. GORDON: They are all covering the same
9 topic.

10 DR. GROFT: They are all going to cover the
11 same topics. It is just that some groups will be
12 emphasizing one thing or the other in the three sessions.
13 What you can do is just look in the back of the room. We
14 posted the organizations who responded or who we asked
15 for a response. You can look on the sheets and see which
16 group goes where. So if you are interested in the
17 massage therapy group or the bodywork, they may be in one
18 group. So look down the list and then go with that
19 group.

20 DR. GORDON: But all of the groups are
21 responding to similar questions, all of the four groups,
22 but the information, the input of information is from the

1 || many, many groups that are up there on the board. Okay?

2 Once again, tomorrow morning, at 8:15 or so, we
3 will also be going back to the small groups. And again,
4 Michele, Steve and I will be available in 634.

5 So we will see you all back here at 5:45.

6 [Whereupon, at 4:10 p.m., the full Commission
7 was recessed to reconvene the following day, Friday,
8 February 23, 2001, at 12:30 p.m.]

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CERTIFICATION

This is to certify that the attached proceedings

BEFORE: White House Commission on Complementary
and Alternative Medicine Policy

HELD: February 22-23, 2001

were held as herein appears and that this is the official
transcript thereof for the file of the Department or
Commission.

DEBORAH TALLMAN, Court Reporter