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SEATTLE  
MIDWIFERY  
SCHOOL

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### **Education**

1994 to 98                      Masters Degree in Public Health, University of Washington  
1967 to 70                      Journalism and American Studies, Honors College, Oregon State University

### **Voluntary Activities (partial list)**

*University of California San Francisco Center for the Health Professions*  
2000                      Member, Taskforce on Emerging Health Professions  
1998                      Member, Taskforce on Midwifery, Pew Health Professions Commission

*Health Alliance International*  
1993 to present              Chairperson, Board of Directors

*Health Mothers, Health Babies Coalition of Washington State*  
1992 to 93                      Chairperson, Board of Directors

*Washington State Department of Health*  
1992 to 93                      Member, Statewide Perinatal Advisory Committee

*Washington State Higher Education Coordinating Board*  
1992 to 95                      Education Advisory Committee, Statewide Health Personnel Resource Plan  
1990 to present              Advisory Committee, Health Professional Loan Repayment and Scholarship Program

*Midwives Alliance of North America*  
1983 to 87                      Editor, MANA Newsletter

*Midwifery Education Accreditation Council*  
1994 to 96                      Representative to National Certification Task Force  
1997 to present              Treasurer, Board of Directors

*Midwives Association of Washington State*  
1999 to present              Member, Board of Directors  
1988 to 89                      Treasurer, Board of Directors  
1983 to 89                      Founding Member/Consumer Representative, Board of Directors

*Carnegie Foundation for the Advancement of Teaching*  
1989 to 90                      Consultant, National Seminar on Midwifery Education

### **Publications (partial list)**

- 1999 "Evolution and Current Status of Direct-Entry Midwifery Education, Regulation and Practice in the United States, with Examples from Washington State," *Journal of Nurse-Midwifery*, July/August 1999
- 1988 "Direct-Entry Midwifery in the U.S.A." in The Midwife Challenge, edited by Sheila Kitzinger, Pandora Press, London, 1988

### **Presentations (partial list)**

- 1999 "'Midwifery Education in the New Millenium,'" Midwifery Educators Conference, Eugene, OR
- 1998 "Innovations in Maternity Care: Direct-Entry Midwifery," American Public Health Association Annual Meeting, Washington, DC
- 1998 "Midwifery in the Mainstream: The Washington Experience," Midwives Alliance of North America, Grand Traverse, MI
- 1997 "Midwifery in Washington State," American Anthropological Association, Washington, DC

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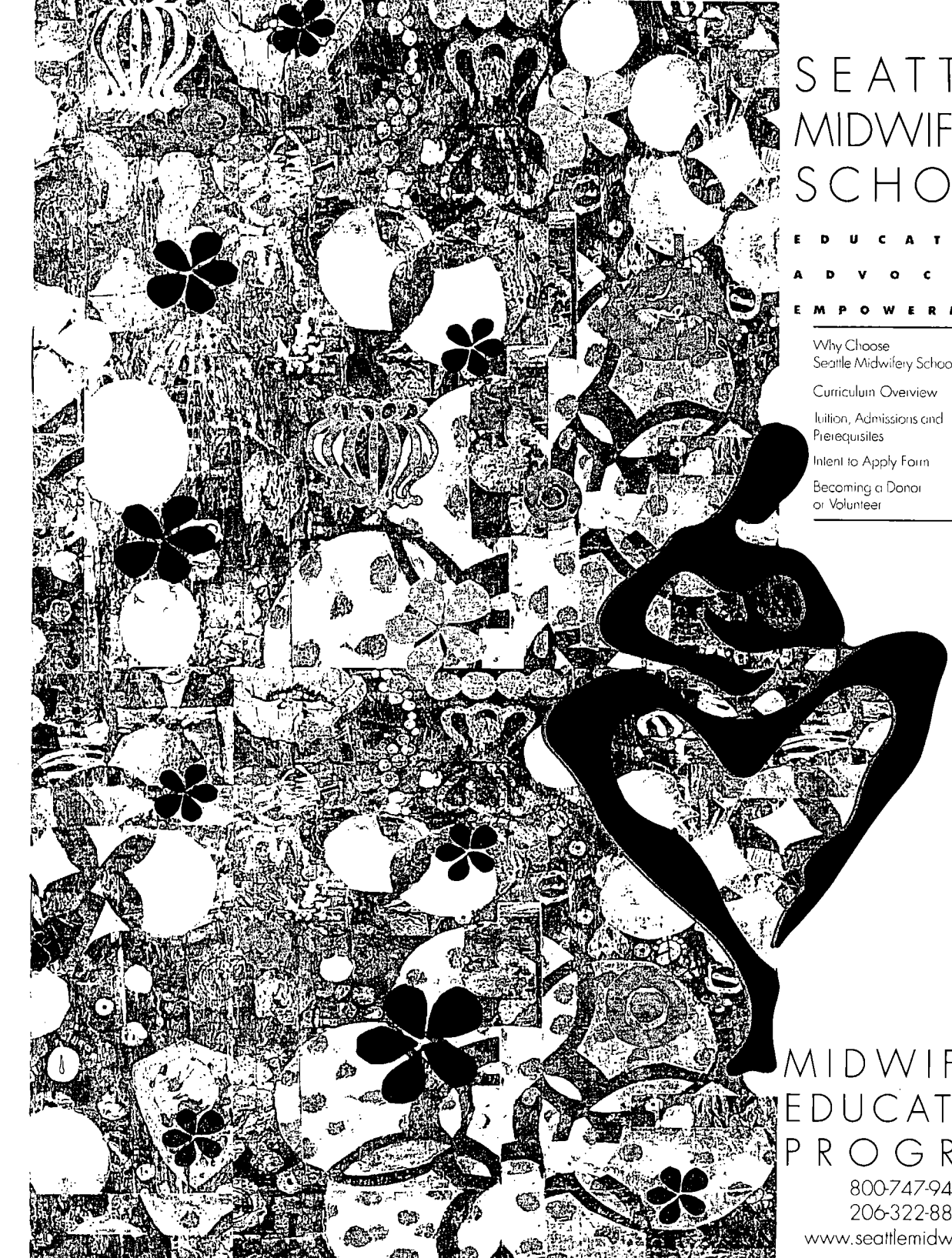
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# SEATTLE MIDWIFERY SCHOOL

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## MIDWIFERY EDUCATION PROGRAM

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**MIDWIFERY IN WASHINGTON STATE:  
AN INNOVATIVE MODEL FOR EDUCATION AND PRACTICE**

**Jo Anne Myers-Ciecko, MPH**

*Presented at the American Public Health Association Annual Meeting, November 1999, Chicago, IL*

**INTRODUCTION** Direct-entry midwives in Washington State are licensed health professionals. They must complete a three-year midwifery education program or the equivalent, which includes at least 100 births, before taking the state licensing examination. Licensed Midwives provide complete maternity care including prenatal, intrapartum, and postpartum care for mothers and newborns. Most provide home birth services and many have privileges in freestanding birth centers. Licensed Midwives own and operate 7 of the 10 licensed birth centers in the state. Licensed Midwives are independent practitioners, required to consult a physician only when there are deviations from normal. In 1998, Licensed Midwives attended 918 births or 1.1% of all births in the state. Three-fourths of births attended by Licensed Midwives were in the client's home.

**REGULATION** The original statute regulating direct-entry midwifery was adopted in 1917. The law required two years of schooling and many foreign-trained midwives were licensed by the state before immigration was restricted and hospitalization became the norm for childbirth in the 1930s and 40s. The old law was re-activated in the mid-1970s when growing demand for home births led to the re-emergence of midwifery. It was revised in 1981 to incorporate contemporary international standards for midwifery education and practice.

**MEDICAID** The Medical Assistance Administration, responsible for the state's Medicaid program, recognized Licensed Midwives as qualified providers in the early 1980s and began reimbursement for birth center deliveries after a birth center licensing law was adopted in 1986. In 1996, the agency re-opened an earlier decision not to provide reimbursement for planned home deliveries when a new director asserted that low income women should have access to the same range of services available to women with private insurance or the personal resources to pay out of pocket. A task force, comprised of representatives from midwifery, medicine, nursing, public health, insurance companies, and a various state agencies, recommended in favor of changing the policy to cover home birth services. They identified barriers to the coverage of home birth services and devised solutions that included such quality assurance measures as practice guidelines, an incident review process, data collection and evaluation methods, and definitions for appropriate midwife-physician consultation relationships. Marijke vanRoojen is the current representative of the Midwives Association of Washington State on the task force.

**HEALTH PROFESSIONAL SCHOLARSHIPS** In 1989, the legislature made state health professional scholarships available to midwifery students who would commit to work in underserved areas. Approximately 15 Licensed Midwives have received scholarship support from the state. I represent the midwives on the advisory committee to the Higher Education Coordinating Board which administers the scholarship and loan repayment program.

**HEALTH CARE REFORM** In 1993, responding to public demand for health care reform, the legislature adopted a number of laws affecting the delivery of health services, including a requirement that certain insurance carriers provide for the inclusion of every category of licensed health professional, a mandate that includes Licensed Midwives. The Office of the Insurance Commissioner subsequently committed resources to assure access to the full range of health care services by addressing barriers to the integration of complementary providers in health plans. Since 1997, an independent work group representing insurance carriers, health professional groups, and primary care providers has tackled the difficult subjects of coding and billing, scope of practice, consultation and referral relationships, and identification and evaluation of meaningful outcome data. At a recent meeting of the workgroup, insurance plans requested that various provider groups present sample evidence-based practice guidelines. Victoria Taylor, representing the Midwives Association of Washington State, presented draft guidelines for non-pharmacologic pain relief.



**JOINT UNDERWRITING ASSOCIATION** In 1993, the legislature also created a Joint Underwriting Association to assure that Licensed Midwives, Certified Nurse-Midwives and Licensed Birth Centers would be able to obtain malpractice insurance. Midwives may purchase \$1 million/\$3 million coverage for \$2400/ year plus \$100 additional premium for every birth every 24 in a year. Karen Hays, a Certified Nurse-Midwife, chairs the Board of Directors of the Joint Underwriting Association. Victoria Taylor, a Licensed Midwife, administers the quality assurance program for the JUA, which includes midwifery practice data collection, reporting and regular site visits.

**HEALTH PROFESSIONAL RESOURCE PLAN** In 1994, the Department of Health and several other state agencies produced the Health Personnel Resource Plan, a report and recommendations that placed Licensed Midwives in a generalist provider category and called for their increased utilization. LeeAnne Shelley represented the Midwives Association on the provider advisory group.

**MIDWIFERY MODEL OF CARE** LeeAnne was asked to describe her practice at a recent meeting of the Office of the Insurance Commissioner's workgroup as an example typical of the model of care provided by Licensed Midwives. LeeAnne is President of the Midwives Association of Washington State and founder of the Puget Sound Birth Center (PSBC), a freestanding birth center located near Microsoft headquarters in a suburb of Seattle. PSBC is a community resource center, offering classes and access to a variety of care providers, including 18 community-based midwives who attend home births and also have privileges at the birth center.

The Puget Sound Birth Center philosophy states that pregnancy and childbirth are normal, healthy processes. The midwives endeavor to empower women by helping them master the challenges of pregnancy and birth. Their goal is to promote family bonding while maintaining safety for the mother and baby, making this a growing experience for the birthing woman and family.

The vast majority of the prenatal visit time is spent talking in a family room setting. The midwives consider prenatal visits a time for learning, exploring issues, preparing for parenting, and dealing with the social stresses of life as they relate to the pregnancy. The first prenatal visit can take 1 to 2 hours. Subsequent prenatal visits are generally 45 minutes to 1 hour long. All the same prenatal testing is available to clients as is in an obstetricians office.

Any family, friends or support professionals that the mother wants at the birth are welcomed. PSBC reports that in about 50% of their cases, the fathers or partners choose to "catch" their baby; and in about 80% of their cases, the mother chooses to give birth in the Jacuzzi tub. Birth plans are taken very seriously, unobtrusively monitoring labor with a minimum of vaginal examinations. The midwife with whom the mother starts her labor will stay with her all throughout the labor and birth.

Moms, families and babies are never separated. Baby exams are done right next to mom with everything being explained. Early breastfeeding is encouraged and supported. Before leaving the birth center, parents are taught what to expect in the next days and weeks and how to monitor their baby's health.

A home visit is done during the first 24 hours, and a clinic visit occurs from 2-5 days. Moms are checked again at 1 week and 3 weeks. A 6 week postpartum visit is scheduled to do family planning and to make sure that all is back to normal. This schedule is flexible and is adjusted according to the family's needs.

**EDUCATION: SEATTLE MIDWIFERY SCHOOL** The Seattle Midwifery School, the first midwifery program to be located in Washington and recognized by the state, was founded in 1978. SMS is a private nonprofit organization, which now provides training programs for direct-entry midwives, birth doulas, postpartum doulas, and childbirth educators. The school maintains a multi-disciplinary library of midwifery and related literature. More than 120 midwives, 1,500 doulas, and 50 doula trainers have graduated from SMS and are practicing throughout the United States and abroad. Midwifery students complete 60 credits of academic work which includes core midwifery courses, basic health and nursing skills, related fields such as epidemiology, nutrition, genetics, counseling, education skills, and professional issues. In addition, they must complete 64 credits in preceptorships with midwives where they participate in nearly 1500 hours of

clinical training and ongoing clinical seminars. Suzy Myers is a senior SMS faculty member. She was a lay midwife and member of the original Fremont Women's Birth Collective when they founded the school. She has been a member of the Board of Directors continuously since then and has been a core faculty member and preceptor for over 15 years. Suzy earned her Masters Degree in Public Health after becoming a Licensed Midwife and is a member of the local health department's infant mortality review committee. She maintains an active midwifery practice in southeast Seattle, with her partner, Marge Mansfield. Suzy has also contributed to planning a pilot project at a local hospital, which is interested in establishing privileges for Licensed Midwives. LeeAnne, Victoria and other MAWS members have also been advisors to the proposal and are now working on a position statement to address concerns regarding preservation of the midwifery model of care in the hospital setting.

**EDUCATION: BASTYR UNIVERSITY** Washington state currently recognizes one other midwifery education program. Bastyr University offers a midwifery certificate program for naturopathic physicians who want to include birth care in their general practice. Because enrollment is limited to naturopathic physicians or students, it is not considered a direct-entry program. Graduates of the certificate program are naturopathic doctors specializing in natural childbirth. They adhere to the midwifery model of care and to the international definition of a midwife. In their role as naturopathic midwives, they augment the midwifery model of care with the naturopathic principles of practice and, as physicians, may exceed the midwifery scope of practice by providing ongoing pediatric, gynecologic and family care. Morgan Martin is the director of the program and an active member of the Midwives Association, participating here in the Medicaid task force meeting.

**STUDY** In 1998, I surveyed Licensed Midwives who were current residents of Washington State to find out more about their backgrounds, practices, and professional challenges.

**METHODS** An 81-item survey was mailed to these midwives in February and March 1998, soliciting demographic information, educational preparation, employment status, certain practice characteristics, and perceived barriers to practice.

**RESULTS** Responses were received from 63 (58%) of the 109 qualified midwives to whom surveys were distributed.

**DEMOGRAPHICS** Respondents ranged in age from 30 to 60 years and the median age was 41. Most were married or partnered and/or had 1 or more children. One-fourth had at least one child under 5 years of age.

**TABLE 1 DEMOGRAPHICS (n=63)**

| Characteristic                | Number | Percentage |
|-------------------------------|--------|------------|
| Female                        | 62     | 98%        |
| Euro-American                 | 60     | 95%        |
| Married/partnered             | 48     | 76%        |
| 1 or more children            | 51     | 81%        |
| Children under 5 years of age | 16     | 25%        |

**BACKGROUND AND PRIOR EDUCATION** One-half of all respondents had significant prior experience in midwifery or related fields prior to enrolling in one of the state-approved midwifery education programs and one-fourth had completed all or part of a nursing program. Nearly two-thirds had earned a bachelor's degree or higher. Those who had had midwifery apprenticeships prior to beginning their formal midwifery education were more likely to be working as midwives or were doing related work.

Fifty-four of 63 had graduated from the Seattle Midwifery School, 6 from Bastyr University and the other 3 from institutions in other jurisdictions. A median of 7 years had elapsed since respondents had completed their midwifery education but 27% had graduated less than three years earlier. Only 1 respondent reported that her primary clinical training took place only in-hospital while 26 (41%) reported their training was primarily community-based in the home or birth centers and 36 (57%) had both in-hospital and community-based clinical training. The median number of all births attended as a student was 110 and the median number of births managed as a student was 55. 14 (22%) of all respondents received state health

professional scholarship support for their education and all of these were working as midwives (12) or doing midwifery-related work (2).

**TABLE 2 BACKGROUND AND PRIOR EDUCATION (n=63)**

| Characteristic                 | Number | Percentage |
|--------------------------------|--------|------------|
| Bachelor's degree or higher    | 40     | 63%        |
| Major area of study            |        |            |
| Biology or health Science      | 20     | 32%        |
| Nursing                        | 15     | 24%        |
| Liberal arts                   | 13     | 21%        |
| Psychology                     | 7      | 11%        |
| Prior midwifery apprenticeship | 16     | 25%        |
| State scholarship recipient    | 14     | 23%        |

Of the total 63 Licensed Midwife respondents, 6 were also licensed as naturopathic physicians, 14 as registered nurses, 5 as nurse-practitioners, 3 as massage therapists and 1 as a physician's assistant. One-third of the respondents were Certified Professional Midwives (CPMs) or had applications in process to become CPMs.

**MIDWIFERY PRACTICE** Fifty-seven (90%) of the respondents were working as midwives or doing related work. While 41 (65%) of 63 respondents reported working as midwives, 9 of these had been in practice for just 1 year and another 6 for just 2 to 3 years. The 41 respondents working as midwives were typically self-employed in solo practices and as a group were providing services to women in 29 of 39 counties statewide. Most attended less than 2 births/month in 1997 but would have liked to attend 4 births/month. The 16 respondents doing midwifery-related work were employed part-time in a variety of settings including public health departments, community health centers, and midwifery education programs.

**TABLE 3 MIDWIFERY PRACTICE**

| Characteristic (n=41)         | Number | Percentage |
|-------------------------------|--------|------------|
| Working as a midwife          | 41     | 65%        |
| Employed in related work      | 16     | 25%        |
| Solo practitioner             | 32     | 78%        |
| Self-employed                 | 38     | 93%        |
| ≤ 3 years in practice         | 15     | 37%        |
| > 10 years in practice        | 11     | 27%        |
| Service area > 30 mile radius | 20     | 49%        |

**NUMBER OF BIRTHS** The median number of births in 1997 attended by the 30 respondents who reported attending any births was 19 and by the 34 respondents who attended any births in 1997 was 17.5. The range was from 1 to 86 births in 1996 and 1 to 78 births in 1997. The total number of births attended by respondents in 1996 was 677 and in 1997 was 750. Midwives who had been in practice more than 3 years were much more likely to have attended 12 or more births in 1997 than midwives in practice for 3 years or less. 56% of the midwives currently working as midwives said they would ideally attend 4 to 6 births/month 5 years from the time of the study.

**TABLE 4 NUMBER OF BIRTHS**

| Number of births attended | Mean | Median | Range   |
|---------------------------|------|--------|---------|
| In 1996 (n=30)            | 22.6 | 19     | 1 to 86 |
| In 1997 (n=34)            | 22.0 | 17.5   | 1 to 78 |

Respondents commonly attended births in more than one county and in geographically diverse areas. Thus any one midwife was likely to have served both rural and urban populations. The radius of the area served was more than 30 miles for 49% of the midwives and 3 midwives working in very rural counties each reported a service area radius of more than 90 miles.

**PLACE OF BIRTH AND POSTPARTUM VISITS** Most midwives reported that 90% or more of the births they attended took place in the client's home. 28% of the respondents reported generally making 2 to 3 postpartum visits, while 45% made 4 visits and the remainder made 5 or more visits. Those who made 4 visits generally scheduled visits on the first and third days postpartum and then at one week and six weeks.

**PHYSICIAN CONSULTATION RELATIONSHIPS** Two models for physician consultation arrangements were reported. Approximately one-half of the respondents said they consulted primarily with one physician (generally an obstetrician for perinatal care and a pediatrician for newborn care) while the other half reported relationships with a number of physicians. Telephone contact was the most frequently reported method of maintaining relationships. Difficulty obtaining appropriate physician consultation was not considered a significant barrier to practice by most respondents.

**SOURCE OF PAYMENT** The median charge for complete maternity care by survey respondents was \$2200, though the range was from \$1400 to \$3000. There were wide variations among individual midwives reporting source of payment, including 3 midwives who reported all clients were self-pay and 3 reporting 90% or more of their reimbursements were Medicaid fee-for-service. Most midwives reported having 1 or more managed care contracts, though 23% (with 6 missing) reported having no contracts. The median number of contracts was 3 per midwife. Reimbursement through managed care organizations (combining privately and publicly-funded clients) represented 37% of all payment. Medicaid clients, whether payment was received through managed care organizations or direct fee-for-service billing, represented 34% of all payments received. State scholarship recipients reported on average that 48% of payments received were for Medicaid clients.

**TABLE 5 SOURCE OF PAYMENT**

| Source of payment (n=37)                           | Median |
|----------------------------------------------------|--------|
| Self-pay                                           | 29%    |
| Fee-for-service (FFS)                              | 15%    |
| Preferred provider/managed care organization (MCO) | 21%    |
| Medicaid/FFS                                       | 18%    |
| Medicaid/MCO                                       | 16%    |

**NET INCOME IN 1997** Net income in 1997 reported by 37 of the respondents who were working as midwives (4 missing) ranged from less than \$10,000 to over \$40,000, with the mean between \$10,000 and \$20,000. Ten (62%) of the 16 midwives doing related work reported net incomes of less than \$10,000 for part-time employment, while 2 reported net incomes over \$30,000.

**TABLE 6 NET INCOME IN 1997**

|                                                                     |
|---------------------------------------------------------------------|
| Respondents working as midwives                                     |
| Range: less than \$10,000 to over \$40,000                          |
| Mean: between \$10,000 and \$20,000                                 |
| Respondents doing midwifery-related work                            |
| 62% reported incomes of less than \$10,000 for part-time employment |
| 8% reported incomes over \$30,000                                   |

**BARRIERS TO PRACTICE** The three most significant barriers to practice identified by respondents working as midwives were (1) difficulty obtaining third party reimbursement, (2) inadequate compensation and (3) difficulty obtaining contracts with managed care plans. These payment-related issues seemed to be of wide concern, having no clear relationship to geographic location, the size of the practice, the number of managed care contracts held, or the percentage of income received from managed care organizations. The cost of malpractice insurance was identified as the fourth most significant barrier to practice. Among respondents currently working as midwives, those who identified cost as a barrier were less likely to carry malpractice insurance, to have attended more than 24 births in 1997, or to have reported net incomes of more than \$30,000. Midwives who attended more than 24 births in 1997 were not only more likely to carry malpractice insurance but also to have 5 or more managed care contracts ( $p < .05$ ). Respondents working as midwives also reported not enough women seeking services as an important issue.

Among respondents not currently working as midwives, the other most significant barriers to practice were (1) few or no opportunities for employment other than self-employment, (2) being on-call all or most of the time, and (3) child care or other family obligations. They also identified cost of malpractice insurance, an unwillingness or inability to establish their own business, lack of hospital privileges, difficulty obtaining reimbursement and managed care contracts as important barriers.

All respondents with children under age 5 were more likely to report child care and being on-call as significant barriers to practice, but having young children was not associated with whether or not a respondent was working as a midwife or doing related work or with the number of births attended.

**TABLE 7 BARRIERS TO PRACTICE**  
(Scale from 1 = no significance to 4 = very significant)

|                                                | Working | Not working |
|------------------------------------------------|---------|-------------|
| Few or no opportunities for employment         | 2.1 (2) | 3.2 (4)     |
| Unwilling or unable to establish own business  | 1.6 (1) | 2.7 (3)     |
| Cost of malpractice insurance                  | 2.5 (3) | 3.0 (3)     |
| Fear of malpractice litigation                 | 1.8 (2) | 2.4 (2)     |
| Difficulty obtaining third party reimbursement | 2.7 (3) | 2.5 (2)     |
| Difficulty obtaining managed care contracts    | 2.6 (3) | 2.5 (2)     |
| Inadequate compensation                        | 2.7 (3) | 2.3 (2)     |
| Lack of hospital privileges                    | 2.3 (2) | 2.7 (3)     |
| Difficulty securing physician consultation     | 1.9 (2) | 1.8 (2)     |
| Peer support                                   | 1.7 (2) | 1.9 (1)     |
| Community support                              | 2.0 (2) | 2.0 (2)     |
| Midwifery is invisible or lacks credibility    | 2.5 (3) | 2.1 (2)     |
| Not enough women seeking my services           | 2.6 (3) | 2.5 (2)     |
| Being on-call all or most of the time          | 2.5 (2) | 3.2 (4)     |
| Childcare/family obligation                    | 2.0 (2) | 3.0 (4)     |
| Personal health problems                       | 1.3 (1) | 1.5 (1)     |

In open-ended questions, midwives were asked what they liked most about being a midwife and what they felt was their most significant contribution to the health and well-being of childbearing women and their families. One-half of all respondents (49%) recorded some combination of client education and empowerment. One midwife said, "Although my practice is small, all women and families have expressed high satisfaction with my education and empowerment focus, combined with high standards for safety." Another said, "I think teaching and encouraging thoughtful decision-making, especially to teens." A third said, "The gift of love and acceptance when they (women) are open and vulnerable; the courage to push them to find their own strengths, which they will need to be parents." And a fourth said, "Good midwifery care teaches women and their families that they have both power and responsibility for their health and their lives. I think this lesson leads to healthier living, both physically and emotionally."

**DISCUSSION** The study results show that most midwives in this sample were practicing midwifery or doing midwifery-related work and that they would like to be doing more births. Licensed Midwives appear to be practicing in areas of need and serving a range of clientele as evidenced by the widely-varied geographic locations and the percentage of payment received for Medicaid clients.

**CONCLUSION** Marijke van Roojen received the MAWS annual award this year for her contributions to the taskforce on Medicaid reimbursement for home birth. Marijke is a Licensed Midwife in Olympia, the state capitol. Her initial training as a midwife was at Maternidad la Luz in El Paso, Texas and she practiced midwifery in Oregon. Marijke is also a trained and experienced conflict resolution specialist. She has worked with numerous organizations and public agencies. As the principal representative of MAWS on the Medicaid Task Force, Marijke's breadth of knowledge and her communication skills have served her well. Although the task force recommended over two years ago to change Medicaid policies and to reimburse for home births, agency personnel changes and interprofessional skirmishes have kept the agency from taking action. Most recently, a neonatologist has been threatening to block all forward progress because he has concerns about births taking place in water. Marijke gathered all available literature, surveyed midwives across the state, and worked with the MAWS Board to clarify their position on this issue. She has kept up a running conversation with the physician involved while working with agency representatives to maintain forward motion on other aspects of the implementation plan. MAWS has decided to take a firm position in support of women's right to choose water birth and against any agency setting limits on practice when there is no evidence to support such action.

The midwifery model of care as defined nationally emphasizes the central role of the childbearing women in making informed decisions concerning her own health and well-being as well as that of her baby. The midwife's role is to provide comprehensive care and education for women and their newborns, encompassing their physical and emotional needs and fostering self-determination throughout the childbearing cycle. This philosophy of care speaks to the unique opportunity for education and empowerment presented by the childbearing experience. Licensed Midwives in Washington state expressed similar beliefs and values when describing their initial attraction to midwifery as well as their most important accomplishments as midwives. Licensed Midwives in Washington State have demonstrated a tremendous commitment to changing the health care system to ensure that women have access to informed and meaningful choices. Like their counterparts in other states across the U.S., these direct-entry midwives are an underutilized resource that has much to offer the nation.

# LICENSED MIDWIVES IN WASHINGTON STATE

- Today there are 110 Licensed Midwives in Washington State.
- Approximately 80% maintain active midwifery practices or are employed in related fields such as public health, family planning, or community clinic administration.
- Since 1980, Licensed Midwives have attended between 1 and 2% of all births in the state or nearly 20,000 births total.
- Licensed Midwives provide comprehensive care to childbearing women from early pregnancy through the postpartum period, attending births in either the woman's home or a freestanding birth center.
- Licensed Midwives are typically self-employed, carry liability insurance, and are preferred providers in two or more managed care plans.
- Licensed Midwives in Washington State have consistently provided important leadership in the direct-entry midwifery profession nationwide. Approximately 10% of all midwives (including Certified Nurse-Midwives) in the U.S. today are Licensed Midwives. The majority of these are found in just four states: Washington, Texas, California and Florida. Washington's Licensed Midwives are frequently cited as an excellent example of what can be accomplished when rigorous professional standards, public policy, and a commitment to meeting the needs of childbearing women work together.

## Scope of practice

Licensed Midwives provide care during the normal childbearing cycle. They consult with physicians when a case deviates from normal and may refer clients to them if complications arise. In an emergency, a midwife is trained and equipped to carry out life-saving measures. Their scope of practice includes:

- Prenatal care
- Education and counseling regarding pregnancy, birth and infant care
- Continuous support during labor
- Delivery of the baby
- Care of the newborn
- Postpartum care of the mother
- Family planning services

Midwives may conduct deliveries in hospitals, birth centers or in home settings. They are licensed to perform all of the procedures that may be necessary during the course of normal pregnancy, birth and the postpartum/newborn period, including the administration of selected medications.

## Licensure requirements

- Graduate of 3 year school accredited by the state (or equivalent program in another jurisdiction)
- Participate in a minimum of 100 births
- Provide primary care, under supervision, for a minimum of 50 women in the prenatal, intrapartum and postpartum periods
- Successfully pass national certification examination administered by the North American Registry of Midwives and additional state-specific test

### **Education and training**

- **Seattle Midwifery School:** This nationally-accredited three-year program provides direct-entry midwifery education that serves as a model nationwide. Most Licensed Midwives in Washington State are graduates of SMS. Students must complete one year of prerequisite courses prior to admission. The curriculum is comprised of 130 credits which include courses in gynecology, embryology, nutrition, midwifery care, pharmacological and alternative treatments, basic health and nursing skills. Students also complete over 1500 hours of clinical training under the supervision of Licensed Midwives, Certified Nurse-Midwives and/or Physicians.
- **Bastyr University:** This nationally-accredited four year university offers a midwifery program, approved by the state, for naturopathic physicians planning to provide full-scope maternity care. These ND/LMs hold dual licenses and offer services that blend the two scopes of practice.
- **Foreign-trained midwives:** These midwives are eligible for licensure in Washington State if they can provide evidence of formal training that is equivalent to that required under state law.

### **History of Licensed Midwives in Washington State**

- 1917 midwifery law adopted
- 1978 Seattle Midwifery School founded
- 1980 University of Washington Health Policy Analysis Program publishes comprehensive and favorable report on "Midwifery Outside of the Nursing Profession"
- 1981 midwifery law revised, international standards incorporated
- 1983 Midwives Association of Washington State (MAWS) founded
- 1984 Bastyr College midwifery program approved by the state
- 1988 Washington Department of Social and Health Services recommends increased utilization of midwives in state maternity care
- 1989 Licensed Midwives eligible for State Health Professional Scholarship Program
- 1993 Legislature creates Joint Underwriting Association to provide liability insurance
- 1993 "Every category of provider" law requires health insurance carriers to include Licensed Midwives
- 1994 State Health Personnel Resource Plan calls for increased utilization of Licensed Midwives
- 1995 Office of Insurance Commissioner invites Licensed Midwives to join dialogue with health care plans and participate in Clinician Workgroup on the Integration of Complementary Medicine
- 1995 Midwives Association of Washington State, Washington State Medical Association, and Washington Obstetrical Society begin series of meetings to resolve scope of practice and other regulatory issues
- 1996 MAWS adopts "Practice Guidelines for Risk Screening and Indications for Consultation and Referral"
- 1996 Quality Midwifery Associates implements quality assurance program for midwives with liability insurance
- 1997 Medical Assistance Administration Task Force on Home Birth recommends Medicaid change policy to cover home births
- 1999 Licensed Midwives in Washington State are featured in PEW Health Professions Commission Report on the Future of Midwifery
- 1999 MAWS adopts new "Content of Care" guidelines
- 2000 MAWS will submit application for approval of Peer Review Program to the State Office of Quality Assurance
- 2000 Legislature includes Licensed Midwives in statute guaranteeing women direct access to the women's health care provider of their choice (eliminating need for gatekeeper referral)



## Bibliography

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*Outcomes of births attended out-of-hospital by licensed midwives in Washington State were compared with those attended by physicians and certified nurse-midwives in hospital and certified nurse-midwives out-of-hospital between 1981 and 1990. Outcomes measured included low birthweight, low five-minute Apgar scores, and neonatal and postneonatal mortality. Associations between attendant and outcomes were measured using odds ratios to estimate relative risks. Multivariate analysis using logistic regression controlled for confounding variables. Overall, births attended by licensed midwives had a significantly lower risk for low birthweight than those attended in hospital by certified nurse-midwives, but no significant differences were found between licensed midwives and any of the comparison groups on other outcomes measured. When the analysis was limited to low-risk women, certified nurse-midwives were no more likely to deliver low-birthweight infants than were licensed midwives but births attended by physicians had a higher risk of low birthweight. The results of this study indicate that in Washington State the practice of licensed nonnurse-midwives, whose training meets standards set by international professional organizations, may be as safe as that of physicians in hospital and certified nurse-midwives in and out -of-hospital.*

Myers-Ciecko, J. (1999) Evolution and current status of direct-entry midwifery education, regulation, and practice in the United States, with examples from Washington State. *Journal of Nurse-Midwifery*. 44(4):384-393.

*Describes the re-emergence of direct-entry midwifery in the United States, and focuses specifically on more than 1,000 midwives nationwide who are licensed in the 16 states where direct-entry midwifery is legal and regulated and/or certified by the North American Registry of Midwives. Professional developments of direct-entry midwives are highlighted, including the establishment of core competencies and articulation of values, the creation of a certification process, and the development of education program accreditation. The current status of licensed midwives in Washington state, where state policies have supported the development of direct-entry midwifery and the integration of direct-entry midwives into managed care systems, is presented as one examples of the evaluation of professional direct-entry midwifery in this country. Additionally, recommendations from the UCSF Center for the Health Professions Taskforce on Midwifery are discussed.*

Dower, CM, Miller JE, Taskforce on Midwifery. (1999) Charting a course for the 21<sup>st</sup> century: the future of midwifery. San Francisco: Pew Health Professions Commission and UCSF Center for the Health Professions.

*Report and recommendations from a Taskforce on Midwifery, convened by the University of California at San Francisco Center for the Health Professions in 1998, to explore the effects of market-driven changes on midwifery. Consisting of eight experts from across the country, the Taskforce was charged with exploring the impact of health care system developments on midwifery, and identifying issues facing the profession and the roles midwives play in women's health care. The Taskforce answered its charge by offering 14 recommendations related to midwifery practice, regulation, education, research, and policy. The recommendations incorporate the Taskforce vision that the midwifery model of care should be embraced by, and available to all women and their families. Licensed Midwives in Washington State are featured as a model for other states considering direct-entry midwifery education and practice*

## Licensed Midwife-Attended, Out-of-Hospital Births in Washington State: Are They Safe?

Patricia A. Janssen, BSN, MPH, Victoria L. Holt, RN, MPH, PhD, and Susan J. Myers, LM, MPH

**ABSTRACT:** *The safety of out-of-hospital births attended by midwives who are licensed according to international standards has not been established in the United States. To address this issue, outcomes of births attended out of hospital by licensed midwives in Washington state were compared with those attended by physicians and certified nurse-midwives in hospital and certified nurse-midwives out of hospital between 1981 and 1990. Outcomes measured included low birthweight, low five-minute Apgar scores, and neonatal and postneonatal mortality. Associations between attendant and outcomes were measured using odds ratios to estimate relative risks. Multivariate analysis using logistic regression controlled for confounding variables. Overall, births attended by licensed midwives out of hospital had a significantly lower risk for low birthweight than those attended in hospital by certified nurse-midwives, but no significant differences were found between licensed midwives and any of the comparison groups on any other outcomes measured. When the analysis was limited to low-risk women, certified nurse-midwives were no more likely to deliver low-birthweight infants than were licensed midwives, but births attended by physicians had a higher risk of low birthweight. The results of this study indicate that in Washington state the practice of licensed nonnurse-midwives, whose training meets standards set by international professional organizations, may be as safe as that of physicians in hospital and certified nurse-midwives in and out of hospital. (BIRTH 21:3, September 1994)*

Place of birth and choice of birth attendant have long been contentious issues among providers and recipients of intrapartum care. In 1983 the American College of Obstetricians and Gynecologists (ACOG) was joined by the American Academy of Pediatrics in issuing a joint statement that opposed free-standing alternative birth centers and endorsed only the hospital as a safe environment for labor,

delivery, and the postpartum period; this position was reiterated in 1988. The ACOG also released a policy statement in 1991 that disapproved of home birth (1).

Evidence from countries where home birth is practiced by trained midwives demonstrates that this method can be safe (2,3). Neonatal mortality rates are repeatedly as low as or lower than those for physician-attended, in-hospital births. Study designs, however, have been flawed by small sample sizes or lack of a comparison group (4-11).

Although the proportion of childbearing women delivering out of hospital is small compared with other countries, in the last two decades the increasing trend has been toward out-of-hospital birth and midwifery-attended birth in the United States. The number of births attended by all midwives in non-hospital settings doubled between 1975 and 1990 (12). In Washington state births attended by midwives increased from 0.1 percent of all births in

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1975 to 5.8 percent in 1991 (13), and in 1991, 1.7 percent of all births occurred at home or at birth centers (14).

Washington is one of 15 states in the United States that currently licenses midwives who are not necessarily nurses to attend out-of-hospital births (15). It has the only state licensing program with academic requirements that meet international standards of midwifery care set by the International Confederation of Midwives and the International Confederation of Obstetricians (16). This study compared birth outcomes of out-of-hospital deliveries attended by Washington state-licensed midwives with those attended in hospital by physicians and certified nurse-midwives, and with those attended out of hospital by certified nurse-midwives. This study is the first to address the safety of licensed midwifery practice in the United States, using both a large population-based sample and an epidemiologic design. It is also the first to address the safety of a state licensing program meeting international academic standards.

### Methods

This study used birth certificates linked to infant death certificates for births in Washington state in the years 1981 through 1990. Since licensed midwives attend births at home or at birth clinics and currently do not have hospital privileges in this state, births attended by licensed midwives were identified by first examining all out-of-hospital births including births at home, at a birth center, or at another medical facility. Attendant identification codes (based on the first five letters of the attendant's last name and the first letter of the first name) were then verified with a list of names of midwives licensed in Washington obtained from the state's Department of Health. Verification of the birth attendant was deemed necessary because the Washington state birth certificates correctly identify the type of attendant at out-of-hospital births only 30 percent of the time (16). Using these methods, we identified 6944 licensed midwife-attended, out-of-hospital births in the state between 1981 and 1990.

Licensed midwives are licensed under the state's Midwifery Act (17). To be eligible to sit for the licensing examination, they are required to have attended a state-accredited midwifery education program that is at least three years in duration and that includes specific curricula. In addition, they must have observed 50 births and provided care for another 50 pregnancies in each of the antepartum, intrapartum, and postpartum periods. Alternatively, midwives who were trained out of state or the

United States can obtain licensure by documenting equivalent academic credentials and experience and successfully completing the state's licensing examination.

Three other cohorts of births were established for comparison with licensed midwife births. The first group included physician-attended, in-hospital births matched to licensed midwife-attended births for birth year, county of birth, and mother's age, in a 4:1 ratio ( $n = 27,694$ ). We restricted these births to those that would not have merited antepartum referral to a physician if the pregnancy had been cared for by a licensed midwife, to make the two groups comparable. In doing so we eliminated the bias in favor of licensed midwife-attended births that may have resulted from the referral of high-risk women out of licensed midwife care before labor and delivery. Thus, 3221 births with the following antepartum risk factors were eliminated from the physician group: multiple pregnancy, abnormal lie, placenta previa, gestational age less than 37 weeks, and maternal conditions including eclampsia, rubella, cardiac conditions, Rh sensitization, syphilis, epilepsy, chronic hypertension, and renal disease. We elected to control for diabetes and preeclampsia in the analysis rather than restrict physician births with these risk factors, because if the diagnosis of diabetes or preeclampsia was equivocal or the condition very mild, out-of-hospital birth may still have been an option. Births for which gestational length was missing were eliminated (1147). A total of 23,596 births remained in this cohort for analysis.

The second comparison group included all hospital births attended by certified nurse-midwives. These practitioners are nurses who have completed a core academic curriculum in midwifery and have managed a minimum of 20 births. They are graduates of two-year college programs in nursing, baccalaureate programs, or master's degree programs. They are accredited nationally, have passed a certification examination set by the American College of Nurse-Midwives (18), and are licensed to practice by the state (17). Identification codes for nurse-midwives on the birth certificate were verified using a list of names of state-registered, certified nurse-midwives obtained from the Washington Department of Health. In-hospital births attended by nurse-midwives numbered 14,893. Nurse consultants stated that in some cases physician-performed instrumental or operative deliveries of nurse-midwife patients may still be attributed to the nurse-midwife on the birth certificate (personal communication, Katherine Carr, CNM, PhD, consultant in midwifery and perinatal nursing, Seattle, Washington, 4 April 1993). To ensure that these potentially

misclassified births were excluded, the nurse-midwife-attended, in-hospital group was restricted to women who had spontaneous vaginal deliveries ( $n = 14,777$ ).

The third comparison group consisted of out-of-hospital births attended by certified nurse-midwives. In a few instances, licensed midwives and certified nurse-midwives attending out-of-hospital births had identical identification codes. The births attended by these practitioners were separated into the appropriate attendant group by matching the county of birth with counties in which each midwife was known to have practiced. If the areas in which those with the same identification code who delivered babies overlapped, these births were excluded. Thirteen such births were excluded, and 4054 births remained in this cohort.

Birth outcomes were identified using linked birth and death certificates. Variables chosen for analysis included low birthweight ( $<2500$  g), low five-minute Apgar score ( $<7$ ), neonatal mortality (0–27 days), and postneonatal mortality (28 days–1 yr). These variables were chosen because, in contrast to most other pregnancy and birth outcomes, they are thought to be recorded with over 90 percent accuracy in Washington state (19). An assessment of postneonatal mortality was included to capture those deaths that may have been postponed to the postneonatal period by more rapid access to neonatal intensive care for babies born in the hospital.

The association between birth attendant and birth outcome was measured by the relative risk estimated by the odds ratio (OR). Potential confounders or effect modifiers that were examined were maternal age ( $<20$  yrs, 20–34 yrs, 35–39 yrs,  $\geq 40$  yrs); race and ethnicity (white, other); marital status (married, unmarried); occupation (professional, clerical/sales/service, nonprofessional, housewife); number of prior pregnancies (none, one,  $\geq 2$ ); history of fetal deaths after 20 weeks (yes, no); parity (0,  $\geq 1$ ); trimester of first prenatal visit (1, 2, 3); classification of residence (urban, rural); county of birth (King, Pierce, Snohomish, other); smoking status (current, nonsmoker); preeclampsia (yes, no); and diabetes (yes, no). To permit exploration of confounding relationships, unconditional logistic regression was used to obtain maximum likelihood estimates of the OR associating type of birth attendant with the four specified birth outcomes (20). Confidence intervals were estimated by the standard error of the coefficient estimates and the normal approximation (21). Variables were considered to be confounders if the OR changed 10 percent or more with their inclusion in the model.

Preliminary analysis revealed that a few licensed

midwives were delivering some high-risk women at home, including those carrying twins or babies in the breech position. To compare the practice of licensed midwifery with that of other birth attendants using women of similar risk status, a second analysis was undertaken. In this analysis, women with any antepartum risk factors identified on the birth certificate (multiple pregnancy, abnormal lie, placenta previa, gestational age less than 37 weeks or unknown, eclampsia, rubella, cardiac conditions, Rh sensitization, syphilis, epilepsy, chronic hypertension, renal disease) were excluded from all of the attendant groups. As in the first analysis, we elected to control for diabetes and preeclampsia. These low-risk groups contained 93 percent of the entire licensed midwife cohort, 88 percent of the entire nurse-midwife in-hospital cohort, and 95 percent of the entire nurse-midwife out-of-hospital cohort.

## Results

Most women giving birth were white: over 95 percent of those with out-of-hospital births and nearly 90 percent in-hospital births (Table 1). There were no births to women under 15 years of age in the out-of-hospital cohorts, and the in-hospital nurse-midwives delivered the largest proportion of teenagers (8.2%). Similarly, in-hospital midwives delivered slightly more unmarried women (16.5%), although marital status did not vary appreciably among the four cohorts. A higher proportion of women with professional and technical jobs were in the nurse-midwife in-hospital group, and women with clerical, sales, or service jobs were almost twice as likely to be among an in-hospital cohort as an out-of-hospital cohort. Women choosing birth at home were substantially more likely not to be working outside the home compared with those giving birth in hospital. Place of residence was similar among all cohorts with the exception of the nurse-midwife in-hospital group, who were more likely to live in an urban area.

Table 2 shows pregnancy-related risk factors for the study population. Licensed midwives and out-of-hospital nurse-midwives delivered the lowest proportion of nulliparous women and women with prior pregnancies; the licensed midwives had the lowest proportion of women with no prior pregnancies and the highest proportion of women with five or more prior pregnancies, followed by out-of-hospital nurse-midwives. Fewer women delivered by licensed midwives received prenatal care in the first trimester, and more did not receive care until the last trimester than the in-hospital groups; nurse-midwife out-of-hospital births followed this trend to

**Table 1. Demographic Characteristics of Pregnancies Attended by Licensed Midwives, Physicians, and Certified Nurse-Midwives (%)**

| Characteristic           | LM*<br>(n = 6944) | MD†<br>(n = 23,596) | Attendant<br>CNM‡<br>In-Hospital Births<br>(n = 14,777) | CNM<br>Out-of-Hospital Births<br>(n = 4054) |
|--------------------------|-------------------|---------------------|---------------------------------------------------------|---------------------------------------------|
| Mother's race            |                   |                     |                                                         |                                             |
| White                    | 95.2              | 87.9                | 89.1                                                    | 96.5                                        |
| Other                    | 4.3               | 11.4                | 9.8                                                     | 3.3                                         |
| Unknown                  | 0.5               | 0.8                 | 1.1                                                     | 0.1                                         |
| Mother's age (yrs)       |                   |                     |                                                         |                                             |
| ≤19                      | 4.2               | 4.1                 | 8.2                                                     | 5.6                                         |
| 20-34                    | 84.8              | 85.2                | 82.9                                                    | 86.1                                        |
| 35+                      | 10.9              | 10.6                | 9.0                                                     | 8.3                                         |
| Unknown                  | 0.1               | 0.1                 | 0.04                                                    | 0.1                                         |
| Marital status           |                   |                     |                                                         |                                             |
| Married                  | 86.3              | 85.0                | 83.0                                                    | 89.4                                        |
| Unmarried                | 13.0              | 14.9                | 16.5                                                    | 10.3                                        |
| Unknown                  | 0.7               | 0.2                 | 0.5                                                     | 0.2                                         |
| Mother's occupation      |                   |                     |                                                         |                                             |
| Professional/technical   | 20.6              | 19.6                | 25.9                                                    | 20.4                                        |
| Nonprofessional          | 14.7              | 14.0                | 12.5                                                    | 14.1                                        |
| Clerical, sales, service | 12.0              | 22.6                | 19.4                                                    | 12.4                                        |
| Housewife                | 55.1              | 41.4                | 39.7                                                    | 52.8                                        |
| Unknown                  | 0.6               | 2.5                 | 2.4                                                     | 0.3                                         |
| Residence                |                   |                     |                                                         |                                             |
| Rural                    | 53.4              | 51.0                | 44.2                                                    | 49.4                                        |
| Urban                    | 46.6              | 49.0                | 55.8                                                    | 50.6                                        |
| County of birth          |                   |                     |                                                         |                                             |
| King                     | 32.2              | 32.7                | 44.2                                                    | 30.7                                        |
| Pierce                   | 10.3              | 10.7                | 28.1                                                    | 11.9                                        |
| Snohomish                | 16.4              | 16.9                | 0                                                       | 17.1                                        |
| Other                    | 41.1              | 40.7                | 27.7                                                    | 40.3                                        |

\* Licensed midwives, out-of-hospital births.

† Medical doctors, in-hospital births.

‡ Certified nurse-midwives.

a lesser degree. The proportion of women with prior fetal deaths after 20 weeks was higher in the physician and licensed midwife groups than among all women attended by nurse-midwives. Smoking during pregnancy was most common in the physician group, followed by the nurse-midwife in-hospital group. This trend was repeated with diabetes and preeclampsia.

Overall, the relative risk (RR) for low birthweight among the licensed midwife-attended births was significantly decreased (0.5) compared with the in-hospital nurse-midwife-attended births, when adjusting for parity, and trimester when prenatal care began (Table 3). No differences occurred in risk for low birthweight for the other two comparison groups. No statistically significant differences occurred between licensed midwife-attended births and any of the comparison groups in relative risk of a low five-minute Apgar score or in neonatal or postneonatal death.

The second analysis, restricting all births to those without antepartum risk factors, resulted in licensed midwife-attended births having a significantly lower risk of low birthweight than did births attended by physicians (RR = 0.7, 95% CI 0.5-0.9), whereas licensed midwives no longer differed significantly from in-hospital nurse-midwives in risk of low birthweight (RR = 0.8, 95% CI 0.6-1.2). No significant differences were observed between licensed midwives and other caregivers for any other outcome examined (Table 4).

### Discussion

The findings of this study corroborate those of other studies evaluating infant outcomes in out-of-hospital births attended by midwives that reported no difference or an improvement in outcomes compared with physician-attended deliveries in hospitals (2,4,5,22,23).

Table 2. Risk Factors in Pregnancies Attended by Licensed Midwives, Physicians, and Certified Nurse-Midwives (%)

| Characteristic                | LM<br>(n = 6944) | MD<br>(n = 23,956) | Attendant<br>CNM<br>In-Hospital Births<br>(n = 14,777) | CNM<br>Out-of-Hospital Births<br>(n = 4054) |
|-------------------------------|------------------|--------------------|--------------------------------------------------------|---------------------------------------------|
| Parity                        |                  |                    |                                                        |                                             |
| None                          | 23.8             | 37.0               | 40.3                                                   | 29.0                                        |
| 1+                            | 75.1             | 62.1               | 59.1                                                   | 70.6                                        |
| Unknown                       | 1.1              | 0.9                | 0.6                                                    | 0.4                                         |
| Number of prior pregnancies   |                  |                    |                                                        |                                             |
| None                          | 13.8             | 25.9               | 28.7                                                   | 18.3                                        |
| 1                             | 24.5             | 29.6               | 30.0                                                   | 27.8                                        |
| 2+                            | 61.1             | 44.0               | 40.7                                                   | 53.8                                        |
| Unknown                       | 0.6              | 0.5                | 0.65                                                   | 0.2                                         |
| Trimester prenatal care begun |                  |                    |                                                        |                                             |
| First                         | 62.0             | 78.5               | 79.6                                                   | 68.5                                        |
| Second/third/none             | 37.3             | 19.7               | 18.9                                                   | 31.3                                        |
| Unknown                       | 0.7              | 1.8                | 1.5                                                    | 0.3                                         |
| Fetal deaths >20 wks          |                  |                    |                                                        |                                             |
| None                          | 93.8             | 95.3               | 97.6                                                   | 95.4                                        |
| ≥1                            | 3.6              | 3.7                | 1.7                                                    | 1.4                                         |
| Unknown                       | 1.8              | 1.7                | 0.6                                                    | 3.2                                         |
| Smoking status                |                  |                    |                                                        |                                             |
| No                            | 71.0             | 58.78              | 66.0                                                   | 57.5                                        |
| Yes                           | 10.7             | 16.9               | 13.1                                                   | 9.5                                         |
| Unknown                       | 18.3             | 22.8               | 20.9                                                   | 33.4                                        |
| Diabetes                      |                  |                    |                                                        |                                             |
| No                            | 98.0             | 87.0               | 90.6                                                   | 94.9                                        |
| Yes                           | 0.3              | 1.6                | 0.8                                                    | 0.2                                         |
| Unknown                       | 1.7              | 11.5               | 8.5                                                    | 4.9                                         |
| Preeclampsia                  |                  |                    |                                                        |                                             |
| No                            | 98.0             | 85.4               | 89.4                                                   | 94.7                                        |
| Yes                           | 0.3              | 3.1                | 1.9                                                    | 0.3                                         |
| Unknown                       | 1.7              | 11.5               | 8.7                                                    | 5.0                                         |

Table 3. Risk of Adverse Birth Outcomes by Delivery Attendant and Site

|                             | No.<br>LM | Rate/1000 | No.<br>MD | Rate/1000 | RR  | 95% CI  |
|-----------------------------|-----------|-----------|-----------|-----------|-----|---------|
| LM or MD*                   |           |           |           |           |     |         |
| Low birthweight†            | 76        | 10.9      | 389       | 16.5      | 0.8 | 0.6-1.0 |
| Low 5-minute Apgar          | 75        | 10.8      | 237       | 10.0      | 1.2 | 0.9-1.5 |
| Neonatal death‡             | 12        | 1.7       | 23        | 1.0       | 1.4 | 0.7-3.0 |
| Postneonatal death          | 21        | 3.0       | 63        | 2.7       | 1.1 | 0.7-1.8 |
| LM or CNM in hospital§      |           |           |           |           |     |         |
| Low birthweight             |           |           | 301       | 20.4      | 0.5 | 0.4-0.7 |
| Low 5-minute Apgar          |           |           | 149       | 10.1      | 1.1 | 0.8-1.5 |
| Neonatal death**            |           |           | 23        | 1.6       | 0.9 | 0.4-2.3 |
| Postneonatal death          |           |           | 38        | 2.6       | 1.1 | 0.6-1.9 |
| LM or CNM out of hospital†† |           |           |           |           |     |         |
| Low birthweight             |           |           | 36        | 8.9       | 1.4 | 0.9-2.1 |
| Low 5-minute Apgar          |           |           | 40        | 9.9       | 1.1 | 0.8-1.7 |
| Neonatal death              |           |           | 7         | 1.7       | 1.0 | 0.4-2.5 |
| Postneonatal death          |           |           | 17        | 4.2       | 0.7 | 0.4-1.4 |

\* All RRs for LM/MD analysis adjusted for mother's age, parity, and county of birth.

† RR adjusted additionally for smoking.

‡ RR adjusted additionally for trimester prenatal care began.

§ All RRs for LM/CNM analysis adjusted for parity.

|| RR adjusted additionally for trimester prenatal care began.

\*\* RR adjusted additionally for county of birth.

†† All RRs for LM/CNM out of hospital adjusted for parity.

Table 4. Risk of Adverse Birth Outcomes among Low-Risk Women by Delivery Attendant and Site

|                                                        | No. | Rate/1000 | No. | Rate/1000 | RR  | 95% CI  |
|--------------------------------------------------------|-----|-----------|-----|-----------|-----|---------|
| LM or MD* (LM: <i>n</i> = 6456; MD: <i>n</i> = 23,596) |     |           |     |           |     |         |
| Low birthweight†                                       | 59  | 9.1       | 389 | 16.4      | 0.7 | 0.5–0.9 |
| Low 5-minute Apgar                                     | 67  | 10.4      | 237 | 10.0      | 1.1 | 0.8–1.4 |
| Neonatal death‡                                        | 9   | 1.4       | 23  | 1.0       | 1.3 | 0.6–1.8 |
| Postneonatal death                                     | 19  | 2.9       | 63  | 2.6       | 1.1 | 0.6–1.8 |
| LM or CNM in hospital§ (CNM: <i>n</i> = 12,933)        |     |           |     |           |     |         |
| Low birthweight                                        |     |           | 140 | 10.8      | 0.8 | 0.6–1.2 |
| Low 5-minute Apgar                                     |     |           | 123 | 9.5       | 1.2 | 0.9–1.6 |
| Neonatal death**                                       |     |           | 8   | 0.6       | 3.0 | 1.0–8.7 |
| Postneonatal death                                     |     |           | 34  | 2.6       | 1.2 | 0.6–2.1 |
| LM or CNM out of hospital†† (CNM: <i>n</i> = 3840)     |     |           |     |           |     |         |
| Low birthweight‡‡                                      |     |           | 25  | 6.5       | 1.7 | 1.0–2.8 |
| Low 5-minute Apgar                                     |     |           | 36  | 9.3       | 1.2 | 0.8–1.8 |
| Neonatal death                                         |     |           | 5   | 1.3       | 1.2 | 0.4–3.5 |
| Postneonatal death                                     |     |           | 17  | 4.4       | 0.7 | 0.3–1.3 |

\* All RRs for LM/MD analysis adjusted for mother's age, parity, and county of birth.

† RR adjusted additionally for smoking.

‡ RR adjusted additionally for trimester prenatal care began.

§ All RRs for SM/CNM analysis adjusted for parity.

|| RR adjusted additionally for trimester prenatal care began.

\*\* RR adjusted additionally for trimester prenatal care began, county of birth.

†† All RRs for LM/CNM out of hospital adjusted for parity.

‡‡ RR adjusted additionally for smoking, trimester prenatal care began.

A recent small study in Washington state correlated type of practitioner with birth outcomes, concluding that licensed midwives had a lower rate of negative outcomes than physicians (11). The present study, using 10 years of data, confirmed earlier results with a larger sample size and compared outcomes of birth attended by licensed midwives with those attended by nurse-midwives and physicians.

A comparison was made between birth outcomes of home births attended by nurse-midwives and licensed midwives versus physicians in another study in Washington state using 1984–1985 birth certificate data (10). Rates of low birthweight were 0.7 percent for the midwives and 4.1 percent for physicians. Neonatal death rates were 1.5 and 5.2 percent, respectively, and postneonatal death rates were 3.8 and 3.4 percent. The contrast between physician and midwife outcomes in that study may have been due to the inclusion in the physician group of preterm births or births to mothers with medical conditions. Midwife-attended births should have better outcomes than physician-attended births if midwives refer high-risk clients appropriately to physicians, or, as in our study, similar outcomes compared with a truly low-risk physician-attended group.

The National Birth Center Study, which prospectively evaluated outcomes of 11,814 women

giving birth in birth centers in the United States, reported low rates of neonatal death (1.3/1000 including intrapartum deaths), low birthweight (0.8%), and low Apgar scores (0.6 percent of infants born in birth centers, and another 0.6 percent for births in which an intrapartum transfer took place) (8). These findings are similar to this study's results for licensed-midwife-attended births out of hospital.

The finding that nurse-midwife-attended births in hospital had twice the risk of low birthweight as licensed-midwife-attended births can be evaluated in terms of gestational age. Almost 5 percent of pregnancies in the former group ended before 37 weeks, compared with 2.2 percent of the latter group and 2.4 percent of the nurse-midwife out-of-hospital group. It is possible that lower-income women who choose to deliver in hospital seek care by or are referred to midwives because their fees are less than those of physicians or because they are the only caregivers of indigent women in their community, and that these women are also at increased risk for low-birthweight babies. Our finding that this increased risk diminished when restricting the analysis to those women considered low risk supports this hypothesis.

Although this study was population based and was one of the largest to compare birth attendants, several potential limitations must be considered in interpreting the findings. First, information is lack-

ing on births in which an intrapartum transfer took place. Transfers occur in 8 percent (2) to 16 percent (4,7,8,23,24,25) of intended out-of-hospital births. This shortcoming was addressed in part by using an out-of-hospital cohort as one of our comparison groups. We still, however, cannot compare outcomes of transferred patients between the two out-of-hospital groups.

To address this issue partly, we examined outcomes for intrapartum transfers for the two years, 1989 and 1990, in which data about transfers were recorded on the birth certificates (31 percent of all licensed midwife births in this study occurred during this time). Since we could not discern the identity of the caregiver before the transfer, we examined neonatal deaths of infants of all women who were transferred to the hospital after an attempted delivery at home or at a birthing center. We restricted this analysis to births in which the baby weighed 2500 g or more, since only 1 percent of babies delivered by licensed midwives were of low birthweight. No deaths occurred during transfer or after an attempted delivery at home. Three deaths occurred after an attempted delivery at a birth center; causes were listed as anomaly of the abdominal wall, injury to the common femoral artery, and sudden infant death syndrome. Only 15 percent of birth center births were attended by licensed midwives during the study period, and if deaths are in proportion to births by practitioner type at this birth site, it is unlikely that they attended the births of these three infants. Therefore, we are reassured that knowledge of the status of babies born after intrapartum transfer from licensed midwife care would probably not alter our results substantially.

Second, the study was limited by missing or inaccurate data on birth certificates. Outcome variables that we assessed are known to be recorded with accuracy; however, we could not assess maternal morbidity factors such as infection and hemorrhage. The potential for misclassification of attendant in this study was overcome by careful checking of attendants with licensing rosters, and, in the case of identical identification codes, matching counties of birth with counties in which midwives were known to have practiced. We are therefore confident that no birth attendant was misclassified.

Third, the relatively small numbers of deaths among newborns and infants resulted in imprecise estimates of odds ratios. Although we calculated the study to have 80 percent power to detect an increase in relative risk for neonatal mortality of 1.7 or greater based on rates for Washington state in 1990, our low-risk study population had much lower

rates. Therefore, comparisons between groups resulted in estimates with relatively wide confidence intervals.

Fourth, the study population was predominately white, and results cannot be generalized with certainty to other racial or ethnic groups. A large study group, a population-based design, and a time span of 10 years are factors that make the results of this study generalizable to other populations with a similar racial and ethnic composition.

Education of birth attendants influences practice outcomes. Planned home births attended by trained nonnurse-midwives have better outcomes than those attended by persons with less training (26,27). Licensed midwives in Washington have extensive academic and clinical training with a requirement for management of more pregnancies in the intrapartum period than that called for by the American College of Nurse-Midwives. Their high level of preparation may be responsible for low rates of adverse outcomes in their practice.

Safe intrapartum care also requires screening in the antepartum period. The reduced relative risk of low birthweight in the licensed midwife group compared with the in-hospital nurse-midwives in our first analysis suggests that screening for prematurity or intrauterine growth retardation was successful. The ability of nonnurse-midwives to select low-risk women for home birth also was demonstrated by others, who reported a rate of low birthweight of 4.9 percent among women in general who sought midwifery services, compared with 1.4 percent in women who were selected for home delivery (2).

The results of this study have several implications. If birth can be conducted safely out of hospital, there are advantages in cost. Licensed midwives charge less than physicians for their services (11), and a substantial savings is achieved by eliminating hospital costs. With the reassurance of safety, women may feel free to choose home birth to enjoy its attendant benefits of privacy, comfort, and increased autonomy. A matched cohort study ( $n = 483$ ) found that childbirth was perceived to be considerably more painful in hospital than at home (28). A random survey of 2400 births in England and Wales reported that 92 percent of women who were delivered at home, but had their previous baby in hospital, preferred the home delivery (29).

Women may also seek to avoid unnecessary medical intervention by giving birth at home. In a prospective study that compared outcomes of 1707 lay midwife-attended home births with a sample of low-risk, physician-attended births, no significant differences were reported in neonatal outcomes or



labor-related complications (4). However, the rate of assisted deliveries in physician-attended births was 26.6 percent compared with 2.1 percent in the midwife group (including referrals to physicians), and the cesarean section rate was 16.5 percent compared with 1.5 percent (4). This discrepancy in obstetric procedures was described in other matched studies (5,6).

Decreases in frequencies of adverse outcomes among licensed midwife births resulting from restricting antepartum risk factors suggest the need for universally accepted criteria for screening women for their suitability for out-of-hospital birth. A professional midwives' association could set standards for screening and establish a central data registry to which licensed midwives could send copies of their records. A registry would aid the organization in ensuring that professional standards were being maintained, and also overcome some of the problems encountered with data quality when using birth certificate data.

The results of this study suggest that the appropriate practice of licensed nonnurse-midwives whose training meets international standards may be as safe as that of physicians delivering women in hospital settings and that of certified nurse-midwives in and out of hospital. Additional study of these types of obstetric caregivers in larger populations is warranted to confirm these findings.

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**EXECUTIVE  
SUMMARY**

And the

UNIVERSITY OF CALIFORNIA, SAN FRANCISCO  
CENTER FOR THE HEALTH PROFESSIONS

April 1999

Recent changes in health care delivery and reimbursement systems have affected everyone, from the consumer, to the payor, to the health care professional. In an effort to explore the effect market-driven reform of health care delivery and financing systems has had on midwives and how managed care may affect the profession in the future, the Center for the Health Professions convened a Taskforce on Midwifery in early 1998.

In meeting its charge, the Taskforce has reviewed the available literature and analyzed recent market changes. It is the finding and vision of the Taskforce that **the midwifery model of care is an essential element of comprehensive health care for women and their families that should be embraced by, and incorporated into, the health care system and made available to all women.**

To fully realize this vision, a number of actions need to be taken. The Taskforce offers fourteen recommendations for educators, policy makers and professionals to consider. The Taskforce on Midwifery proposes these recommendations in the spirit of improving health care and hopes that the report will benefit women and their families through increased access to midwives and the midwifery model of care. The report should serve to inform managed care organizations, health care professionals and others who employ, collaborate with, and reimburse midwives about the midwifery model of care and its benefits. In addition, the authors hope to inform the profession of midwifery about the opportunities and challenges it faces in today's health care delivery environment.

**FIVE ISSUE AREAS WITH RECOMMENDATIONS**

**PRACTICE**

Health care practice, the ultimate delivery of services by the professional to the consumer, reflects the efforts of the professional, regulatory, education and research worlds to provide optimal care. However, practice settings and professional practices themselves are not neutral sites; they can either facilitate or impede the provision of high quality care. For example, interprofessional disputes, communication breakdowns, and inappropriate

ii management can limit access to care, increase costs and lower quality. Four recommendations are offered to health care system administrators and practitioners—including midwives and other professionals—to help ensure that practice structures are designed to provide the best health care possible by making the midwifery model of care readily available to women.

1. Midwives should be recognized as independent and collaborative practitioners with the rights and responsibilities regarding scope of practice authority and accountability that all independent professionals share.
2. Every health care system should integrate midwifery services into the continuum of care for women by contracting with or employing midwives and informing women of their options.
3. When integrating midwifery services, health care organizations should use productivity standards based on the midwifery model of care and measure the overall financial benefits of such care.
4. Midwives and physicians should ensure that their systems of consultation, collaboration and referral provide integrated and uninterrupted care to women. This requires active engagement and participation by members of both professions.

#### **REGULATION AND CREDENTIALING**

The regulation and credentialing of midwives, as with all health care professionals, is complicated, challenging and often contradictory. Optimally, laws and regulations would permit full access to midwifery services while protecting the public. Once regulatory parameters are in place, private sector credentialing bodies must avoid unnecessarily limiting midwives within their statutory scope of practice. Building on the four recommendations proposed in the section on practice, the following recommendations offer specific strategies for the appropriate regulation and credentialing of midwives.

5. State legislatures should enact laws that base entry-to-practice standards on successful completion of accredited education programs, or the equivalent, and national certification; do not require midwives to be directed or supervised by other health care professionals; and allow midwives to own or co-own health care practices.
6. Hospitals, health systems, and public programs, including Medicare and Medicaid, should ensure that enrollees have access to midwives and the midwifery model of care by eliminating barriers to access and inequitable reimbursement rates that discriminate against midwives.
7. Health care systems should develop hospital privileging and credentialing mechanisms for midwives that are consistent with the profession's standards, recognize midwifery as distinct from other health care professions, and recognize established processes that permit midwives to build upon their entry-level competencies within their statutory scope of practice.

## EDUCATION

Midwifery education not only provides students with the academic and clinical expertise they need to provide care; it also serves as the pipeline of professionals to practice settings. The current evolution of health care will mean a shift in orientation for educators from a supply-driven perspective to one driven by demand. It will also mean a shift in the way health care professionals are educated. The following recommendations will challenge educators to continue to develop faculty, programs, curricula and recruitment policies to meet consumer demands in a changing health care arena.

8. Education programs should provide opportunities for interprofessional education and training experiences and allow for multiple points at which midwifery education can be entered. This requires proactive intra- and interprofessional collaboration between colleges, universities and education programs to develop affiliations and complementary curriculum pathways.

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9. Midwifery education programs should include training in practice management and the impact of health care policy and financing on midwifery practice, with special attention to managed care.
10. The profession should recognize and acknowledge the benefits of teaching the midwifery model of care in a variety of education programs and affirm the value of competency-based education in all midwifery programs.
11. The midwifery profession should identify, develop and implement mechanisms to recruit student populations that more closely reflect the U.S. population and include cultural competence concepts in basic and continuing education programs.

## RESEARCH

The field of health professions research must continually grow and evolve in order to make its necessary contributions to health care. As with other professions, critical midwifery workforce and practice data remain to be gathered and analyzed. In some cases, relatively minor shifts in focus will result in useful information. Other recommendations will require a significant policy reorientation, creativity or infusion of financial or academic support to realize results.

12. Midwifery research should be strengthened and funded in the following areas:
  - Demand for maternity care, demand for midwifery care, and numbers and distribution of midwives;
  - Analyses of how midwives complement and broaden the woman's choice of provider, setting, and model of care;
  - Cost benefit, cost-effectiveness, and cost utility analyses, including the relationship between knowledge of economic/cost analyses and provider practices;
  - Midwifery practice and benchmarking data (among midwives) with a goal of developing appropriate productivity standards;
  - Descriptions and outcome analyses of midwifery methods and processes;

- Analysis of midwifery practice outcomes, from pre-conception through infancy, using an evidence-based perspective;
  - Normal pregnancy, normal labor and birth, healthy parent-infant relationships, and breastfeeding; and
  - Satisfaction with maternity and midwifery care.
13. Federal and state agencies should broaden systematic data collection, which has traditionally focused on medicine and physicians, to include midwifery and midwives.

#### POLICY

Some of the most pressing issues regarding midwifery go beyond the current scope of state regulators, professional associations, educators and practice settings. These issues should be addressed in order to improve health care for women and their families. An already existing body, external to the profession, is best positioned to address and offer objective guidance on these concerns.

14. A research and policy body, such as the Institute of Medicine, should be requested to study and offer guidance on significant aspects of the midwifery profession including:
- Workforce supply and demand;
  - Coordination of regulation by the states;
  - Funding of research, education and training; and
  - Coordination among the federal agencies whose policies affect the practice of midwifery.

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## PART I

MIDWIFERY CARE IN  
THE UNITED STATES

## OVERVIEW

Midwifery is the approach to childbirth and women's health care that is used extensively in many parts of the world, including Europe, Australia, New Zealand, and Japan. The United States and Canada stand apart as being the only two countries in this peer group where midwives do not play a central role in the care of all or most pregnant women (Rooks, 1997 pp. 393-446; Declercq, 1994). Midwives who are able to fully practice the midwifery model of care may offer choices for women that have not been fully explored or used by health plans or consumers. The subject of this report is whether better care management in today's health care environment can provide opportunities to expand women's access to midwives in the United States.

With almost 4 million children born in the U.S. every year (Ventura *et al.*, 1998), childbirth is one of the most common reasons to use a health care professional and to access the health care system. The costs associated with this care are significant. A 1996 study of 40,000 insured women found that average charges were \$7090 for an uncomplicated vaginal birth and \$11,450 for a cesarean delivery. Of these totals, the average percentage of charges attributed to physicians was 40-45%.

The hospital component of care accounted for the remaining portions (Mushinski, 1998). Although alternative settings for childbirth include homes and birth centers, ninety-nine percent of U.S. deliveries occur in hospitals (Ventura *et al.*, 1998). Childbirth is the single most common cause for hospitalization, accounting for over 20% of all hospital discharges for women (US Bureau of the Census, 1998). As can be seen, however, hospitals are expensive settings for childbirth. (See Figure 1)

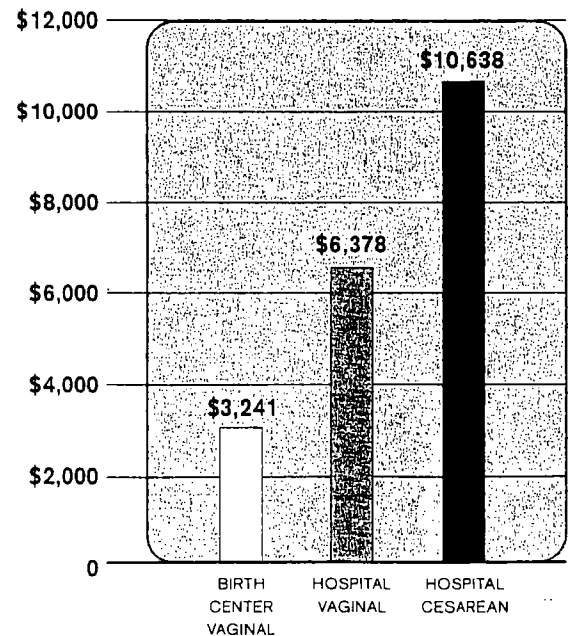
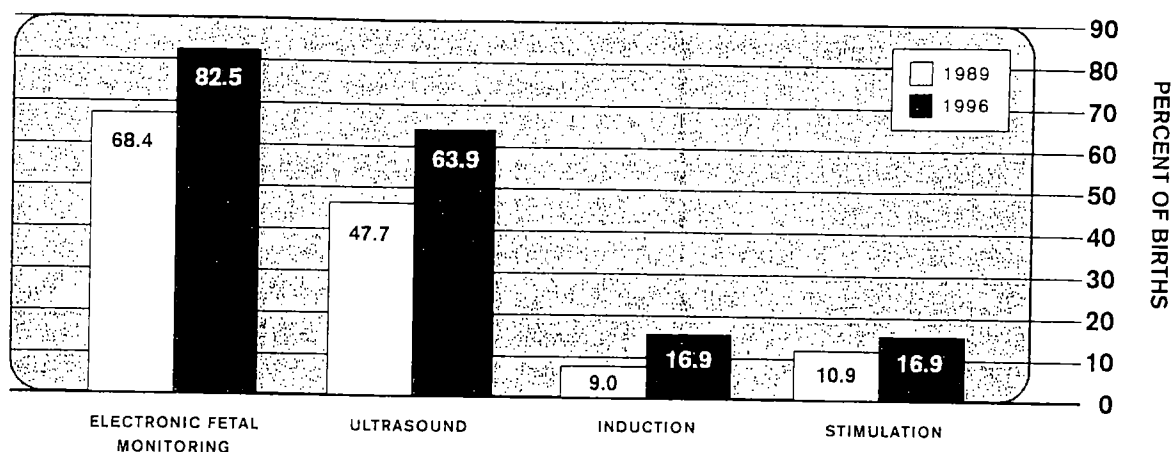


FIGURE 1  
Birth Charges in  
the U.S., 1995

Source: National Association of Childbearing Centers, 1997.

FIGURE 2  
Frequency of Use  
of Obstetric  
Procedures in  
the U.S.,  
1989-1996



Source: NCHS Natality Data for 1989 and 1996 (NCHS, 1993c; Ventura et al., 1998)

Despite these costs, and although the U.S. spends more per capita on health care than any other country, 24 other countries had lower infant mortality rates in 1994<sup>1</sup> (National Center for Health Statistics, 1998) and the maternal death rate in the U.S. has not improved in 15 years even though 50% of those deaths are estimated to be preventable (National Center for Health Statistics, 1998; Chronicle News Services, 1998).

Childbirth is a medical event in the United States, with 93% percent of all U.S. births attended by physicians (Ventura et al., 1998). Most of these attending physicians are surgical specialists in obstetrics although the large majority of births are vaginal deliveries without complicating diagnoses (Agency for Health Care Policy and Research, 1997). Consistent with this approach to childbirth, the use of obstetric procedures increased between 1989 and 1996 (See Figure 2). In addition, despite a slight decrease in cesarean section rates (from 22.8% in 1989 to 20.7% in 1996), it seems unlikely that the U.S. will meet the Healthy People 2000 objective for a cesarean section rate of 15% or lower (Ventura et al., 1998).

Based on the evidence, the current approach to pregnancy and childbirth in the United States is often not warranted. Appendix I includes excerpts from the results of an international effort to collect and synthesize information from randomized controlled trials of perinatal care and evidence regarding current labor and birth practices, including those that are frequently used inappropriately, or are harmful or ineffective (Enkin et al., 1995).

1. An alternative to the infant mortality rate in measuring pregnancy outcome is the fetio-infant mortality rate, which reduces the effect of international differences in distinguishing between fetal and infant deaths. The U.S. ranks 25th on the infant mortality rate and 23rd on the fetio-infant mortality rate (National Center for Health Statistics, 1998).



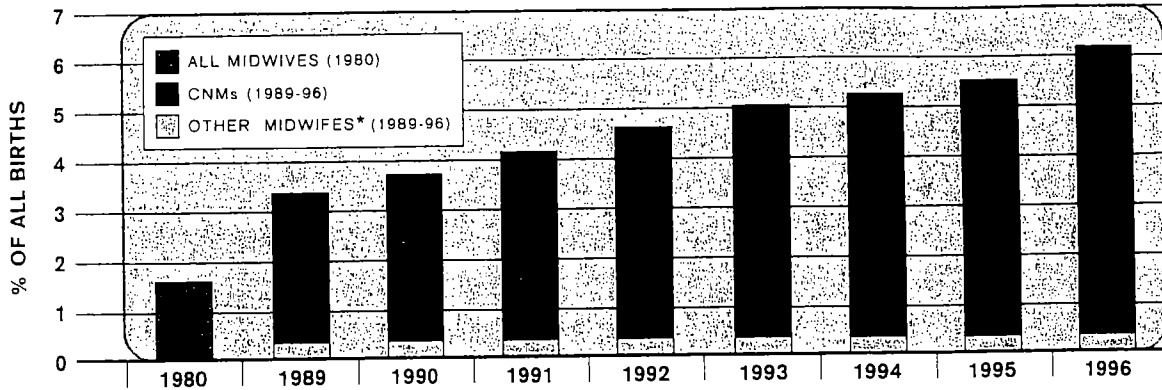


FIGURE 3  
Percent of U.S.  
births attended  
by midwives,  
1980-1996

Source: NCHS Advance Reports of Final Natality Statistics for years 1980, 1989-1996 (NCHS, 1982; NCHS, 1991; NCHS 1993a; NCHS, 1993b; Ventura et al, 1994; Ventura et al, 1995; Ventura et al, 1996; Ventura et al, 1997; Ventura et al, 1998).  
\*Other Midwives\* includes both direct-entry midwives and graduate nurse-midwives not yet certified by the ACNM.

Furthermore, some elements of care that are known to be beneficial to mothers and babies, such as guaranteeing women the consistent presence of a trained caregiver to provide support and encouragement throughout labor and birth, are not available in many hospitals in this country (Maternity Center Association, 1998).

Even prenatal care as it is currently delivered in the U.S. may not be optimal. Kogan and colleagues (1998) found that prenatal care use increased steadily from 1981 through 1995 in the U.S., but suggest that because the rates of low birth weight and preterm birth worsened during the same period, "simply offering more prenatal care services without careful evaluation of the clinical significance of the services provided may not lead to improved birth outcomes."

While the vast majority of U.S. births are attended by physicians and take place in hospitals, this is not the only model available to women in the United States. An approach using the midwifery model of care is less common in this country although gaining in popularity. Midwives attended a quarter of a million U.S. births in 1996 or 6.5% of the total (Ventura *et al.*, 1998), up from 3.6% in 1989 (Rooks, 1997 p. 149).<sup>2</sup> (See Figure 3)

In hospitals, the midwifery model is often complementary to the more common medical approach and both models are employed to provide care to women and their families. In some cases and settings, such as with home births and birth center births, the midwifery model is an alternative to the medically oriented approach.

2. Most of these births were attended by nurse-midwives. While the percent of direct-entry midwife attended births has remained stable, the percent of U.S. births attended by nurse-midwives has grown steadily over the past decade. Additional information about direct-entry midwives and nurse-midwives can be found in the following pages.

The midwifery model of care includes: monitoring the physical, psychological, and social well-being of the mother throughout the childbearing cycle; providing the mother with individualized education, counseling, and prenatal care; continuous, hands-on assistance during labor and delivery, and post-partum support; minimizing technological intervention; and identifying

#### The Midwifery Model for Pregnancy and Maternity Care

"Whereas medicine focuses on the pathologic potential of pregnancy and birth, midwifery focuses on its normalcy and potential for health. Pregnancy, childbirth and breastfeeding are normal bodily and family functions. That they are susceptible to pathology does not negate their essential normalcy and the importance of the nonmedical aspects of these critical processes and events in people's lives. Midwives know about the medical risks, identify complications early, and collaborate with physicians to assure medical care for serious problems. But attention to the medial aspects of these complex processes, while essential, is not sufficient. Midwives focus on each woman as a unique person, in the context of her family and her life. The midwife strives to support the woman in ways that empower her to achieve her own goals and hopes for her pregnancy, birth and baby, and for her role as mother. Midwives believe that women's bodies are well designed for birth and try to protect, support, and avoid interfering with the normal processes of labor, delivery, and the reuniting of the mother and newborn after their separation at birth."

(Rooks, 1997 p. 2: *Excerpted from Midwifery and Childbirth in*

*America by Judith Rooks. Reprinted by permission of Temple*

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and referring women who require obstetrical attention (Burch, 1998).

For several decades, researchers, policy analysts and consumer advocates consistently have found that the care provided by midwives differs from the medical model of care in ways that benefit women and their families in terms of quality, satisfaction and costs.<sup>3</sup> Data from the most recent research and preliminary findings from current studies on nurse-midwives reaffirm earlier works and highlight evidence that midwifery care can result in improved outcomes and decreased utilization of resources that translate into cost savings (MacDorman and Singh, 1998; Jackson *et al.*, 1998).

3. Steele, 1941; Laird, 1955; Frontier Nursing Service, 1958; Metropolitan Life Insurance Company, 1958; Levy *et al.*, 1971; Browne and Isaacs, 1976; Reid and Morris, 1979; Cherry and Foster, 1982; Tom, 1982; Office of Technology Assessment, 1986; Krumlauf *et al.*, 1988; Bell and Mills, 1989; Brown and Grimes, 1995; Margolis and Kotelchuck, 1996; Oakley *et al.*, 1996.

# EVOLUTION AND CURRENT STATUS OF DIRECT-ENTRY MIDWIFERY EDUCATION, REGULATION, AND PRACTICE IN THE UNITED STATES, WITH EXAMPLES FROM WASHINGTON STATE\*

Jo Anne Myers-Ciecko, MPH

## ABSTRACT

This paper describes the re-emergence of direct-entry midwifery in the United States, and focuses specifically on the over 1,000 midwives nationwide who are licensed in the 16 states where direct-entry midwifery is legal and regulated, and/or certified by the North American Registry of Midwives; it does not focus on direct-entry midwives or nurse-midwives who are certified by the American College of Nurse-Midwives Certification Council, Inc. Professional developments of direct-entry midwives are highlighted, including the establishment of core competencies and articulation of values, the creation of a certification process, and development of education program accreditation. The current status of licensed midwives in Washington State, where state policies have supported the development of direct-entry midwifery and the integration of direct-entry midwives into managed care systems, is presented as one example of the evolution of professional direct-entry midwifery in this country. Additionally, recommendations from the UCSF Center for the Health Professions *Taskforce on Midwifery*, which address particular areas of concern for direct-entry midwives, are discussed. *J Nurse Midwifery* 1999;44:384-93 © 1999 by the American College of Nurse-Midwives.

This paper describes the evolution of direct-entry midwifery from a grassroots movement to a legitimate profession with national standards for accreditation of direct-entry midwifery education programs and examination and certification of individual direct-entry midwives.<sup>†</sup> Public policies and other considerations affecting the status of direct-entry midwives and examples from Washington State are discussed in light of

recommendations made by the UCSF Center for Health Professions *Taskforce on Midwifery* (1). An estimated 1,000 direct-entry midwives currently hold state licenses or are certified by the North American Registry of Midwives (NARM) (1). Most births attended by these midwives occur in homes or in birth centers (3). This experience in noninstitutional, community-based care permeates the philosophy and practice of direct-entry midwives.

## EVOLUTION OF DIRECT-ENTRY MIDWIFERY

### Background

After the dramatic decline in the number of direct-entry midwives in the United States in the first half of this century (4-5), direct-entry midwifery re-emerged during the 1960s and 1970s as a grassroots movement among women seeking home births (6). This movement built upon the earlier prepared childbirth and natural birth movements, yet it was a localized phenomenon among specific feminist women's health activists, holistic health care providers, back-to-nature enthusiasts, and religious or spiritual communities. Since very few physicians or nurse-midwives were either willing or able to attend home births, women who distrusted the overmedicalization of birth and wanted more personalized care turned to each other for support. Some formed study groups and read the medical literature on childbirth while sharing what they were learning from practical experience. *The Birth Book* written by Raven Lang in 1972, was the first publication to describe the home birth movement, including stories about women having home births in Santa Cruz, California (7-8). In 1975, Ina May Gaskin published *Spiritual Midwifery*, a book that described her apprenticeship with a family physician and the births she and other lay midwives were attending in their community, The Farm, in Summertown, Tennessee (9). Other midwives organized home-study courses or taught basic midwifery skills in intensive workshops (10).

A group of young women in Seattle organized themselves as the Fremont Women's Clinic Birth Collective

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\* This article is based on a paper at a session on "Midwifery in the Managed Care Market," held during the 126th Annual Meeting of the American Public Health Association, Washington, DC, November 16, 1998.

<sup>†</sup> Direct-entry midwives begin their education in midwifery directly, rather than through nursing. While the American College of Nurse-Midwives (ACNM) Certification Council, Inc. (ACC) now has a provision for certifying non-nurses as Certified Midwives (CMs), they currently represent less than 1% of all direct-entry midwives in the US and are not the focus of this paper. CMs are discussed in another paper in this issue (2).

and began attending home births, supported by several like-minded young physicians (11). In 1978, these midwives founded the Seattle Midwifery School, one of several small independent schools launched during that period. Birth centers run by direct-entry midwives, including The Maternity Center in El Paso, Texas, and the Northern New Mexico Birth Center in Taos, New Mexico, also began to accept students who traveled from across North America to obtain short, intensive clinical training (10). Women who had experienced home births with midwives formed consumer organizations or joined with midwives to organize state midwifery associations. These state associations typically established their own standards for training and practice within the context of political concerns and the legal status of midwifery in each specific state.

### Midwives Alliance of North America

Midwives and their supporters across the country soon realized the importance of developing a more unified body, and several unsuccessful attempts at national organization were made. Finally, in 1982 the Midwives Alliance of North America (MANA) was established to "honor diversity in midwifery educational background and practice styles while fostering unity among all midwives." The creation of MANA followed a meeting of direct-entry midwives and nurse-midwives convened by Sister Angela Murdaugh, CNM, then President of the American College of Nurse-Midwives (ACNM) (12). Membership in MANA has never been limited to midwives with specific credentials and all midwives are encouraged to participate. In February 1999, MANA had over 1,000 members, two-thirds of whom were midwives and one-third of whom were associate members. MANA has always had a fairly significant non-midwife membership comprised of consumers, aspiring midwives, and other health care professionals who support midwifery (Kelly Daniels, MANA Membership Chair, personal correspondence, 1999). Despite ongoing internal debate about the pros and cons of professionalization, MANA adopted standards of practice in 1985, created a board to test basic midwifery knowledge

through a written examination in 1987, adopted core competencies in 1989, and issued a statement of values and ethics in 1991 (13).

What had begun as a grassroots movement responding to consumer demand for home births has gradually taken form as a professional body. Starr asserts that the "legitimization of professional authority involves three distinctive claims: first, that the knowledge and competence of the professional have been validated by a community of peers; second that this consensually validated knowledge and competence rest on rational, scientific grounds; and third, that the professional's judgment and advice are oriented toward a set of substantive values, such as health" (14). All three elements are now present among professional direct-entry midwives in the United States.

### DEVELOPMENT OF AUTHORITY FOR DIRECT-ENTRY MIDWIVES

#### Midwifery Values and Ethics

Direct-entry midwives are oriented to a set of substantive values that have been informed by serving women who choose to give birth at home or in birth centers—a choice that suggests a commitment to assume or share responsibility for decisions about their own health care. The Statement of Values and Ethics adopted by MANA in 1991 affirms the midwives' view of women as individuals with unique value and worth (15): "We value women and their creative, life-affirming and life-giving powers which find expressions in a diversity of ways. We value a woman's right to make choices regarding all aspects of her life." The mother and baby as a whole, the importance of relationships, personal responsibility, informed choice, the sharing of information and diversity among midwives are also stated as values. Social scientists who have studied direct-entry midwifery practice describe the distinctive nature of midwifery care and out-of-hospital birth, as well as beliefs and practices that are consistent with MANA's statement of values and ethics.

Sullivan and Weitz, for instance, interviewed midwives in both Arizona and Massachusetts during the early 1980s (16). They found a striking congruence in the midwives' beliefs about pregnancy and childbirth, despite considerable divergence in their educational backgrounds and in the legal status of midwifery in these two states. The researchers attributed this to a shared philosophy that emphasizes a wellness orientation, holistic and individualized care, and shared responsibility between the midwife and the mother. Sakala, who conducted in-depth interviews with midwives attending home births in Utah in 1985, found that they were motivated by a desire to avoid the iatrogenic consequences of conven-

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tional obstetric interventions (17). They also emphasized individualization of technique and providing care for the mother in the context of her overall life circumstances. They defined continuity of care as an approach that includes long prenatal visits to build trusting relationships and intrapartum support that began early in labor. These midwives also emphasized respect for the knowledge, resources, and capability of the mother and her close family and friends, thus employing prenatal and intrapartum empowerment as a fundamental therapeutic technique.

### **Development of a National Certification Process for Direct-Entry Midwives**

In addition to their orientation to these values, direct-entry midwives are now able to claim legitimate professional authority through the validation of knowledge and competence by a community of peers—knowledge and competence that rest on rational, scientific grounds. MANA's Interim Registry Board began to administer a written test to validate knowledge of individual midwives in 1989, however, candidates were not required to provide evidence of their qualifications and skills were not evaluated. In 1991, the board incorporated separately as the North American Registry of Midwives (NARM) and work was begun to set standards for the qualification of professional midwives and the comprehensive evaluation of competency (18). NARM is committed to "identifying standards and practices that preserve the unique, woman-centered forms of practice that are common to midwives attending out-of-hospital births."

A Certification Task Force was convened in 1992 to oversee the establishment of standards, policies and procedures for the certification of midwives. The Task Force met periodically over the next 6 years while NARM initiated a pilot project aimed first at the certification of experienced midwives and later, at entry-level midwives. Completing the certification process entitles a midwife to use the title "Certified Professional Midwife" or "CPM." The first group of experienced midwives became CPMs in 1995. Although certification remains entirely voluntary, over 400 experienced and entry-level midwives elected to complete the process in order to become CPMs between 1995 and April 1999 (Sharon Evans, NARM, personal correspondence).

In early 1996, NARM performed a comprehensive job analysis and translated the results into new specifications for both the written examination and a clinical skills assessment (18). Citizens for Midwifery commissioned an independent review of the NARM certification process in 1997. Experts in competency-based education and assessment from the Ohio State University Vocational Instructional Laboratory examined the processes

used to develop the certification tests and testing procedures and reported that NARM's process represented "best practice" within certification industry standards: "The development of the content specifications based on the job analysis information was focused on the primary purpose of certification—the protection of public health and safety. The procedures used for test item writing, content validation, setting pass/fail scores, and the development of the overall certification process represent a system explicitly designed to optimize fairness and accuracy in certification testing" (19).

During the pilot project, entry-level midwives were required to establish eligibility by providing extensive documentation that a supervisor or preceptor had assessed specific skills, knowledge, and abilities. They were not required to have completed a formal educational program. Applicants had to provide evidence of a minimum number of clinical experiences encompassing prenatal, intrapartum, newborn, and postpartum care. This included attending a minimum of 20 births as an active participant and another 20 births in the role of primary midwife, while working under supervision. Ten of these had to be births in homes or other out-of-hospital settings, and at least 3 of the total of 40 births had to be with women for whom the applicant had provided primary care during at least 4 prenatal visits, the birth, a newborn exam, and a postpartum exam. In addition, the applicant had to have conducted 75 prenatal exams, including 20 initial exams; 20 newborn exams; and 40 postpartum exams. Once eligibility was established, entry-level applicants were required to pass the written examination as well as an assessment of clinical skills conducted by a specially trained CPM (20).

At the final meeting of the Certification Task Force in 1998, it was decided that NARM should separate the two processes of educational evaluation and certification while keeping essentially the same clinical experience requirements in place (21). Entry-level midwives who are graduates of educational programs accredited by the Midwifery Education Accreditation Council (MEAC) and midwives certified by the ACNM Certification Council (ACC) are now only required to pass the NARM written examination to become CPMs. All other midwives, including those who have not completed formal educational programs and those who have graduated from nonaccredited programs, must establish that their educational preparation has met the requisite knowledge and skills by submitting a portfolio that documents their experience and includes a comprehensive assessment by a supervising midwife. Portfolio applicants are then required to complete both the written examination and a NARM-administered skills assessment.

## Development of an Evaluation Process for Direct-Entry Education Programs

The Midwifery Education Accreditation Council (MEAC) was founded in 1991 by a coalition of midwifery educators to create a mechanism for evaluation of direct-entry midwifery education programs (22). Each school is required to articulate the philosophy and purpose of its program, to provide a curriculum based on MANA's core competencies and NARM's knowledge and skills requirements, and to meet other standards. The core competencies identified by MANA include skills and knowledge in the following areas: general social and health sciences; antepartum, intrapartum, postpartum, and neonatal care; family planning/well woman care; and professional and legal aspects of midwifery practice. The accreditation process includes preparation of a self-evaluation report by faculty and staff of the program and site visits conducted by representatives of MEAC. The agency's standards and procedures follow guidelines established by Congress in the Higher Education Act (23).

Maternidad la Luz, in El Paso, Texas, was the first direct-entry midwifery education program accredited by MEAC. By April 1999, three programs had received full accreditation and six had been pre-accredited (23). A program that is pre-accredited has not yet graduated students, but has met all other criteria for accreditation. By the end of 1999, MEAC will have completed one full cycle of re-accreditation, having reviewed all of the programs previously accredited or pre-accredited for two or more years. The agency will conduct a complete self-evaluation process before seeking formal recognition by the U.S. Department of Education.

In addition to the 9 MEAC-accredited or pre-accredited programs, 16 other direct-entry midwifery schools have reported that their programs address all of the MANA core competencies and include the clinical experiences required for NARM certification (24). Six of these have stated their intention to seek MEAC accreditation or pre-accreditation status in 1999 (23). Two other schools offer comprehensive programs that are not linked to national standards and 11 offer short courses, workshops, or clinical experiences that are not part of a complete midwifery program. Most of the latter are located in states that do not recognize or regulate direct-entry midwifery.

## LEGAL STATUS OF DIRECT-ENTRY MIDWIFERY

According to a 1998 ACNM analysis of available statutes, regulations, and court rulings (25), 16 states regulate direct-entry midwifery practice. Over 700 direct-entry midwives are currently licensed or otherwise regulated in these 16 states (1). Sixty percent of all licensed midwives are located in just 4 states: California, Florida, Texas, and Washington (1). Another 12 states

(Alaska, Arizona, Arkansas, Colorado, Louisiana, Montana, New Hampshire, New Mexico, New York, Oregon, Rhode Island, and South Carolina) have regulatory mechanisms that include a wide variation in direct-entry midwifery scope of practice, qualifications for practice, requirements regarding supervision, and arrangements for medical consultation and referral. For example, Florida now requires that midwifery education programs be accredited by MEAC, while New Mexico law provides for apprenticeship training that culminates in the acquisition and evaluation of a specific body of knowledge and skills.

In addition to the 16 states that regulate direct-entry midwifery, there are 13 jurisdictions (Connecticut, District of Columbia, Idaho, Indiana, Kansas, Maine, Massachusetts, Mississippi, North Dakota, Tennessee, Utah, Vermont and Wyoming) where the practice is legal but unregulated, and statutory provisions are unclear in another 5 states (Michigan, Nevada, North Carolina, Ohio, and Wisconsin). Direct-entry midwifery practice is effectively prohibited in 7 states (Alabama, Georgia, Kentucky, Minnesota, Missouri, New Jersey, and Pennsylvania) and legally prohibited in another 10 (Delaware, Hawaii, Illinois, Iowa, Maryland, Nebraska, Oklahoma, South Dakota, Virginia and West Virginia) (25).

The total number of direct-entry midwives who have met state licensing and/or national certification standards is thought to be over 1,000 nationwide, with perhaps 20% of these being both certified and licensed (Sharon Evans, NARM, personal correspondence). The number of midwives who are neither certified nor state licensed is unknown. Births attended by direct-entry midwives are reported in nearly every state, including states where their practice is illegal (26). The total number of birth certificate recorded births by "other midwives" has been consistent at less than 1% of all births per year (1,26).

Fourteen of the 16 states that currently regulate direct-entry midwifery practice now require or recognize the NARM written exam as an element in licensure and several states, including Washington state are considering proposals to recognize the CPM. (Pamela Weaver, NARM, personal correspondence).

## DIRECT-ENTRY MIDWIFERY IN WASHINGTON STATE

Washington state has a particularly strong history of supporting the development of the direct-entry midwifery profession as well as choice and access to care for childbearing women. The original statute regulating direct-entry midwifery was adopted in 1917 and required 2 years of schooling. A number of foreign-trained midwives were licensed by the state over the next 2 decades. The statute was rediscovered in the mid-1970s and, following a study commissioned by the state legislature, was revised in 1981 to incorporate contemporary inter-

national standards for midwifery education and practice (27). Licensed midwives provide prenatal, intrapartum, and postpartum care and are considered independent practitioners, required only to "consult with a physician whenever there are significant deviations from normal in either the mother or the infant" (28). The Midwives Association of Washington State adopted guidelines in 1996 that specify conditions that require consultation and/or referral (29).

The state Medicaid program recognized licensed midwives as qualified providers in the early 1980s but did not reimburse for any out-of-hospital births until a birth-center licensing law was adopted in 1986. Medicaid therefore, only compensated licensed midwives for prenatal and postpartum care unless the birth was done in a licensed birth center. Recommendations of a Department of Social and Health Services task force that Medicaid policies be changed to cover home birth services will be implemented in 1999 (Jane Beyer, Washington State DSHS, personal correspondence).

Direct-entry midwives licensed in Washington state provide just one example among many of the midwives across the country who embrace the core values of MANA and have demonstrated that their knowledge and competence meets the standards set by MANA, NARM, and MEAC. Washington state law requires licensed midwives to complete 3 years in a state-approved midwifery educational program, which includes participation in 100 or more births (28). As of January 1999, there were two state-approved educational programs: The Seattle Midwifery School, founded in 1978 and accredited by MEAC in 1997, and Bastyr University's midwifery program, which is designed exclusively for naturopathic physicians. Both programs emphasize training in out-of-hospital birth (30,31).

Since 1981, licensed midwives have attended between 1% and 2% of all births in Washington state (32). Most attend home births, but direct-entry midwives also own and operate seven of the eight licensed birth centers in the state. Currently, 115 midwives are licensed in Washington; it is estimated that 80% are in practice or doing related work. Although the percent of births attended by direct-entry midwives has remained fairly constant, licensed midwives are beginning to make significant inroads into managed care and formal health care systems.

In 1993, responding to public demand for health care reform, the legislature adopted a number of laws affecting the delivery of health services. Certain insurance carriers were required to provide for the inclusion of every category of licensed health professional, a mandate that includes licensed midwives, who are considered to constitute a different category than certified nurse-midwives (33). The state insurance commissioner has committed resources to assure access to the

full range of health care services by addressing barriers to integrating every category of provider into all health plans in the state (L. L. Bielinski, Washington Office of the Insurance Commissioner, personal correspondence). The legislature created a Joint Underwriting Association (JUA) in 1993 and mandated participation by all liability carriers in the state to assure that licensed midwives, certified nurse-midwives, and licensed birth centers could purchase malpractice insurance (34). The Midwives Association of Washington State laid the groundwork for a quality assurance mechanism that was further developed by a midwife-owned private company, which now provides risk management services through contracts with the JUA (Victoria Taylor, Quality Midwifery Associates, personal correspondence). The legislature added all midwifery students to the state's health professional scholarship program in 1989 (35). Recipients are obligated to work in health professional shortage areas.

State-wide support through the years has been enhanced by a number of studies on the subject of midwifery and maternity care, including a retrospective study published in 1994 of Washington state linked birth and infant death certificate data, in which outcomes of 6,944 out-of-hospital births attended by licensed midwives were compared with outcomes for 23,596 low-risk hospital births attended by physicians and 14,777 hospital births and 4,054 out-of-hospital births attended by certified nurse-midwives (36). Results indicated that for those data available through birth and death certificates—low birthweight, 5-minute Apgar scores, and neonatal and post-neonatal mortality—there were no differences between outcomes for midwife-attended births and those attended by physicians. Another retrospective study, conducted by the Department of Social and Health Services, found very low rates of poor outcomes among Medicaid women in Washington state who planned home births and received some or all of their prenatal care from licensed midwives (37).

In 1998, a survey of all licensed midwives currently residing in Washington state was conducted by the author (38); respondents included 63 (58%) of the 109 midwives to whom surveys were distributed. Sixty-five percent of the respondents were in clinical midwifery practice, 23% were doing related work in public health departments, physician's offices, community clinics, or family planning organizations. Respondents reported attending 1 to 2 births per month on average, although they would have preferred to be doing 3 to 4 per month. Half of the midwives surveyed consulted primarily with one physician, while the other half maintained regular consulting relationships with more than one physician. Most midwives did not consider physician consultation relationships to be a serious barrier to practice.



Study results also indicated that the median charge for complete maternity care by survey respondents was \$2,200, with a range from \$1,400 to \$3,000 (38). Licensed midwives received payment from all sources, including self-pay, fee-for-service insurance plans, preferred provider and managed care organizations, and Medicaid (both fee-for-service and managed care). Medicaid accounted for 37% of all payment received by all survey respondents in 1997, but accounted for 48% of payments to licensed midwives who worked in health professional shortage areas as an obligation of having received state scholarship support for their midwifery education. Most respondents reported having one or more managed care contracts. Even so, the three most significant barriers to practice reported were: difficulty obtaining third-party reimbursement, inadequate compensation per episode of care, and difficulty obtaining contracts with managed care plans.

Group Health Cooperative of Puget Sound was one of the first managed care plans in Washington state to recognize the potential benefit of providing home birth and direct-entry midwifery services (39). Group Health has contracted with licensed midwives since 1996 to make home birth services available to all plan members. The Group Health Cooperative's involvement with direct-entry midwives followed a 1995 survey in which they found that 8 percent of their members were interested in the idea of a midwife-attended home birth and might use such a service if Group Health would provide the same benefits for a home birth that it provides for an in-hospital birth. An internal task force determined that licensed midwives were best qualified to provide home birth services and developed a framework to support integrating them into the co-op. Group Health enrollees may self-refer to a licensed midwife. The midwife provides all prenatal, labor, birth, and newborn postpartum care, and consults with Group Health physicians and nurse-midwives as needed. When home- or birth-center to hospital transports are indicated, they are accepted within the context of the whole system of care. Certified nurse-midwives employed by Group Health may also be involved in the care of women who are transferred to a Group Health Hospital from a home birth. This model of transferring care from an out-of-hospital midwife to an in-hospital midwife is becoming increasingly common in Washington.

#### NATIONAL RECOMMENDATIONS AND DIRECT-ENTRY MIDWIFERY

##### UCSF Center for the Health Professions Taskforce on Midwifery

In early 1998, the University of California at San Francisco Center for the Health Professions convened a

Taskforce on Midwifery to explore the impact of health care system developments on midwifery and identify issues facing the profession and the role midwives play in the health care system (1,40). The Taskforce presented 14 recommendations on midwifery practice, regulation, education, research, and policy, all incorporating the vision that the "midwifery model of care should be embraced by and incorporated into the health care system in order to make it available to all women and their families" (1). Midwives, educators, collaborators, and policy makers were urged by the Taskforce to use the recommendations to develop curricula, practice sites, and laws that fully include midwives and the midwifery model of care with the goal of improving the health care system.

The following is an assessment of several Taskforce on Midwifery recommendations as they relate to the status of regulation, education, practice and credentialing, research, and policy for direct-entry midwifery in the United States:

**Recommendation 1.** *Midwives should be recognized as independent and collaborative practitioners with the rights and responsibilities regarding scope of practice authority and accountability that all independent professionals share.*

**Recommendation 2.** *Every health care system should integrate midwifery services into the continuum of care for women by contracting with or employing midwives and informing women of their options (1). As health care systems consider introducing or expanding the use of direct-entry midwives, they should examine the successes and the challenges experienced by licensed midwives in states that recognize the independent and collaborative practice of midwifery. Licensed midwives in Washington state provide an example that might be useful in developing guidelines for the integration of midwifery services.*

**Recommendation 4.** *Midwives and physicians should ensure that their systems of consultation, collaboration, and referral provide integrated and uninterrupted care to women, which requires active engagement and participation by members of both professions (1). Since most physicians have never worked with direct-entry midwives, they have little direct knowledge of their qualifications or practice. Misinformation and bias regarding the safety of home and birth center practices are commonly encountered when midwives seek to establish consulting relationships. Even though most licensed midwives in Washington state have not considered physician consultation and referral to be a major barrier to practice, their options for physician consultation are often limited by the fact that most physicians have not made themselves available to midwives (38).*

**Recommendation 5.** *State legislatures should en-*



act laws that base entry-to-practice standards on successful completion of accredited education programs, or the equivalent, and national certification and that do not require midwives to be directed or supervised by other health care practitioners (1). The North American Registry of Midwives standards for certification as a CPM, which require completion of an accredited program, or the equivalent documentation and evaluation of knowledge and skills (20), are consistent with this recommendation. Policymakers in various states are already beginning to recognize the utility of employing NARM certification and MEAC accreditation mechanisms in regulatory activities; specifically, 14 states now use the NARM written examination while Florida requires that educational programs be MEAC accredited.

**Recommendation 6.** Hospitals, health systems, and public programs, including Medicare and Medicaid, should ensure that enrollees have access to midwives and the midwifery model of care by eliminating barriers to access and inequitable reimbursement rates that discriminate against midwives.

**Recommendation 7.** Health care systems develop hospital privileging and credentialing mechanisms for midwives that are consistent with the profession's standards, recognize midwifery as distinct from other professions, and recognize established processes that permit midwives to build upon their entry-level competencies within their statutory scope of practice (1). Group Health Cooperative of Puget Sound (described previously) provides a model for accomplishing this recommendation, providing access to midwives and the midwifery model of care in hospital and home settings. Obtaining hospital privileges for direct-entry midwives, however, represents a considerable challenge. Although direct-entry midwives have historically based their practice in the out-of-hospital setting, a few have sought hospital employment or independent admitting privileges. It is plausible that many women would appreciate the option of choosing a direct-entry midwife to attend a planned hospital birth or the opportunity to have their midwives follow them into the hospital when change of location from a planned birth-center or homebirth is indicated. Privileging and credentialing mechanisms that recognize direct-entry midwives would make this possible.

**Recommendation 8.** Education programs should provide opportunities for interprofessional education, multiple points of entry, and collaboration and/or affiliation with colleges and universities (1). Many direct-entry midwifery education programs have provided informal opportunities for physicians, nurses, childbirth educators, and doulas to participate in didactic courses or clinical experiences that expose them to the midwifery model of care and some have

actively sought and built relationships with colleges and universities. There is, however, a deep, abiding desire among direct-entry midwives and consumers for midwifery education to remain autonomous in order to preserve the model of education, as well as the model of practice, that developed specifically in response to the needs of women seeking home and birth-center options. These concerns will best be addressed when colleges and universities recognize the value of direct-entry midwifery programs and seek to enter into partnerships for mutual benefit.

**Recommendations 9 and 11.** Midwifery education programs should include content related to practice management, the impact of health care policy on midwifery practice, and cultural competence (1). These topics, already addressed in some programs, will become increasingly significant as more direct-entry midwives enter the mainstream as health care providers with managed care contracts or with jobs in formal health care systems.

**Recommendation 10.** The midwifery profession should recognize the benefits of teaching midwifery in a variety of education programs and affirm the value of competency-based education in all midwifery programs (1). The standards set by MEAC for accreditation of a range of midwifery programs based on MANA Core Competencies are consistent with this recommendation. MEAC recognizes degree and nondegree granting programs. MEAC provides mechanisms for the accreditation of institutions solely devoted to midwifery education, as well as midwifery education programs based in institutions that are accredited by nationally recognized agencies that do not specialize in assessment of midwifery education programs.

**Recommendation 12.** Midwifery research should be strengthened and funded in a wide range of areas from the analyses of how midwives complement and broaden the woman's choice of provider, setting; and model of care to studies of normal pregnancy, normal labor and birth, health parent-infant relationships, and breastfeeding; and satisfaction with maternity and midwifery care (1). Most research activities concerning direct-entry midwives have been conducted at the state level or through private initiative. MANA began collecting data from members on a voluntary basis in 1993 and now has detailed information on the care provided to more than 11,000 women who were initiated as planned home or birth center births under the care of a midwife; this figure includes clients of both direct-entry and CNM members of MANA (41). Several descriptive reports drawn from the data have appeared in the organization's newsletter, including basic demographics, transfer rates and reasons for transfer from intended home and birth center births, medical interven-

tion rates, breastfeeding success, and the perinatal mortality rates for Manitoba and Minnesota.

The homebirth movement and the re-emergence of direct-entry midwifery have drawn the attention of a wide array of researchers, including sociologists, political scientists, anthropologists, historians, lawyers, and public health researchers (42-48). Their work includes analyses of the content of care provided by direct-entry midwives, descriptions of the historical development of the movement, case studies of regulatory issues, and so on. Additionally, a considerable amount of unpublished work has been done by graduate students in academic programs (49-52). Despite the attention given to midwifery in some circles, policy-relevant research involving midwives in the United States are limited by the midwifery profession's own limited resources, a lack of outside funding, and the lack of uniform data collection. These gaps are particularly true of direct-entry midwives.

The Taskforce recommendations for research priorities are comprehensive and far-reaching. Direct-entry midwifery should be included in each of the areas suggested for research. Direct-entry midwives have much to contribute, especially to research on normal pregnancy, labor and birth; and satisfaction with maternity and midwifery care (41).

**Recommendation 14.** *Federal and state agencies should broaden systematic data collection, which has traditionally focused on medicine and physicians, to include midwifery and midwives (1).* Birth certificate data, a widely used research resource with well-acknowledged limitations, have been used to assess direct-entry midwifery practice in some states, including the comparative study of licensed midwives in Washington described previously (36). In 1995, Declercq examined birth certificate data to determine the demographic and medical risk profiles of the population served by midwives, including women served by direct-entry midwives (53). But, because direct-entry midwives do not complete birth certificate information in some states, there is underreporting of the actual number of births attended by direct-entry midwives.

Some states, like Arizona and New Mexico, require licensed midwives to report specific procedures and outcomes within the context of regulation. Sullivan and Beeman used this data to review 4 years' experience with licensed midwives in the early 1980s (54). Similarly, state midwifery associations and other private entities, including third-party payors and malpractice insurance providers, often require data collection. However, retrieval of this proprietary information for analysis and public review has proven problematic.

**Recommendation 15.** *A research and policy body, such as the Institute of Medicine, should be asked to study and offer guidance on significant aspects of the midwifery profession (1).* More than half of all states

have explored legislation or public policy changes related to direct-entry midwifery regulation, education, and/or practice in recent years. Florida, which had earlier set aside a direct-entry midwifery statute, reauthorized licensure in 1993. Then Governor Lawton Chiles subsequently called for a dramatic increase in the proportion of midwife-attended deliveries. Florida state law now mandates managed care plan contracting/reimbursement for licensed midwives (55,56). Several direct-entry midwifery education programs have been started, including a publicly funded program at Miami-Dade County Community College. The California Bureau of Health Professions called for the legalization of midwifery as early as 1986, though legislation setting standards for licensure was not adopted until 1993. California law also mandates insurance reimbursement for licensed midwives (57,58).

Even though direct-entry midwifery has been on the agendas of various state legislators for many years, there has been a dearth of public policy discussions, and initiatives programs, related to the goal of recognizing and expanding the use of direct-entry midwives nationally. At least 10% of all midwives in the United States today are direct-entry midwives who are licensed to practice in their state and/or certified by NARM. These midwives represent a valuable resource and their representatives should be included in any group assigned to examine public policies as they relate to the midwifery profession and/or maternal health care.

## CONCLUSION

Direct-entry midwifery in the United States is re-establishing itself as a legitimate profession and, as such, is of growing interest to both the private sector and public policymakers. National certification and education program accreditation are two new developments that will support further growth of the profession. While the Washington experience is not representative of direct-entry midwifery in all parts of the country, it provides an example of what can be accomplished when legal, educational, and other supports are in place. The Group Health survey suggests that home birth and birth centers may have wider appeal than is reflected in the current birth statistics.

Public policymakers and health care purchasers seeking innovative models for the delivery of cost-effective, high quality care may find that direct-entry midwives are a maternity care resource that should be examined more closely. Direct-entry midwifery care is an attractive and economical option for healthy women who choose to give birth at home or in a birth center. With increasing public awareness, health plan interest in low-cost, high-quality alternatives; and the continuing professionalization of direct-entry midwifery; this innovative model for

the provision of maternity care could become more widely utilized.

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**Key for letter after phone number and sample of time of day compared with other zones:**

|                 |       |
|-----------------|-------|
| AK—Alaska Time  | 7 am  |
| P—Pacific Time  | 8 am  |
| M—Mountain Time | 9 am  |
| C—Central Time  | 10 am |
| E—Eastern Time  | 11 am |

# The Mother-Friendly Childbirth Initiative

*The First Consensus Initiative of the Coalition for Improving Maternity Services (CIMS)*

## Mission

The Coalition for Improving Maternity Services (CIMS) is a coalition of individuals and national organizations with concern for the care and well-being of mothers, babies, and families. Our mission is to promote a wellness model of maternity care that will improve birth outcomes and substantially reduce costs. This evidence-based mother-, baby-, and family-friendly model focuses on prevention and wellness as the alternatives to high-cost screening, diagnosis, and treatment programs.

## Preamble

### *Whereas:*

- In spite of spending far more money per capita on maternity and newborn care than any other country, the United States falls behind most industrialized countries in perinatal\* morbidity\* and mortality, and maternal mortality is four times greater for African-American women than for Euro-American women;
- Midwives attend the vast majority of births in those industrialized countries with the best perinatal outcomes, yet in the United States, midwives are the principal attendants at only a small percentage of births;
- Current maternity and newborn practices that contribute to high costs and inferior outcomes include the inappropriate application of technology and routine procedures that are not based on scientific evidence;
- Increased dependence on technology has diminished confidence in women's innate ability to give birth without intervention;
- The integrity of the mother-child relationship, which begins in pregnancy, is compromised by the obstetrical treatment of mother and baby as if they were separate units with conflicting needs;
- Although breastfeeding has been scientifically shown to provide optimum health, nutritional, and developmental benefits to newborns and their mothers, only a fraction of U.S. mothers are fully breastfeeding their babies by the age of six weeks;
- The current maternity care system in the United States does not provide equal access to health care resources for women from disadvantaged population groups, women without insurance, and women whose insurance dictates caregivers or place of birth;

### *Therefore,*

**We, the undersigned members of CIMS, hereby resolve to define and promote mother-friendly maternity services in accordance with the following principles:**

## Principles

**We believe the philosophical cornerstones of mother-friendly care to be as follows:**

### **Normalcy of the Birthing Process**

- Birth is a normal, natural, and healthy process.
- Women and babies have the inherent wisdom necessary for birth.
- Babies are aware, sensitive human beings at the time of birth, and should be acknowledged and treated as such.
- Breastfeeding provides the optimum nourishment for newborns and infants.
- Birth can safely take place in hospitals, birth centers, and homes.
- The midwifery model of care, which supports and protects the normal birth process, is the most appropriate for the majority of women during pregnancy and birth.

### **Empowerment**

- A woman's confidence and ability to give birth and to care for her baby are enhanced or diminished by every person who gives her care, and by the environment in which she gives birth.

\* see glossary on next page

- A mother and baby are distinct yet interdependent during pregnancy, birth, and infancy. Their interconnectedness is vital and must be respected.
- Pregnancy, birth, and the postpartum period are milestone events in the continuum of life. These experiences profoundly affect women, babies, fathers, and families, and have important and long-lasting effects on society.

## Autonomy

*Every woman should have the opportunity to:*

- Have a healthy and joyous birth experience for herself and her family, regardless of her age or circumstances;
- Give birth as she wishes in an environment in which she feels nurtured and secure, and her emotional well-being, privacy, and personal preferences are respected;
- Have access to the full range of options for pregnancy, birth, and nurturing her baby, and to accurate information on all available birthing sites, caregivers, and practices;
- Receive accurate and up-to-date information about the benefits and risks of all procedures, drugs, and tests suggested for use during pregnancy, birth, and the postpartum period, with the rights to informed consent and informed refusal;
- Receive support for making informed choices about what is best for her and her baby based on her individual values and beliefs.

## Do No Harm

- Interventions should not be applied routinely during pregnancy, birth, or the postpartum period. Many standard medical tests, procedures, technologies, and drugs carry risks to both mother and baby, and should be avoided in the absence of specific scientific indications for their use.
- If complications arise during pregnancy, birth, or the postpartum period, medical treatments should be evidence-based.

## Responsibility

- Each caregiver is responsible for the quality of care she or he provides.
- Maternity care practice should be based not on the needs of the caregiver or provider, but solely on the needs of the mother and child.
- Each hospital and birth center is responsible for the periodic review and evaluation, according to current scientific evidence, of the effectiveness, risks, and rates of use of its medical procedures for mothers and babies.
- Society, through both its government and the public health establishment, is responsible for ensuring access to maternity services for all women, and for monitoring the quality of those services.
- Individuals are ultimately responsible for making informed choices about the health care they and their babies receive.

*These principles give rise to the following steps which support, protect, and promote mother-friendly maternity services:*

### \* Glossary

**Augmentation:** Speeding up labor.  
**Birth Center:** Free-standing maternity center.  
**Doula:** A woman who gives continuous physical, emotional, and informational support during labor and birth—may also provide postpartum care in the home.  
**Episiotomy:** Surgically cutting to widen the vaginal opening for birth.  
**Induction:** Artificially starting labor.  
**Morbidity:** Disease or injury.  
**Oxytocin:** Synthetic form of oxytocin (a naturally occurring hormone) given intravenously to start or speed up labor.  
**Perinatal:** Around the time of birth.  
**Rupture of Membranes:** Breaking the "bag of waters."

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 Wagner M. *Pursuing the Birth Machine: The Search for Appropriate Birth Technology*. Australia: ACE Graphics, 1994. (Dr. Wagner's book has the "General Recommendations" of The WHO Fortaleza, Brazil, April, 1985 and the "Summary Report" of The WHO Consensus Conference on Appropriate Technology Following Birth Trieste, October, 1986.)

# Ten Steps of the Mother-Friendly Childbirth Initiative

## For Mother-Friendly Hospitals, Birth Centers,\* and Home Birth Services

*To receive CIMS designation as "mother-friendly," a hospital, birth center, or home birth service must carry out the above philosophical principles by fulfilling the Ten Steps of Mother-Friendly Care:*

A mother-friendly hospital, birth center, or home birth service:

1. Offers all birthing mothers:
  - Unrestricted access to the birth companions of her choice, including fathers, partners, children, family members, and friends;
  - Unrestricted access to continuous emotional and physical support from a skilled woman—for example, a doula,\* or labor-support professional;
  - Access to professional midwifery care.
2. Provides accurate descriptive and statistical information to the public about its practices and procedures for birth care, including measures of interventions and outcomes.
3. Provides culturally competent care—that is, care that is sensitive and responsive to the specific beliefs, values, and customs of the mother's ethnicity and religion.
4. Provides the birthing woman with the freedom to walk, move about, and assume the positions of her choice during labor and birth (unless restriction is specifically required to correct a complication), and discourages the use of the lithotomy (flat on back with legs elevated) position.
5. Has clearly defined policies and procedures for:
  - collaborating and consulting throughout the perinatal period with other maternity services, including communicating with the original caregiver when transfer from one birth site to another is necessary;
  - linking the mother and baby to appropriate community resources, including prenatal and post-discharge follow-up and breastfeeding support.
6. Does not routinely employ practices and procedures that are unsupported by scientific evidence, including but not limited to the following:
  - shaving;
  - enemas;
  - IVs (intravenous drip);
  - withholding nourishment;
  - early rupture of membranes\*;
  - electronic fetal monitoring;other interventions are limited as follows:
  - Has an oxytocin\* use rate of 10% or less for induction\* and augmentation\*;
  - Has an episiotomy\* rate of 20% or less, with a goal of 5% or less;
  - Has a total cesarean rate of 10% or less in community hospitals, and 15% or less in tertiary care (high-risk) hospitals;
  - Has a VBAC (vaginal birth after cesarean) rate of 60% or more with a goal of 75% or more.
7. Educates staff in non-drug methods of pain relief, and does not promote the use of analgesic or anesthetic drugs not specifically required to correct a complication.
8. Encourages all mothers and families, including those with sick or premature newborns or infants with congenital problems, to touch, hold, breastfeed, and care for their babies to the extent compatible with their conditions.
9. Discourages non-religious circumcision of the newborn.
10. Strives to achieve the WHO-UNICEF "Ten Steps of the Baby-Friendly Hospital Initiative" to promote successful breastfeeding:
  1. Have a written breastfeeding policy communicated to all health care staff;
  2. Train all health care staff in skills necessary to implement this policy;
  3. Inform all pregnant women about the benefits and management of breastfeeding;
  4. Help mothers initiate breastfeeding within a half-hour of birth;
  5. Show mothers how to breastfeed and how to maintain lactation even if they should be separated from their infants;
  6. Give newborn infants no food or drink other than breast milk unless medically indicated;
  7. Practice rooming in: allow mothers and infants to remain together 24 hours a day;
  8. Encourage breastfeeding on demand;
  9. Give no artificial teat or pacifiers (also called dummies or soothers) to breastfeeding infants;
  10. Foster the establishment of breastfeeding support groups and refer mothers to them on discharge from hospitals or clinics.

\* see glossary



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### A partial list of organizations and individuals endorsing this Initiative (for current list see FAQ file on listserver described below):

The Boston Women's Health Book Collective, Boston, MA  
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 The Compleat Mother, Minot, ND, Jody McLoughlin, Editor  
 International Cesarean Awareness Network, Redondo Beach, CA, April Kubachka, President  
 The Massachusetts Friends of Midwives, Boston, MA  
 The Nurturing Parent Journal, Rapid City, SD, Jacqueline De Laveaga, Publisher  
 Touch The Future, Long Beach, CA, Michael Mendizza, Executive Director  
 Elisabeth Bing, RPT, FACCE, *Six Practical Lessons for an Easy Childbirth*  
 Elliott E. Dacher, MD, *Whole Healing*  
 Larry Dossey, MD, *Prayer is Good Medicine*  
 Murray W. Enkin, MD, FRCS(C), Prof Emeritus, Depts of Ob Gyn, Clin Epid, & Biostat, McMaster Univ. Hamilton, Ontario, *A Guide to Effective Care in Pregnancy and Childbirth*  
 Eunice K. M. Ernst, CNM, MPH, Mary Breckinridge Chair of Midwifery  
 Sharron S. Humenick, PhD, RN, FAAN, Editor-in-Chief, *Journal of Perinatal Education*

Laura Huxley, *Children Are Our Ultimate Investment*  
 Dorothy J. Jongeward, PhD, *Born to Win*  
 John H. Kennell, MD, *Mothering the Mother*  
 George Leonard, *Mastery*  
 Jean Liedloff, *The Continuum Concept*  
 Ashley Montagu, PhD, *Touching*  
 Michel Odent, MD, *Birth Reborn*  
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 Joseph Chilton Pearce, *The Magical Child*  
 Kent Peterson, MD, FACPM, FACOEM, *Handbook of Health Risk Appraisal*  
 Jane Pincus, *Our Bodies, Our Selves*  
 Judith Rooks, CNM, MPH, MS, FACNM

### Help Circulate this Initiative

CIMS operates on volunteer labor and a minimal budget. We ask your help in disseminating this Initiative as widely as possible. Publish it, give it away, e-mail it, send it to your newspaper editor, (include attribution), mention it on talk shows, etc.

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We urge you to e-mail copies of this Initiative to everyone you know (it is helpful to append a personal note to it). Please request that they also forward it to as many people as possible.

#### Get Paper Copies of this Initiative Inexpensively

Write to: CIMS, c/o ASPO/Lamaze, 1200 19th Street, NW, S-

300, Washington, DC 20036, requesting that a copy be mailed or faxed to you. You must include \$3 US to defray costs (\$4 Canada/Mexico, \$5 all others).

#### More Information

For information about this Initiative—its history, ways to use it, what is envisioned for it, creative ways to support CIMS, etc., we have a list of Frequently Asked Questions (FAQs) which you can get by sending an e-mail message to "thedocument@listserv.mcn.org" with "FAQ" in the SUBJECT line. We welcome your comments and suggestions, with evidence-based material supporting your position.

#### Join the Discussion:

Join our online discussion group to contribute to the dissemination and use of the Initiative, especially if you are willing to serve as a liaison for getting a local birth service designated as mother-friendly. Send an e-mail message to "cims@listserv.mcn.org" typing the word "SUBSCRIBE" in the SUBJECT line.

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#2

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**Testimony to Commission on Complementary & Alternative Medicine Policy**  
**International Community Health Services**  
**Dorothy Wong, Executive Director**

I am the Executive Director at the International Community Health Services, a community health center that primarily serves the limited English speaking Asian and Pacific Islander immigrant population in Seattle and King County. Alongside allopathic Western primary medical and dental care, we provide traditional Chinese medicine services, which includes acupuncture, moxibustion, herbs, and cupping.

Complementary and alternative medicine is a very common practice among Asian and Pacific Islander immigrants. Given the access barriers to mainstream medical care (including lack of insurance, need for interpretation, limited knowledge about the medical care system), immigrants will often choose the more culturally familiar traditional ethnic (Chinese, Vietnamese, etc.) health care practices, such as acupuncture, herbs, massage, cupping, coin rubbing, traditional healers, etc. Research needs to be funded to assess the practice of these traditional methods, particularly looking at any interactions with concurrent allopathic medicine, as well as the potential hazards and benefits of the traditional practices themselves.

Acupuncture and herbal medicine research should not be taken out of context from their cultural background. Much of current research is done under the 'gold standard' of randomized trials. This means that treatments must be standardized in order to compare treatment options. But traditional acupuncture and herbal treatment cannot be standardized for 'neck pain,' for example. Since the treatment would be individualized according to specific imbalances in energy flow of the different body meridians, traditional Chinese medicine would involve a different treatment for each individual presenting with the same problem. Unfortunately, much of current research being funded does not allow for these traditional means of diagnosis and treatment, wanting to standardize the therapy. Other research methodologies will need to be developed to assess these therapies in their cultural context.

Complementary and alternative medicine seems to be beneficial to a wide range of conditions that are not well treated by allopathic medicine. Chronic neck and back pain, neuropathic or nerve related pains, chronic tendonitis or irritation in tendons, such as tennis elbow, pregnancy related nausea and vomiting are some of these conditions. These conditions are not easily or successfully managed with medications, or the side effects of medications have significant complications in themselves. Access to complementary and alternative medicine needs to be available and consistent. In California, for instance, work-related injuries and Medicaid patients have coverage for complementary and alternative medicine, but in Washington state these same patients are not covered for these services. Traditional Chinese medicine should be similarly covered from state to state. Practice guidelines for common ailments should include and integrate complementary and alternative medicine with allopathic medicine, particularly for these chronic conditions. Reimbursements for these services should uniformly be covered following these practice guidelines. This would allow for provider and patient options in the management of these conditions.

### Acupuncture Services and Patients

(From 1/1/2000 to 8/31/2000)

| By Revenue Sources      |        |        |          |        |
|-------------------------|--------|--------|----------|--------|
| Resource                | Visits |        | Patients |        |
| 3rd Party Ins           | 180    | 10.5%  | 33       | 11.4%  |
| Basic Health            | 427    | 24.9%  | 67       | 23.2%  |
| Basic Health & Medicaid | 3      | 0.2%   | 1        | 0.3%   |
| ICHS Staff/Family       | 8      | 0.5%   | 4        | 1.4%   |
| Medicaid Healthy Option | 4      | 0.2%   | 1        | 0.3%   |
| Self Pay                | 1090   | 63.7%  | 183      | 63.3%  |
| TOTAL                   | 1712   | 100.0% | 289      | 100.0% |
|                         |        |        |          |        |
| By Ethnicity            |        |        |          |        |
| Ethnicity               | Visits |        | Patients |        |
| AFR AMERICAN            | 3      | 0.2%   | 2        | 0.7%   |
| CHINESE                 | 892    | 52.1%  | 130      | 45.0%  |
| FILIPINOS               | 7      | 0.4%   | 3        | 1.0%   |
| IRANIAN                 | 2      | 0.1%   | 1        | 0.3%   |
| JAPANESE                | 4      | 0.2%   | 2        | 0.7%   |
| KOREAN                  | 154    | 9.0%   | 44       | 15.2%  |
| LAOTIAN                 | 5      | 0.3%   | 2        | 0.7%   |
| MEIN                    | 7      | 0.4%   | 2        | 0.7%   |
| NATIVE ALASKA           | 7      | 0.4%   | 1        | 0.3%   |
| NATIVE AMERICAN         | 94     | 5.5%   | 15       | 5.2%   |
| OTHER                   | 66     | 3.9%   | 11       | 3.8%   |
| THAI                    | 13     | 0.8%   | 1        | 0.3%   |
| VIETNAMESE              | 364    | 21.3%  | 50       | 17.3%  |
| WHITE                   | 94     | 5.5%   | 25       | 8.7%   |
| TOTAL                   | 1712   | 100.0% | 289      | 100.0% |

| Acupuncture Visit Counted by Dx (1/1/2000 - 8/31/2000) |        |
|--------------------------------------------------------|--------|
| Description                                            | Visits |
| Alopecia Unspecified/ Hair loss/ Baldness              | 5      |
| Anal/Rectal fissure                                    | 1      |
| Bell's palsy                                           | 165    |
| Breast tenderness/ Numbness/ Paresthesia               | 80     |
| Breech presentation, Antepartum                        | 6      |
| Bursitis,subacromial                                   | 1      |
| Carpel tunnel syndrome                                 | 4      |
| Chronic fatigue syndrome                               | 1      |
| Diabetes Mellitus,type I (insulin dependent)           | 2      |
| Dysphagia/ difficult in swallowing                     | 28     |
| Dysphasia/ Speech disturbance,other                    | 1      |
| Epicondylitis,lateral/Tennis elbow                     | 16     |
| Headache/facial pain                                   | 68     |
| Hearing problem                                        | 6      |
| Hydrocele, unspecified                                 | 1      |
| Hypertension,benign                                    | 2      |
| Menopause/ Symptoms:hot flashes,sleepless              | 2      |
| Menorrhagia/prolong,heavy bleeding                     | 1      |
| Neoplasm, digestive system unspecified nature          | 1      |
| Pain,abdominal/infantile colic                         | 25     |
| Pain,ankle                                             | 17     |
| Pain,arm/finger/foot/leg/limb/heel/thumb/toe           | 196    |
| Pain,back unspecified                                  | 359    |
| Pain,elbow                                             | 6      |
| Pain,hand joint                                        | 31     |
| Pain,joint; arthralgia unspecified                     | 1      |
| Pain,knee                                              | 158    |
| Pain,menstruation/ Dysmenorrhea                        | 8      |
| Pain,musculoskeletal/Generalized pain/Myalgia          | 1      |
| Pain,neck                                              | 60     |
| Pain,Sciatic                                           | 9      |
| Pain,shoulder                                          | 154    |
| Polyarthritis NOS                                      | 1      |
| Rheumatoid arithritis                                  | 17     |
| Rotator cuff syndrome,other/ Tendonitis                | 2      |
| Sinusitis,chronic/Post nasal drip/Unspecified          | 16     |
| Skin rash                                              | 18     |
| Sleep disturbance, unspecified                         | 50     |
| Spotting,intermenstrual irregular                      | 1      |
| Stiff neck/ torticollis                                | 10     |
| Tendonitis                                             | 8      |
| Tinnitus, Unspecified/ Ringing in the ear              | 5      |
| Tobacco abuse                                          | 9      |
| Trigeminal neuralgia                                   | 8      |
| Weakness general/Malaise and fatigue,other             | 151    |
|                                                        | 1712   |

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#6

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**Lori L. Bielinski, LMP, Sr. Health Care Policy Analyst  
Office of the Insurance Commissioner  
Testimony  
White House Commission on Complementary and  
Alternative Medicine Policy  
Town Hall Meeting – Seattle, WA  
October 30, 2000**

**In 1993 Health care reform legislation was enacted in Washington that assured consumers could buy health insurance even if they were sick or had changed jobs. Simultaneously, provider groups pursued inclusion of all licensed health care providers for insurance reimbursement within their respective practice scopes. To preserve insurers' ability to select competent providers, the final legislation settled on the term "every category" without mandating inclusion of every individual practitioner. Subsequent revisions preserved these reforms and the Office of the Insurance Commissioner promulgated rules to implement that intent.**

**An unusual footnote is that of the many sections of these reforms, this is one of the few portions of the law that remains. It has stood the challenges through both Democratic and Republican majorities of the legislature, it has generated the**

**most controversy, and is the most popular as well as having been upheld by the US Supreme Court.**

**There are specific criteria that must be met. The CAM professions must be regulated by the Department of Health with licensure or certification. The scope of practice must allow the provider to treat the condition that the patient presents. The provider must be *contracted* to be eligible for reimbursement. And, finally the patient must have benefits covering a condition that is within the scope of practice of the chosen provider.**

**This is not an “any willing provider” law, nor is it a mandated benefit law. It allows consumers access to a choice of the provider who will treat the condition in which they are seeking care.**

**Carriers have the right to credential providers as long as the standards are consistent with those established by the Department of Health. Carriers must set network adequacy requirements based on the number of covered lives in a jurisdiction where they are responsible for providing coverage.**

**Carriers have the right to set the coverage limits, including services of CAM providers. The OIC has established rules that state these limits may not be unreasonable and may not be set**



**by provider type, but can be set by covered services. And, the carrier may not exclude a particular category of provider altogether, nor can it cover certain provider types only by a separately priced optional benefit.**

**Thus, it became very important to clarify to insurers, providers and the public that the law relates to ALL regulated health professions, and not just those considered alternative.**

**Additionally, the law does not mandate a benefit for anyone - it allows patient access to the provider of their choice for covered conditions.**

**The initial environment impacted by the threat of ongoing litigation. After many discussions, parties agreed how to establish a joint work group and to hire outside facilitation. It was agreed that the OIC would convene clinicians from the carriers and the professional associations to focus on clinical issues. The full workgroup agreed that all decisions would be made by a planning committee, made up of a smaller group of the whole, to assure all parties were represented in the decision-making process.**

**Participants included outside facilitation, CAM professional association representatives from acupuncture, chiropractic, dieticians, massage therapy, licensed midwives and**

**naturopathic physicians, medical directors from carriers and CAM provider networks. In the second and third years we expanded the workgroup to include primary care physician organizations, educational institutions that train CAM professions, and the State Department of Labor and Industries, our workers compensation program.**

**The group's '97 work was spent developing trust, building working relationships, and establishing an agenda. The '98 agenda was aggressive, including coverage decisions, technology assessment, medical necessity, and most significant was the training of CAM practice guidelines. The '99 CWIC activities were focused on the known integrated clinics and additional training on practice guideline development.**

**The most important deliverables were the final report and draft seed algorithms for at least one condition for each profession. They are in Appendix I of the report with the stipulation that they are drafts, and have not yet been tested, implemented, refined or subjected to peer review. Each profession has been encouraged to continue developing algorithms using the peer review process.**

**In this process we learned that developing trust and understanding of each other's language was critical to a positive**

**outcome. Continuous representation assured participants that everyone was invested in the process and could build on those relationships.**

**We believe that the next steps should include research. Not just of clinical efficacy but of true utilization of CAM services in conjunction with, or replacement of, normally covered conventional care. Case management considerations should be addressed and education of all entities is the most important by-product of such an effort. Finally, a national forum of this caliber, or multiple forums in several locations, can break down barriers to trust and understanding of CAM services, and eliminate divisive action by people or groups that are operating in a non-educated environment.**

**This is a consumer demand and we, as policymakers, have the responsibility to move the decision making into well rounded, deliberate discussions about cost, access and outcomes.**