

1 is developed at the national level that could really
2 apply across all disciplines, and the model that I use is
3 the AHCPR guidelines. It is a wonderful guideline for
4 management of pain that involves complementary medicines.
5 It would be wonderful to have that so there would be
6 consistency and a more standardized body of knowledge.
7 Now I realize there would be some exclusion in that
8 development, and that is something that has to be
9 addressed later.

10 For nurse's education for the most part, is
11 going to be foundational, as many people have discussed,
12 at the undergraduate level, with an opportunity to
13 develop more sophisticated skills with more options at
14 the graduate level. Again, I would recommend that a lot
15 of that training become interdisciplinary in nature.

16 For practicing clinicians, it is essential to
17 include information in professional continuing education.
18 One of the things that needs to be considered in setting
19 these things up are the financial barriers that often
20 exist for nurses to attend programs. The days are over
21 when institutions would send people to programs, would
22 fund their participation in programs, and even would

1 allow them time off to participate. So these, perhaps,
2 need to be models that can be brought back to
3 institutions, rather than bringing people to the places
4 where they gain that education.

5 It should not be limited to health care
6 providers. These same faculty could be offering these
7 programs to the larger community and increasing the
8 awareness of people who are going to be using the system.
9 Then, I would invite another group to be part of this
10 discussion besides our consumers, and that is the
11 insurance industry. Because, certainly, there is an area
12 where education needs to take place.

13 Certainly, as a practicing clinician, that is a
14 tremendous barrier of access to care. If my clients use
15 their own language and talk about wanting to learn some
16 mind-body techniques or relaxation responses, that door
17 is immediately closed. I need to educate them about some
18 of the terminology to even use to access the services.
19 It is appropriate for me to use the DSM terminology. I
20 am not questioning that for a minute. I don't think it
21 is appropriate to expect consumers.

22 I do think that there is an opportunity and a

1 welcomeness in some arenas for this education to be part
2 of health care providers, nursing included in that, as
3 well as consumers, as well as the insurance providers.
4 Recently, a care manager for one of the insurance
5 companies was speaking to the Oncology Nursing group, and
6 she said, you know, "Really, having a relationship with
7 me is not to be compared to sleeping with the enemy. I
8 really am a good guy and I really want good care." We
9 need to also make this research available to that
10 particular group.

11 Knowledge is such right now -- we have just
12 talked about herbs and supplements -- that none of us
13 will have it all at our fingertips. We don't even really
14 have a comprehensive grasp of all FDA-approved
15 medications because the volume of information is
16 expanding so rapidly, so we are going to have to rely on
17 computer technology. So my other recommendation would be
18 that CAM practitioners and educators are very much a part
19 of putting together that technology so, when you plug in
20 your palm pilot to get it updated on drug interactions,
21 included are the best advice and the best wisdom that we
22 have from research on what are the drug interactions with

1 the herbs that we are talking about because, as we all
2 know, our consumers are certainly using those.

3 One issue I sort of lost track of in talking
4 about education with nurses, and is a concern to me, is
5 that oftentimes practitioners aren't even documenting
6 what they are doing. So, when we are talking about
7 evidence based and we are talking about care outcomes,
8 then we really need to have some better data to look at.

9 So, incorporated in any of these educational
10 programs, really needs to be an emphasis on
11 documentation. Why don't they document it? Well, part
12 of the reason they don't document it is their fear of
13 ridicule, of 1,000 different reasons -- they are in my
14 paper -- but it certainly needs to be done.

15 One other thing I would like to say about
16 models, and that is that we have pretty much, in health
17 care, used a model of we will create the practitioners,
18 we will create the supply, and hope the demand matches
19 it. I think that the consumer has spoken and said that
20 this is what the demand is, so it is inherent in the
21 health care system to start producing the practitioners
22 who are going to meet the demand that we already know is

1 out there.

2 Thank you.

3 DR. GORDON: Thank you very much.

4 Susan South.

5 MS. SOUTH: Thank you for the opportunity to be
6 here. My name is Susan South. I am the director of the
7 Associate Fellowship at the Program in Integrative
8 Medicine at the University of Arizona.

9 What I would like to do is give you an overview
10 and a brief history of our program, and describe for you
11 our educational philosophy in terms of creating an
12 educational model for continuing education for health
13 care professionals, hoping that you will use this
14 information in terms of the model we have created and
15 also the lessons we have learned by going through this
16 process over the past few years to inform your
17 deliberations for your recommendations.

18 The Program in Integrative Medicine at the
19 University of Arizona began in 1996 with a vision. Dr.
20 Andrew Weil had long believed that reform and a redesign
21 of medical education was necessary to meet both the needs
22 of physicians and health care professionals and the

1 demands of an increasingly more educated patient
2 population. Dr. Weil and a consortium of his colleagues
3 committed to this vision, assembled to design the
4 framework for a curriculum in integrative medicine, and
5 develop strategies for offering a comprehensive education
6 to health care providers.

7 This work resulted, initially, in a few
8 continuing medical education offerings for health care
9 professionals, and then in the establishment of an
10 outline for a comprehensive curriculum in integrative
11 medicine.

12 In this curriculum, philosophical foundation
13 subjects such as philosophy of science, medicine in
14 culture, art of medicine, research education, lifestyle
15 practices, spirituality, mind-body interaction, nutrition
16 and physical activity were included, as well as several
17 therapeutic systems and modalities such as botanicals,
18 manual therapies such as osteopathy and massage, Chinese
19 medicine, homeopathy, and energy medicine.

20 The program then evolved to offer a two-year
21 residential fellowship in which four physicians were
22 competitively selected each year to relocate to Tucson to

1 study with Dr. Weil and other integrative and CAM-
2 practitioner faculty. This education focuses on the
3 acquisition of knowledge, the clinical practice of
4 integrative medicine and development of leadership
5 skills.

6 The residential fellowship, currently in its
7 5th year, emphasizes active and experiential learning
8 processes in which skills and knowledge are applied to
9 clinical care and management practices, which in turn
10 prepares graduates to assume leadership positions in
11 integrative medicine. This model consists of didactic
12 sessions, discussion groups, a consultative clinic
13 experience with patients, and patient-care conferences
14 which include practitioners from various modalities
15 assembled in one room to discuss and contribute to
16 treatment plans for patients.

17 In addition to the residential fellowship, a
18 research unit within the program was established. The
19 objective of this unit emphasizes development of relevant
20 evaluation tools, measurement of effectiveness of
21 educational activities and enhancement of clinical
22 decision making of integrative practitioners. The

1 research staff also provides education for residential
2 fellows, including individual and group mentoring,
3 instructional sessions and facilitation of journal clubs.

4 Lessons learned from the residential fellowship
5 allowed us to refine the curriculum and develop new
6 instructional models based in adult learning theory and
7 grounded in a philosophy of self care and a strong sense
8 of community. We knew that educating just four
9 physicians per year for clinical and leadership positions
10 in integrative medicine was not meeting the demand of
11 physicians seeking an education in integrative medicine,
12 meeting public demand for practicing integrative
13 physicians, nor forwarding the field of integrative
14 medicine on a large scale. Additionally, we knew that
15 many physicians were not able, for various personal,
16 professional and financial reasons, to relocate to Tucson
17 for a two-year fellowship.

18 It was then that the concept of a distributed
19 education in integrated medicine evolved, so it has
20 accomplish several goals. First, it would increase the
21 number of physicians being educated. It would allow
22 physicians to immediately integrate their learning into

1 their medical practice, and it would forward the agenda
2 of the integrated medicine movement on an international
3 scale. But, most importantly, it would allow for
4 thousands of patients to experience healing-oriented
5 medicine through relationship-centered care with their
6 physician.

7 This new educational concept, named the
8 associate fellowship, is a two-year educational
9 experience delivered primarily over the Web, but also
10 using a variety of other media such as video, audio,
11 printed materials and texts. The associate fellowship
12 requires the physician to spend 8 to 10 hours per week in
13 study, totaling approximately 1,000 hours of instruction
14 over the course of the two-year program.

15 The structure also requires the associate
16 fellows to attend three separate residential weeks in
17 Tucson. These residential weeks allow the associate
18 fellows to learn and practice skills together, meet and
19 collaborate with faculty and residential fellows in
20 person, and build a sense of community among colleagues
21 who share similar philosophies.

22 In the associate fellowship, physicians learn

1 about the philosophy, practice and integration of care
2 through an active and interactive delivery model.
3 Associate fellows read texts, printed materials, peer-
4 reviewed journal articles; engage in interactive
5 exercises on the password-protected Internet site;
6 participate in threaded dialogues with faculty, experts,
7 practitioners and other associate fellows; complete case
8 studies and clinical scenarios modeled after the patient-
9 care concept introduced in the residential fellowship;
10 and conduct field trips, interviews and other practical
11 activities that emphasize integration of the learning
12 into their personal lives as well as into their active
13 practice.

14 One of the most important objectives for
15 associate fellows is to experience behavior change by
16 integrating the learning with practical application. We
17 consistently present opportunities for associate fellows
18 to challenge their beliefs and behaviors to make
19 resolutions for change.

20 As a result of this design, the associate
21 fellows are reporting that, after only six months in the
22 program, they are changing the way they practice

1 medicine. For example, one physician reported that he
2 spends twice as much time now as before in counseling
3 patients on nutritional concepts.

4 The associate fellowship is a unique
5 educational experience in several ways. The learner-
6 centered approach places emphasis on a balance between
7 delivery of a structured education in integrative
8 medicine with a self-directed, self-explorative process
9 in which the physician is both encouraged and challenged
10 to seek out new knowledge independently and in groups,
11 and address his or her own misconceptions and paradigms
12 about medicine and their role as a healer.

13 For example, associate fellows recently
14 completed an assignment in which they selected and
15 examined, in groups, a therapy which was considered on
16 the fringe of conventional medicine. They had to find
17 literature about the therapy, examine its uses, discuss
18 indications and contraindications, and share findings
19 with other groups of associate fellows online.

20 What resulted was a collection of informed
21 dialogues about various fringe therapies that now serve
22 as a resource to which associate fellows can refer, as

1 well as a sense of pride of ownership that the database
2 was co-created by the entire group. Assignments such as
3 this result in the creation of a bank of knowledge and
4 data virtually impossible to create by any one person,
5 and also in the establishment and maintenance of a sense
6 of community among participants which is the cornerstone
7 of the associate fellowship.

8 Building a sense of community allows
9 participants to share knowledge, exchange ideas, debate
10 constructively, and gain confidence in a safe and
11 supportive virtual environment. Although distributed
12 learning programs historically have high attrition rates,
13 we believe that our community-based approach will
14 maintain persistence and add to the richness and
15 retention of the education.

16 So far, we believe it is working. Seven months
17 into this inaugural class, we remain at 100 percent
18 enrollment. However, without hard data to challenge our
19 assumptions and inform our decisions about the future of
20 the program, we could not be nor prove ourselves
21 successful. Therefore, we have created a comprehensive
22 evaluation plan that allows us to examine and analyze

1 participant and patient characteristics and demographic
2 data, acquisition of knowledge, integration of the
3 learning into clinical practice, program structure,
4 curricula design, and satisfaction with the educational
5 experience from various viewpoints.

6 We have developed evaluations for each online
7 module, constructed survey instruments that evaluate
8 physician attitudes and practices, and designed patient
9 satisfaction measurements. Since most of the activity in
10 the program is completed online, the data are easily
11 extractable from our database.

12 This entire instructional design, we believe,
13 is highly effective in delivering this education and
14 requires a diverse team of professionals to administer.
15 The team consists of 10 professionals combining their
16 unique talents in producing the program. It consists of
17 instructional design specialists, content experts, a
18 medical editor, web design technologists and program
19 coordinators.

20 In addition, the team works with dozens of
21 content providers, reviewers and faculty from all over
22 the world and depend greatly on the contribution of

1 dedicated volunteers. For example, we have been honored
2 to have been able to work with renowned experts such as
3 Rachel Remen, Marty Rossman, Jon Kabat-Zinn, and Dr. Low
4 Dog.

5 Each curricular area is coordinated by a
6 development team that works with faculty and content
7 providers to produce the curriculum for delivery on the
8 Web. This concept requires that content experts and
9 faculty provide an education often very different from
10 previous teaching experiences.

11 Faculty members are expected to be facilitators
12 of learning rather than simply teachers. They assist the
13 development team in the design of interactive exercises
14 and group projects, as well as providing textual content.
15 Faculty also participate online with associate fellows by
16 seeding dialogues with philosophical questions and
17 statements about the curriculum, and creating
18 opportunities for sharing of knowledge and experiences.

19 Although the associate fellowship is still in
20 its infancy, we believe we are building a solid
21 foundation backed by positive feedback, thus far, from
22 associate fellows, integrative physicians, content

1 experts and patients, for developing additional
2 educational offerings to other health care providers.

3 We would be honored to share our success in
4 learning with you and, therefore, invite you to visit us
5 at the University of Arizona to examine and gain a more
6 personal understanding of our educational philosophy and
7 success. With experience in learning from the
8 residential fellowship and evidence and support from the
9 program's research initiatives, we believe the associate
10 Fellowship is an excellent model of a comprehensive
11 education in integrative medicine delivered in an
12 innovative and community-based environment.

13 DR. GORDON: Thank you. Thank you, both.

14 Question from Commissioners. Linnea.

15 **Panel Discussion**

16 MS. LARSON: I thank both of you for your very
17 excellent testimony and advice.

18 I want to direct one question to you, Ms.
19 South, and it has to do with are there -- what is the
20 cost of that associate fellowship, and are there
21 scholarships or monies available to those physicians or
22 health care providers who apply and who serve in

1 medically-underserved areas?

2 MS. SOUTH: Currently, we don't have any
3 scholarships available. We are working on developing the
4 criteria for those, and we are targeting physicians both
5 who are of a lower income themselves and also serve
6 underrepresented populations. Currently, the tuition for
7 the two-year program is \$25,000.

8 MS. LARSON: How do the physicians pay for it?

9 MS. SOUTH: In a variety of ways. Some
10 physicians are self-paying, some are supported by the
11 institutions in which they work, and others are supported
12 by philanthropic donations and other agencies. It is a
13 variety of different ways.

14 MS. LARSON: What is the average age?

15 MS. SOUTH: The average of the physicians is
16 44.

17 MS. LARSON: So they have been practicing for
18 quite a while?

19 MS. SOUTH: Yes. What we hear from these
20 physicians, they are physicians who are applying to the
21 program, just overwhelmingly are expressing quite a
22 dissatisfaction with the way in which they are practicing

1 medicine. These are physicians who I have been told
2 personally on the phone by many of them that, if I don't
3 learn how to practice in a different way, I have to get
4 out of medicine.

5 DR. GORDON: Yes, Charlotte.

6 DR. LOW DOG: Denise, I thought your
7 presentation was excellent. I wanted to know if you were
8 willing to develop the core curriculum for the country.

9 MS. EDWARDS: I would be happy to work on it.

10 DR. GORDON: Dean.

11 DR. ORNISH: Just a quick question. I also was
12 very impressed by all the presentations.

13 Besides the three-week long residential
14 programs, is the rest of it completely online, and is it
15 online in a self-contained way or does it require a live
16 person on the other end for each individual?

17 MS. SOUTH: It is primarily on the Web. We do
18 have audio, video, CD-Rom. We also have printed
19 materials. They get a packet every month. There are
20 weekly assignments, but every month they are sent one
21 packet that will include all the printed material. So it
22 is a variety of different media that we use, but it is

1 primarily over the Web.

2 DR. ORNISH: But my question is, in addition to
3 the material that they get, does it require somebody at
4 the University of Arizona to interact with them, other
5 than during those three weeks of the retreat?

6 MS. SOUTH: Yes. We do have, as I said, the
7 faculty are required to spend time online with them
8 during the time period that a threaded dialogue is up and
9 running on the Web. So there would be a faculty member,
10 a content expert if you will, that, when comments are
11 made in the dialogues, that faculty member would go in
12 and comment appropriately on their comment or answer
13 their questions.

14 So, yes, and the team of professionals that we
15 have are constantly monitoring their activity. We have
16 the technology to be able to know exactly when they are
17 online and when they are off and how long they are
18 spending online.

19 DR. ORNISH: How many people are currently
20 doing that now; do you know?

21 MS. SOUTH: We currently have 44 physicians
22 enrolled, and they started their program last August. We

1 just finished our selection process for 55 to begin this
2 August.

3 DR. ORNISH: Thank you.

4 DR. JONAS: I take it that a lot of what you
5 are describing actually is part of what is going on in
6 conventional medical education, too, with a lot of
7 interactive online type of activities. Most of that is
8 fairly information-based, similar to what we have heard
9 as a lot of the programs are moving towards, including
10 the accreditation groups.

11 I am wondering is there any skills-based
12 training as part of this program? Are any of the
13 programs teaching people how to use these in their
14 practices, some of the acupuncture issues, the mind-body
15 issues that we talked about before?

16 MS. SOUTH: Yes, absolutely. We focus on, like
17 I said, behavior change, and we do design the curriculum
18 so that it evaluates their behavior change in their
19 practices. For example, we recently completed a series
20 of modules in which motivational interviewing techniques
21 were given to the physicians. Not only did they have to
22 read material and answer questions and dialogue online,

1 but they actually had to conduct some motivational
2 interview techniques. They had to demonstrate that with
3 patients and report back on their findings, as well as
4 the patients reporting back on their experiences with
5 that.

6 It is very experientially based. It is both an
7 active and interactive education. What we mean by that
8 is interactive, in terms of dialoguing with experts and
9 other associate fellows; and, active, in terms of
10 actually the practical application of the education.

11 DR. JONAS: So no one is learning acupuncture
12 or manipulative medicine or anything through this
13 program?

14 MS. SOUTH: Not acupuncture, no. We teach
15 quite a few mind-body techniques. We do cover
16 acupuncture, but Chinese medicine is such a complex
17 system that we realize we cannot cover all of that. So
18 what we do is we give an overview of Chinese medicine
19 that gives them the basis for discussing this type of
20 treatment with their patients and making appropriate
21 referrals to Chinese medicine practitioners.

22 DR. JONAS: Is there CME credit for this?

1 MS. SOUTH: Currently, we have CME credit for
2 the residential weeks. We are looking to secure CME
3 credit for the online modules. We are still in the
4 development stage of some of the modules. It is a little
5 bit difficult to get CME credit for online modules, we
6 are finding.

7 DR. GORDON: Okay. Thank you very much. Thank
8 you, both.

9 We will adjourn for lunch, and we will return
10 here promptly and begin the next panel at 2:00.

11 [Lunch recess taken at 1:00 p.m.]

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1 sick, but in the 1760s, physicians began becoming
2 licensed for two reasons: first, to prevent dangerous or
3 unqualified practitioners from injuring the public, known
4 as fraud control; and second, to ensure greater
5 education, training, and standards for practice, and I
6 call this quality assurance.

7 Later, states made it a crime to practice
8 medicine without a license. Under these licensing laws,
9 naturopaths, massage therapists, acupuncturists,
10 spiritual healers and others were prosecuted for
11 practicing medicine without a license. In fact, "go to
12 jail for chiropractic" was an early slogan for the
13 profession. Since then, four major groups of providers
14 in CAM have gained licensure in various states:
15 chiropractic, massage therapy, acupuncture and
16 traditional Oriental medicine, and naturopathy.

17 There are at least three historic models for
18 licensure: mandatory, title and registration. These are
19 three distinct models, although a lot of times
20 legislatures confuse the terms. Mandatory licensure is
21 exclusive. It means that practicing the profession
22 without a license is prohibited.

1 Title licensure means that anyone can practice,
2 but only persons with the designated title, for example,
3 certified massage therapists, can use that title.

4 Registration means that, to practice, a provider has to
5 register their name, address and training with the state
6 agency, which has the power to receive consumer
7 complaints and revoke registration.

8 Now there are two additional avenues for
9 regulating professional practice: the first is exemption.
10 For example, often religious healers are exempt from
11 medical licensing laws so long as they are practicing
12 within the tenets of a recognized church and do not
13 recommend medication.

14 The second is the Minnesota model, which you
15 may have heard something about, which allows non-licensed
16 providers to practice, as long as they meet a number of
17 requirements. For example, they must not render a
18 medical diagnosis or engage in fraudulent advertising or
19 deceptive conduct. So these five approaches represent
20 basic models for licensing and regulating.

21 Now the Tenth Amendment to the U.S.
22 Constitution reserves to the states the police power, the

1 authority to determine who will be granted a professional
2 health care license and what the requirements are for
3 that license. State control makes licensure enormously
4 complex.

5 Each state has a different licensing scheme
6 with different statutory language regulations and then
7 judicial decisions interpreting all of these rules.
8 State legislatures usually rely on national professional
9 groups to establish education and training standards for
10 practitioners and accreditation standards for educational
11 programs and institutions, but members of CAM professions
12 themselves dispute such things as how much training
13 should be required to get a license in any field or the
14 extent to which their training should incorporate
15 conventional medical models or whether such training and
16 standards can truly encourage individualized treatment.

17 So licensure presents attention between the
18 desire to increase state legitimization and
19 standardization of CAM practices and the desire to keep
20 CAM practice flexible, nonstandardized and linked to
21 intuitive aspects of care. The possibility of decreased
22 individualization and decreased time per patient that

1 licensure presents, presents a possible dark side to
2 licensure that can temper calls for increased
3 standardization and nationalization, and this can create
4 conflicts about the desirability of licensure, even
5 within CAM professions, and temper interest in more
6 uniform practice guidelines.

7 Now, once states decide to license a given
8 class of providers, they must address the scope of
9 practice. The map of licensure in CAM is complicated by
10 the fact that some modalities, such as homeopathy or
11 acupuncture, may be included within a scope of practice
12 of several different professions. In other words, quite
13 frequently no single profession has a monopoly on any
14 given modality.

15 A related complexity is that licensed providers
16 in CAM who exceed their scope of practice can be
17 prosecuted for unlicensed medical practice, and some
18 boundary issues between professions include whether
19 acupuncturists can recommend Western, as well as Chinese
20 herbs, whether chiropractors can recommend nutritional
21 advice, and to what extent massage therapists can offer
22 emotional counseling and support. So the potential for

1 criminal liability creates fear and uncertainty in CAM
2 practice, as it may be difficult to spot boundary
3 violations.

4 Now, licensure, as you know, is one of several
5 key legal issues, and they are all related, and some of
6 the related issues include informed consent, malpractice
7 liability and professional discipline. Some of the cases
8 suggest that licensed providers may find that merely
9 integrating CAM into conventional care, in and of itself,
10 could result in malpractice liability, as well as
11 professional discipline.

12 The regulatory framework governing CAM emerged
13 in the late 19th Century, and regulation in this century
14 requires wisdom and vision. Abraham Maslow proposed that
15 human beings evolve along the hierarchy of personal
16 needs. He called them survival, safety, "belongingness,"
17 esteem and self-actualization.

18 Similarly, from my perspective, legal authority
19 might evolve along a hierarchy of regulatory needs.
20 These include fraud control, quality assurance, health
21 care freedom, integration and human transformation.
22 These needs are not exclusive, but they do potentially

1 represent different stages of thought about regulating
2 CAM.

3 For example, fraud control and quality
4 assurance, which you have heard a lot about, are
5 important aspects of licensure, but they are neither the
6 only nor the controlling variables. I will briefly
7 describe the other three as I see them.

8 The value of health care freedom respects the
9 flow of information such that consumers can make
10 intelligent, voluntary, and autonomous decisions.
11 Integration reflects the value of learning ways in which
12 different medical systems, across cultures and across
13 time, can teach our health care system today.

14 Finally, transformation reflects the value of
15 protecting the aspect of CAM that deals with personal, as
16 well as social, wholeness. At its broadest and deepest
17 level, transformation involves the maturation of humanity
18 toward notions of individuation, fulfillment, happiness
19 and even enlightenment and planetary evolution.

20 A unified approach to regulation would account
21 for all five levels. For example, (1) How can states
22 protect consumers against fraud; (2) How can states

1 encourage standards; (3) What kind of licensure or
2 regulation most clearly enables consumers to make their
3 own informed choices about health; (4) Which approach
4 best respects the integration of different healing
5 models, cultures and traditions; and (5) What kind of
6 licensure or other regulation will best facilitate human
7 transformation.

8 Such a unified approach has the potential to
9 transcend the sectarian factionalism, turf battles, and
10 professional monopolies that have dominated licensure,
11 and thereby focus on compassion, healing and the best
12 interests of the patient.

13 So, to summarize, there are five overarching
14 policy considerations that set the framework for
15 licensure in CAM:

16 First, many providers want licensure to gain
17 legitimacy and avoid criminal liability under medical
18 license laws.

19 Second, licensure is largely a matter of state
20 law. States rely on the professional organizations to
21 set standards and structures.

22 Third, licensure has a potential dark side, in

1 terms of diminishing the heart and soul of CAM practice.

2 Fourth, several licensed CAM professions may
3 share legal authority to practice a given modality.

4 Five, there are at least five different models
5 for licensing CAM providers.

6 A state may choose mandatory licensure, title
7 licensure, registration, exemption, the Minnesota model
8 or some variation or combination of the above. Such a
9 choice may emphasize varying combinations of controlling
10 fraud, quality assurance, health care freedom,
11 integration or facilitating transformation.

12 I hope that these five overarching principles
13 can serve as touchstones in future debates concerning CAM
14 licensure. By articulating these principles, the White
15 House Commission can help guide the states in their own
16 deliberations.

17 Lastly, the power to articulate principles is
18 the power to create. Let us create the world that we
19 choose and not the world we have inherited.

20 Thank you.

21 DR. GORDON: Thank you, Michael.

22 Boyd Landry?

1 MR. LANDRY: Mr. Chairman and members of the
2 Commission, my name is Boyd Landry, and I am the
3 executive director of the Coalition for Natural Health
4 and have been before this body several times. I like to
5 think of myself as the social conscience of the public,
6 providing information to the Commission.

7 I represent a grassroots organization that
8 represents over 2,500 natural healers nationwide, and I
9 appreciate the opportunity to address this distinguished
10 panel today, for longer than three minutes, concerning a
11 matter of utmost importance to my organization's
12 constituency and to the public at large.

13 The purpose of today's session is to discuss
14 whether, how, and by whom national standards and/or
15 certification procedures are established for CAM
16 practitioners. I can save all of us some time by first
17 addressing the fundamental issue of whether national
18 standards are needed. The answer to that question is a
19 resounding no, thus eliminating the issues of how and by
20 whom.

21 The need for federal regulation in this area
22 may be summarily disposed of for two reasons: (1) There

1 is no evidence that traditional natural health therapies
2 pose any material risk to the consuming public, so the
3 primary prerequisite for regulation is absent; (2)
4 Industries that are heavily regulated by the government
5 easily account for half of American fatalities, both
6 directly and indirectly, each year.

7 So, why assert the supposition that government
8 regulation would enhance consumer safety? If the issue
9 is not about consumer safety, then what is it really
10 about?

11 Furthermore, as Jeffrey Goin, my organization's
12 president, pointed out in his testimony to this
13 Commission in the New York Town Hall meeting, the issues
14 of whether a health-related profession should be
15 regulated, and if so, how, within the sole province of
16 the states. The local circumstances and needs that exist
17 in one state are very different from those prevailing in
18 other states. Only the lawmakers, consumers and
19 practitioners in each state can know what, if any, level
20 of regulation is appropriate for that state.

21 The Tenth Amendment to the Constitution clearly
22 states the powers not delegated to the United States by

1 the Constitution, nor prohibited by it to the states, are
2 reserved to the states respectively or to the people.

3 Therefore, we feel it inappropriate for a federal agency,
4 department or advisory board to insert itself for
5 purposes of mandating or even recommending processes or
6 guidelines for regulation at the state level.

7 If this Commission were to engage in this
8 activity, it would be entirely unprecedented. The
9 federal government does not set professional requirements
10 and standards for doctors or lawyers, and it should not
11 attempt to do so for natural health practitioners.

12 Additionally, the Executive Order that
13 established the White House Commission on Complementary
14 and Alternative Medicine Policy contained no specific
15 language that directed this Commission to concern itself
16 with matters of regulation or licensing CAM modalities.
17 Again, the establishment and maintenance of professional
18 standards and qualifications for natural healers, as with
19 all local professionals, must be left to the states.

20 Now back to the point that I made earlier about
21 the real motivation for enacting licensure laws. Nobel
22 Prize-winning economist Milton Friedman once stated, "The

1 justification for licensure is always the same, to
2 protect the consumer. However -- observe who lobbies for
3 imposition or strengthening of licensure. The lobbyists
4 represent the occupation in question, not customers -- I
5 am, myself, persuaded that licensure has reduced the
6 quantity and quality of medical practice. It has forced
7 the public to pay more for less satisfactory medical
8 service."

9 Echoing this point, Walter Gelhorn, renowned
10 administrative law scholar and two-time winner of
11 Harvard's triennial Henderson Prize, the country's
12 highest award for administrative law scholarship, once
13 observed, "Only the credulous can conclude that licensure
14 is, in the main, intended to protect the public rather
15 than those who have been licensed," or, perhaps in some
16 instances, those who do the licensing.

17 Attorney and author, Michael Cohen, who sits to
18 my right, in his first book stated, "Licensure, creating
19 specialization and professional monopoly, has always
20 allowed licensees to fend off non-licensed competitors."
21 He further notes that medical licensure has proven
22 ineffective in controlling incompetent or fraudulent

1 practitioners.

2 Mr. Cohen also astutely observed, "Since
3 medical licensing boards are staffed by individuals drawn
4 from and committed to promoting the licensing profession,
5 medical licensing accentuates the protections of the
6 interests of parties other than patients."

7 As this Commission well knows, more and more
8 consumers are "voting with their feet" where access to
9 natural health modalities is concerned. A frequently
10 cited 1997 survey in the "Journal of the American Medical
11 Association" reported that 42 percent of Americans used
12 at least one of 16 alternative therapies during the
13 previous year.

14 Another intensive study on alternative
15 medicine, published in the November '98 issue, stated
16 that conservative estimates placed consumer spending on
17 alternative therapies at \$21.2 billion in 1997. This
18 figure is up \$14.6 billion in 1990. The study further
19 revealed that the majority of people who sought
20 alternative therapy services paid all costs out of
21 pocket. Most consumers apparently recognize that the
22 prevention of disease and the maintenance of good health

1 is always a more cost-effective approach to health care
2 than the necessity to cure disease.

3 HCFA estimates that national health
4 expenditures will double by 2007 to exceed \$2.13
5 trillion. As the dollar figures associated with
6 biomedical delivery continue to skyrocket, it is no
7 wonder that what has been termed the field of
8 Complementary and Alternative Medicine is rapidly
9 attracting the attention of both biomedical practitioners
10 and pharmaceutical companies alike. Cost-effective and
11 prevention-oriented therapies present these industries
12 with an opportunity to substantially offset their current
13 costs of operation, while simultaneously improving
14 consumer satisfaction. It is imminently reasonable to
15 conclude that money, rather than safety or efficacy, is
16 the driving force behind the new-found attention and the
17 efforts to justify the regulation of natural health care.

18 It is also interesting to note that until quite
19 recently most natural health modalities were, by and
20 large, ridiculed or shunned by parties to the allopathic
21 medical model -- to the degree that many patients were
22 unwilling to admit to their own doctors that they were

1 also clients of a natural health practitioner. However,
2 the recent documentation of consumer interest and the
3 tremendous dollar amount that it represents has
4 transformed "charlatans and quacks" into prime targets
5 for takeover or forced assimilation.

6 Another reason that exclusionary regulation of
7 traditional natural health therapies is not advisable is
8 because of the enormity, variety and history of the
9 universe of natural healers. This universe includes,
10 without limitation, generational healers; Central and
11 South American curanderos and curanderas; French
12 treaters; English herbalists; Native American tribal
13 healers; other traditional naturopaths, and a wide
14 variety of energy and other natural healers in this
15 country who use methods and remedies proven effective
16 over thousands of years and which have been handed down
17 from generation to generation. We are, in many
18 instances, speaking of therapies that have been
19 consistently and successfully used for millennia. In
20 other words, "if it ain't broke, don't fix it."

21 The objective of the White House Commission
22 should be to enable and expedite the flourishing of

1 natural health in this country, not to restrict it.
2 Growth and availability of these vital services will not
3 come about by giving consumers fewer choices through
4 restrictive licensing and other regulatory procedures
5 that have already proven to be a dismal failure. This is
6 evidenced by legislatures in over 18 states that have
7 soundly rejected this type of restrictive licensing
8 legislation over the last four years.

9 In this regard, if the Commission is to look to
10 any developments at the state level, rather than pursuing
11 a restrictive and exclusionary course of action such as
12 licensure, it would be more prudent to evaluate the
13 feasibility of implementing innovative and progressive
14 legislation like the Complementary and Alternative Health
15 Freedom of Access Act, Statute 146A, which was signed
16 into law in Minnesota on May 11, 2000. This act listed
17 22 examples of complementary and health care, such as
18 naturopathy, homeopathy and herbalism, et cetera, that
19 were exempted from the practice of medicine, thus
20 enabling a variety of natural health practitioners to
21 operate without fear of prosecution. The law mandates
22 that all practitioners be required to present each client

1 with a Client Bill of Rights before services are
2 provided. The document must include 17 items of
3 information prior to initiating the consultation.

4 In conclusion, I would like to reiterate the
5 point that many natural health modalities have histories
6 that predate conventional medicine and our government by
7 thousands of years, and neither our government nor the
8 biomedical community have any business involving
9 themselves in the administration of something that they
10 neither fully understand nor can improve upon, and thus,
11 should certainly not be allowed to happen under the false
12 pretense of protecting consumers.

13 There is absolutely no evidence that these
14 natural therapies pose any material risks to the
15 consuming public, and the proof of their efficacy is
16 found in the duration of their practice. If the services
17 that these healers were ineffective, they would not be
18 flourishing as they are now.

19 Simply stated, the most important litmus test
20 for these service providers should be the one
21 administered by consumers and the competition of the free
22 market rather than unnecessary attempts at restrictive

1 and exclusionary regulation.

2 Thank you for your time and attention, and I
3 hope I did it under 10 minutes.

4 DR. GORDON: Thank you. You did fine.
5 Sharon Hall?

6 MS. HALL: Good afternoon. Thank you for the
7 opportunity to testify before the Commission. My name is
8 Sharon Hall. I am vice president of Risk Management for
9 Washington Casualty Company. Washington Casualty Company
10 is a licensed medical malpractice insurance carrier in
11 the Pacific Northwest. The company started providing
12 liability insurance coverage for complementary and
13 alternative medicine practitioners in 1995.

14 The following testimony is based upon our
15 experience in providing insurance and risk management
16 services for primarily naturopathic physicians and
17 acupuncturists in the Northwest, and that is primarily in
18 two states, Washington and Oregon.

19 To give you a little bit of background, when
20 our underwriters look at insuring a CAM practitioner, we
21 require an application form be completed not unsimilar to
22 what we require for a traditional physician. The

1 application and a number of supporting documents are then
2 looked at and evaluated. These include a current
3 licensure or certification, a current CV or resume,
4 patient informed consent form that is utilized for
5 treatment.

6 For acupuncturists, we look at consultation,
7 emergency transfer and referral form that is required by
8 the State of Washington; any advertisements, promotional
9 materials or marketing materials utilized by the
10 practitioner, as well as the letterhead and billing
11 statement. Again, that is to look at and assess for
12 possible group exposure practices.

13 We also look if they have had previous
14 malpractice insurance by including a declaration sheet.
15 What we found is that we are usually the first insurance
16 company that those practitioners have ever had. Special
17 attention is also given to medical education, continuing
18 education program or courses taken, the nature of the
19 practice, claims history, and any past disciplinary
20 investigations or proceedings. For naturopathic
21 physicians, we also look at and inquire as to if they are
22 doing any kind of obstetrical work or if they practice

1 acupuncture.

2 Legislation in Washington State actually
3 requiring health insurance to cover services by all
4 licensed or certified health care practitioners in the
5 state, including CAM practitioners and licensure laws,
6 has led to the formalization of certain CAM practices.
7 Examples of these are referral arrangements and informed
8 consent documentation.

9 Requirements imposed as a condition of
10 reimbursement for services has also necessitated CAM
11 practitioners to develop more guidelines to address
12 standard operating procedures. These procedures are
13 fairly similar to what we see in our traditional
14 physician office practices.

15 Conventional medical practice has also
16 initiated the development of clinical practice and case
17 management guidelines for various types of diagnostic
18 treatment. These are being utilized as a standard of
19 care in many clinical settings, and while certain
20 procedural guidelines can successfully be developed and
21 utilized to promote standards of care in complementary
22 and alternative medicine, additional research is needed

1 to evaluate the safe and effective use of various
2 modalities of treatment.

3 If national practice standards of care are
4 developed, CAM practitioners will need to utilize the
5 guidelines in their practice or document, in individual
6 circumstances, any deviation for guidelines not being
7 followed. In either case, guidelines, with compliance or
8 lack thereof, may be used in a malpractice case by either
9 party to advance their position.

10 Our experience in the claims of CAM
11 practitioners for negligent care are that they have less
12 frequent and generally less severity of harm than claims
13 against conventional health care providers. Our loss
14 experience for homeopathic and naturopathic physicians
15 are generally double that of acupuncturists. When a
16 similar comparison is made between naturopathic
17 physicians and insured family practitioner and internal
18 medicine physicians, the CAM physician loss experience
19 tends to be five times lower. This analysis I base on an
20 analysis of open and closed claims to Washington Casualty
21 Company from 1995 through the Year 2000.

22 A couple of things I would like to mention with

1 those statistics: First of all, we do have a small sample
2 size. On average, we insure about 179 naturopathic
3 physicians each year, and for acupuncturists during this
4 time it is about 155 practitioners. Also, claims may not
5 have been resolved or additional payments and expenses
6 may be incurred because some of these claims are still
7 open. Also, some claims may not have yet been identified
8 or reported, particularly for the past couple of years.

9 Differences in loss experience between insured
10 CAM practitioners and conventional physicians has not
11 been formally studied in our organization, but I feel
12 that a number of factors could be associated with this
13 difference.

14 Among these, may be more active involvement in
15 the patient's own treatment regime, more time actually
16 spent with practitioners or with patients, a
17 comprehensive medical and social history-taking, and a
18 practice that generally involves less-invasive procedures
19 with less risk of complication than traditional medicine
20 practitioners.

21 Based on this and our experiences, I offer the
22 following recommendations for your consideration:

1 I concur with this morning's session with the
2 need to educate conventional medical practitioners about
3 CAM, not only to promote collaboration in patient care,
4 but also to avoid possible adverse effects related to the
5 interaction of various treatment modalities. This would
6 also include medical education, as well as education of
7 those physicians that are now out in the community
8 practicing;

9 Consider state licensure or certification of
10 CAM practitioners to regulate and provide a common
11 standard of practice. This would assure a minimum level
12 of competency. With discretion of implementation of the
13 scope of practice, that often leaves questions as to what
14 is within the legal realms of each practitioner;

15 I would encourage you to support evidence-based
16 research to promote the efficacy and safety relative to
17 CAM therapies. This would also help promote standards of
18 practice;

19 And then, lastly, to promote confidential,
20 nondiscoverable CAM quality improvement and peer review
21 activities to enhance the quality of care. By looking at
22 patient outcomes and processes that may be involved in

1 unexpected or in undesirable treatment results, you can
2 improve the quality of care.

3 Thank you.

4 **Panel Discussion**

5 DR. GORDON: Thank you very much.

6 Questions from Commissioners?

7 Dean?

8 DR. ORNISH: This is a question for Boyd
9 Landry. I guess there are a couple of questions, and I
10 will try to make them both brief because I know I am only
11 supposed to ask one, so I will pretend it is one long
12 question.

13 [Laughter.]

14 DR. GORDON: With two parts.

15 DR. ORNISH: If you don't think that anybody
16 should be licensed anyway, why is the state better than
17 the federal government?

18 It seems like you are arguing against any
19 licensure. So why argue that the state does a better job
20 of it?

21 MR. LANDRY: No, I didn't argue that the state
22 did a better job, I argued that it is the state's

1 purview.

2 DR. ORNISH: Because?

3 MR. LANDRY: Because the Constitution calls for
4 it to be their purview.

5 DR. ORNISH: I see.

6 MR. LANDRY: So that means the argument of
7 whether something should be licensed or not is devoted to
8 the state level, and that argument takes place there. It
9 should not take place here.

10 DR. ORNISH: You also make a pretty strong
11 statement that natural approaches are always harmless,
12 but we have heard a lot of testimony from any number of
13 people that while, in general, natural approaches tend to
14 be safer, they are certainly not harmless in all cases.
15 The interaction between anhipramine and St. John's wort
16 is only one of many examples.

17 Certainly, if that were true, there would never
18 be any need for Sharon Hall's malpractice insurance for
19 alternative practitioners. It seems to me, you weaken
20 your argument by making statements that are so blatantly
21 false.

22 MR. LANDRY: You have a greater chance of being

1 struck by lightning than having a harmful side effect
2 from a natural therapy.

3 DR. ORNISH: Well, Darien Brinkley is here. He
4 might take issue with that, but that is another story.

5 Based on what?

6 MR. LANDRY: Just the evidence that I have seen
7 out there, in terms of the statistics that have been
8 gathered on harmful side effects in natural therapies.

9 There are these examples that occur. For
10 example, you mentioned St. John's wort and a particular
11 drug. That may or may not occur, but I think, in each
12 individual person, the potential for that side effect is
13 different.

14 DR. ORNISH: Are you saying that natural
15 remedies have never had any negative side effects ever?

16 MR. LANDRY: No, I didn't say that.

17 DR. ORNISH: Then I am misunderstanding what
18 you are saying.

19 MR. LANDRY: What makes these therapies
20 distinct is the fact that they address the person as a
21 whole and are dealt with that way, and are not breaking
22 us down into individual parts and trying to fix a

1 particular part.

2 So what I am trying to say is that the usage of
3 these therapies may have some minor cases of harm. I
4 have yet to see an exhaustive amount of data on it, but
5 for the most part, there really isn't a whole lot. There
6 is far more in other areas of regulated industries than
7 there is in this.

8 DR. ORNISH: Okay.

9 MR. LANDRY: We could debate all day, I am
10 sure.

11 DR. GORDON: Wayne, and then George, and then
12 Tom, and Tieraona. I'm sorry, Tieraona first. How could
13 I forget?

14 DR. LOW DOG: I want to thank all of you for
15 your presentations. I would like to actually talk to
16 both Mr. Cohen and Mr. Landry.

17 I would certainly agree with you that, as far
18 as comparison of pharmaceutical drugs and other things,
19 that when you compare most natural remedies that are used
20 appropriately, that there is far less risk of side effect
21 or adverse reaction because they are far more dilute
22 compounds, which is why, often, they take longer to work

1 and they are usually less associated with so much toxic
2 side effects.

3 I think we would all agree, though, that plants
4 are pharmacologically active substances, and they can be
5 used inappropriately, and that given the right
6 circumstance, people can use them harmfully.

7 We had a patient recently, it was a couple of
8 years ago at the VA Hospital, who was admitted in what
9 looked like florid Digoxin cardio-toxicity, who had been
10 given, from a local healer, a remedy for his failing
11 kidneys, which had actually worked quite well for his
12 failing kidneys, but he didn't have failing kidneys. He
13 had congestive heart failure.

14 The plant that he had been given is very
15 effective for congestive heart failure. It is inmortau
16 [ph], which actually contains the cardenolide cardiac
17 glycoside. However, he was toxic from it. It wasn't an
18 interaction, it wasn't used with anything else. There
19 are better ways to deal with congestive heart failure.
20 Sometimes the problem with unlicensed therapists is that
21 we don't know what we can't treat. We don't know where
22 we step out of our boundaries or our scope of practice.

1 Now, do you feel, either one of you, when you
2 are talking about licensure and scope of practice, other
3 than an informed consent, should there be any scope of
4 practice for herbalists, just an herbalist? Should there
5 be any type of scope of practice there? Should there be
6 any sort of licensure, education requirement, anything,
7 so that we don't harm the public?

8 MR. COHEN: If I could just add a couple of
9 correctives. First of all, thank you for quoting me, but
10 it was not my conclusion that licensing boards are
11 ineffective. I simply cited other scholars who have done
12 original research, who have done that conclusion as a
13 kind of warning that licensing boards don't always do
14 what we hope and expect that they will.

15 Secondly, I am personally neither in favor of
16 nor opposed to licensure. I simply tried to point out
17 that there is a possible dark side that has to be taken
18 into account as a kind of corrective.

19 Thirdly, the purpose of licensure is not simply
20 to control fraud and create standards, but there are
21 other potential values that come into the mix.
22 Specifically, in terms of scope of practice there is a

1 legal rule called the Duty to Refer, which basically says
2 that when providers, such as chiropractors, exceed their
3 scope of competence, they must refer to a medical doctor.

4 I would say that the Duty to Refer is a good
5 generalizable principle for other professions, but at the
6 point of the scope of competence, not the scope of
7 practice, which is set by the standard, but the scope of
8 what they are skilled and trained to do. At the point
9 that that is exceeded, one should then refer the patient
10 to somebody else, and that provides a kind of safety
11 valve of protection.

12 It is a little bit complicated with herbs
13 because, as I mentioned, one modality can be the province
14 of several disciplines. So that is a big political
15 battle, largely at the state level: who should have the
16 authority to recommend herbs; what kind of evidence is
17 required; should there be comparable training for M.D.s
18 and non-M.D.s in this area. These are all open
19 questions.

20 DR. LOW DOG: But you have made an assumption,
21 though, about scope of practice and the ability to refer,
22 the duty to refer. A chiropractor has training and is

1 licensed.

2 I am not talking, really, about culturally
3 intact healers. I am really talking about a lot of
4 people who have read a few books and are healers. They
5 are probably very well-intentioned and big-hearted, good
6 people, but they may not have the training to actually
7 know when they should refer. You have to have some basis
8 to know when you are supposed to refer.

9 Giving an herb for your kidneys not working --

10 DR. GORDON: Tieraona, excuse me. Are you
11 asking a question?

12 [Laughter.]

13 DR. LOW DOG: That was my question. Again, my
14 question just comes back to, how would you know to refer?

15 DR. GORDON: So, Michael, do you want to be any
16 more specific about any thoughts about licensure in this
17 area?

18 MR. COHEN: Sure. Let me just clarify that if
19 I could.

20 The duty to refer applies to licensed
21 professionals. If somebody is unlicensed, and they are
22 doing something that could fall into a licensed category,

1 for example, diagnosing and treating disease, they could
2 be prosecuted for the unlicensed practice of medicine,
3 and possibly other professions as well.

4 So the unlicensed herbalist who recommends an
5 herb and doesn't know what they are doing could be
6 prosecuted for unlicensed medical practice. I hope that
7 helps.

8 DR. GORDON: Okay. Thank you.

9 Wayne, George, and Tom.

10 DR. JONAS: Even if they do know what they are
11 doing, they could be prosecuted.

12 It seems like we have an experiment going on, a
13 natural experiment going on right now, at least with the
14 Minnesota model, that might be worth evaluating.
15 Although, I dare say it would be clear that you would see
16 less adverse effects from natural treatments precisely
17 because the insurance malpractice data that you
18 described, which has, in my opinion, to do with the
19 severity of the illness and of the treatments that are
20 provided, not because one system is any worse or better
21 than another.

22 Anesthesiologists have very, very high

1 malpractice, and it is because of the risk of the type of
2 practice that they are involved in. This, to me, is data
3 that is not very useful. It is more polemic than
4 anything.

5 My question really is, is there a role for the
6 federal government in licensure, since it is under the
7 state purview? What is our job here? Do we have any
8 role on this, as a federally commissioned agency, to be
9 involved in this at all? And if so, what would that be?

10 DR. GORDON: Michael, do you have any thoughts?
11 Or Sharon? Boyd, we have heard yours.

12 [Laughter.]

13 MR. COHEN: I wrestled long and hard with
14 whether I could come up with any recommendations, and I
15 think I made the choice not to, not to pass the buck or
16 get off the hook, but simply because so much of it is
17 dependent on state law, and so much of it occurs on a
18 local level and is experimentation involving public
19 bodies, a lot of debate, and a lot of it really is judge-
20 made law, things like scope of practice, the duty to
21 refer.

22 And so, in part, I think the debate is out of

1 the hands of the Commission. But I think, that having
2 been said, one can set overarching principles to try to
3 shape the debate: What are the values that CAM
4 represents; what are the values that this body has said
5 is its overarching themes; are there other things to look
6 at once we get beyond the debate about standards; and is
7 licensure a good thing or a bad thing; and, what are the
8 pros and cons.

9 So I think that by creating a very rich
10 template for the debate, the Commission can then guide
11 the states that are going to go on and repeat the debate
12 in their own terms.

13 DR. GORDON: Sharon Hall, any thoughts?

14 MS. HALL: I agree pretty much with what
15 Michael has said. From the medical malpractice
16 perspective, we utilize standards of practice or scope of
17 practice to identify or to defend a claim.

18 First of all, we do not insure anybody who is
19 unlicensed or not certified, and I don't feel that my
20 company would go into a state that did not have licensed
21 or certified, say, naturopathic physicians or
22 acupuncturists, and insure them.

1 So, from that perspective, we are focusing on a
2 certain type of CAM practitioner that we feel very
3 comfortable with that gives a certain standard of care.
4 By their very nature, I think we have isolated some of
5 those type of practitioners to provide the type of care
6 that, from an insurance perspective, we would like to see
7 given to the public.

8 DR. GORDON: Thank you.

9 George?

10 MR. LANDRY: I would like to respond to the
11 question, Dr. Gordon.

12 DR. GORDON: Sure.

13 MR. LANDRY: I think one thing that should be
14 abundantly clear, in the history of this country, we are
15 constantly debating and battling over to what extent
16 government gets involved in the lives of the people.
17 Where something may have been appropriate 50 years ago,
18 may not be appropriate today, and I think that is
19 something we always have to pay attention to because some
20 of the things that may have been appropriate 50 years ago
21 may be exactly what has caused the problems today.

22 That is always something that has to resonate

1 in the back of our minds as we grapple with the role of
2 government in the lives of the people.

3 DR. GORDON: Thank you.

4 George, and Tom, and then Joe.

5 MR. DeVRIES: Question for Michael Cohen.

6 I know in the organization I work with -- I
7 work with health plans to help them provide benefits for
8 employers -- and I think, consistent with what Sharon
9 Hall has said, the understanding of what we see is if the
10 provider isn't licensed, then there is really no ability
11 to work with them from a position of third-party
12 reimbursement.

13 From the position of the Commission, as they
14 look at what kind of influence they can have across the
15 country as related to CAM, while the states regulate
16 health care, potentially the White House Commission could
17 recommend minimum statutes related to licensing of
18 provider groups like acupuncture and naturopathy.

19 What would be your thoughts related to that?
20 It ultimately would come down to the states' decisions,
21 and they would have to enact licensure or statute, but
22 would we be appropriate to make a recommendation?

1 MR. COHEN: I am trying to understand your
2 question. Are you asking whether you should recommend
3 that specific providers be licensed in all states?

4 MR. DeVRIES: There is a tremendous
5 inconsistency, for example, with acupuncture, on how it
6 is licensed across the country. Naturopathy is only
7 licensed in roughly 11 or 12 states, and there are active
8 efforts to have it licensed in other states. Yet, for
9 many states, they don't have a guidepost on which way to
10 go with these statutes, and they are looking to what has
11 been done before, but there is not a strong guideline for
12 them in terms of what they could emulate and enact in
13 their states.

14 MR. COHEN: I think a couple of things could be
15 done. One is the House of Lords recommended, basically,
16 that the professions consolidate their regulatory bodies
17 so that they make more sense.

18 You could recommend that if there is a non-
19 licensed profession, for example, energy healing, that
20 groups in that therapy, aroma therapy, that they come
21 together and create more coherent professional structures
22 because those professional structures can be

1 prerequisites to licensing, as they have been in the
2 other professions. So if that is a desirable goal, that
3 is one recommendation.

4 On the other hand, I think we have already
5 talked about some of the problems, in terms of having
6 uniform standards, the second part of it. There would be
7 more consistency. Inconsistency is a problem. For
8 example, for physicians who want to refer across the
9 states, they don't know what an acupuncturist means in
10 Tennessee versus in Alaska.

11 On the other hand, the problems of greater
12 consistency should also be acknowledged, the uniformity,
13 the standardization. So that, some of the problems of
14 medicine might be repeated in CAM, which I refer to as
15 losing the heart and soul of practice, again, recognizing
16 that tension.

17 So I would not necessarily be in favor of
18 mandating consistency, but perhaps encouraging a study of
19 what could be done to harmonize some of these issues.

20 Finally, I think it would be difficult to come
21 up with a recommendation as to which providers should be
22 licensed uniformly across the states. Acupuncture, there

1 are 37 to 40 states that license non-M.D. acupuncturists,
2 but when you look at the broad spectrum of CAM, which
3 professions are you going to recommend that they obtain
4 licensure, and on what basis, and what are the criteria
5 that you would select that would give a profession
6 candidacy for licensure?

7 In my own experience, working with Dr. David
8 Eisenberg on the Massachusetts Commission, is that these
9 issues are very, very thorny at the state level, and they
10 are very complex and really require a lot of input. So
11 it would be nice to have national policy on these issues,
12 but I think that it is very complex and, in practice, may
13 be a hard thing to achieve.

14 I hope I have started to answer your questions.

15 DR. GORDON: Thank you.

16 Tom?

17 MR. CHAPPELL: Michael Cohen, I would like to
18 ask, since you have made clear that you don't have a
19 recommendation for licensure or non-licensure, could you
20 tell us how you would go about, as a consumer, discerning
21 a CAM practitioner for you or a member of your family?

22 MR. COHEN: If I understand the question, it is

1 whether licensure is important, or how important a
2 variable is the fact that somebody is licensed and making
3 a choice.

4 MR. CHAPPELL: That is another way of looking
5 at it. I am really just asking, how would you make a
6 selection, as a consumer, and is licensure important?

7 MR. COHEN: If I take off my "scholarly hat," I
8 personally go to providers through a variety of routes,
9 some of them are recommended by a physician, some are
10 licensed, some are not. Some people have the highest
11 certification and use science- and evidence-based
12 medicine. Others use intuition.

13 When I go into a health food store, how do I
14 pick echinacea versus some other supplement? Do I read
15 what the JAMA says? Do I consult with a physician? Do I
16 listen to my grandmother? Do I throw the bones and
17 consult the spirits? Do I trust my own intuition? Do I
18 talk to friends? I think that all of these things are
19 important to me.

20 So, from a public policy perspective, the
21 question is which sources of information, if I could just
22 reframe it a little bit.

1 MR. CHAPPELL: Yes.

2 MR. COHEN: Are there sources of information,
3 in addition to or beyond the mere fact that somebody is
4 licensed, that one should allow into the mix, into the
5 system, to influence consumers; is there a different way
6 of thinking about it.

7 That is why, provocatively, I put in this goal
8 of transformation, because, it seems to me, that
9 transformation is the heart and soul and essence of a lot
10 of therapies that we don't understand, that we don't know
11 how to look at, that may require skills training or an
12 experiential base to incorporate.

13 Thereby, if that is an important goal, it just
14 opens up the way that we look at this a little bit.

15 MR. CHAPPELL: So discovery might be dampened
16 by a licensing requirement. I think you have said that,
17 actually, in your paper. That is helpful. Thank you.

18 MR. COHEN: I am just saying I think we should
19 keep an open mind, and I think, as Wayne Jonas said, we
20 are in a period of experimentation, where things that
21 came in in the 1700s might look different in 50 years.

22 DR. GORDON: Thank you.

1 Joe?

2 DR. PIZZORNO: My question is for Boyd Landry.

3 Is there any mechanism for public
4 accountability that you would recommend or support for
5 lay healers with little or no training, to help provide
6 some safety for the public?

7 MR. LANDRY: I think the Minnesota law is
8 probably the best thing that I have seen in 10 years.
9 Diane is here, Jerry Johnson is here. Both were,
10 integrally, a part of that process of getting that law
11 passed. It provides informed consent, with government
12 oversight without all of the things that strangle
13 providers. That is about as easy a way of answering it.

14 DR. GORDON: Thank you.

15 We are going to have a chance, in the small
16 groups, of course, to revisit all of these issues, and
17 then plenty of time to discuss them among ourselves.

18 I thank you three experts for coming and
19 helping us with this.

20 MS. CHANG: If our last three speakers would
21 come up and join us: Steve Olson, Clem Bezold, and James
22 Winn. Thank you.

1 DR. GORDON: Thank you. We are going to begin
2 with Steven Olson.

3 MR. OLSON: Good afternoon. I am president of
4 the American Massage Therapy Association, or AMTA. I
5 think by the time I finish my 10 minutes, I might calm
6 down.

7 Before entering into my comments, centered on
8 the topic specified for this hearing, I would like to
9 make a few general comments regarding the collective
10 history of massage, a snapshot of where we are today, and
11 a bit about AMTA.

12 The practice of manual therapies extends into
13 the distant past and can be said to have existed since
14 humans first organized themselves into groups. They have
15 been present in all cultures, in all periods of history.
16 As is the case with some other therapies, they predate
17 the practice of allopathic medicine.

18 In Western traditions, the massage approach was
19 systematized in the mid-1700s. While we think that the
20 current effort to consider whether massage therapy should
21 be included in the practice of medicine is unique to this
22 time, we have been here previously.

1 In the 1930s and '40s, practitioners of soft
2 tissue manipulation faced the very same issue. Many
3 masseuses and masseurs moved into the world of physical
4 therapy. Of those who decided to continue outside of the
5 allopathic medical model, a group gathered in 1943 and
6 formed AMTA. As a profession, we owe a great deal to
7 these individuals. In effect, they kept alive the body
8 of knowledge that has emerged as the verifiably distinct
9 profession and practice of massage therapy.

10 The snapshot we are presented with today is
11 very interesting. Massage therapy has been identified by
12 numerous sources as the second-most utilized approach in
13 the world of CAM. According to consumer surveys
14 commissioned by AMTA, the use of massage therapy among
15 adult Americans has doubled since 1997. Our surveys also
16 tell us that massage therapy is overwhelmingly perceived
17 by the public as having therapeutic and/or medical
18 benefits. Forty-four percent of adult Americans think
19 massage therapy should be among the core benefits of
20 their health plans. The consumers' voice is clear. They
21 value what we do.

22 From the practitioners' side, AMTA members tell

1 us approximately 15 percent of their practices are
2 medical in nature, while the majority identify more
3 closely with the description of working within the
4 wellness and relaxation models. In the last five years,
5 AMTA has almost doubled its membership to 44,000 members.
6 We are the primary voice in the profession, and our
7 profession is a major player in the world of CAM.

8 The topics of education and training have been
9 addressed in our preliminary documentation. To summarize
10 them: The training of massage therapists is carried out
11 by proprietary and undergraduate institutions. AMTA
12 established the AMTA Council of Schools in 1982 for the
13 purpose of establishing educational standards for massage
14 therapy. There are currently 235 Council of Schools
15 members from a field of about 700 schools of various
16 levels throughout the country.

17 In 1989, in conjunction with the Council of
18 Schools, AMTA created the Commission to approve and
19 accredit massage schools. In November of 2000, the
20 Commission on Massage Therapy Accreditation, COMTA,
21 submitted its petition for recognition as an accrediting
22 agency to the U.S. Department of Education. COMTA

1 currently accredits 53 massage programs which have
2 demonstrated a required level of competence. At the
3 request of schools and other associations, COMTA will be
4 developing standards to accredit programs teaching Asian
5 bodywork.

6 When COMTA receives its approval as an
7 accrediting body, the profession of massage therapy will
8 join the professions of acupuncture, chiropractic and
9 naturopathy in having an accrediting body internal to the
10 profession that addresses both institutional and
11 programmatic accreditation. AMTA has made the promise to
12 COMTA to provide financial support in perpetuity.

13 There are several other accrediting bodies that
14 are serving massage therapy schools. However, none of
15 them are currently able to thoroughly examine the
16 programmatic side of massage programs. It is critical to
17 the profession to have a valid accrediting body that can
18 focus on the subject matter being taught to future
19 massage therapists.

20 One of the major challenges in the training of
21 massage therapists is to teach the manual skills needed
22 to be successful. The profession must rely on training

1 programs to provide these skills to students, as they are
2 virtually impossible to measure, with any validity, when
3 it comes to testing for licensure.

4 The length of educational programs is not
5 uniform throughout the country. Where massage therapy is
6 regulated, certain parameters are provided legislatively.
7 In regulated states, the range of education requirements
8 vary from 300 to 1,000 in-class hours.

9 In lesser jurisdictions, cities and counties,
10 it is pretty complex, and even weird. Some cities
11 require as little as 80 hours. This is usually a tip
12 that the massage that is occurring is really adult
13 entertainment. Other municipalities, like Tucson,
14 Arizona, require a hefty 1,000 in-class hours of
15 training.

16 So when legal requirements for licensure of
17 massage professions are low, schools typically adopt that
18 minimum number of training hours. Of course, there are
19 programs that offer training that exceeds local and state
20 minimum standards.

21 The current standard utilized by both COMTA and
22 the AMTA Council of Schools is 500 hours. As an

1 accrediting body, COMTA regularly assesses the profession
2 in order to determine if a shift in the number of total
3 training hours or defined course of study is needed.
4 AMTA is comfortable with the standards developed by
5 COMTA.

6 AMTA has been focused on standards for most of
7 its 58-year history. When there were few, if any,
8 regulated jurisdictions, membership in AMTA was the lone
9 standard that separated the legitimate practitioner from
10 those doing the other kind of massage. In unregulated
11 areas, AMTA membership is often presented as if it were a
12 credential. AMTA does not view membership as a
13 credential.

14 AMTA has done the necessary and expensive work
15 that identifies massage therapy as an independent and
16 separate profession with its own unique body of
17 knowledge. This led to the establishment of a credential
18 that is statistically verifiable and approved by an
19 external body that oversees credentialing processes, the
20 National Commission of Certifying Agencies.

21 In 1990, AMTA initiated the formation of the
22 National Certification Board for Therapeutic Massage and

1 Bodywork, a separate and independent organization to
2 carry out this work. You will see this credential noted
3 by the letters NCTMB, which stands for Nationally
4 Certified and Therapeutic Massage and Bodywork. NCTMB is
5 our field's one true credential. We call it the big "C."

6 One of the challenges of being in an emerging
7 professions involves the use of the word "certification."
8 Practitioners use the term very loosely. Most references
9 to certification could be termed certification, with a
10 little "c," and can mean many things. A school training
11 program may certify. You would need to know about the
12 institution and its curriculum to know the value of the
13 certification. Is it accredited and by what standards?
14 What type of accreditation, institutional or
15 programmatic? Is the school operating legally in its
16 jurisdiction?

17 A political jurisdiction may certify a
18 practitioner, but the question again is what are the
19 standards utilized? Individual educators or
20 organizations may certify practitioners. I can certify
21 you in the Steve Olson method of massage -- \$500. This
22 is even more difficult to understand in terms of

1 validity. So NCTMB is our field's only true credential.

2 The laws governing massage therapy are not
3 uniform. Currently, there are 29 states and the District
4 of Columbia that regulate massage. Some states regulate
5 massage as a medically-related professions, others as an
6 occupation. Most provide title protection. At least one
7 state has two levels for the profession -- therapist and
8 practitioner.

9 AMTA's efforts to identify the legitimate
10 practice of massage therapy extend back to 1955. We
11 created a draft legislative document called the Massage
12 Registration Act. Amazingly, AMTA members succeeded in
13 having this adopted in several states, including my home
14 state of North Dakota in 1959. The legacies of these
15 early efforts linger in many jurisdictions.

16 AMTA's current efforts regarding legislation
17 are supported by our strategic plan. We encourage
18 regulation at the state level. In states that do not
19 regulate the profession, the city and county levels often
20 adopt regulations.

21 Unfortunately, many of these local laws focus
22 on controlling massage in the context of adult

1 entertainment, not the legitimate profession of massage
2 therapy. It is strange, but by simply crossing a
3 municipal or state border, you can go from an area that
4 treats massage therapy respectfully to one that mandates
5 a practitioner have a felony check and an annual
6 certification that they are free from sexually
7 transmitted diseases.

8 AMTA is organized as a national membership
9 association. Our members express their wishes at the
10 state level via AMTA chapters. While AMTA is supportive
11 of state regulation, we rely on our chapters to identify
12 legislative goals.

13 Therefore, we have a government relations
14 program, we have established a legislative granting
15 process, we offer regular training to our members, we
16 monitor and track legislative bills, we work
17 cooperatively with other associations and legislative
18 efforts and support coalitions between the massage and
19 bodywork practitioners. We provided a forum for the
20 initial gathering of the Alliance of State Regulatory
21 Boards of Massage Therapies.

22 One of the most difficult aspects of

1 legislation rests in the area of scope or practice. We
2 have worked diligently to develop language that provides
3 massage therapists with the broadest definition possible.
4 We have had to be careful not to step on toes of other
5 licensed professions and to take into consideration what
6 legislators are willing to accept.

7 In all cases, we have accepted the political
8 reality that our scope of practice must respect other
9 professions that share all or part of our proposed
10 definition. The latest challenge to the development of
11 scope of practice language revolves around allied
12 practitioners who claim they do not practice massage
13 therapy and wish to be exempted from regulation.

14 While this further complicates our desire for a
15 clear definition of our scope of practice in the
16 legislative world, we are making the necessary
17 adjustments.

18 The difficulties include trying to help
19 legislators understand the practice parameters of
20 energetic approaches --

21 DR. GORDON: Excuse me. You are going to have
22 to end pretty quickly.

1 MR. OLSON: Okay. AMTA believes that massage
2 therapy is a valuable part of wellness and health that
3 connects mind, body and spirit. Massage therapy is
4 something that is and should be available to all people
5 at all levels of society and practiced in a caring,
6 professional, and ethical manner in order to promote the
7 health and welfare of humanity.

8 Thank you.

9 DR. GORDON: Thank you. We will come back to
10 some of those other issues, I am sure, in the question
11 period.

12 Clem Bezold?

13 DR. BEZOLD: It is an honor and pleasure to
14 testify today. You have my testimony, which I have
15 written out. I will run through that quickly, and I have
16 also included the summary of our major report on the
17 future of this. I am speaking to you as a futurist. You
18 have, in this topic of licensure and credentialing, a
19 very difficult task. It is very complex. We need it in
20 many ways.

21 Is it actually protection for the guilds?
22 Licensure I think, historically, in the United States in

1 the last century was that.

2 Does it protect? Do our systems of safety and
3 quality assurance protect? Not adequately. So you are
4 faced with the question for CAM.

5 My input to that, again, as a futurist, and I
6 have worked with NOCA, CLEAR, and a variety of the
7 licensing and credentialing groups. We have worked with
8 several of the health professions over the years, but I
9 am not an expert, as many of the other people testifying.
10 As a futurist, what I would say is, the first thing I
11 would recommend -- this is Page 2 of my testimony -- is
12 that in thinking about quality assurance, as licensure
13 and credentialing does it, you need to think about the
14 larger values of the health system that you would
15 recommend as a commission.

16 I have listed a variety of values. They are
17 often in conflict, but held by different parts of the
18 health community and the public, and that as you think
19 about those, there are values in conflict, and you should
20 think, as a commission, about what are the values of the
21 health system that you are after.

22 Second, on Page 3, the issue of holding

1 accountable individual practitioners I think is very
2 important, and I think that will ultimately and should
3 ultimately be done in a variety of ways, including report
4 cards in practice in communities, and that some of the
5 CAM practitioners that I have worked with over the years
6 are a little uneasy about report cards.

7 It sounds a little focused and not as noble as
8 many CAM practitioners aspire to be, but the issue is
9 that consumers, in effect, should have some way, as your
10 question, of how do you judge in the local community who
11 is the right massage therapist or the right chiropractor
12 to go to. Generally, you go to your friends and say,
13 "Who do you know, and who is good?" Well, as a futurist,
14 I will say, in the next few years, it will be easier to
15 do that. I will say, in a minute, more about that.

16 The point here is that individual practitioners
17 need to be evaluated in the context of the health systems
18 they practice in, and since many of the CAM practitioners
19 practice individually, it is the larger system of
20 reimbursement.

21 The second thing that we, in effect, need
22 report cards on are the different modalities. To what

1 extent is acupuncture or homeopathy better for "X"
2 condition? As we will see in the years ahead, it will be
3 "X" condition for "X" phenotype or genotype of people.
4 It is complex.

5 And then finally, quality assurance of specific
6 products. Is that herb, in that formulation, as good as
7 another herb or as good as something else? So just
8 quality assurance has all four of those contexts that you
9 need to think about.

10 In terms of the future, if you ask me as a
11 futurist, what are the forces that you should consider in
12 thinking about licensure and credentialing, starting on
13 Page 4, there, (1) is genomics and customization. It has
14 been interesting for me, as a futurist, to watch this in
15 conventional health care, and we deal with a variety of
16 the largest health providers in the U.S. and beyond.

17 Genomics, in effect, is saying we will come to
18 understand genomically related diseases, which are many
19 conditions. We will also understand one estimate that we
20 are using, which is about 10-percent of genes are highly
21 penetrant. If you have a cancer gene, that switch will
22 go on. If you have the cancer gene for the other 90

1 percent, you need behavioral and environmental factors to
2 go on. So that, too, is complex.

3 But what was interesting, when we did this
4 report, was customization by genotype will then relate to
5 phenotype, behavioral and physical manifestations of
6 genetic-related differences. If you ask who has been
7 observing phenotypically clinically relevant differences,
8 Aruyveda, Oriental medicine, homeopathy have incredibly
9 involved systems.

10 So I would encourage you to say that genomics
11 has nothing on many of these systems and that, in effect,
12 in the years ahead what we need to do is think about what
13 are the lessons that you can learn from those phenotypic
14 relationships, both within and across those modalities.
15 But then, in terms of e-health, clearly, and this is
16 another trend.

17 So customization and genomics are important to
18 think about, and E-health is as well. E-health, for me,
19 includes expert systems, it includes the ability to put
20 knowledge into a clinical decision tree and make that
21 available.

22 For CAM, where there are dosing questions, say,

1 homeopathic readings, I think, as a futurist, that you
2 will be able to come up with algorithms. Now, is that
3 the case for post-diagnosis? Potentially. There are
4 areas, when we are taking the chiropractors through this
5 line of thought, they did not like the fact that there
6 may be a robotic chair for manipulation at some point,
7 but as a futurist, I never say never.

8 The issue for you to think about is, we will be
9 able to decentralize the expertise in many of these
10 fields directly to consumers or to other providers, and
11 that is a good thing. That is a good thing. There will
12 be issues of how well we do it and what qualities do we
13 violate in the process. Those need to be considered, but
14 in general, I think that that will be significant.

15 The third trend is consistent recordkeeping of
16 electronic medical records, and I would argue that you
17 should strongly come out recommending that CAM providers
18 maintain records for their patients so that their
19 outcomes can be judged with all of the issues around:
20 What are the outcomes and who is judging them, and the
21 fact that, as individuals, we all have slightly different
22 takes on what outcomes mean, and that that should be

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1 factored into it.

2 But the issue is that all of the health care
3 providers, CAM or otherwise, should be keeping enough
4 information on their practice with their patients to be
5 able to judge outcomes and that there obviously needs to
6 be privacy protections.

7 What I would encourage you to anticipate is,
8 and in writing this, I hadn't speculated on it enough to
9 know what the right examples are, but are there
10 particular doses or other diagnoses which, if you were an
11 insurer or an employer, you would want to know because
12 you might want to knock that person out of your pool.

13 Hopefully, we will have antidiscrimination laws
14 passed, but I would encourage you to anticipate what
15 other things, which, as medical records become more
16 ubiquitous, how could you get messed up in terms of that.

17 The biomonitoring. It is clear that we are
18 going to have wristwatch-like devices that will give
19 significant readings. Now, will it do pulse diagnoses?
20 I don't know, but in terms of other things, we will be
21 able to do very specific tracking, and that that will be
22 put into your medical records.

1 The widespread use of outcome measures and
2 report cards. I would again say that in most communities
3 we should encourage the development of report cards on
4 local providers to know what their outcomes are and that
5 that is happening, and that health care providers, large
6 insurers, will move in that direction, and that you need
7 to think about, are there positions you need to
8 anticipate for CAM providers on that.

9 But I would also encourage you to think about
10 broader approaches to quality, and that is, we are
11 talking about quality assurance. Licensure and
12 credentialing are two major modes. I summarize licensure
13 to be a state-sanctioned monopoly given to one type of
14 practice within a scope of practice, to use state powers
15 to keep everybody else out. That is how I summarize
16 licensure.

17 Credentialing are self-professionally generated
18 standards that professions put on themselves. Both are
19 important, but the issue is that, as we look at quality,
20 there is inherent quality; what is in a pill or an herb,
21 functional quality; does it work. And then,
22 increasingly, there is contextual quality we are putting

1 into judgments about things; does it protect the
2 environment.

3 I would argue that, for example, the ISO 14,000
4 standards are an example of contextual quality standards,
5 significant global standards. CAM providers argue that
6 they are on the better side of the good for much of that.
7 I just want you to be aware of the fact that there is a
8 movement toward broadening standards in that sense, but I
9 would also say even our definitions of health are
10 broadening, and that CAM should take a position on this
11 broader definition of health.

12 The one that I will use in particular, given
13 this is a White House Commission, is Healthy People 2010
14 objectives for the nation. The two overarching goals of
15 Healthy People 2010, longer years of healthy life and the
16 elimination of health disparities. I would encourage
17 you, particularly in the latter case, to say what is the
18 role of CAM and what is the role of licensure and
19 credentialing in that regard.

20 Smarter markets are basically the ability of
21 consumers to integrate larger sets of values with the
22 question that you asked before about, how do I choose a

1 doctor. Well, I should choose one who I like, not only
2 how well they do with my phenotype in terms of outcomes,
3 and their personal behavior, but whether they support
4 other things in the community that I like.

5 Finally, e-testing and e-learning and
6 credentialing, the ability to test people. Right now, we
7 use professions like the massage therapists that do
8 significant work in developing a body of tests. In the
9 future, increasingly, we will be able to electronicize
10 that.

11 So my final summaries are all in here, and with
12 that, I will say thank you.

13 DR. GORDON: Thank you, Clem.

14 James Winn. Welcome back, Dr. Winn.

15 DR. WINN: Thank you very much, Dr. Gordon.

16 It probably will not surprise anyone to hear
17 that I have a slightly different take on licensure,
18 particularly as it relates to physicians. I would say,
19 certainly, that it is a pleasure to come back and be able
20 to visit with you again, and I hope I can provide some
21 further insight into what the Federation of State Medical
22 Boards has currently undertaken in regard to CAM

1 therapies, and what might be expected from state
2 licensing authorities regarding physicians who choose to
3 use such therapies in their practices.

4 State medical boards are required by law to
5 protect the public from unqualified and unfit
6 practitioners. They do this by assuring that only
7 qualified individuals are able to render services to the
8 public. They develop rules and standards for medical
9 practice, and they take appropriate actions against
10 licensees in order to protect the public from the
11 unprofessional, incompetent and unlawful practice of
12 medicine.

13 Now, included in the very many responsibilities
14 of state medical boards to assure effective regulation is
15 the protection of the public against questionable and/or
16 deceptive medical practices, regardless of whether those
17 are considered to be traditional or unconventional,
18 alternative or complementary or any other type.

19 The proliferation of practices, under the broad
20 rubric of complementary and alternative medical practice
21 and many of the promotions that have been occurring in
22 the United States in recent years, has caused the

1 Federation and its member boards concern because many of
2 these practices seem to be questionable in that they lack
3 scientific research and clinical validation. Others have
4 been shown to be patently worthless and likely to deceive
5 the public in regards to their health care.

6 So, in short, some of these therapies may pose
7 a risk to the public's health, safety, and welfare and
8 require the medical board to take actions to prevent that
9 from occurring.

10 National and state legislative initiatives have
11 been introduced which have caused concern on the part of
12 medical boards because of their potential of restricting
13 the boards from being able to provide appropriate
14 regulation which will allow consumers access to
15 efficacious CAM therapies, but protect them from those
16 therapies determined to be unsafe.

17 I would point out that there are varying
18 degrees of potential harm that can impact patients who
19 undergo any type of questionable or deceptive form of
20 health care. There is (1) the economic harm, which
21 results in some type of monetary loss, but perhaps
22 presents no health hazard; (2) there is indirect harm,

1 which results in a delay of appropriate treatment of the
2 condition; and then (3) direct harm, which results in an
3 adverse patient outcome due specifically to the therapy.

4 The Federation Special Committee on
5 Questionable and Deceptive Health Care Practices was
6 established in 1995 to research, to review and to
7 evaluate the status of questionable health care
8 treatments, procedures and/or promotions and to develop
9 strategies to be recommended to state medical boards for
10 the regulation and the discipline of physicians who
11 engage in unsafe and/or deceptive practices.

12 The Committee's final report was adopted by the
13 Federation in 1997, and that report strongly supports the
14 concept that the prevailing standard of care used in
15 evaluating health care practices be consistent, whether
16 such treatment is regarded as conventional or
17 unconventional. Such standards would include appropriate
18 documentation, informed consent, appropriate monitoring
19 and follow-up, rationale for treatment and periodic
20 review of the efficacy of the treatment.

21 The criteria used to evaluate health care
22 practices and whether or not a licensed physician is

1 practicing medicine in an acceptable manner would include
2 the following types of criteria:

3 Has an adequate patient assessment been
4 conducted to determine that the patient has the condition
5 for which the treatment is being prescribed;

6 Is the methodology promoted for the diagnosis
7 as reliable as other available methods of diagnosis;

8 Is the risk-benefit ratio greater or less than
9 that for other treatments for the same condition;

10 Is the treatment based upon competent and
11 reliable scientific evidence, including properly
12 conducted clinical trials and/or is it supported by a
13 scientific rationale;

14 Are the practitioner's promotional claims
15 supported by competent and reliable scientific evidence;

16 Is there a logical and reasonable expectation
17 that the treatment offered will result in a favorable
18 patient outcome;

19 Is the practitioner excessively compensated for
20 the service provided; is the benefit achieved greater
21 than that which could be expected by placebo alone;

22 Has the patient's informed consent been

1 adequately documented in the medical record.

2 In order to effectively process a complaint or
3 a report received by a medical board involving
4 questionable or deceptive health care practices, state
5 boards must determine whether or not the practice in
6 question is (1) indicated; (2) appropriate; and (3)
7 reasonably safe, as compared to established treatment
8 models.

9 Research indicates that only four medical
10 boards currently have adopted CAM policies to assist them
11 in determining whether or not specific medical practices
12 constitute a violation of the state's Medical Practice
13 Act, Illinois, Kentucky, Nevada, and Texas. The
14 remaining states have no formal policy or guidelines
15 currently in place, and, instead, deal with complaints
16 involving CAM issues on a case-by-case basis.

17 Therefore, medical boards would obviously
18 benefit from guidelines to help them assure that the
19 provision of CAM services is based on appropriate and
20 competent standards of medical practice.

21 Accordingly, the Federation is developing model
22 guidelines to assist its member boards in communicating

1 their expectations to physicians who are engaged in a
2 practice environment offering both conventional and
3 alternative forms of treatment, or engaged in cooperative
4 therapeutic relationships for their patients' benefits
5 with non-physician, licensed alternative health care
6 providers.

7 Standards which will be established in these
8 guidelines will include, but will not be limited to, the
9 need for adequate patient assessment, scientific evidence
10 supporting the treatment, a favorable risk-benefit ratio,
11 informed consent, including documentation that the risks
12 and benefits have been disclosed to the patient and, of
13 course, periodic review of the patient.

14 Through the development of the proposed
15 guidelines, the Federation seeks to establish consistent
16 standards for regulating the use of CAM based on existing
17 guidelines and current research data, thereby providing
18 guidance to medical boards, and licensees, and the public
19 on how to utilize CAM in a manner consistent with safe
20 and responsible medicine.

21 We hope to promote a nonlegislative approach to
22 regulating the use of CAM therapy, thereby enhancing

1 state medical boards' authority to provide appropriate
2 regulation and by allowing consumers access to legitimate
3 medical uses of CAM therapies, while preventing the
4 proliferation of health care practices that may be
5 potentially harmful and are deceptive.

6 To ensure that the guidelines will be
7 comprehensive in scope, the Federation has been blessed
8 by the help of consultants with expertise in CAM. Dr.
9 David Eisenberg, Dr. Russell Greenfield, Dr. Ken
10 Pelletier have all agreed to assist us in this effort.

11 In conclusion, although some CAM therapies may
12 be beneficial, and many are showing that to be the case,
13 and therefore those that look to be beneficial warrant
14 further investigation and integration into mainstream
15 medical practice, the safety has not been established for
16 many other modalities due to the lack of reliable
17 scientific evidence and clinical validation.

18 Therefore, the Federation supports the
19 development of controlled clinical trials to evaluate the
20 efficacy and safety of these therapies, as well as
21 information sharing and educational initiatives to
22 further enhance the awareness of the benefits and/or

1 risks associated with such therapies.

2 The Federation also recognizes that the scope
3 of this issue reaches far beyond the jurisdiction of
4 state medical boards. Therefore, we are committed to
5 collaborating with members of the medical profession and
6 other agencies and organizations in promoting and
7 supporting research and educational efforts to identify
8 and eliminate questionable and deceptive health care
9 practices, whether they are traditional or alternative,
10 and those practices that are adverse to the public's
11 health, safety, and welfare.

12 There were about four issues that were given to
13 me, questions, I might touch on and go a little further
14 in the question and answer part of this presentation.
15 One question was should there be condition-specific and
16 modality-specific practice guidelines for CAM and why or
17 why not, and who should be developing such guidelines?

18 As I mentioned, the Federation is developing
19 these guidelines for use by medical boards so that they
20 can inform practitioners that are their licensees what
21 the expectations are. That could be summed up, I think,
22 in the words that all physicians are expected to practice

1 good medicine, regardless of what form of therapy that
2 they use. There will be some condition-specific
3 guidelines, such as we have done in the past, on the
4 treatment and management of chronic pain.

5 There was a question about the impact of
6 integrating CAM with conventional medicine with regard to
7 the standard of care. As I mentioned before, that
8 standard of care should not change just based on the
9 therapy.

10 The investigative process applied to
11 allegations of professional misconduct will be the same
12 investigative processes applied to any other allegations
13 that are received by the state medical board. The
14 guidelines, I think, will ensure that practitioners in
15 fact understand the expectations and are able to meet
16 those expectations without undue concern that the medical
17 board will charge them with unprofessional conduct based
18 solely on the practice or the use of CAM therapies.

19 Thank you very much.

20 Panel Discussion

21 DR. GORDON: Thank you very much.

22 Questions? Tieraona first, and George next.

1 DR. LOW DOG: I promise to behave.

2 My question is simple. Thank you for all of
3 your presentations. This is for Mr. Olson.

4 Bless you, massage therapists, first of all.

5 Second, do you think that licensure or
6 membership in the AMTA and all of your hard work on
7 education, has that helped or hindered your profession?
8 And if so, or if not, why?

9 MR. OLSON: I think it ultimately has helped us
10 very well and served us well. We are in an odd position,
11 in that our name is oftentimes used by the world of adult
12 entertainment. So we have had to go the extra mile to
13 convince the public and the legislatures that the word
14 "massage" doesn't always mean prostitution. So we are
15 kind of in an unusual position, but we have really turned
16 things around.

17 Some of the data I delivered, in terms of the
18 increase in the number of people receiving massage, the
19 growth in the profession, we are just tickled with events
20 of the last few years. I think a large part of it is the
21 fact that we keep our education standards adequately high
22 for the profession.

1 DR. GORDON: George, and then Linnea, Effie,
2 Tom, and Charlotte.

3 MR. DeVRIES: Mr. Olson, when, say for example
4 -- and this is really, what does the AMTA recommend
5 regarding licensure and education -- if a state
6 legislature were to call you and to say, we are
7 considering statutes for licensure or non-licensure of
8 massage therapy in our state, what do you recommend to
9 them regarding licensure and education?

10 MR. OLSON: Well, I was not able to complete my
11 full presentation, and I apologize for that, but it is in
12 your documents. On a strategic planning level, we do
13 support legislation in all states for the profession of
14 massage therapy. However, we are organized as a national
15 association. Our members express their voice locally in
16 our chapters. So we acknowledge that our chapters are
17 the ones that kind of lead us at that local level. So,
18 typically, we work through our chapters in this
19 legislative world at the state level.

20 I alluded to the fact that we have gone to
21 great lengths to assist chapters legislatively, and so we
22 have legislative models. We also have city ordinance

1 models that we can plug into virtually any jurisdiction.

2 Does that get close to answering the question?

3 MR. DeVRIES: Yes. I guess I am looking for,
4 do you hold a standard which, ideally, you think should
5 be a higher standard, you are advocating licensure in
6 every state, not regulation or certification by
7 municipality or town, and that you are really advocating
8 the higher standard?

9 MR. OLSON: We will take the highest ground we
10 can, but we will also deal with the political
11 jurisdiction. We have many forces to deal with, not only
12 licensed professions like physical therapy, but we have
13 other areas within sort of our bodywork field, like the
14 Oriental bodyworkers and Asian bodyworkers, energy
15 workers, movement modalities, Feldenkrais, Trager.

16 So we were having more difficulty writing scope
17 of practices these days because of all of these different
18 bodies that are coming forward. So we don't say no to
19 anything. We are sitting at the table. We are ready to
20 negotiate. We are going to keep improving our standards
21 and getting our standards up to the highest professional
22 level that we can, and we want to sit down at the table

1 with people who are doing the same thing.

2 Thank you, George.

3 DR. GORDON: Linnea, Effie, Tom, Charlotte.

4 MS. LARSON: Real quick, Mr. Olson, do you or
5 your members take a position on other practitioners, such
6 as those who perform reflexology or Reiki or energy, and
7 make a requirement that they have to have massage
8 training in order to do those practices?

9 MR. OLSON: Many of our members use Reiki, many
10 of our members use reflexology, many of our members do
11 energy work. So we sit in kind of a funny world, where
12 those approaches are folded into our members' practices.

13 It really comes back to the local legislative
14 work again, where our chapters work within their
15 jurisdictions to try and sort these matters out. We are
16 not out to harm anybody else, in terms of restricting
17 their ability to carry out reflexology or to practice
18 Reiki, but all of these things are determined politically
19 at the state jurisdictional level. We regularly
20 establish coalitions and invite people into the
21 conversation.

22 So I can't say we have a statement that would

1 prohibit people from doing those things in jurisdictions
2 that are licensed, but we do want to talk with people and
3 have them involved in coalitions. If they want to be
4 opting out of any licensing laws, we will help them, but
5 they have to come to the table to talk.

6 MS. LARSON: So, in a way, are you advancing
7 that the massage therapists actually are the organization
8 to negotiate with, with all of these other practitioners?

9 MR. OLSON: We typically have the largest
10 representation in a jurisdiction. We typically have more
11 money than other groups, and we are trying to use that
12 wisely and kindly. We are kind of the focal point. We
13 work in coalitions where we are not necessarily the
14 leader of the coalition.

15 So we shape coalitions, the coalitions elect
16 their leadership, we oftentimes contribute to the
17 lobbying aspect of that group.

18 MS. LARSON: In short, it would be a state's
19 rights issue, and you would promote, at some level, that
20 massage therapists would corral all of the other
21 practitioners, but it would be decided state-by-state?

22 MR. OLSON: I wouldn't say massage therapists

1 would "corral" everybody, but we would sit at the table
2 with everyone cooperatively.

3 DR. GORDON: Effie, and then Tom.

4 DR. CHOW: I have just two questions, one
5 simple one, to Mr. Olson.

6 Do you work with NCCAOM, who also has the
7 bodywork credentialing for Asian bodywork?

8 MR. OLSON: That is a new credential. We are
9 well aware of it. We have a relation with the
10 association AOBTA that has been working with that exam,
11 the Asian Bodywork Association.

12 So we are well aware of it. Yes, we are
13 looking at that exam, and we think it is going to be a
14 very good addition to the field of bodywork.

15 DR. CHOW: Good. Thank you. For the other
16 two --

17 DR. GORDON: Effie, can we just have one
18 question because there are a lot of people who want to
19 ask. We will come back to you later. Sorry.

20 MR. CHAPPELL: Thank you. My question is of
21 Dr. Bezold.

22 I think I heard you say credentialing is

1 something that is a self-standardization process within a
2 professional group and licensing is more a state-defined
3 status. Is technology fragmenting professional life, and
4 is the futurist view a fragmentation of professional
5 life? And in such a fragmentation, where would you put
6 your confidence?

7 Let me give you some options: branding
8 professional groups, like AMTA as an example, state
9 government or federal governments. Where would you
10 place --

11 DR. BEZOLD: I would say some of the above in
12 this mix, in that I would argue that what you want is a
13 marketplace where consumers can effectively choose on the
14 basis of identified quality and known evidence, and the
15 fact is we don't have evidence on most practitioners at
16 the moment.

17 MR. CHAPPELL: Right.

18 DR. BEZOLD: And that branding, in that sense,
19 becomes --

20 MR. CHAPPELL: They are branding.

21 DR. BEZOLD: They are branding, but they are
22 also trying to, in effect, use regulatory powers to say